

# THE GROUP $\mathrm{GL}_2(\mathbb{Z}/n\mathbb{Z})$ AS PERMUTATIONS OF $(\mathbb{Z}/n\mathbb{Z})^2$

**ABSTRACT.** The group  $\mathrm{GL}_2(\mathbb{Z}/n\mathbb{Z})$  acts on  $(\mathbb{Z}/n\mathbb{Z})^2$  by matrix multiplication. Each element gives a permutation of  $(\mathbb{Z}/n\mathbb{Z})^2$ , and we study its decomposition into disjoint cycles. We also consider the analogous problem for the semi-direct product  $\mathrm{GL}_2(\mathbb{Z}/n\mathbb{Z}) \ltimes (\mathbb{Z}/n\mathbb{Z})^2$ : for its element  $(M, v)$  we first act on  $(\mathbb{Z}/n\mathbb{Z})^2$  with the matrix multiplication by  $M$  and then with the translation by  $v$ .

## 1. INTRODUCTION

Consider an integer  $n \geq 2$ . The group  $\mathrm{GL}_2(\mathbb{Z}/n\mathbb{Z})$  acts on  $(\mathbb{Z}/n\mathbb{Z})^2$  by matrix multiplication, and each matrix gives a bijection on  $(\mathbb{Z}/n\mathbb{Z})^2$ . Thus we can see  $\mathrm{GL}_2(\mathbb{Z}/n\mathbb{Z})$  as a subgroup of the permutation group of  $(\mathbb{Z}/n\mathbb{Z})^2$ . The permutation group has size  $(n^2)!$  while  $\mathrm{GL}_2(\mathbb{Z}/n\mathbb{Z})$  has size less than  $n^4$ , so we only obtain very few permutations.

The aim of this paper is understanding the decomposition into disjoint cycles of the permutations stemming from  $\mathrm{GL}_2(\mathbb{Z}/n\mathbb{Z})$ . Thanks to the Chinese Remainder Theorem we may reduce to the case in which  $n = p^e$ , where  $p$  is a prime number and  $e \geq 1$ . Our two main results are the following:

**Theorem 1.** *A permutation of  $(\mathbb{Z}/p\mathbb{Z})^2$  stemming from  $\mathrm{GL}_2(\mathbb{Z}/p\mathbb{Z})$  has the following decomposition into disjoint cycles: the zero vector forms a 1-cycle; an eigenvector belongs to a cycle whose length is the order of the eigenvalue; any further vector belongs to a cycle whose length is the order of the matrix.*

**Theorem 2.** *Consider the permutation of  $(\mathbb{Z}/p^e\mathbb{Z})^2$  stemming from  $M \in \mathrm{GL}_2(\mathbb{Z}/p^e\mathbb{Z})$  and let  $w \in (\mathbb{Z}/p^e\mathbb{Z})^2$ . Suppose that  $M \equiv I \pmod{p}$ , and that  $M \equiv I \pmod{4}$  in case  $p = 2$ . If  $Mw = w$  then  $M$  is in a 1-cycle for  $M$ , otherwise it is in a cycle of length  $p^{e-v}$ , where  $p^v$  is the largest power of  $p$  dividing  $(M - I)w$ .*

Theorem 2 has an assumption (namely,  $M \equiv I \pmod{p}$  and  $M \equiv I \pmod{4}$  in case  $p = 2$ ) and it is an important special case: in Section 5 we describe how to reduce to this case.

We also consider the semi-direct product  $\mathrm{GL}_2(\mathbb{Z}/n\mathbb{Z}) \ltimes (\mathbb{Z}/n\mathbb{Z})^2$ : this group is again a subgroup of permutations of  $(\mathbb{Z}/n\mathbb{Z})^2$ . Indeed, for an element  $(M, v)$  and for  $w \in (\mathbb{Z}/n\mathbb{Z})^2$  we define

$$(M, v)w = Mw + v.$$

In other words, we compose the bijection given by  $M$  with the translation by  $v$ . We have the following result:

**Theorem 3.** *Consider a permutation  $(M, v) \in \mathrm{GL}_2(\mathbb{Z}/p\mathbb{Z}) \ltimes (\mathbb{Z}/p\mathbb{Z})^2$ . If  $v \in \mathrm{Im}(M - I)$ , then its structure is the same as the permutation given by  $M$ . Now suppose that  $v \notin \mathrm{Im}(M - I)$  and let  $w \in (\mathbb{Z}/p\mathbb{Z})^2$ . If  $Mw = w$ , then  $w$  belongs to a  $p$ -cycle. Suppose that  $Mw \neq w$ : if the eigenvalues of  $M$  are  $1, \lambda$  with  $\lambda \neq 1$ , then  $w$  belongs to a  $\mathrm{ord}(\lambda)$ -cycle; if 1 is the only*

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eigenvalue of  $M$ , then  $w$  belongs to a  $p$ -cycle unless  $p = 2$  and  $M \neq I$ , in which case we have a 4-cycle.

For  $e > 1$ , we compare the cycle length at  $w$  for a permutation  $(M, v) \in \mathrm{GL}_2(\mathbb{Z}/p^e\mathbb{Z}) \ltimes (\mathbb{Z}/p^e\mathbb{Z})^2$  with the one for  $M \in \mathrm{GL}_2(\mathbb{Z}/p^e\mathbb{Z})$ : in particular, see the important special case covered in Theorem 36.

As an aside, we consider the permutations of  $\mathrm{GL}_2(\mathbb{Z}/p\mathbb{Z})$  modulo a subgroup of the scalars  $(\mathbb{Z}/p\mathbb{Z}) \setminus \{0\}$ : we explain the framework in Section 3.1 and address the generalization to  $\mathrm{GL}_2(\mathbb{Z}/p^e\mathbb{Z})$  in Section 5.1. The motivation is, by considering the full group of scalars, studying the action of  $\mathrm{PGL}_2(\mathbb{Z}/p\mathbb{Z})$  on the one-dimensional projective space over  $\mathbb{Z}/p\mathbb{Z}$ .

We have also studied  $\mathrm{GL}_m(\mathbb{Z}/p\mathbb{Z})$  as permutations of  $(\mathbb{Z}/p\mathbb{Z})^m$ , for any  $m \geq 2$ . We may easily reduce to the case of a Jordan matrix and then, if  $p \geq m$ , the permutation structure is clear (see Proposition 14). Building on this result, we investigate the permutations of  $\mathrm{GL}_m(\mathbb{Z}/p^e\mathbb{Z})$  on  $(\mathbb{Z}/p^e\mathbb{Z})^m$ : we cover an important special case in Theorem 24, and then for  $m = 2, 3$  we show how to reduce to this case.

In this paper we only use elementary methods and we rely on standard facts about binomial coefficients, linear algebra and matrices over rings [2]. The results are of general interest, and they are relevant to elliptic curves:

Let  $E$  be an elliptic curve defined over  $\mathbb{Q}$ . For every  $n \geq 2$  we consider the group  $E[n]$  of torsion points in  $\overline{\mathbb{Q}}$  of order dividing  $n$ . After choosing a basis for  $E[n]$ , this group can be identified to  $(\mathbb{Z}/n\mathbb{Z})^2$  and the action of a Galois automorphism in  $\mathrm{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$  is given by multiplication with a matrix in  $\mathrm{GL}_2(\mathbb{Z}/n\mathbb{Z})$ . Suppose that  $E(\mathbb{Q})$  contains a non-zero point  $P$ , and write  $\frac{1}{n}P$  for the subset of  $E(\overline{\mathbb{Q}})$  consisting of the points whose  $n$ -multiple is  $P$ . Fixing some  $Q \in \frac{1}{n}P$  we have

$$\frac{1}{n}P = Q + E[n].$$

If  $T \in E[n]$  and  $g \in \mathrm{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$ , then we have  $g(Q + T) = g(Q) + g(T)$ . We call  $M_g \in \mathrm{GL}_2(\mathbb{Z}/n\mathbb{Z})$  the element giving the action of  $g$  on  $E[n]$  and we set  $v_g := g(Q) - Q \in E[n]$ . Then we have

$$g(Q + T) = Q + (M_g T + v_g).$$

We deduce that the Galois action on  $\frac{1}{n}P$  is described by the permutation of  $E[n]$  stemming from  $(M_g, v_g) \in \mathrm{GL}_2(\mathbb{Z}/n\mathbb{Z}) \ltimes (\mathbb{Z}/n\mathbb{Z})^2$ . For an introduction to this framework for elliptic curves we refer to [1] (and to [3] for the basic notions). The results of this paper then shed light on the Galois action on the torsion points and on the division points of elliptic curves.

