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Experimental Investigation of Thermal Volumetric Changes in Clays: Unveiling Hidden Controls

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Abstract:	<p>This endeavour investigates the thermally induced volumetric behaviour of fine-grained soils, specifically focusing on the effects of over-consolidation ratio (OCR), plasticity, and stress history. A custom-designed temperature-controlled oedometer cell was used to conduct drained heating and heating-cooling cycle tests on silty clays from Budapest, Hungary. The results reveal that normally consolidated samples exhibit plastic contraction upon heating, while over-consolidated samples show varying responses influenced by their recent stress history and plasticity index.</p> <p>Overconsolidated soil samples with a recent stress history of loading (reloading) predominantly contracted rather than the traditionally expected initial expansion, highlighting the importance of recent stress paths. Plasticity significantly impacts volumetric strain, with higher-plasticity clays exhibiting more pronounced contractions during heating and greater cumulative plastic strain over thermal cycles. The study concludes that a combination of OCR, plasticity, and stress history collectively determine the thermal volume response of fine-grained soils, with implications for the design of thermally robust geo-energy infrastructures.</p>



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Dear Editors

February 3, 2025

I am pleased to submit our manuscript, entitled **“Experimental Investigation of Thermal Volumetric Changes in Clays: Unveiling Hidden Controls,”** for consideration in *Engineering Geology*.

Given the journal’s focus on geohazards, site characterization, tunnel engineering, and other related domains, we believe our work offers valuable insights into the thermal-mechanical processes of clayey soils. Our research addresses both engineering geology and geotechnical engineering concerns, showing how key parameters—over-consolidation ratio (OCR), plasticity index (PI), and stress history—dictate the behavior of fine-grained soils under thermo-mechanical loads. We present an experimental program especially relevant to:

- **Geohazards and Risk Assessment:** Understanding how clayey layers respond to heat is essential in regions where tunnels, underground storage facilities, or geothermal systems may face elevated temperatures, affecting both slope stability and site characterization.
- **Site Characterization and Thermo-Hydro-Mechanical (THM) Behavior:** Our temperature-controlled oedometer tests provide new data on how stress history influences volumetric changes, linking to THM properties crucial in landslide assessments and rock-hazard evaluations.
- **Time-Dependent Processes in Clays:** We show how repeated heating–cooling cycles can lead to either progressive or stabilized strains, relevant to the long-term performance of geological barriers and soils exposed to fluctuating subsurface temperatures.

We have modified our manuscript to align with the standards of *Engineering Geology*,

including an expanded geological background, their mineralogy, and the implications for landslides, hazard assessment, and site investigations. We illustrate how our findings can guide *risk assessment* for geothermal installations and underground storage facilities, as well as *long-term THM behavior* relevant to barriers and reservoirs.

Thank you for your time and consideration. We appreciate the opportunity to have our manuscript reviewed by experts whose research aligns closely with our work in geohazards, engineering geology mapping, risk and reliability, and anisotropic behavior of soils and rocks. We are confident that our research will interest readers seeking to address geotechnical and geological challenges in thermally active subsurface environments.

If you have any questions, please do not hesitate to contact me. We look forward to your feedback.

Sincerely

A handwritten signature in black ink, appearing to read 'S. Tourchi', with a stylized flourish at the end.

Saeed Tourchi, PhD

1 Highlights

2 **Experimental Investigation of Thermal Volumetric Changes in Clays:** 3 **Unveiling Hidden Controls**

4 Hamed HoseiniMighani, Saeed Tourchi, Arash Alimardani Lavasan, Fate-
5 mehsadat Hosseini, Janos Szendefy

- 6 • Stress history dominates thermal volume change in over-consolidated
7 clays.
- 8 • High-plasticity clays show larger thermal strains than low-plasticity
9 soils.
- 10 • Soil fabric evolves toward elastic behavior under repeated heating–cooling
11 cycles.
- 12 • Distinct relations identified between volumetric strain, OCR, and plas-
13 ticity.
- 14 • Findings enhance the design of thermally resilient geo-energy and waste
15 disposal.
- 16 • Findings improve risk assessments for geothermal and underground
17 storage projects.

Experimental Investigation of Thermal Volumetric Changes in Clays: Unveiling Hidden Controls

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Abstract

Understanding the thermally induced volumetric behavior of fine-grained soils is central to designing resilient geo-energy infrastructures, including geothermal systems, underground storage facilities, and deep repositories for radioactive waste. In this study, we investigate the coupled thermal-mechanical response of silty clays from Budapest, Hungary, characterized by contrasting plasticity and stress histories. Drained heating and heating-cooling cycle tests were performed in a custom-built, temperature controlled oedometer on both low-plasticity (LP) and high-plasticity (HP) samples. Temperatures ranged from 20°C to 90°C under variable over-consolidation ratios ($OCR = 1$ to 22). Results confirm that normally consolidated clays exhibit consistent plastic contraction during thermal loading, whereas highly over-consolidated clays reveal a more nuanced response, largely governed by recent stress history. Significantly, reloading events led to contraction in

41 samples where a small elastic expansion might otherwise be expected. High-
42 plasticity samples consistently showed greater thermal strain magnitudes,
43 underscoring the importance of the plasticity index in controlling volume
44 change. Repeated heating–cooling cycles induced mostly plastic strain dur-
45 ing the first cycle, transitioning toward elastic behavior and stabilizing vol-
46 umetric changes by the fourth. These findings offer deeper insight into the
47 mechanics of thermally affected clay deposits, with direct relevance to geo-
48 logical risk assessments for geothermal fields and to the engineered barriers
49 of nuclear waste repositories. By highlighting the pivotal roles of OCR, plas-
50 ticity index, and stress history, this research contributes to more accurate
51 predictive models and more robust designs for thermally active subsurface
52 infrastructures.

53 *Keywords:* Drained Heating, Heating-Cooling Cycles, Fine-Grained Soils,
54 Thermal-Induced Volumetric Changes, Clay, Geotechnical Engineering

55 **1. Introduction**

56 Thermal and mechanical loads on fine-grained soils have become an in-
57 creasingly crucial research focus in geo-energy systems. As infrastructure
58 must remain resilient in thermally active environments, it is essential to un-
59 derstand how temperature fluctuations and mechanical stresses affect soil
60 properties and long-term stability. Applications such as geothermal energy
61 systems (Laloui et al., 2006; Faizal et al., 2018; Ng et al., 2016; Aljundi et al.,
62 2024; Liu et al., 2024), nuclear waste repositories (Gens et al., 2009; Tourchi

et al., 2021; Bumbieler et al., 2021; Armand et al., 2017; Ballarini et al., 2017), and energy piles (Aresti et al., 2018; Liu and Xu, 2017) expose soils to repeated heating and cooling, potentially altering their deformation characteristics and structural integrity. Similarly, soil-atmosphere interactions in temperate climates (Cui, 2022; Scaringi and Loche, 2022; Melchiorre and Frattini, 2011) and fault zone processes during landslides (Scaringi et al., 2022; Jabbarzadeh et al., 2024; Turchi et al., 2024; Shibasaki et al., 2017; Sadeghi et al., 2024; Tian et al., 2023; Gong et al., 2024) underscore the broader impact of temperature variations on soil stability. Fine-grained soils, such as clays and silts, are particularly sensitive due to their mineral composition and complex inter-particle interactions (Hoseinimighani and Szendefy, 2022; Hoseinimighani et al., 2023).

Research on temperature-induced changes in soil behavior traces back to foundational works by Gary (1936) and Paaswell (1967), who performed oedometer tests under different thermal conditions. Early studies primarily focused on modest temperature ranges (10–50°C) to account for laboratory-to-field differences; however, modern engineering challenges require dealing with much broader thermal variations, spanning time scales from seconds to centuries. Consequently, current interest extends beyond conventional geotechnical scenarios to applications such as deep geothermal energy extraction, high-level nuclear waste disposal, and energy storage.

A critical factor in understanding soil volume changes under thermal loads is the *Over-Consolidation Ratio* (OCR), defined as the ratio of a soil’s past

86 maximum effective stress to its current effective stress. Under drained heating
 87 at constant effective stress, NC soils typically exhibit plastic volume contrac-
 88 tion. In contrast, highly over-consolidated soils (OCR= 4–8) can show an
 89 elastic expansion volume response at lower temperatures before eventually
 90 contracting at higher temperatures, often at a *transition temperature* where
 91 expansion turns to plastic contraction (Towhata et al., 1993; Coccia and
 92 McCartney, 2016; Favero et al., 2016; Mohajerani et al., 2014). Another im-
 93 portant distinction involves *intact* (undisturbed) soil samples, which preserve
 94 their natural structure and bonding, versus *remolded* samples reconstituted in
 95 the laboratory. Intact samples may respond differently under thermal stress
 96 due to the presence of in situ inter-particle bonds (Hueckel and Borsetto,
 97 1990; Burghignoli et al., 2000; Hamidi et al., 2024).

98 Studies generally agree that higher OCR often correlates with a greater
 99 tendency for expansion prior to contraction and, in some cases, a higher
 100 transition temperature (AbuelNaga et al., 2006a; Baldi et al., 1988; Delage
 101 et al., 2000; Vega and McCartney, 2015). Thermally induced changes are in-
 102 fluenced by alterations in water viscosity, inter-particle forces, and structural
 103 rearrangements within the soil matrix (Hueckel and Borsetto, 1990; Pothirak-
 104 sanon et al., 2010; Shetty et al., 2019). In addition, plasticity—governed
 105 by clay mineralogy and water content—can modify the magnitude and rate
 106 of volume changes; high-plasticity clays sometimes exhibit more pronounced
 107 initial expansion yet lower net contraction than low-plasticity clays (for over-
 108 consolidated soils) (Sultan et al., 2002; Chen et al., 2017). Overall, the lit-

erature highlights a multifaceted interaction of stress history, plasticity, and soil fabric in determining thermal volume behavior.

Despite these broad trends, there remain discrepancies that suggest the influence of other critical factors. For example, [Cekerevac and Laloui \(2004\)](#) found constant transition temperatures regardless of OCR, challenging the notion that higher OCR consistently raises the transition point. [Hueckel and Borsetto \(1990\)](#) observed that an intact clay with $\text{OCR} = 5.7$ transitioned at a lower temperature than a remolded clay with $\text{OCR} = 2.5$, indicating that natural structure and inter-particle bonds could outweigh the effects of stress history. Moreover, OCR sample preparation techniques (e.g., reloading versus unloading)—referred to as recent stress history—significantly influence soil behavior. Unloaded samples exhibited expansion at lower temperatures, while reloaded samples primarily contracted upon heating ([Towhata et al., 1993](#); [Burghignoli et al., 2000](#)). These unresolved issues hinder the development of universally accurate predictive models for thermally influenced geotechnical systems.

Despite extensive investigations into thermally induced volume changes, this gap in understanding remains evident—especially in undisturbed fine-grained soils where the interplay of stress history, plasticity, and natural bonding has yet to be fully characterized. Consequently, the ability to design reliable, thermally robust foundations and containment systems is undermined by the lack of a comprehensive framework that captures these complex processes. The present study aims to bridge this gap by systematically ex-

132 amining low-plasticity and high-plasticity clays from District 13 and District
133 8 in Budapest, Hungary, under controlled thermal and mechanical conditions
134 in a custom temperature-controlled oedometer cell.

135 In particular, this study seeks to:

- 136 1. *Investigate* how OCR influences thermal expansion and contraction be-
137 haviors in fine clay soil samples.
- 138 2. *Quantify* the impact of plasticity on transition temperature and the
139 extent of volumetric changes during drained heating.
- 140 3. *Assess* how recent stress history, OCR, plasticity, and thermal cycling
141 jointly shape the soil’s volume change mechanisms.

142 This research contributes to more robust predictive models by clarifying
143 the relative roles of stress history, plasticity, and stress history in determin-
144 ing thermally induced volume changes. Ultimately, the findings will inform
145 improved design strategies for infrastructure subjected to repeated heating
146 and cooling, including energy piles, geothermal projects, and nuclear waste
147 disposal facilities.

148 Temperature variations significantly affect clay behavior by altering con-
149 solidation, mineral-water interactions, and anisotropy. Selected formations
150 with high montmorillonite content show notable thermo-mechanical coupling,
151 where thermal loading alters void ratio, permeability, and shear strength.
152 Understanding these interactions is crucial for designing geo-energy systems,
153 as repeated thermal cycles can cause cumulative deformations and structural
154 failures. Findings from this study impact infrastructure development in Bu-

155 dapest and similar regions. Accurate geological assessments are essential for
156 resilient structure planning, especially in thermally active areas. Insights
157 from District 13 and District 8 soils can guide site selection, material suit-
158 ability, and performance monitoring, ensuring sustainability and safety in
159 geotechnical engineering projects.

160 Beyond the local stratigraphy of Budapest, thermal-induced volume changes
161 in clayey formations carry broader geologic implications for geothermal en-
162 ergy installations and underground storage facilities. In geothermal reser-
163 voirs—particularly those tapping sedimentary basins with significant clay
164 content—thermal fluctuations may alter porosity and permeability, thus af-
165 fecting both the efficiency of heat extraction and the potential for induced
166 seismicity. Similarly, for underground facilities storing energy, hydrocarbons,
167 or even high-level nuclear waste, clay barriers or caprock layers are crucial
168 for long-term containment.

169 Incorporating our experimental findings—specifically on the roles of over-
170 consolidation ratio, plasticity index, and stress history—into the mentioned
171 geo-energy applications can lead to more reliable predictions of deformation
172 and potential fluid migration. Consequently, local site investigations (like
173 those in Districts 13 and 8 in Budapest) have broader geological utility by
174 contributing to refined risk assessments and improved management strategies
175 for thermally active subsurface projects worldwide.

176 2. Geological Background of Study Area

177 The study area comprises two distinct regions within Budapest, Hungary:
178 District 13 and District 8. Each region exhibits unique geological and litho-
179 logical characteristics that significantly influence the engineering behavior of
180 fine-grained soils. District 13 lies within the Pest Plain, a subdivision of the
181 Great Hungarian Plain, and is positioned at the transition between Upper
182 Pleistocene-Holocene fluvioeolian sands (*fQh3-hh*) and Holocene riverine silts
183 (*fQh2al*), as delineated by Hungary’s Geological Map. The subsurface pro-
184 file predominantly consists of sandy, silty clay deposits of Miocene age, with
185 minor intercalations of gravel streaks that reflect fluvial and aeolian trans-
186 port processes. Soil sampling was conducted at a depth of 13.5 m, where the
187 upper layers, up to 16 m, exhibit a relatively loose structure transitioning
188 to a denser, more consolidated state with increasing depth.

189 District 8, located within the Pesti Alluvial Fan Microregion, is under-
190 lain by Upper Pleistocene-Holocene fluvioeolian sands (*feQp3-hh*), which pre-
191 dominantly consist of fine-grained sediments with occasional sandy interbeds.
192 The grey clay deposits sampled at a depth of 8.5 m correspond to Miocene-
193 aged formations characterized by high plasticity and low permeability. These
194 clayey deposits suggest deposition under low-energy fluvial or lacustrine con-
195 ditions, resulting in a cohesive matrix with significant consolidation history.

196 3. Material Properties

197 As described above, the soils used in this study were fine-grained sam-
 198 ples collected from two distinct locations in Budapest, Hungary: District 13
 199 and District 8. These areas represent different geological contexts, offering
 200 insights into the behavior of low-plasticity and high-plasticity clays under
 201 thermal and mechanical loads. The samples were extracted as undisturbed
 202 cores to preserve their natural structure and properties.

203 *District 13 samples (we call LP)*—LPs were collected from a depth of 13.5
 204 m, corresponding to a Miocene-age sandy silty clay layer. Classified as CL
 205 (low-plasticity clay) under the Unified Soil Classification System (USCS), its
 206 index properties are summarised in Table 1. Figure 1a displays the particle
 207 size distribution from multiple tests, emphasizing the variability in soil com-
 208 position and measurements for a more accurate representation of the tested
 209 soil samples.

Table 1: Summary of index properties for LP sample

Property	Symbol	Unit	Value
Sat unit weight	γ	kN/m ³	20.4-21.2
Water content	ω	%	18-22
Liquid limit	LL	%	41-46
Plasticity index	PI	%	16-21
Initial void ratio	e_0	-	0.52-0.57
Particle density	ρ_s	g/cm ³	2.586-2.658

210 *District 8 samples (we call HP samples)*—HPs were collected from a depth
 211 of 8.5 m and identified as a highly plastic grey clay from a Miocene-aged layer.
 212 Classified as CH (high-plasticity clay) under USCS, its index properties are

also presented in Table 2. The particle size distribution for this sample is provided in Figure 1.

Table 2: Summary of index properties for HP sample

Property	Symbol	Unit	Value
Unit weight	γ	kN/m^3	19.9-20.8
Water content	ω	%	21-27
Liquid limit	LL	%	55-61
Plasticity index	PI	%	35-38
Initial void ratio	e_0	-	0.65-0.67
Particle density	ρ_s	g/cm^3	2.67

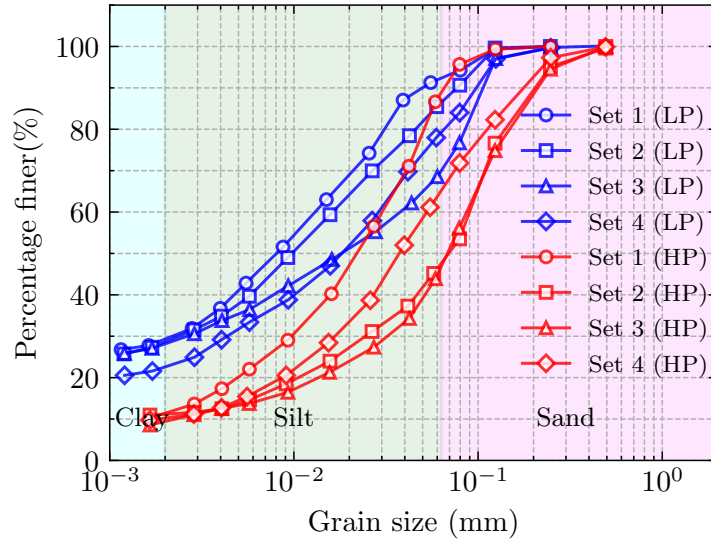


Figure 1: Particle distribution for Sample LP (A) and HP (B) samples.