## 2. PRELIMINARIES

To ease notation, we write  $R_n$  for the ring  $\mathbb{Z}/n\mathbb{Z}$  and  $\mathrm{GL}_m(n)$  for  $\mathrm{GL}_m(R_n)$ . We call *vectors* the elements of  $R_n^m$ , which we see as column vectors. We call  $I$  the identity matrix. We may consider the groups  $\mathrm{GL}_m(n)$  and  $\mathrm{GL}_m(n) \ltimes R_n^m$  as subgroups of the permutation group of  $R_n^m$ . Indeed,  $M \in \mathrm{GL}_m(n)$  acts on  $R_n^m$  by the matrix multiplication by  $M$  while  $(M, v) \in \mathrm{GL}_m(n) \ltimes R_n^m$  acts by the matrix multiplication by  $M$  followed by the translation by  $v$ .

**Remark 4.** The matrix  $I \in \mathrm{GL}_m(n)$  (respectively, the identity  $(I, 0) \in \mathrm{GL}_m(n) \ltimes R_n^m$ ) are the trivial permutation of  $R_n^m$ . An element  $(I, v) \in \mathrm{GL}_m(n) \ltimes R_n^m$  with  $v \neq 0$  acts on  $R_n^m$  via the translation by  $v$ : the permutation consists of cycles whose length is the order of  $v$  in  $R_n^m$ .

**Remark 5.** Replacing an element of  $\mathrm{GL}_m(n)$  by a conjugated element does not change the permutation structure because this is independent from the choice of a  $R_n$ -basis of  $R_n^m$ . The same holds for  $\mathrm{GL}_m(n) \ltimes R_n^m$  because this group can be embedded in  $\mathrm{GL}_{m+1}(R_n)$ , see Remark 9.

By acting on  $R_n^m$  with  $\mathrm{GL}_m(n)$ , the zero vector clearly forms a 1-cycle (so it would be equivalent to restrict the permutation to  $R_n^m \setminus \{0\}$ ).

**Remark 6.** By acting on  $R_n^m$  with  $(M, v) \in \mathrm{GL}_m(n) \ltimes R_n^m$ , we have at least a 1-cycle if and only if there is some vector  $w \in R_n^m$  such that  $Mw + v = w$ . This precisely means that  $v$  is in the image of  $M - I$ . In particular, there is at least a 1-cycle for any  $v$  if and only if the matrix  $M - I$  is invertible.

**Remark 7.** Let  $A$  be in  $\mathrm{GL}_m(n)$  (respectively, in  $\mathrm{GL}_m(n) \ltimes R_n^m$ ) and let  $w \in R_n^m$ . If  $z$  is a positive integer, we have  $A^z w = w$  if and only if  $z$  is a multiple of the length of the cycle of  $A$  containing  $w$ . Consequently, this length divides the order of  $A$ .

By the following remark we may suppose that  $n = p^e$ , where  $p$  is a prime number and  $e$  is a positive integer.

**Remark 8.** We write  $n = \prod_{i=1}^r n_i$ , where the integers  $n_1, \dots, n_r$  are pairwise coprime prime powers larger than 1, and make use of the Chinese Remainder Theorem. Each element  $a \in R_n^m$  can be written as

$$a = (a_1, \dots, a_r) \quad \text{where} \quad a_i \in R_{n_i}^m \quad \text{and} \quad a \equiv a_i \pmod{n_i}.$$

Thus a permutation  $\sigma$  on  $R_n^m$  is such that  $\sigma(a) = (\sigma_1(a_1), \dots, \sigma_r(a_r))$ , where  $\sigma_i$  is a permutation of  $R_{n_i}^m$ . The length of the cycle of  $\sigma$  containing  $a$  is the least common multiple of the length of the cycle of  $\sigma_i$  containing  $a_i$ , by varying  $i = 1, \dots, r$ .

Moreover, the tuple of the reduction maps modulo  $n_i$  (for  $i = 1, \dots, r$ ) gives isomorphisms

$$\mathrm{GL}_m(n) \simeq \prod_i \mathrm{GL}_m(n_i) \quad \text{and} \quad \mathrm{GL}_m(n) \ltimes R_n^m \simeq \prod_i \mathrm{GL}_m(n_i) \ltimes R_{n_i}^m$$

and the reduction modulo  $n_i$  of an element which acts on  $R_n^m$  via  $\sigma$  acts on  $R_{n_i}^m$  via  $\sigma_i$ .

**Remark 9.** We can embed  $\mathrm{GL}_2(n) \ltimes R_n^2$  into  $\mathrm{GL}_3(n)$  with the map

$$\left( \begin{pmatrix} a & b \\ c & d \end{pmatrix}, \begin{pmatrix} e \\ f \end{pmatrix} \right) \mapsto \begin{pmatrix} a & b & e \\ c & d & f \\ 0 & 0 & 1 \end{pmatrix}$$

noting that we have

$$\begin{pmatrix} a & b & e \\ c & d & f \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \\ 1 \end{pmatrix} = \begin{pmatrix} \begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix} + \begin{pmatrix} e \\ f \end{pmatrix} \\ 1 \end{pmatrix}.$$

We can similarly embed  $\mathrm{GL}_m(n) \ltimes (R_n^m)^s$  into  $\mathrm{GL}_{m+s}(n)$  with the map

$$(M, (v_1, \dots, v_s)) \mapsto \begin{pmatrix} M & v_1 & \dots & v_s \\ & 1 & & \\ & & \ddots & \\ & & & 1 \end{pmatrix}.$$

Finally, we recall some results on the divisibility of binomial coefficients:

**Remark 10.** For any positive integers  $m, n$  the integer  $\frac{n}{\gcd(m, n)}$  divides  $\binom{n}{m}$ . Indeed, for any integers  $x, y$  such that  $\gcd(m, n) = mx + ny$  we have

$$\frac{\gcd(m, n)}{n} \binom{n}{m} = x \binom{n-1}{m-1} + y \binom{n}{m} \in \mathbb{Z}.$$

Consequently, the following holds:

- If  $t, a$  are positive integers such that  $2 \leq t \leq a$ , then  $p^{a-v_p(t)}$  divides  $\binom{p^a}{t}$ . Indeed, we have  $\frac{p^a}{\gcd(p^a, t)} = p^{a-v_p(t)}$ . If  $p \neq 2$ , we may deduce that  $p^{a+2-t}$  divides  $\binom{p^a}{t}$ , while if  $p = 2$  we may deduce that  $2^{a+3-2t}$  divides  $\binom{2^a}{t}$ .
- If  $p$  is a prime number and  $v_p(m) < v_p(n)$ , then  $p$  divides  $\binom{n}{m}$  because it divides  $\frac{n}{\gcd(m, n)}$ .

### 3. THE ACTION OF $\text{GL}_2(p)$

We keep the notation of Section 2. We let  $M \in \text{GL}_2(p)$  and call  $\lambda_1, \lambda_2 \in \mathbb{F}_p^\times$  the (not necessarily distinct) eigenvalues of  $M$ . We let  $w \in R_p^2$ . As we have observed, we may suppose without loss of generality that  $M \neq I$  and that  $w \neq 0$ . Recall from Remark 7 that the length of the cycle at  $w$  for  $M$  is the smallest positive integer  $z$  such that  $w \in \ker(M^z - I)$  and we have  $z \mid \text{ord}(M)$  (and  $w$  is a 1-eigenvector for  $M^z$ ).