The groundwater levels during sampling were consistent with the expected local geology. The LP sample's groundwater depth ranged between 6.8 and 9.5 meters, and the HP sample's was approximately 3.5 meters. These data confirm the suitability of the chosen depths for evaluating the

219 behavior of natural, undisturbed clay layers.

220 4. Experimental Apparatus

221 The experimental program utilized a temperature-controlled oedometer
222 apparatus specially designed for this study to investigate soil behavior under
223 coupled thermal and mechanical loads. The conventional oedometer cell
224 was modified to incorporate thermal regulation while preserving the core
225 functionality of a standard consolidation setup.

226 The oedometer cell was surrounded by an electrical heater capable of pre-
227 cise temperature adjustments. The heater was calibrated to deliver a uniform
228 temperature gradient at a rate of $0.3^{\circ}\text{C}/\text{hour}$, which was critical for maintain-
229 ing drained conditions and avoiding thermal pore pressure generation. The
230 apparatus was enclosed in an aluminum casing lined with insulating material
231 to minimize heat loss and maintain thermal stability. Three Type K thermo-
232 couples were installed—one in contact with the heater and two submerged
233 in the water surrounding the soil sample—to accurately monitor and control
234 the test environment. This setup ensured uniform temperature distribution
235 throughout the test. A water sensor, integrated into the consolidation cell,
236 maintained full saturation during elevated temperature phases by compensat-
237 ing for evaporation through automated water addition. Figure 2 illustrates
238 the modified oedometer cell and its components. Vertical stress was applied
239 using a calibrated lever arm system, with weights placed at varying distances
240 to achieve precise load increments. Vertical displacement was recorded con-

241 tinuously using a Linear Variable Differential Transformer (LVDT) with an
242 accuracy of $1\ \mu\text{m}$. The LVDT was connected to a data acquisition system for
243 real-time monitoring.

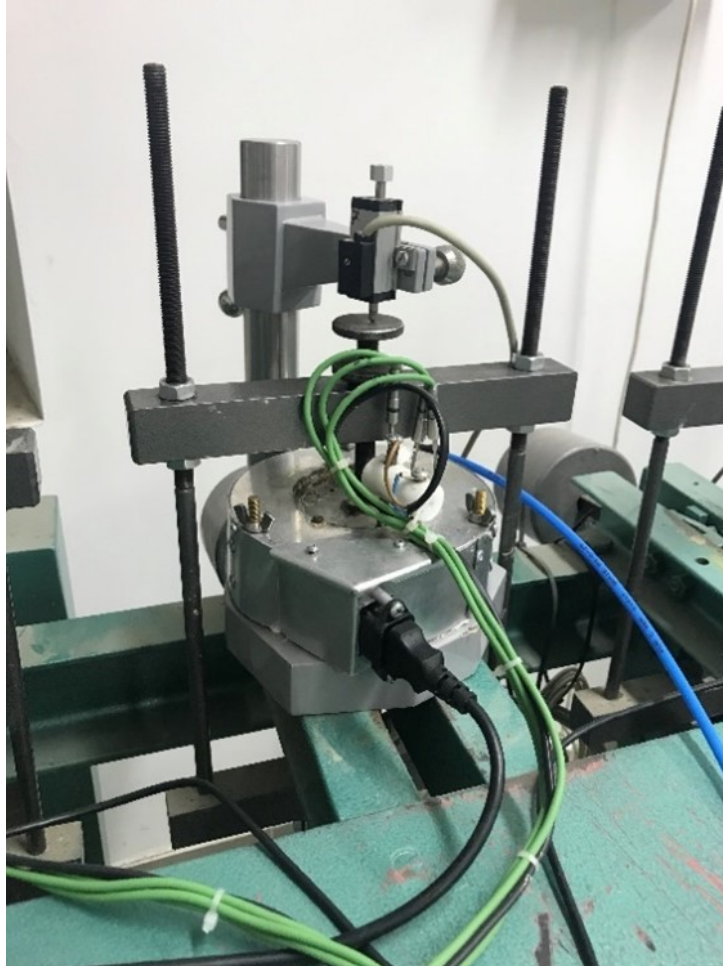


Figure 2: Modified thermal consolidation test device.

244 5. Experimental Program

245 The experimental program was designed to investigate the coupled
246 thermal-mechanical behavior of fine-grained soils under drained heating
247 and heating-cooling thermal cycles. The tests evaluated the role of over-
248 consolidation ratio (OCR), plasticity, and stress history in shaping the volu-
249 metric response of natural clays.

250 *Drained Heating Tests (h)*

251 This phase of the experimental program focused on understanding the
252 influence of OCR on the volumetric behavior of natural clay samples. Undis-
253 turbed samples were first subjected to mechanical preloading to establish
254 specific OCR conditions. These included a normally consolidated state (OCR
255 = 1) and progressively over-consolidated states (OCR = 2, 6, and higher),
256 achieved using controlled incremental loading and unloading processes. The
257 preloading procedures ensured the stress history was systematically varied
258 across the test specimens. The highly over-consolidated samples LP12 and
259 HP22 were prepared differently from the rest of the samples. OC Samples
260 were created using an unloading method. This involved loading the sample
261 and then unloading it to the target stress.

262 In contrast, samples LP12 and HP22 were not subjected to prior me-
263 chanical loading but were only loaded by the weight of the loading cap and
264 are thus not affected by prior unloading. This difference in preparation is
265 essential because the recent stress history of the soil (i.e., whether the sample

was loaded or unloaded) can influence its thermal response. While all OC samples experienced unloading as part of testing, samples LP12 and HP22 experienced a recent loading before the heating phase.

Once the desired OCR was achieved, the samples were subjected to thermal loading. The temperature was increased from 25°C to 85°C at a controlled rate of 0.3°C/hour, chosen to maintain drained conditions and avoid the buildup of thermal pore pressures. The effective stress was held constant throughout the heating process. Vertical displacements were continuously recorded using a high-resolution Linear Variable Differential Transformer (LVDT), and volumetric strain was calculated based on the monitored displacement. The mechanical and thermal paths for these tests are summarised in Table 3, while Figure 3 provides a schematic representation of these paths.

Table 3: Summary of drained heating tests.

ID	Loading	OCR	Thermal Loading
LP1	5→1200	1	25→85
LP2	5→1200→600	2	25→85
LP6	5→1200→200	6	25→85
LP12	5	12	25→85
HP1	5→800	1	25→85
HP2	5→1200→600	2	25→85
HP6	5→1200→200	6	25→85
HP22	5	22	25→85

Heating-Cooling Thermal Cycle Tests (TC)

Heating-cooling thermal cycle tests were conducted to evaluate the cumulative effects of thermal cycling on the volumetric behavior of soils. These

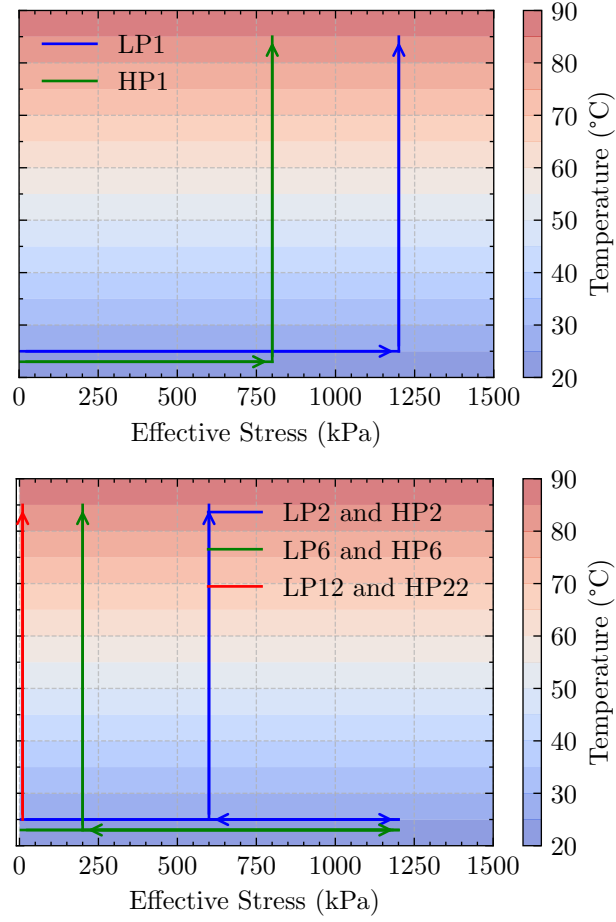


Figure 3: Mechanical and thermal paths for drained heating tests (AH1-BH1 and AH2, AH3, AH4).