**Lemma 11.** *Beyond the 1-cycle at 0, the lengths of the cycles of  $M$  belong to the set*

$$\{\text{ord}(\lambda_1), \text{ord}(\lambda_2), \text{ord}(M)\}.$$

*Proof.* Fix  $w \in R_p^2 \setminus \{0\}$  and call  $L$  the length of the cycle at  $w$ . We suppose that  $L < \text{ord}(M)$  and show that  $L \in \{\text{ord}(\lambda_1), \text{ord}(\lambda_2)\}$ . The matrix  $M^L$  has eigenvalues  $\lambda_1^L$  and  $\lambda_2^L$  and  $w$  is a 1-eigenvector for  $M^L$  hence without loss of generality we have  $\text{ord}(\lambda_1) \mid L$ . Consider the following inclusions of  $\mathbb{F}_{p^2}$ -vector spaces:

$$\{0\} \subsetneq \ker(M - \lambda_1 I) \subseteq \ker(M^{\text{ord}(\lambda_1)} - I) \subseteq \ker(M^L - I) \subsetneq \ker(M^{\text{ord}(M)} - I) = \mathbb{F}_{p^2}^2.$$

A dimension argument gives us that the second and third inclusions are equalities. Thus  $\ker(M^{\text{ord}(\lambda_1)} - I) = \ker(M^L - I)$  hence the smallest positive integer  $z$  such that  $w \in \ker(M^z - I)$  is  $\text{ord}(\lambda_1)$ .  $\square$

**Theorem 12.** *A non-zero vector is in a cycle of length  $\text{ord}(M)$ , unless it is a  $\lambda$ -eigenvector for some  $\lambda \in \mathbb{F}_p^\times$ , in which case it is in a cycle of length  $\text{ord}(\lambda)$ .*

*Proof.* Let  $w \in R_p^2 \setminus \{0\}$  and call  $L$  the length of the cycle of  $M$  at  $w$ . If  $w$  is a  $\lambda$ -eigenvector for  $M$ , then we must have  $\lambda \in \mathbb{F}_p^\times$  and clearly  $L = \text{ord}(\lambda)$ . Now suppose that  $w$  is not an eigenvector (in particular,  $M$  is not a scalar matrix). If  $M$  is diagonalizable over  $\mathbb{F}_{p^2}$  (hence  $\lambda_1 \neq \lambda_2$ ), then in a basis consisting of eigenvectors both coordinates of  $w$  are non-zero hence  $L = \text{lcm}(\text{ord}(\lambda_1), \text{ord}(\lambda_2)) = \text{ord}(M)$ . In the remaining case, up to conjugation we have

$$M = \lambda \begin{pmatrix} 1 & b \\ 0 & 1 \end{pmatrix} \quad \text{with} \quad \lambda \in \mathbb{F}_p^\times \quad \text{and} \quad b \neq 0.$$

Observe that  $L$  divides  $\text{ord}(M) = \text{ord}(\lambda)p$ . We claim that  $p \mid L$ . Then, since  $M^p = \lambda I$ , we must have  $L = \text{ord}(M)$ . The claim holds because  $M^{\text{ord}(\lambda)} w \neq w$ . Indeed, the 1-eigenspace of  $M^{\text{ord}(\lambda)}$  equals the  $\lambda$ -eigenspace of  $M$  and  $w$  is not an eigenvector for  $M$ .  $\square$

*Proof of Theorem 1.* The result follows from Theorem 12, considering that the zero vector forms a 1-cycle and that, for an eigenvector in  $R_p^2$ , the eigenvalue must be in  $\mathbb{F}_p$ .  $\square$

**3.1. The action of  $\mathrm{GL}_2(p)$  modulo a group of scalars.** Consider the action of  $\mathrm{GL}_2(p)$  on the set  $S := R_p^2 \setminus \{0\}$ . We fix a non-zero subgroup  $H$  of  $R_p^\times$  and we call two vectors in  $S$  equivalent if one equals the other times a scalar in  $H$ . This is an equivalence relation on  $S$ , and we call  $S_H$  the set of the equivalence classes. We see the quotient group  $G_H := \mathrm{GL}_2(p)/HI$  as a group of permutations of  $S_H$ .

Let  $M \in \mathrm{GL}_2(p)$  and call  $M_H \in G_H$  its residue class. We consider a vector  $w \in S$  and call  $w_H \in S_H$  its equivalence class. We have studied the length  $L$  of the cycle at  $w$  of  $M$  and we now investigate the length  $L_H$  of the cycle at  $w_H$  of  $M_H$ . The integer  $L_H$  is the smallest positive integer  $n$  such that  $M^n w = hw$  holds for some  $h \in H$ . We deduce that  $L_H \mid L$  and that  $L$  divides  $L_H \cdot \#H$ .

We call  $\lambda_1, \lambda_2 \in \mathbb{F}_{p^2} \setminus \{0\}$  the (not necessarily distinct) eigenvalues of  $M$  and we let  $\ell$  be the smallest positive integer for which  $\lambda_1^\ell$  (equivalently,  $\lambda_2^\ell$ ) is in  $R_p^\times$ . We observe that  $\ell \mid (p+1)$  and that  $\ell \mid L_H$ . If  $r \in \mathbb{F}_{p^2}^\times$ , then we write  $\mathrm{ord}_H(r)$  for the smallest positive integer  $t$  such that  $r^t \in H$ .

**Theorem 13.** *If  $w$  is a  $\lambda_i^\ell$ -eigenvector of  $M^\ell$ , then we have  $L_H = \mathrm{ord}_H(\lambda_i)$ , for  $i = 1, 2$ . If  $w$  is not an eigenvector of  $M^\ell$ , then we have  $L_H = \mathrm{ord}(M)$  if  $\lambda_1 \neq \lambda_2$  and  $L_H = p \mathrm{ord}_H(\lambda_1)$  otherwise.*

*Proof.* Observing that  $L_H/\ell$  is the length of the cycle at  $w_H$  for  $M_H^\ell$ , we may replace  $M$  by  $M^\ell$  and suppose that  $\ell = 1$  or, equivalently, that  $\lambda_1, \lambda_2 \in R_p^\times$ .

If without loss of generality  $Mw = \lambda_1 w$ , then we clearly have  $L_H = \mathrm{ord}_H(\lambda_1)$ , so suppose that  $w$  is not an eigenvector of  $M$  (in particular,  $M$  is not a scalar matrix).

If  $\lambda_1 \neq \lambda_2$ , then the smallest positive integer  $n$  for which  $w$  is an eigenvector of  $M^n$  is  $\mathrm{lcm}(\mathrm{ord}(\lambda_1), \mathrm{ord}(\lambda_2)) = \mathrm{ord}(M)$  and we conclude. Finally suppose that  $\lambda_1 = \lambda_2$  and that  $M$  is not diagonalizable. By Theorem 12 we have  $L = \mathrm{ord}(M)$  hence  $p \mid L$ . Since  $\#H$  is coprime to  $p$ , we deduce that  $p \mid L_H$ . Moreover, we have  $M^p = \lambda_1^p I$  and hence  $L_H = p \mathrm{ord}_H(\lambda_1)$ .  $\square$

#### 4. THE ACTION OF $\mathrm{GL}_m(p)$ ON $R_p^m$

Let  $p$  be a prime number,  $m \geq 2$  and set  $q = p^{m!}$ . We see  $M \in \mathrm{GL}_m(\mathbb{F}_q)$  as a permutation of the vectors in  $\mathbb{F}_q^m$ . For our purposes,  $M \in \mathrm{GL}_m(p)$  hence the permutation maps  $R_p^m$  to itself and all eigenvalues of  $M$  are in  $\mathbb{F}_q$ . We fix  $w \in R_p^m \setminus \{0\}$  and study the length  $L$  of the cycle of  $M$  at  $w$ .

The permutation structure of  $M$  is invariant under a base change in  $\mathrm{GL}_m(\mathbb{F}_q)$  so we may suppose that  $M$  is in Jordan normal form. The decomposition of  $M$  into Jordan blocks  $J_1, \dots, J_r$  naturally gives a decomposition of  $\mathbb{F}_q^m$  as a sum of vector subspaces  $V_1, \dots, V_r$  (which only consider the coordinates corresponding to the various Jordan blocks). We may then write  $w = (w_1, \dots, w_r)$  with  $w_i \in V_i$  for  $i = 1, \dots, r$  and we have

$$Mw = (J_1 w_1, \dots, J_r w_r).$$

Consequently,  $L$  is the least common multiple of the lengths of the cycle of  $J_i$  at  $w_i$  for  $i = 1, \dots, r$ . So we reduce to the case where  $M \in \mathrm{GL}_m(\mathbb{F}_q)$  consists of a single Jordan block  $J$ .

Calling  $\lambda$  the eigenvalue, we have

$$J = \begin{pmatrix} \lambda & 1 & & & \\ & \lambda & 1 & & \\ & & \ddots & \ddots & \\ & & & \lambda & 1 \\ & & & & \lambda \end{pmatrix}$$

By induction, for  $k \geq 1$  we have

$$(1) \quad J^k = \begin{pmatrix} \lambda^k & \binom{k}{1}\lambda^{k-1} & \binom{k}{2}\lambda^{k-2} & \dots & \binom{k}{m-1}\lambda^{k-m+1} \\ & \lambda^k & \binom{k}{1}\lambda^{k-1} & \dots & \binom{k}{m-2}\lambda^{k-m+2} \\ & & & \ddots & \\ & & & \lambda^k & \binom{k}{1}\lambda^{k-1} \\ & & & & \lambda^k \end{pmatrix}.$$

Namely,  $J^k$  is an upper triangular matrix whose elements on the main diagonal are  $\lambda^k$  and the entry in row  $i$  and column  $i + t$  (with  $1 \leq t \leq m - i$ ) is  $\binom{k}{t}\lambda^{k-t}$ .