281 tests aimed to distinguish between elastic and plastic deformation compo-
 282 nents and to assess the stabilization trends over multiple thermal cycles.
 283 Samples were initially pre-loaded to specific OCR conditions, similar to those
 284 used in the drained heating tests, to ensure consistency in stress history. The
 285 thermal cycles alternated between 25°C and 85°C, with each heating and
 286 cooling phase conducted at a 0.3°C/hour rate. This controlled thermal rate
 287 ensured drained conditions and prevented excess pore pressure development
 288 during cycling.

289 At the end of each heating-cooling cycle, volumetric changes were
 290 recorded to evaluate the progressive deformation characteristics of the soils.
 291 The thermal paths and loading protocols for these tests are detailed in Ta-
 292 ble 4, and a graphical depiction of the thermal cycling process is provided in
 293 Figure 4.

Table 4: Summary of drained heating-cooling tests.

ID	Loading	OCR	Thermal Loading
LP1	5→1200	1	25→85→25
LP2	5→1200→600	2	25→85→25
LP6	5→1200→200	6	25→85→25
HP1	5→800	1	25→85→25
HP2	5→1200→600	2	25→85→25
HP6	5→1200→200	6	25→85→25

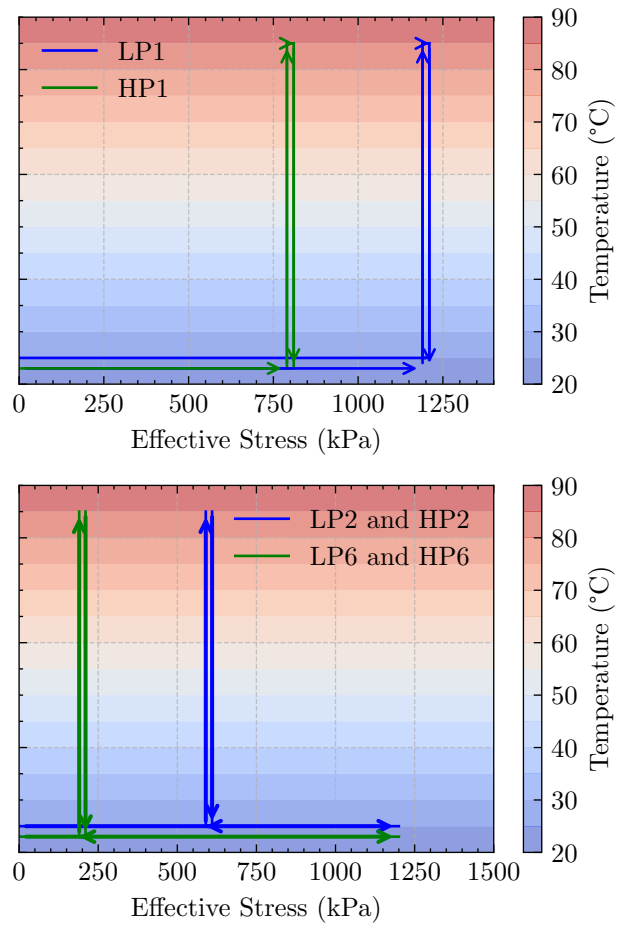


Figure 4: Mechanical and thermal paths for heating-cooling cycle tests.

294 6. Results and Discussion

295 6.1. Drained Heating Tests

296 The thermal volumetric response of selected samples under slow-drained
297 heating is examined in Figures 5a and 5b, which depict volumetric strain
298 versus temperature increment for LP samples and HP samples, respec-
299 tively. Normally, consolidated samples consistently exhibit contraction dur-
300 ing drained heating for both LP and HP samples. This behavior aligns with
301 prior studies (e.g., [AbuelNaga et al., 2006b](#); [Baldi et al., 1988](#)).

302 Previous studies on slightly over-consolidated clay samples, including
303 Pontida silty clay (OCR=2) ([Baldi et al., 1988](#)), Kaolin clay (OCR=2)
304 ([Cekerevac and Laloui, 2004](#)), and Pasquasia clay (OCR=3.4) ([Hueckel and](#)
305 [Borsetto, 1990](#)), consistently exhibit purely contractive thermal responses.
306 These behaviors align closely with the observations from samples LP2 and
307 HP2 in this study, reinforcing the understanding that clays with moderate
308 over-consolidation ratios ($\text{OCR} \leq 3.4$) primarily experience volume contrac-
309 tion when subjected to heating.

310 However, all tested OC samples displayed contraction—a limited initial
311 expansion was observed for HP samples with $\text{OCR} = 6$ and 22, which quickly
312 diminished and transitioned to contraction. These measurements deviate
313 from previous findings, which generally show that highly over-consolidated
314 clay samples typically undergo an initial expansion, followed by contraction.
315 This implies that OCR alone may be insufficient to predict thermal volume
316 change.

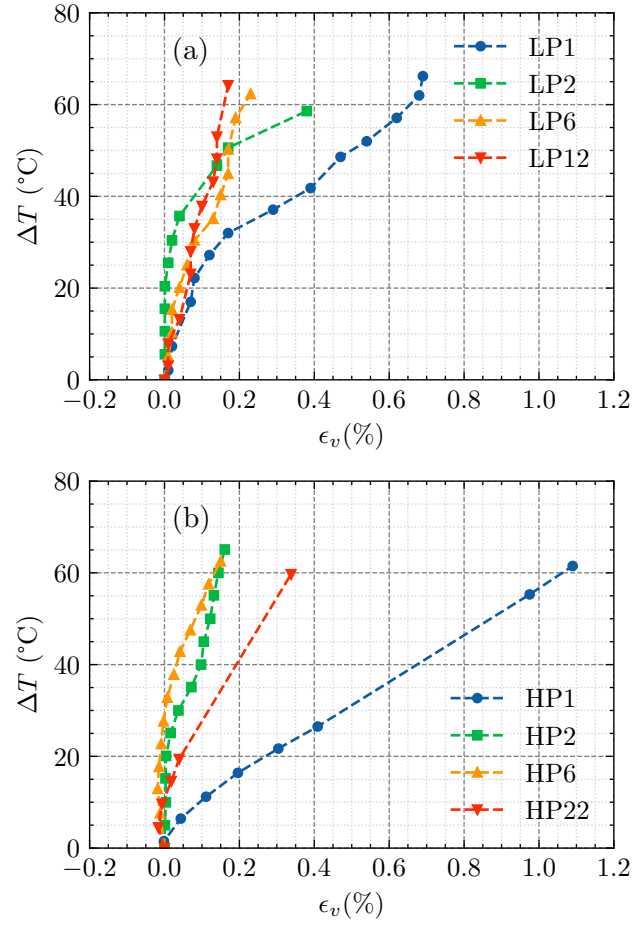


Figure 5: Thermal volume change after drained heating for (a) Low Plasticity (LP) and (b) High Plasticity (HP) samples, illustrating the predominant contraction behavior across different OCRs.

317 Samples with an $OCR = 6$ exhibited distinct thermal volumetric be-
318 haviors directly influenced by their plasticity levels. Specifically, the low-
319 plasticity sample (LP6) demonstrated significant and consistent contraction
320 during thermal loading, lacking the initial expansion typically expected for
321 this OCR level. In contrast, the high-plasticity sample (HP6) showed only a
322 minimal initial expansion before transitioning to contraction. This deviation
323 from conventional expectations can be attributed to the presence of sand
324 grains within the soil matrix.

325 Thermal contraction observed in fine soils occurs due to several interre-
326 lated mechanisms. Studies have shown that thermally induced pore pressure
327 dissipation under drained conditions leads to volumetric contraction ([De-
328 lage et al., 2000](#)). Additionally, elevated temperatures reduce the thickness
329 of the double layer, potentially causing particle rearrangement and volume
330 contraction ([Campanella and Mitchell, 1968](#)). Another significant factor is
331 the reduction in the viscous shear resistance of pore water with increasing
332 temperature, which enhances particle mobility and structural collapse ([Cui
333 et al., 2000](#)).

334 Thermal expansion, on the other hand, is attributed partly to the thermal
335 expansion of soil constituents ([Campanella and Mitchell, 1968](#)) and partly
336 to increased inter-particle repulsion forces ([Israelachvili, 1991](#); [AbuelNaga
337 et al., 2007b](#)), which result in the expansion of inter-particle spacing and
338 the formation of larger macropores. These thermal effects, acting synergisti-
339 cally, highlight the complex interplay between mechanical and physicochem-

340 ical processes in fine soils subjected to thermal loading.

341 The contraction observed in LP samples, and the lack of expansion in
342 over-consolidated samples suggest that the mineral composition and low-
343 plasticity nature of LP soils dominate their thermal response. This results
344 in limited electrochemical interactions and lower water adsorption capacity.
345 These characteristics reduce the tendency for inter-particle repulsion, allow-
346 ing other mechanisms responsible for thermal contraction to dominate the
347 thermal volume change. In contrast, HP samples with higher plasticity ex-
348 hibit a greater capacity for physicochemical interactions and inter-particle
349 repulsion forces. At increased temperatures, these forces amplify, leading to
350 the initial expansion observed in over-consolidated states. However, as tem-
351 peratures continue to rise, mechanisms such as the thermally induced reduc-
352 tion in double-layer thickness, the reduction in viscous shear resistance, and
353 the dissipation of thermally induced excess pore pressure become dominant,
354 resulting in contraction. This could explain the smaller and less pronounced
355 thermal expansion observed in the over-consolidated HP sample compared
356 to results reported in the literature.

357 The highly over-consolidated samples, namely LP12 (OCR=12) and
358 HP22 (OCR=22) were subjected to reloading before heating. The ratio-
359 nale for this approach is taken from the studies of [Burghignoli et al. \(2000\)](#)
360 and [Towhata et al. \(1993\)](#), which investigated the effects of different stress
361 histories—namely unloading and reloading—on the volumetric response of
362 soils under thermal loading. Our objective in exploring the impact of stress

363 history through various stress paths was to determine how prior mechani-
364 cal loading (recent stress history) influences the thermal behavior of clayey
365 soils. By applying unloading and reloading scenarios, we aimed to simulate
366 real-world conditions and evaluate their impact on soil microstructure, void
367 ratios, and volume changes during heating.

368 Figure 5 revealed NC and slightly over-consolidated samples contract
369 when heated under drained conditions, but some highly over-consolidated
370 samples depart from the classic *initial expansion* expectation. Figure 6 ex-
371 plains this discrepancy by comparing the normalized change in void ratio to
372 the over-consolidation ratio (OCR), incorporating data from both this study
373 and previous work by Burghignoli et al. (2000) and Towhata et al. (1993).
374 By scaling Δe_T by the temperature increment (ΔT), Figure 6 demonstrates
375 that recent stress history—involving unloading versus reloading—shapes the
376 soil’s thermal response as much as OCR itself. Normally, consolidated soils
377 consistently contract, but overconsolidated samples can behave similarly if
378 reloaded, showing minimal or no expansion. This confirms that OCR alone
379 is not a definitive predictor of thermal volume change, highlighting the key
380 role of recent loading paths in governing soil behavior under heating.

381 Additionally, soil plasticity emerged as a significant factor. Samples with
382 higher plasticity (HP1 and HP22) exhibited greater contraction under ther-
383 mal loading compared to low-plasticity samples (LP1 and LP12). The min-
384 eral composition and microstructural properties of these clays facilitate more
385 pronounced particle movement and rearrangement during temperature fluc-

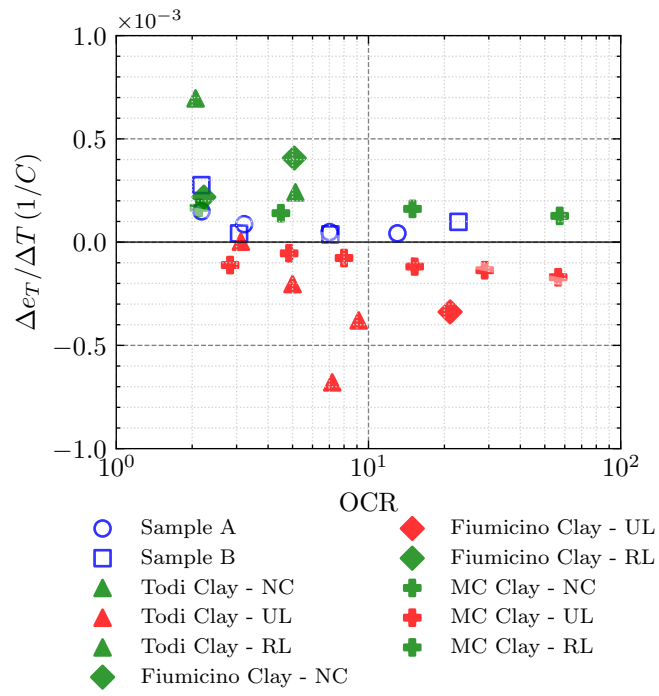


Figure 6: Thermally-induced volume change for Todi and Fiumicino clay (Burghignoli et al., 2000), MC clay (Towhata et al., 1993), and LP sample and B

386 tuations, making them more susceptible to sustained contractions. More-
 387 over, the effect of plasticity on thermally induced volumetric strain is more
 388 pronounced in samples not subjected to unloading, where constant stress
 389 conditions allow for a clearer assessment of plastic deformation mechanisms,
 390 uninfluenced by elastic rebound or stress-induced volume changes.

391 To demonstrate the relationship between thermally driven volumetric re-
 392 sponse and a soil’s plasticity, Figure 7 illustrates how a soil’s plasticity index
 393 (PI) correlates with the normalized thermally induced volumetric strain ob-
 394 served in normally consolidated samples during drained heating. The data
 395 exhibits a clear trend of exponentially increasing thermal strain with increas-
 396 ing plasticity index across all tested materials and datasets. This relationship
 397 can be modeled by the exponential equation:

$$\varepsilon_{v,T}/\Delta T(\%/C) = 0.0061 \cdot e^{0.378PI(\%)} \quad (1)$$

398 where $\varepsilon_{v,T}/\Delta T$ represents the normalized volumetric strain, and PI is
 399 the plasticity index. This suggests that soils with higher PI values are more
 400 susceptible to greater volumetric changes during drained heating, indicating
 401 a strong, directly proportional relationship between plasticity and thermal
 402 response under specified conditions.

403 6.2. Heating-cooling thermal cycle (TC)

404 The thermal volume change of clay samples during the first heating and
 405 cooling is shown in Figures 8 for LP and HP samples. For LP samples, the

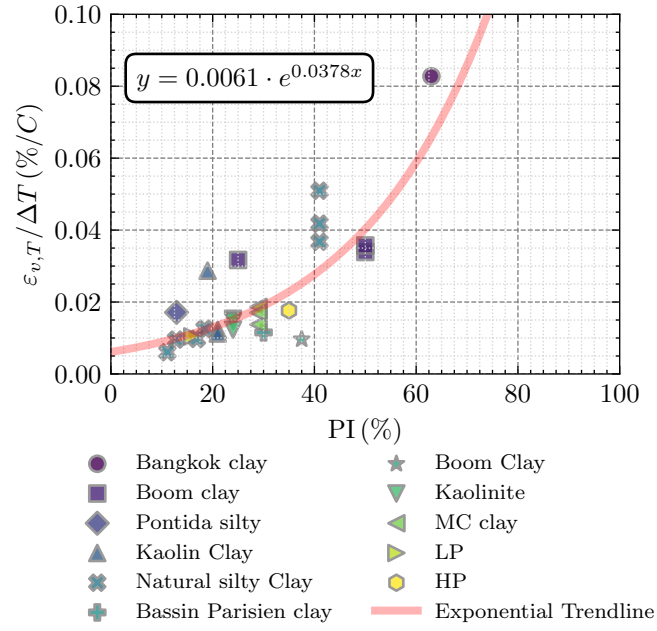


Figure 7: Effect of plasticity on volumetric strain after heating-cooling cycle for normally consolidated samples from literature and this research, including Bangkok clay (AbuelNaga et al., 2006a), Boom clay (Baldi et al., 1988, Robinet et al., 1997, Sultan et al., 2002), Pontida silty (Baldi et al., 1988), Kaolin Clay (Cekerevac and Laloui, 2004, Takai et al., 2016), Natural silty Clay (Di Donna and Laloui, 2015; Shetty et al., 2019), Illite (Plum and Esrig, 1969), Bassin Parisien clay (Robinet et al., 1997), Kaolinite (Shetty et al., 2019), and MC clay (Towhata et al., 1993).

single heating and cooling cycle caused contraction across all consolidation states. The normally consolidated samples exhibited significant plastic and irreversible contraction. As the OCR increased, the extent of plastic contraction decreased notably. The thermal response for higher OCRs (OCR = 6) became primarily elastic, resulting in minimal contraction during the heating-cooling cycle. This progression demonstrates the stabilizing effect of over-consolidation, which enhances the resistance of soil structure to thermally induced deformation.

HP samples—with higher plasticity—demonstrated similar trends but exhibited larger volumetric strains due to their greater thermal sensitivity. These results highlight that higher plasticity leads to greater plastic deformation in normally consolidated states, while increasing OCR progressively reduces permanent contraction, transitioning to predominantly elastic behavior at higher OCR levels. These trends are consistent with the literature (Baldi et al., 1988; Hueckel and Borsetto, 1990; AbuelNaga et al., 2007b; Di Donna et al., 2016; Shetty et al., 2019; Sultan et al., 2002; Takai et al., 2016; Vega and McCartney, 2015).

6.2.1. OCR role

In order to conduct a comprehensive analysis of the volumetric response of fine-grained soils to thermal loading, a diverse dataset is essential. Figure 9 integrates data from both LP and HP Samples and various literature sources.