**Proposition 14.** *If  $w$  is a  $\lambda$ -eigenvector for  $J$ , then  $L = \mathrm{ord}(\lambda)$ . Otherwise, we have  $L = p^x \mathrm{ord}(\lambda)$  for some positive integer  $x$  such that  $p^{x-1} < m$  (thus,  $L = p \mathrm{ord}(\lambda)$  if  $p \geq m$ ).*

*Proof.* If  $w$  is a  $\lambda$ -eigenvector for  $J$ , the statement is immediate, so suppose that this is not the case. Since  $0 \neq w \in \ker(J^L - I)$  we deduce from (1) that

$$0 = \det(J^L - I) = (\lambda^L - 1)^m$$

and hence  $\mathrm{ord}(\lambda) \mid L$ .

We now prove that  $\ker(J^{\mathrm{ord}(\lambda)} - I)$  is the  $\lambda$ -eigenspace of  $J$ , which implies  $L \neq \mathrm{ord}(\lambda)$ . Since the diagonal entries of  $J^{\mathrm{ord}(\lambda)} - I$  are zero, the kernel contains the  $\lambda$ -eigenspace. Moreover, the kernel is 1-dimensional because  $p \nmid \mathrm{ord}(\lambda)$  implies  $p \nmid \binom{\mathrm{ord}(\lambda)}{1}$  hence the first  $m - 1$  rows of  $J^{\mathrm{ord}(\lambda)} - I$  are linearly independent.

To conclude it suffices to prove that  $J^{p^z \mathrm{ord}(\lambda)} = I$  holds for the smallest positive integer  $z$  such that  $p^z \geq m$ . This is the case by (1) because  $p \mid \binom{p^z \mathrm{ord}(\lambda)}{t}$  holds in particular for all  $1 \leq t < m \leq p^z$  as  $v_p(t) < v_p(p^z \mathrm{ord}(\lambda))$ , see Remark 10.  $\square$

**Remark 15.** Let  $p = 2$  and  $m = 3$ . If there are more than one Jordan blocks we may reduce to the case  $m = 2 \leq p$  covered by Proposition 14, and if there is only one Jordan block  $J$  then the eigenvalue must be over  $\mathbb{F}_p$  and hence 1. So we have

$$J^2 = \begin{pmatrix} 1 & 0 & 1 \\ & 1 & 0 \\ & & 1 \end{pmatrix} \quad \text{and} \quad J^4 = I.$$

If  $w$  is a 1-eigenvector, then  $L = 1$ . Otherwise, we have  $L = 2$  unless the last coordinate of  $w$  is non-zero, in which case  $L = 4$ .

## 5. THE ACTION OF $\mathrm{GL}_m(p^e)$

Let  $p$  be a prime number and  $e > 1$ . We fix  $M \in \mathrm{GL}_m(p^e)$  and  $w \in R_{p^e}^m \setminus \{0\}$ . For every  $1 \leq s \leq e$  we call  $M_s$  (respectively,  $w_s$ ) the reduction of  $M$  (respectively,  $w$ ) modulo  $p^s$  and

we call  $L_s$  the length of the cycle at  $w_s$  of the permutation  $M_s$ . We observe that  $L_s$  is the smallest positive integer satisfying

$$M^{L_s} w \equiv w \pmod{p^s}.$$

Moreover, we remark that  $L_s \mid L_{s+1}$  holds for all  $1 \leq s < e$  and that for  $m = 2, 3$  the number  $L_1$  can be determined thanks to Proposition 14 and Remark 15.

**Proposition 16.** *Let  $1 \leq s < e$  and write  $M^{L_s} w = w + p^s w'_s$  for some  $w'_s \in R_{p^e}^m$ . Then  $L_{s+1}/L_s$  is the smallest positive integer  $t$  such that*

$$(2) \quad (w'_s \bmod p) \in \ker \left( \sum_{i=0}^{t-1} M_1^{L_s i} \right).$$

*Proof.* Write  $L_{s+1} = L_s t$  and  $N = M^{L_s}$ . Then  $t$  is the smallest positive integer such that

$$N^t w \equiv w \pmod{p^{s+1}}.$$

Since (as it can be shown by induction) we have

$$N^t w = w + p^s \sum_{i=0}^{t-1} N^i w'_s,$$

we may conclude by rewriting the condition as

$$\sum_{i=0}^{t-1} N^i w'_s \equiv 0 \pmod{p}.$$

□

**Remark 17.** We have the following recursive formula for  $w'_s$ , for  $s = 1, \dots, e-1$ :

$$(3) \quad w'_{s+1} = \frac{(M^{L_{s+1}} - I)w}{p^{s+1}} = \frac{1}{p} \sum_{k=0}^{L_{s+1}/L_s - 1} M^{L_s k} \frac{(M^{L_s} - I)w}{p^s} = \frac{1}{p} \sum_{k=0}^{L_{s+1}/L_s - 1} M^{L_s k} w'_s.$$

**Remark 18.** Write  $w = p^v w'$  with  $0 \leq v < e$  maximal. Then the cycle length  $L_e$  is the same as the cycle length  $L'_{e-v}$  of  $M$  at  $w'$ . So up to replacing  $w$  by  $w'$  and  $e$  by  $e-v$  we may suppose that  $w_1 \neq 0$ .

**Remark 19.** Suppose that  $(w'_s \bmod p) = 0$  and let  $h$  be the largest positive integer such that  $p^h \mid w'_s$ . Then we have  $L_{s+x} = L_s$  for every  $0 \leq x \leq h$  and  $(w'_{s+h} \bmod p) \neq 0$ . This is a consequence of Proposition 16 and (3) because  $w'_{s+x} = p^{-x} w'_s$ .

**Example 20.** Suppose that  $e = 2$  and that  $M = I + pM'$  holds for some matrix  $M'$ . We have  $L_1 = 1$  because  $M_1 = I$ . Since  $Mw = w + pM'w$ , with the notation of Proposition 16 we have  $w'_1 = M'w$ . Since

$$\sum_{i=0}^{t-1} M^{L_1 i} \equiv tI \pmod{p}$$

by Proposition 16 we have  $L_2 = 1$  (which means  $Mw = w$ ) if  $w'_1 \equiv 0 \pmod{p}$  and  $L_2 = p$  otherwise.

**Theorem 21.** *Let  $M \in \mathrm{GL}_m(p^e)$ . Let  $s \geq 1$  and let the matrix  $M_1^{L_s}$  have Jordan normal form  $\mathrm{diag}(J_1, \dots, J_r)$  with  $J_j$  the Jordan blocks corresponding to an eigenvalue  $\lambda_j \in \overline{\mathbb{F}}_p$  for  $j = 1, \dots, r$ . Write  $w'_s \bmod p = (v_1, v_2, \dots, v_r)$  with  $v_j$  a column vector with as many rows as  $J_j$ . Define*

$$d_j := \begin{cases} 1 & \text{if } v_j = 0; \\ \mathrm{ord} \lambda_j & \text{if } \lambda_j \neq 1 \text{ and } v_j = (a, 0, 0, \dots, 0) \text{ with } a \in \overline{\mathbb{F}}_p^\times; \\ p \mathrm{ord} \lambda_j & \text{otherwise.} \end{cases}$$

*Suppose that the size of each Jordan block is at most  $p$  and for the Jordan blocks with eigenvalue 1 strictly less than  $p$ . Then we have*

$$L_{s+1}/L_s = \mathrm{lcm}(d_1, \dots, d_m).$$

*Proof.* We make use of Proposition 16. Condition (2) is equivalent to  $v_j \in \ker(\sum_{k=0}^{t-1} J_j^k)$  for all  $j = 1, 2, \dots, r$  so we have reduced to consider a Jordan block  $J$  of  $M_1^{L_s}$  corresponding to an eigenvalue  $\lambda$  and set  $v := w'_s \bmod p$ . We clearly have  $L_{s+1}/L_s = 1$  if and only if  $v = 0$ .

Suppose first that  $\lambda = 1$  and that  $v \neq 0$ . By (1) and by the hockey-stick identity  $\sum_{k=z}^{t-1} \binom{k}{z} = \binom{t}{z+1}$  all entries of  $\sum_{k=0}^{p-1} J^k$  are 0 inside  $\overline{\mathbb{F}}_p$ . Thus by (2)  $L_{s+1}/L_s$  divides  $p$  and we may conclude.