As expected, the data reveal that NC samples exhibit plastic contrac-

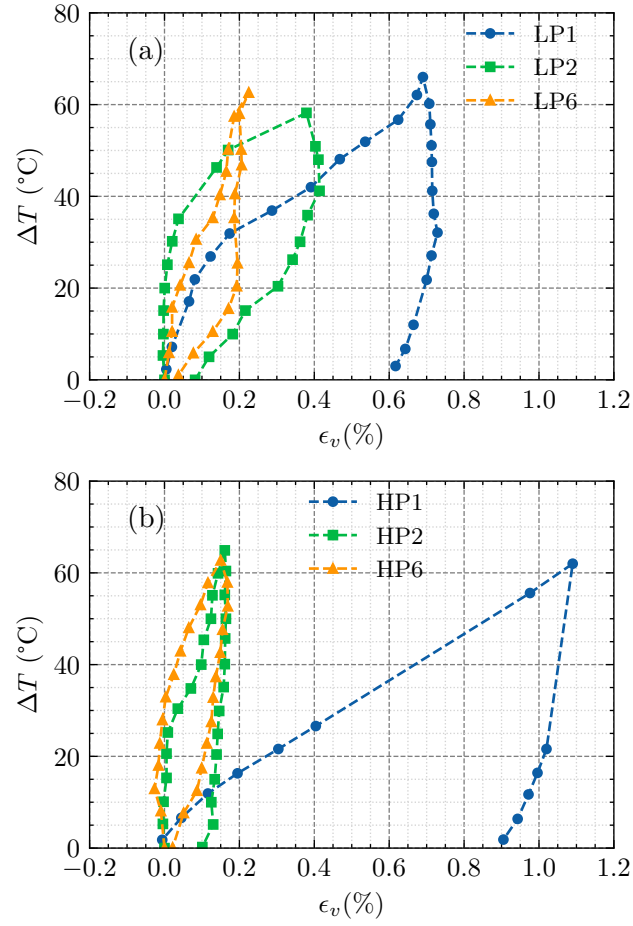


Figure 8: Thermal volume change after drained heating and cooling for (a) Low Plasticity (LP) and (b) High Plasticity (HP) samples, illustrating the differences in contraction and elastic behavior across OCRs.

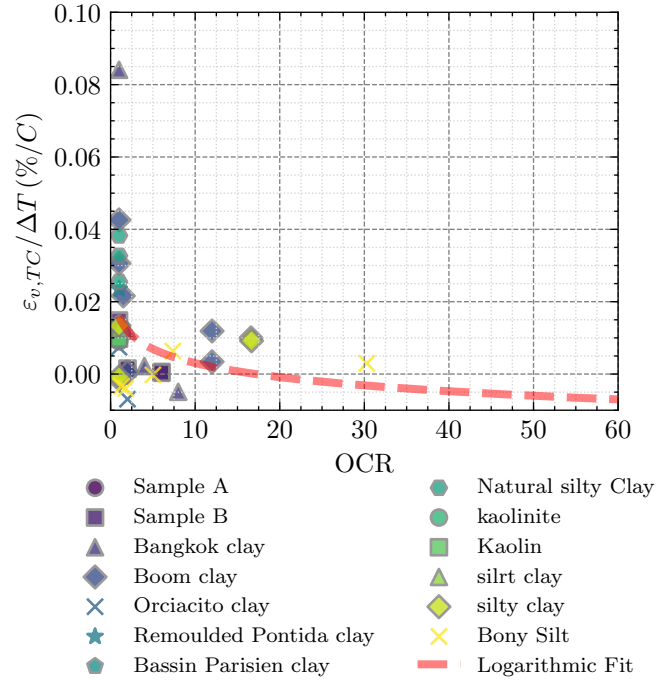


Figure 9: Volumetric strain after heating-cooling cycle for various clay types from literature and this research, including Bangkok clay (AbuelNaga et al., 2007a), Boom clay (Baldi et al., 1988), Remoulded Pontida clay (Hueckel and Pellegrini, 2002), Bassin Parisien clay (Robinet et al., 1996), Natural silty clay (Shetty et al., 2019), Kaolinite (Shetty et al., 2019), Silt clay (Di Donna and Laloui, 2015), and Bony silt (Vega and McCartney, 2015).

tion, consistent with higher strain values. As OCR increases, plastic deformation diminishes. Moderately over-consolidated soils ($\text{OCR} \geq 2$ and < 6) show reduced plastic strain, while highly over-consolidated soils ($\text{OCR} \gg 6$) transition to *elastic-dominated behavior*, exhibiting minimal volumetric change. These trends confirm the stabilizing effect of higher OCR, with over-consolidation limiting plastic deformation and promoting elastic recovery.

However, variations in soil-specific characteristics, such as plasticity, mineralogy, and *stress history* (e.g., unloading vs. reloading), can influence behavior. Some soils in the OCR range of 6–16 display deviations, such as transient elastic expansion or immediate contraction upon heating. These details underscore that OCR alone does not fully capture the complexity of thermal volume change.

To describe the relationship between normalized volumetric strain and OCR, a logarithmic trendline was fitted to the data. This trendline indicates that, for OCR values greater than zero, the thermally induced volumetric strain $\epsilon_{v,TC}$ can be approximated by the function

$$\epsilon_{v,TC} = -0.0052\Delta T \ln(\text{OCR}) + 0.0175\Delta T, \quad (2)$$

where the coefficients -0.0052 and 0.0175 were determined via least-squares regression. The trendline indicates that increasing OCR reduces normalized thermal strain, transitioning from high plastic contraction at low OCR to minimal strain at high OCR. Scatter around the trendline reflects the

influence of additional factors such as soil fabric, sand content, and plasticity. This fitted equation highlights the stabilizing effect of over-consolidation on the thermally induced strain, providing a useful framework for understanding the behavior of fine-grained soils under thermal cycling.

6.2.2. Plasticity role (PI)

Building on the preceding discussion regarding the pivotal role of the over-consolidation ratio (OCR) in governing thermally induced volume changes, attention now shifts to plasticity as a further critical parameter in the thermal behavior of fine-grained soils. Figure 10 offers a comprehensive examination of how the plasticity index (PI) influences the volumetric strain ($\epsilon_{v,TC}$) in normally consolidated soils subjected to a full heating-cooling cycle, thereby underscoring the importance of intrinsic soil properties in shaping thermal responses.

A central finding derived from this figure is the robust positive correlation between plasticity and volumetric strain. In particular, soils with higher plasticity indices exhibit markedly greater contraction or deformation under temperature fluctuations. This observation highlights the dominant impact of plasticity in determining the extent of thermally induced volume change, often exceeding even the effect of OCR. For instance, the LP sample (with $PI = 16$) undergoes comparatively modest thermal contraction, whereas the HP sample (with $PI = 35$) experiences notably higher strain, illustrating the pronounced influence of increased plasticity on soil deformation. Similarly,

470 data from Bangkok clay ($PI = 60$) (AbuelNaga et al., 2007b) and Natural
 471 silty clay ($PI = 41$) (Shetty et al., 2019) confirm this trend, demonstrating
 472 elevated strain values associated with high plasticity.

473 In order to quantify this relationship, an exponential trendline was fitted
 474 to the data in Figure 10, yielding the following equation:

$$\epsilon_{v,TC} = 0.0057\Delta T \cdot e^{0.0394PI} \quad (3)$$

475 where $\epsilon_{v,TC}$ is the volumetric strain after the thermal cycle, ΔT denotes
 476 the temperature increment, and PI represents the plasticity index. This
 477 equation reveals that volumetric strain escalates with increasing PI, and it
 478 shows strong congruence with both the current dataset and previous findings
 479 in the literature, such as Boom clay NC ($PI = 30$) (Robinet et al., 1996)
 480 and Remoulded Pontida clay ($PI = 12.9$) (Hueckel and Pellegrini, 2002).

481 A further analysis of plasticity index ranges elucidates several notable
 482 tendencies. Low-PI soils (i.e., $PI < 25$), such as LP sample and Kaolin
 483 ($PI = 24$) (Shetty et al., 2019), demonstrate relatively minimal thermal
 484 volumetric strain, reflecting a modest sensitivity to temperature changes.
 485 Moderate-PI soils ($25 \leq PI < 40$) begin to exhibit a gradual increase in
 486 thermally induced strain, marking a transitional zone. For instance, Bassin
 487 Parisien clay ($PI = 20$) (Robinet et al., 1996) and Boom clay ($PI = 30$)
 488 (Baldi et al., 1988) illustrate the moderate thermal responses observed in
 489 this range. In contrast, High-PI soils ($PI \geq 40$), exemplified by Bangkok

490 clay ($PI = 60$) (AbuelNaga et al., 2007b) and Natural silty clay ($PI = 41$)
491 (Shetty et al., 2019), undergo pronounced thermal contraction, presumably
492 due to their greater capacity for particle rearrangement and water retention.

493 The threshold at which a pronounced shift in behavior occurs lies in
494 the range of approximately $PI = 35$ – 40 . Below this boundary, volumetric
495 strain typically remains comparatively moderate, whereas soils surpassing
496 this limit exhibit significantly intensified contraction under heating-cooling
497 cycles. Although some scatter persists—owing to variations in soil mineral-
498 ogy, fabric, and stress history—the overarching pattern confirms plasticity as
499 a key determinant of soil behavior under thermal loading. For example, Bony
500 Silt ($PI = 4$) (Vega and McCartney, 2015) remains an outlier, showcasing
501 minimal strain even at very low plasticity, further supporting the need for
502 site-specific investigations.

503 Taken collectively, these results underscore the necessity of considering
504 the plasticity index in the development and enhancement of thermomechanical
505 constitutive models. While current constitutive models within the critical
506 state framework already account for the role of OCR in influencing the volu-
507 metric behavior of clays under thermal loading, they do not yet incorporate
508 the influence of the plasticity index. Future advancements in constitutive
509 modeling must address this gap to enhance the predictive capability of exist-
510 ing frameworks and account for the observed correlations between plasticity,
511 OCR, and volumetric strain under thermal loading.

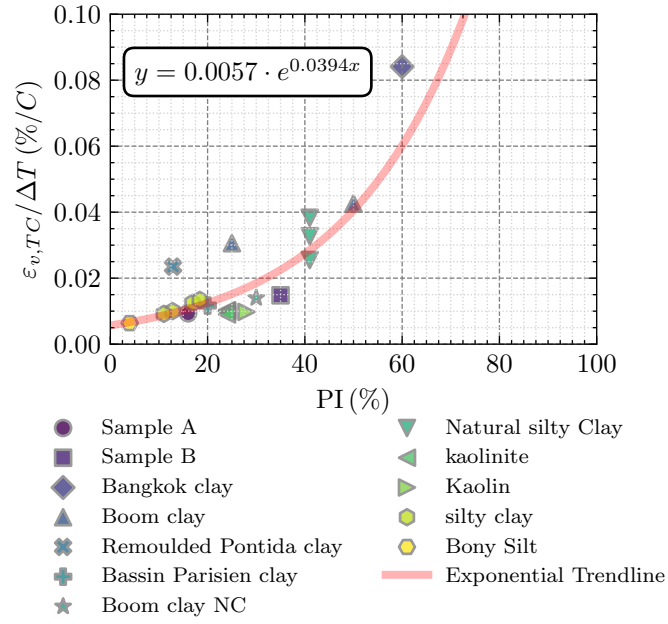


Figure 10: effect of plasticity on volumetric strain after heating-cooling cycle for normally consolidated samples from literature and this research, including Bangkok clay (AbuelNaga et al., 2007b), Boom clay (Baldi et al., 1988; Robinet et al., 1996; Sultan et al., 2002), Remoulded Pontida clay (Hueckel and Pellegrini, 2002), Bassin Parisien clay (Robinet et al., 1996), Natural silty Clay (Shetty et al., 2019), kaolinite (Shetty et al., 2019), silt clay (Di Donna and Laloui, 2015), and Bony Silt (Vega and McCartney, 2015).

512 *6.2.3. The combined roles of OCR and PI*

513 To explore the complex thermal volumetric behavior of overconsoli-
514 dated soils, Figures 11 and 12 focus on two critical parameters: the over-
515 consolidation ratio (OCR) and the plasticity index (PI). In these figures, the
516 thermal volumetric strain ($\epsilon_{v,TC}$) is normalized by the temperature increment
517 (ΔT) and either OCR or PI, providing a consistent basis for cross-sample
518 comparison. This normalization ensures that differences in thermal loading
519 and inherent soil plasticity do not mask key behavioral trends, allowing a
520 clearer assessment of how OCR and PI each affect thermal response.

521 Figure 11 highlights the influence of OCR, plotted on a logarithmic scale
522 to accommodate the broad range of values. The general trend suggests that
523 soils with relatively low OCR are prone to thermal contraction, whereas
524 those with higher OCR tend toward thermal expansion, in line with the
525 idea that more heavily overconsolidated soils resist volumetric change. The
526 fitted regression line (using $\log(\text{OCR})$) shows an R^2 of about 0.226—indi-
527 cating a moderate but not definitive predictive capability—and a negative
528 slope for $\log(\text{OCR})$. This negative slope implies a decrease in the normalized
529 strain measure as OCR increases, consistent with a shift from contraction-
530 dominated to expansion-dominated behavior. The scatter in the data, how-
531 ever, underscores that OCR alone cannot fully explain thermal volumetric
532 changes, likely due to additional factors such as plasticity and mineral com-
533 position.

534 Figure 12 examines the effect of PI, with thermal volumetric strain nor-

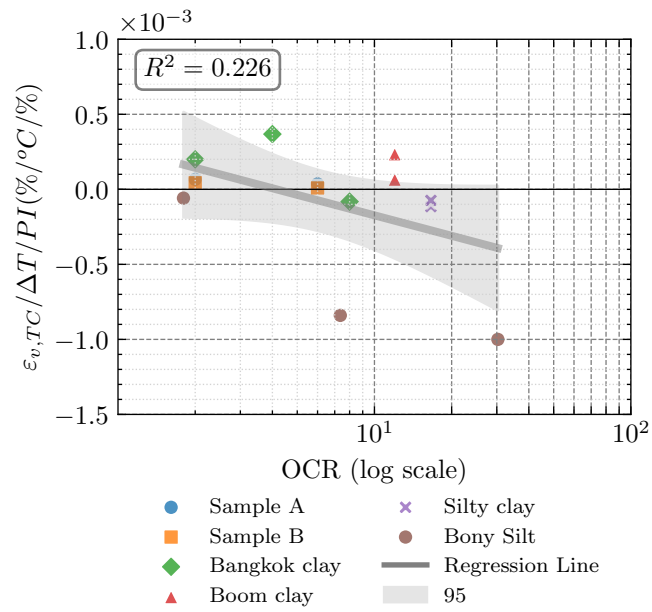


Figure 11: Effect of OCR on normalized volumetric strain for overconsolidated samples, including data from this study (Silty clay, Bony Silt) and literature (Bangkok clay (Abuel-Naga et al., 2007b), Boom clay (Sultan et al., 2002), Silty clay (Di Donna et al., 2016), Bony Silt (Vega and McCartney, 2015)).

malized by ΔT and OCR. Compared to OCR, PI offers a similarly moderate predictive power (with an R^2 of about 0.212). The positive slope in the regression model indicates that the normalized strain measure increases with PI. In practical terms, however, high-PI soils typically experience more pronounced contraction, especially under thermal loading. This apparent disconnect between the slope and the observed contraction can stem from how strain normalization and other soil-specific factors (e.g., structure, mineralogy) interplay with PI in the statistical fit. Despite that nuance, soils like highly plastic Bangkok clay (PI \approx 60%) reliably show considerable thermal contraction, whereas low-PI soils (e.g., Bony Silt, PI = 4%) exhibit minimal or slightly expansive tendencies under similar conditions.

While neither regression achieves a high level of explanatory power, the results confirm that both OCR and PI significantly affect thermal volumetric strain. The following simplified models encapsulate the relationships:

$$\frac{\epsilon_{v,TC}}{\Delta T \cdot \text{PI}} = A \log(\text{OCR}) + B \quad (\text{Figure 11}) \quad (4)$$

$$\frac{\epsilon_{v,TC}}{\Delta T \cdot \text{OCR}} = C \text{PI} + D \quad (\text{Figure 12}) \quad (5)$$

Here, A , B , C , and D are regression coefficients obtained from curve-fitting. Although the data shows that OCR can delineate the transition between contraction and expansion, PI remains an equally important predictor of thermal response. Indeed, high-PI soils may still undergo notable

553 contraction even at high OCR, highlighting the need to account for plastic-
 554 ity when modeling thermal soil behavior. Consequently, conventional models
 555 that focus primarily on OCR should incorporate PI for better accuracy in
 556 geotechnical design, especially for scenarios involving thermally induced vol-
 557 ume change.

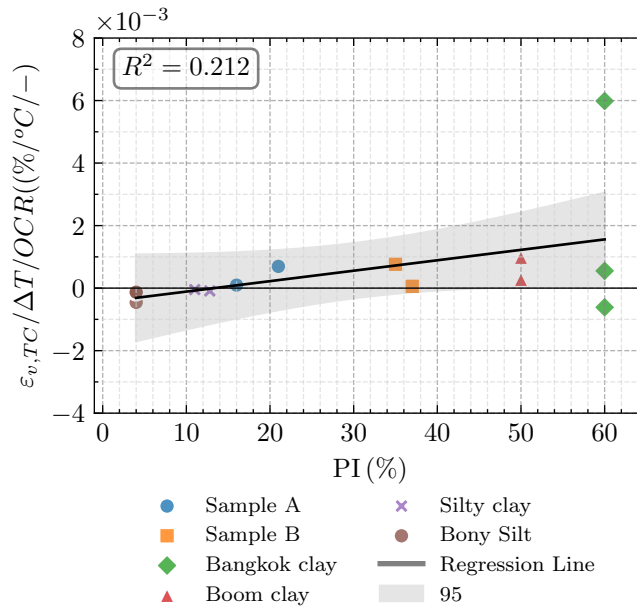


Figure 12: effect of plasticity on normalized volumetric strain for overconsolidated samples, including data from this study (Silty clay, Bony Silt) and literature (Bangkok clay (AbuelNaga et al., 2007b), Boom clay (Sultan et al., 2002), Silty clay (Di Donna et al., 2016), Bony Silt (Vega and McCartney, 2015)).