Now suppose that  $\lambda \neq 1$  and that  $v \neq 0$ . Then  $\mathrm{ord}(\lambda)$  divides  $L_{s+1}/L_s$  because for  $\mathrm{ord}(\lambda) \nmid t$  the triangular matrix  $\sum_{k=0}^{t-1} J^k$  is invertible (the entries on the main diagonal are  $\frac{\lambda^t - 1}{\lambda - 1}$ ). By (1) and Remark 10 we have  $J^p = \lambda I$  hence

$$\sum_{k=0}^{p \mathrm{ord} \lambda - 1} J^k = \sum_{k=0}^{\mathrm{ord} \lambda - 1} \sum_{l=0}^{p-1} J^{kp+l} = \left( \sum_{k=0}^{\mathrm{ord} \lambda - 1} \lambda^k \right) \left( \sum_{l=0}^{p-1} J^l \right) = 0,$$

implying that  $L_{s+1}/L_s$  divides  $p \mathrm{ord}(\lambda)$ . We deduce that  $L_{s+1}/L_s$  equals  $\mathrm{ord}(\lambda)$  or  $p \mathrm{ord}(\lambda)$  and we are in the former case if and only if for  $t := \mathrm{ord}(\lambda)$  the vector  $v$  is in the kernel of

$$\sum_{k=0}^{t-1} J^k.$$

This matrix is upper triangular with zero entries on the main diagonal. Moreover, we have

$$\sum_{k=0}^{t-1} k \lambda^{k-1} = \frac{(t-1)\lambda^t - t\lambda^{t-1} + 1}{(\lambda-1)^2} = \frac{\mathrm{ord} \lambda (1 - \lambda^{-1})}{(\lambda-1)^2} \neq 0$$

on the first superdiagonal. This implies  $\ker(\sum_{k=0}^t J^k) = \langle (1, 0, \dots, 0) \rangle$  and we may conclude.  $\square$

**Remark 22.** We adapt the proof of Theorem 21 supposing that  $p, m \in \{2, 3\}$ ,  $p \leq m$ . Suppose first that  $J$  is a  $m \times m$  Jordan block for the eigenvalue 1. In this case we have  $\sum_{k=0}^{p^2-1} J^k = 0$  hence  $L_{s+1}/L_s$  divides  $p^2$ . Moreover,  $L_{s+1}/L_s = 1$  if and only if  $v = 0$  and  $L_{s+1}/L_s = p^2$  if and only if the last entry of  $v$  is non-zero. Now suppose that  $J$  is a Jordan block for an eigenvalue  $\lambda \neq 1$ : considering that 1 is an eigenvalue of  $M_1^{L_s}$ ,  $J$  is either  $1 \times 1$  or  $2 \times 2$  so the proof does not require any change.



**Corollary 23.** Suppose that  $m = 2$  and that  $M_1^{L_s}$  has eigenvalues 1 and  $\lambda \neq 1$  (thus,  $p \neq 2$ ). We have

$$(4) \quad L_{s+1}/L_s = \begin{cases} 1 & \text{if } (w'_s \bmod p) \text{ is zero} \\ p & \text{if } (w'_s \bmod p) \text{ is a 1-eigenvector for } M_1^{L_s} \\ \text{ord}(\lambda) & \text{if } (w'_s \bmod p) \text{ is a } \lambda\text{-eigenvector for } M_1^{L_s} \\ p \text{ ord}(\lambda) & \text{otherwise.} \end{cases}$$

*Proof.* This is a special case of Theorem 21.  $\square$

In the following result we may suppose that  $Mw \neq w$  because otherwise  $L_e = 1$ :

**Theorem 24.** Let  $e \geq 2$  and suppose that  $M = I + pM'$  for some matrix  $M'$ . We suppose that  $Mw \neq w$  and write uniquely  $M'w = p^k u$  where  $0 \leq k < e$  and  $u \in R_{p^e}^m$  is such that  $p \nmid u$ . If  $p = 2$ , suppose additionally that  $2 \mid M'$ . Then we have  $L_e = p^{e-k-1}$ .

*Proof.* Since  $M_1 = I$ , we have  $L_1 = 1$ . We prove that

$$L_i = \begin{cases} 1 & 1 \leq i \leq k+1 \\ p^{i-k-1} & k+1 < i \leq e. \end{cases}$$

Proposition 16 says that  $L_{s+1}/L_s \in \{1, p\}$  and (since  $M_1 = I$ ) that  $L_{s+1} = L_s$  if and only if  $w'_s \equiv 0 \bmod p$ . We can write

$$Mw = w + pM'w = w + p^{k+1}u.$$

Supposing that  $L_s = 1$  we have  $w'_s = p^{k+1-s}u$  and hence  $w'_s \equiv 0 \bmod p$  holds for  $s \leq k$ . Thus,  $L_i = 1$  holds for  $i = 1, \dots, k+1$ .

To conclude (recalling that  $p \nmid u$ ) we prove by strong induction that  $w'_s \equiv u \bmod p$  holds for  $k+1 \leq s \leq e-1$ . For  $s = k+1$  (considering that  $L_{k+1} = 1$ ) we have shown above that  $w'_s = u$ . Now suppose that  $w'_i \equiv u \bmod p$  holds for all  $k+1 \leq i \leq s$  (for some  $k+1 \leq s \leq e-2$ ). We have to prove that  $w'_{s+1} \equiv u \bmod p$ . Our induction hypothesis implies that  $L_{s+1} = p^{s-k}$ . Making use of the binomial expansion we obtain

$$M^{L_{s+1}} = I + p^{s-k} \cdot pM' + \left( \sum_{t=2}^{p^{s-k}} \binom{p^{s-k}}{t} p^t (M')^{t-1} \right) M'.$$

If  $p \neq 2$  we observe that  $p^{s-k+2-t}$  divides  $\binom{p^{s-k}}{t}$  for all  $2 \leq t \leq s-k$  (see Remark 10). Recall that by definition we have  $M^{L_{s+1}}w = w + p^{s+1}w'_{s+1}$  and  $M'w = p^k u$ . Then, applying  $w$  to the above formula we may conclude because we have

$$p^{s+1}w'_{s+1} \equiv p^{s+1}u \bmod p^{s+2}.$$

If  $p = 2$  we adapt the previous case. Since  $2^t(M')^{t-1}$  is divisible by  $2^{2t-1}$  we only need to prove that  $2^{s-k+3-2t}$  divides  $\binom{2^{s-k}}{t}$  for all  $2 \leq t \leq s-k$ , and this holds by Remark 10.  $\square$

*Proof of Theorem 2.* The result is equivalent to Theorem 24.  $\square$

In what follows, we make use of the notation  $M_1$ ,  $L_s$  and  $w'_s$  from Proposition 16. Since  $L_1$  divides  $L_e$ , we may work with  $M^{L_1}$  thus  $(w \bmod p)$  is a 1-eigenvector for  $M_1^{L_1}$ .

**Remark 25.** Let  $m = 2, 3$  and  $p \neq 2$ . Recall that the case  $M_1 = I$  is covered by Theorem 24.

Suppose that 1 is the only eigenvalue for  $M_1^{L_1}$  hence by (1) the order of  $M_1^{L_1}$  divides  $p$ . Since  $\mathrm{ord}(M_1^{L_1})$  is a power of  $p$ , the same holds for  $L_e/L_1$  hence we either have  $L_e = L_1$  or we may replace  $M_1^{L_1}$  by  $M_1^{pL_1}$  and reduce to the case  $M_1 = I$ .

Now suppose that  $M_1^{L_1}$  has, beyond the eigenvalue 1, at least one eigenvalue  $\lambda \neq 1$  (over  $\mathbb{F}_{p^2}$ ). For  $m = 3$ , the possible further eigenvalue that is not 1 has also order  $\mathrm{ord}(\lambda)$ . Up to a base change that preserves the affine structure, we let  $R_p^m = E \oplus E_1$  where  $E_1$  (respectively,  $E$ ) is the vector subspace corresponding to the Jordan blocks with eigenvalue 1 (respectively, different from 1). In case that for some  $1 \leq s < e$  the vector  $(w'_s \bmod p)$  has a non-trivial component in  $E$ , by Theorem 21 we have  $\mathrm{ord}(\lambda) \mid L_e$  hence replacing  $M$  by  $M^{L_s \mathrm{ord}(\lambda)}$  we may again reduce to the case  $M_1 = I$ . Moreover, if  $(w'_s \bmod p)$  also has a non-trivial component in  $E_1$ , we have  $L_{s+1} = p \mathrm{ord}(\lambda) L_s$  hence  $M^{L_s \mathrm{ord}(\lambda)} w - w$  is not divisible by  $p^{s+1}$ . Theorem 24 then gives  $L_e = L_s \mathrm{ord}(\lambda) p^{e-s-1}$ .

**Remark 26.** Let  $m = 2, 3$  and  $p = 2$ . Recall that the case  $M_2 = I$  is covered by Theorem 24.

Suppose that 1 is the only eigenvalue for  $M_1^{L_1}$  (thus  $\mathrm{ord}(M_1^{L_1})$  is a power of 2). Then the cycle of  $M^{L_1}$  at  $w$  has length 1 (if  $M^{L_1} w = w$ ) or 2 (if  $M^{L_1} w \neq w$  and  $M^{2L_1} w = w$ ) or 4 (if  $M^{2L_1} w \neq w$  and  $M^{4L_1} w = w$ ) or a multiple of 8. In the last case, we may work with  $M^{8L_1}$  hence reduce to the case  $M_2 = I$ . We observe that, unless  $m = 3$  and  $M_1^{L_1}$  is a Jordan matrix, as soon as the cycle length is a multiple of 4 we may reduce to the case  $M_2 = I$  by considering  $M^{4L_1}$ .

Now suppose that  $M_1^{L_1} \in \mathrm{GL}_m(p)$  has (beyond the eigenvalue 1) an eigenvalue  $\lambda \neq 1$ , which implies that  $m = 3$  and  $M_1$  is diagonalizable over  $\mathbb{F}_{p^2}$  of order  $\mathrm{ord}(\lambda) = 3$  with three distinct eigenvalues  $1, \lambda, \bar{\lambda}$ . Having the information on whether 3 divides or not  $L_e/L_1$  would allow us to work with  $M^{3L_1}$  instead, thus reducing to the previous case. Suppose that working with  $M_1^{3L_1}$  instead we get a ratio  $L'_e/L_1$ . Then  $L_e$  is  $L'_e$  or  $3L'_e$  and the latter case occurs if and only if  $3 \mid L_e$ . In turn, this occurs if and only if  $M^{2^x L_1} w \neq w$  for any  $x$ . So by taking  $x = e$  we are reduced to study whether  $w$  is a 1-eigenvector for a power of  $M^{L_1}$  that has order 3. This power equals  $M_1^{L_1}$  interpreting the coefficients 0, 1 as classes modulo  $2^e$  (this can be seen by writing  $I = (M_1^{L_1} + 2^f N)^3$  with  $f$  maximal and working modulo  $2^{f+1}$  in case  $f < e$ ).

**Remark 27.** Let  $m = 2$  and  $p \neq 2$ . Suppose that  $M_1$  has an eigenvalue  $\lambda \neq 1$  and that  $(w'_s \bmod p)$  is a 1-eigenvector. Up to a base change, suppose that  $M_1$  is diagonal and the second coordinate corresponds to the  $\lambda$ -eigenspace. Then  $(w'_{s+1} \bmod p)$  is either a 1-eigenvector for  $M_1$  or it is neither zero nor an eigenvector, and the former case holds if and only if the second coordinate of  $(w'_s \bmod p^2)$  is zero. By Corollary 23, this can be shown by plugging  $t = p$  and  $y = 0$  in the following calculations: in a suitable basis, write

$$M^{L_s} \equiv \begin{pmatrix} 1 & 0 \\ 0 & \lambda \end{pmatrix} + p \begin{pmatrix} a & b \\ c & d \end{pmatrix} \pmod{p^2} \quad w'_s \equiv \begin{pmatrix} x + pe \\ y + pf \end{pmatrix} \pmod{p^2}$$

with  $a, b, c, d, e, f, x, y \in R_p$ . By induction, for any  $k \geq 0$  we have

$$M^{L_s k} w'_s \equiv p \begin{pmatrix} by \frac{\lambda^k - 1}{\lambda - 1} + kax + e \\ cx \frac{\lambda^k - 1}{\lambda - 1} + f\lambda^k + dyk\lambda^{k-1} \end{pmatrix} + \begin{pmatrix} x \\ y\lambda^k \end{pmatrix} \pmod{p^2}$$

so that for  $t = L_{s+1}/L_s$  we have by (3)

$$pw'_{s+1} \equiv p \begin{pmatrix} by \sum_{k=0}^{t-1} \frac{\lambda^k - 1}{\lambda - 1} + ax \frac{t(t-1)}{2} + et \\ cx \sum_{k=0}^{t-1} \frac{\lambda^k - 1}{\lambda - 1} + f \frac{\lambda^t - 1}{\lambda - 1} + dy \sum_{k=0}^{t-1} k\lambda^{k-1} \end{pmatrix} + \begin{pmatrix} tx \\ y \frac{\lambda^t - 1}{\lambda - 1} \end{pmatrix} \pmod{p^2}.$$

**Example 28.** Let  $m = 2$ ,  $p^e = 27$  and let  $M \equiv \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \pmod{p}$ . We set  $t_1 := L_1$ ,  $t_2 := L_2/L_1$  and  $t_3 := L_3/L_2$  so that  $L_3 = t_1 t_2 t_3$ . We characterize all possible values of the triple  $(t_1, t_2, t_3)$ .

By Proposition 14, we have  $t_1 \in \{1, 2\}$ . If  $t_1 = 2$ , then  $M_1^{L_1} = I$ , so by Theorem 21 we have  $t_2, t_3 \in \{1, 3\}$  and moreover  $t_2 = 3$  implies  $t_3 = 3$  by Theorem 24. Now suppose that  $t_1 = 1$  hence by Corollary 23 we have  $t_2 \in \{1, 2, 3, 6\}$ .

- If  $t_2 = 1$ , then by Corollary 23 we have  $t_3 \in \{1, 2, 3, 6\}$ .
- If  $t_2 = 2$ , then  $M_1^{L_2} = I$ , so by Theorem 21 we have  $t_3 \in \{1, 3\}$ .
- If  $t_2 = 3$ , then by Corollary 23 we find that  $w'_2 \pmod{p}$  is a 1-eigenvector for  $M_1^3$ , thus by Remark 27 we have  $t_3 \in \{3, 6\}$ .
- If  $t_2 = 6$ , then from Remark 25  $w'_1 \pmod{3}$  has a nontrivial component in  $E$  and  $E_1$  and hence  $t_3 = 3$ .

All the twelve obtained triples are achievable, and even for one same  $M$ . Indeed, in the table below we can see the triples corresponding to the given values of  $w$  for  $M = \begin{pmatrix} 13 & 12 \\ 12 & 17 \end{pmatrix}$ :

$w$	$(t_1, t_2, t_3)$	$w$	$(t_1, t_2, t_3)$
(0, 0)	(1, 1, 1)	(1, 24)	(1, 3, 3)
(0, 9)	(1, 1, 2)	(1, 6)	(1, 3, 6)
(3, 18)	(1, 1, 3)	(1, 0)	(1, 6, 3)
(3, 0)	(1, 1, 6)	(3, 1)	(2, 1, 1)
(0, 3)	(1, 2, 1)	(0, 1)	(2, 1, 3)
(3, 3)	(1, 2, 3)	(1, 1)	(2, 3, 3)

**5.1. The action of  $\text{GL}_2(p^e)$  modulo a group of scalars.** Fix  $M \in \text{GL}_2(p^e)$  and a vector  $w \in R_{p^e}^2$ . We let  $H \leq (\mathbb{Z}/p^e\mathbb{Z})^\times$  or simply  $H = R_{p^e}$  and investigate the smallest positive integer  $n$  such that  $M^n w = h w$  holds for some  $h \in H$ . We have already treated the case  $H = \{1\}$  and in general we may proceed with the same strategy. We may suppose that  $w \neq 0$  and (similarly to Remark 18) that  $w_1 \neq 0$ . For  $1 \leq s \leq e$  we define  $\widetilde{L}_s$  to be the smallest positive integer  $n$  such that there is  $h \in H$  such that  $M^n w \equiv h w \pmod{p^s}$ . We observe that those  $n$  satisfying the condition for a given  $s$  are precisely the multiples of  $\widetilde{L}_s$  (because if  $n_1 < n_2$  satisfy the condition, so does  $n_2 - n_1$ ). Note that  $\widetilde{L}_1$  can be computed using Theorem 13. Moreover, we have  $\widetilde{L}_s \mid \widetilde{L}_{s+1}$  and  $\widetilde{L}_s \mid L_s$ .

We write  $N = M^{\widetilde{L}_s}$  and  $Nw = \mu w + p^s \widetilde{w}_s$  with  $\mu \in H$ . Then we have

$$N^n w = \mu^n w + p^s \left( \sum_{i=0}^{n-1} N^i \widetilde{w}_s \right).$$

Thus  $\widetilde{L}_{s+1}/\widetilde{L}_s$  is the smallest positive integer  $n$  such that there is  $h \in H$  such that

$$(5) \quad N^n w = \mu^n w + p^s \left( \sum_{i=0}^{n-1} N^i \right) \widetilde{w}_s \equiv h w \pmod{p^{s+1}}.$$

If  $t$  is the smallest positive integer such that  $\widetilde{w}_s \pmod{p} \in \ker \left( \sum_{i=0}^{t-1} N_1^i \right)$ , then we have  $\widetilde{L}_{s+1}/\widetilde{L}_s \leq t$  by setting  $n = t$  and  $h = \mu^t$  in (5).

Suppose that  $H = R_{p^e}$ . Then (5) is equivalent to

$$(6) \quad \left( \sum_{i=0}^{n-1} N_1^i \right) \widetilde{w}_s \bmod p \in \langle w_1 \rangle.$$

We deduce that  $\widetilde{L}_{s+1}/\widetilde{L}_s$  divides  $t$ : if  $n_1 < n_2$  satisfy (6), so does their difference because  $N_1 w_1 \in \langle w_1 \rangle$  and we have

$$\sum_{i=0}^{(n_2-n_1)-1} N_1^i = \left( \sum_{i=0}^{n_2-1} N_1^i \right) - N_1^{n_1} \left( \sum_{i=0}^{n_1-1} N_1^i \right).$$

We have  $\widetilde{L}_{s+1} = \widetilde{L}_s$  if and only if  $\widetilde{w}_s \bmod p$  is a multiple of  $w_1$ . So suppose that this is not the case. If  $\widetilde{w}_s \bmod p$  is an eigenvector for  $N_1$ , then  $\widetilde{L}_{s+1}/\widetilde{L}_s = t$  (and if it is a 1-eigenvector, then  $t = p$ ). In general,  $\widetilde{L}_{s+1}/\widetilde{L}_s$  divides  $\mathrm{ord}(N_1)$  (respectively, 4 if  $p = 2$  and  $N_1$  is not diagonalizable) because the matrix sum in (6) is the zero matrix for this value.

## 6. THE ACTION OF $\mathrm{GL}_2(p^e) \ltimes R_{p^e}^2$

**6.1. The action of  $\mathrm{GL}_2(p) \ltimes R_p^2$ .** Let  $p$  be a prime number and consider  $(M, v) \in \mathrm{GL}_2(p) \ltimes R_p^2$  as a permutation of  $R_p^2$ . If  $(M, v)$  satisfies  $v = (M - I)u$  for some  $u \in R_p^2$ , then we have

$$(I, u)(M, v)(I, u)^{-1} = (M, 0)$$

and the permutation given by  $(M, 0)$  is the same as the one given by  $M$  (which was already discussed). So we may assume that  $v$  is not in the image of  $M - I$ , and in particular that 1 is an eigenvalue of  $M$  (so a further eigenvalue for  $M$  must be in  $\mathbb{F}_p$ ). We may also suppose that  $M \neq I$  by Remark 4.

**Theorem 29.** *Suppose that  $M \neq I$  and  $v \notin \mathrm{Im}(M - I)$ . The following holds for  $(M, v)$ :*

- *Suppose that the eigenvalues of  $M$  are 1,  $\lambda$  with  $\lambda \neq 1$ . The vectors in the 1-eigenspace of  $M$  form a  $p$ -cycle, while the other vectors form cycles of length  $p \mathrm{ord}(\lambda)$ .*
- *Suppose that 1 is the only eigenvalue of  $M$ . For  $p = 2$  the permutation is a 4-cycle while for  $p$  odd the permutations consists of  $p$ -cycles.*

*Proof.* Suppose first that  $M$  has two distinct eigenvalues 1,  $\lambda$ . Then up to conjugation we have

$$(M, v) = \left( \begin{pmatrix} 1 & 0 \\ 0 & \lambda \end{pmatrix}, \begin{pmatrix} v_x \\ v_y \end{pmatrix} \right) \quad v_x \neq 0.$$

We compute

$$\left( \begin{pmatrix} a & 0 \\ 0 & a \end{pmatrix}, \begin{pmatrix} 0 \\ t \end{pmatrix} \right) (M, v) \left( \begin{pmatrix} a & 0 \\ 0 & a \end{pmatrix}, \begin{pmatrix} 0 \\ t \end{pmatrix} \right)^{-1} = \left( \begin{pmatrix} 1 & 0 \\ 0 & \lambda \end{pmatrix}, \begin{pmatrix} av_x \\ av_y + t(1 - \lambda) \end{pmatrix} \right).$$

By choosing  $t = -av_y/(1 - \lambda)$  and  $a = v_x^{-1}$ , we may then assume that  $v = (1, 0)^T$ . Then (by induction) for any  $k \in \mathbb{Z}$  we have

$$(M, v)^k \begin{pmatrix} x \\ y \end{pmatrix} = \left( \begin{pmatrix} 1 & 0 \\ 0 & \lambda^k \end{pmatrix}, \begin{pmatrix} k \\ 0 \end{pmatrix} \right) \begin{pmatrix} x \\ y \end{pmatrix} = \begin{pmatrix} x + k \\ \lambda^k y \end{pmatrix}.$$

Thus (observing that  $\mathrm{ord}(\lambda)$  is coprime to  $p$ ) all vectors with  $y = 0$  are in one same  $p$ -cycle while if  $y \neq 0$  the vector  $(x, y)^T$  is in a cycle of length  $p \mathrm{ord}(\lambda)$ .

Now assume that up to conjugation we have

$$(M, v) = \left( \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} v_x \\ v_y \end{pmatrix} \right) \quad v_y \neq 0.$$

By conjugating with  $(I, (0, v_x)^T)$  we may assume that  $v_x = 0$ . Then, by conjugating with  $(v_y^{-1}I, 0)$ , we may assume that  $v_y = 1$ .

If  $p = 2$ , then  $(M, v)$  has order 4 hence the length of each cycle divides 4. Since  $(M, v)^2 = (I, u)$  for some  $u \neq 0$ , this permutation has no fixed vectors hence  $(M, v)$  does not have cycles of length 1 or 2 and we conclude. If  $p$  is odd, a computation by induction gives

$$(M, v)^k \begin{pmatrix} x \\ y \end{pmatrix} = \left( \begin{pmatrix} 1 & k \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} k(k-1)/2 \\ k \end{pmatrix} \right) \begin{pmatrix} x \\ y \end{pmatrix} = \begin{pmatrix} x + ky + k(k-1)/2 \\ y + k \end{pmatrix}$$

which is equal to  $(x, y)^T$  if and only if  $p \mid k$ . Thus every vector is in a cycle of length  $p$ .  $\square$

*Proof of Theorem 3.* The result follows from Theorem 29 and the considerations at the beginning of this section.  $\square$

**Remark 30.** We have found that the permutation induced by  $(M, v)$  is of the same type of the one induced by  $M$  if and only if there is a 1-cycle if and only if  $v \in \text{Im}(M - I)$ . In particular, if  $M - I$  is invertible, then for any  $v$  the permutation  $(M, v)$  has the same structure as the permutation  $M$ . Moreover, beyond the distinction of whether  $v$  belongs or not to  $\text{Im}(M - I)$ , we have seen that the type of the permutation does not depend on  $v$ .

**6.2. The action of  $\text{GL}_2(p^e) \ltimes R_{p^e}^2$  for  $e > 1$ .** Consider  $(M, v) \in \text{GL}_2(p^e) \ltimes R_{p^e}^2$  as a permutation of  $R_{p^e}^2$ . For every  $n \geq 1$  (by induction) we have

$$(M, v)^n = \left( M^n, \sum_{i=0}^{n-1} M^i v \right).$$

Let  $w \in R_{p^e}^2$ . The cycle length  $L'_e$  of  $(M, v)$  at  $w$  is the smallest positive integer  $n$  such that  $(M, v)^n w = w$  or, equivalently, such that

$$(7) \quad (M - I)w + v \in \ker \sum_{i=0}^{n-1} M^i.$$

We similarly define  $L'_i$  by working modulo  $p^i$  for  $i = 1, \dots, e$  and consider the analogous quantities  $L_i$  for the permutation given by  $M$ .

**Remark 31.** The permutation  $(M, v)$  has a 1-cycle if and only if  $v \in \text{Im}(M - I)$ . In this case, the permutation  $(M, v)$  has the same structure as the permutation  $M$ . Moreover, if  $w = 0$ , then  $L'_e$  is clearly the order of  $v \in R_{p^e}^2$ .

**Remark 32.** The number  $L'_e$  divides the order of  $(M, v)$ , which in turn divides  $p^e \text{ord}(M)$ . Since

$$(M - I)w \in \ker \sum_{i=0}^{\text{ord}(M)-1} M^i$$

the number  $L'_e$  does not divide  $\text{ord}(M)$  if and only if

$$v \notin \ker \sum_{i=0}^{\text{ord}(M)-1} M^i.$$

For any positive integer  $n$ , we consider the condition

$$(8) \quad v \in \ker \sum_{i=0}^{n-1} M^i$$

and we call  $t$  the smallest positive integer satisfying (8).

**Proposition 33.** *The positive integers  $n$  satisfying (8) are precisely the multiples of  $t$ . Moreover,  $t$  divides  $\text{ord}(M)p^e$ .*

*Proof.* Write  $n = qt + r$ , where  $r$  is the remainder of  $n$  after division by  $t$ . If  $r = 0$ , then (8) is satisfied because we have

$$\sum_{k=0}^{qt-1} M^k = \sum_{k=0}^{q-1} \sum_{l=0}^{t-1} M^{kt+l} = \left( \sum_{k=0}^{q-1} M^{kt} \right) \left( \sum_{l=0}^{t-1} M^l \right).$$

Now suppose that  $r > 0$  and write

$$\sum_{k=0}^{n-1} M^k = M^r \sum_{k=0}^{qt-1} M^k + \sum_{k=0}^{r-1} M^k.$$

Then (8) does not hold for  $n$  because, by minimality of  $t$ , it does not hold for  $r$ . To prove the second assertion we take  $n = \text{ord}(M)p^e$  and observe that

$$\sum_{k=0}^{n-1} M^k = \sum_{k=0}^{p^e-1} M^{k \text{ord}(M)} \sum_{l=0}^{\text{ord}(M)-1} M^l = p^e \sum_{l=0}^{\text{ord}(M)-1} M^l = 0.$$

□

**Remark 34.** We show how to reduce to the case where  $L'_e$  is a power of  $p$ . If 1 is the only eigenvalue of  $M_1$ , then the order of  $(M, v)$  is a power of  $p$  and the same holds for  $L'_e$ . If the eigenvalues of  $M_1$  are not 1, and  $(M, v)w \neq w$  (which we exclude by saying that  $v \notin \text{Im}(M - I)$ ), then for the matrix  $\sum_{k=0}^{L'_e-1} M^k$  to have a non-trivial kernel, we need that the order  $\ell$  of one of the eigenvalues of  $M_1$  divides  $L'_e$  hence we may replace  $M$  by  $M^\ell$  and  $L'_e$  by  $L'_e/\ell$ , reducing to the case where at least one eigenvalue is 1. We may now suppose that  $M_1$  has two distinct eigenvalues 1,  $\lambda$  and, up to conjugation, that the two coordinates correspond to the 1-eigenspace and the  $\lambda$ -eigenspace respectively. In view of Remark 9, by Theorem 21  $L'_e$  is a power of  $p$  possibly multiplied by  $\text{ord}(\lambda)$ . Then we have only to determine whether  $\text{ord}(\lambda)$  divides  $L'_e$ . Analogously to Remark 26 we may replace  $(M, v)$  by  $(M, v)^{p^x}$  for some large  $x$  we are left to consider an element  $(M_1, v')$  of order  $\text{ord}(\lambda)$ , where the matrix is considered as a matrix modulo  $p^e$  and  $v' = \sum_{i=0}^{p^x-1} M^i v$ . We then only have to check whether  $(M_1 - I)w + v' = 0$ .

**Remark 35.** In Remark 34 we have seen how to reduce to the case where  $L'_e$  is a power of  $p$ . So, unless  $L'_e = 1$  (which we may exclude with the condition  $v \notin \text{Im}(M - I)$ ) we may work with  $(M, v)^p$  instead and hence without loss of generality replace  $M$  by a power such that  $M_1 = I$ . For  $p = 2$ , we may similarly reduce to the case  $M_2 = I$ .

**Theorem 36.** *Suppose that  $M_1 = I$ . If  $L_e \neq t$ , we have  $L'_e = \text{lcm}(L_e, t)$ . Now suppose that  $L_e = t$ . We have  $L'_e \mid L_e$  and, supposing additionally for  $p = 2$  that  $M_2 = I$ , we have  $L'_e = p^{e-k}$ , where  $k$  (with  $0 \leq k \leq e$ ) is the largest integer for which  $p^k$  divides  $(M - I)w + v$ .*

*Proof.* Write  $(M - I)w + v = p^k w'$ . Recall (7) and observe that  $L_e$  is the smallest positive integer  $n$  satisfying

$$(M - I)w \in \ker \sum_{i=0}^{n-1} M^i.$$

Then it is clear that  $L'_e$  divides  $\mathrm{lcm}(L_e, t)$  and if  $L_e \neq t$  (as  $L_e$  and  $t$  are powers of  $p$ ) then (7) does not hold for the smallest of these numbers but it holds for the largest. Now suppose that  $L_e = t$  and observe that  $p \nmid w'$ . If  $k = e$ , then clearly  $L'_e = 1$ , so suppose that  $k < e$ . Then  $L'_e$  is the smallest positive integer  $n$  satisfying

$$w' \in \ker \sum_{i=0}^{n-1} M_{e-k}^i.$$

This condition holds for  $n = p^{e-k}$  but it does not hold for  $n = p^{e-k-1}$  by Lemma 37.  $\square$

**Lemma 37.** *Let  $e \geq 1$  and suppose that  $M_1 = I$ . Then we have*

$$\sum_{i=0}^{p^e-1} M^i = 0.$$

*Supposing additionally for  $p = 2$  that  $M_2 = I$ , the kernel of*

$$\sum_{i=0}^{p^{e-1}-1} M^i$$

*is  $pR_{e-1}^2$  (whose exponent is  $p^{e-1}$ ).*

*Proof.* Consider that  $M_1 = I$ . The two assertions for  $e = 1$  follow immediately. For the first assertion, also observe (by the induction hypothesis) that

$$\sum_{i=0}^{p^{e-1}-1} M^i \equiv \sum_{i=0}^{p^{e-1}-1} M_{e-1}^i \equiv 0 \pmod{p^{e-1}}.$$

We deduce that

$$\sum_{i=0}^{p^e-1} M^i = \sum_{k=0}^{p-1} M^{p^{e-1}k} \cdot \sum_{i=0}^{p^{e-1}-1} M^i = 0.$$

By the first assertion, the kernel of

$$\sum_{i=0}^{p^{e-1}-1} M^i$$

contains  $pR_{e-1}^2$  so it suffices to prove that the exponent of the kernel is less than  $p^e$ . For  $e = 2$ , the second assertion is clear for  $p = 2$  as  $M = I$ , so suppose that  $p \neq 2$ : writing  $M = I + pN$ , we may conclude because we have

$$\sum_{i=0}^{p-1} M^i = pI + \sum_{i=1}^{p-1} ipN = pI.$$

For  $e \geq 3$  (as  $M_2^p = I$ ) the exponent of the kernel of  $\sum_{k=0}^{p-1} M^{p^{e-2}k}$  is  $p$ . We may then conclude (by the induction hypothesis) writing

$$\sum_{i=0}^{p^{e-1}-1} M^i = \sum_{k=0}^{p-1} M^{p^{e-2}k} \cdot \sum_{i=0}^{p^{e-2}-1} M^i.$$

□

**Remark 38.** Another viewpoint to study the action of  $\mathrm{GL}_2(p^e) \ltimes R_{p^e}^2$  on  $R_{p^e}^2$  is provided by Remark 9 because we have

$$\mathrm{GL}_2(p^e) \ltimes R_{p^e}^2 < \mathrm{GL}_3(p^e) \quad \text{and} \quad R_{p^e}^2 < R_{p^e}^3.$$

For this reason we may reduce to consider  $M \in \mathrm{GL}_3(p^e)$  and  $w \in R_{p^e}^3$  such that the last row of  $M$  is  $(0, 0, 1)$  and the last component of  $w$  is 1.

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