558 6.2.4. Thermal Cyclic behavior

559 The response of the samples to repeated thermal cycling was investigated
 560 by subjecting them to drained heating and cooling cycles while maintaining
 561 a constant effective stress. Figure 13a illustrates the volumetric strain re-

562 sponse of LP1. The initial heating phase results in a significant contraction,
563 while the subsequent cooling phase shows partial recovery, with a noticeable
564 plastic deformation evident at the end of the first cycle. Further heating and
565 cooling cycles lead to progressively smaller volumetric changes, with the over-
566 all contraction diminishing with each cycle. This pattern suggests that the
567 sample undergoes a decreasing rate of plastic deformation, moving towards a
568 more elastic behavior as the number of thermal cycles increases. Figure 13b
569 presents the volumetric strain behavior of sample LP6. In contrast to the nor-
570 mally consolidated sample, the strain response of the overconsolidated sample
571 is comparatively smaller. Additionally, the extent of contraction during the
572 heating phases and the partial recovery during cooling phases is considerably
573 less when compared to 13a.

574 Figure 14 presents the volumetric strain responses of Sample HP under
575 different overconsolidation ratios (OCR) when subjected to repeated drained
576 heating and cooling cycles. Figure 14a depicts the behavior of a normally
577 consolidated sample (HP1) under thermal cycling. The sample exhibits sim-
578 ilar contraction during heating phases and partial recovery during cooling
579 phases, as seen in the normally consolidated sample of LP1, as discussed pre-
580 viously. As the number of thermal cycles increases, the changes in volumetric
581 strain become less pronounced, indicating a reduction in plastic deformation
582 and a trend toward stabilization. Figure 14b illustrates the thermal cycling
583 response of a moderately overconsolidated Sample B (HP2). The volumet-
584 ric strain range is markedly reduced compared to the normally consolidated

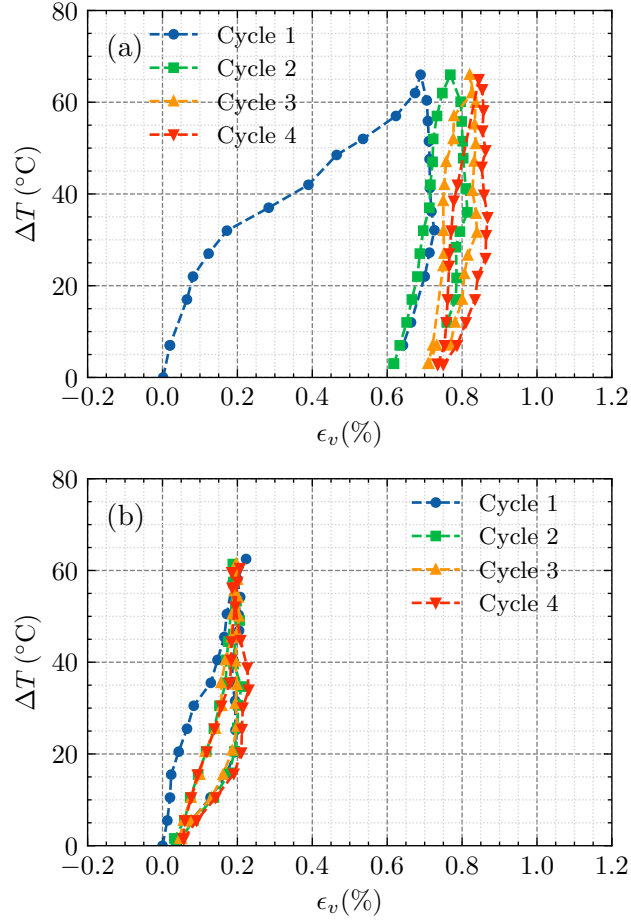


Figure 13: Thermal-induced plastic volumetric strain (ϵ_v) versus temperature change (ΔT) for (a) LP1 and (b) LP6 samples under cyclic thermal loading.

case. The overall contractive behavior reduces substantially for each subsequent thermal cycle, and the response seems largely elastic. Figure 14c shows the results for a highly over-consolidated HP sample (HP6). The overall strain variations are significantly reduced when compared with the previously discussed cases. The sample exhibits near-elastic behavior from the outset, showing immediate and almost complete recovery of deformation from the initial heating cycle with negligible residual volume change.

The contraction and recovery phases show that a significant degree of stabilization occurs after the first thermal cycle. Furthermore, it seems that thermal strain tends to stabilize after the 4th thermal cycle, and soils tend to enter elastic behavior even in the normally consolidated state. These results highlight the critical role of overconsolidation in influencing the thermal response of fine-grained soils. As the OCR increases, the tendency for irreversible plastic deformation under thermal cycling decreases. Higher values of OCR seem to enhance the elastic response, while the magnitude of volume change becomes increasingly suppressed, suggesting the influence of stress history on the structure and behavior of the tested material.

To quantify the progressive accumulation of volumetric strain resulting from repeated thermal cycling, Tables 5 and 6 provide a detailed breakdown of the induced volumetric strains at the end of each heating and cooling phase for the LP sample and HP sample, respectively, under different initial OCR conditions. As illustrated previously in Figures 13–14, the overall volume change and rate of plastic deformation for a given soil was closely influenced

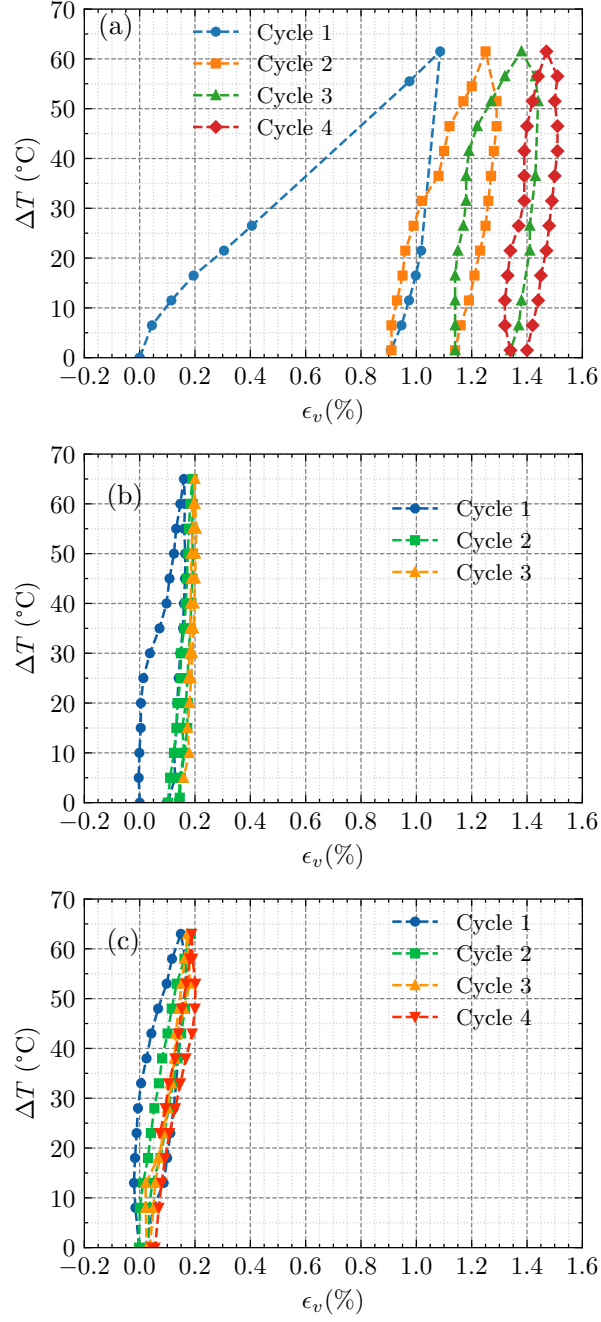


Figure 14: Thermal-induced plastic volumetric strain (ϵ_v) versus temperature change (ΔT) for the HP1, HP2, and HP6 samples under cyclic thermal loading.

by OCR. These values complement that information with quantitative measurements. Table 5 outlines the accumulating strains for the LP sample (low plasticity clay) through four thermal cycles, contrasting a normally consolidated sample (OCR=1) against an overconsolidated sample (OCR=6). A consistent contractive trend is apparent upon every heating and subsequent recovery of some of the volume in every cooling step of the process, with magnitudes gradually reducing after each cycle.

Table 5: Accumulating strain, ε_v (%) during heating-cooling cycles for LP sample.

Cycle	Process	OCR=1	OCR=6
1	heating	0.69	0.22
	cooling	0.62	0.04
2	heating	0.77	0.19
	cooling	0.71	0.05
3	heating	0.82	0.20
	cooling	0.73	0.05
4	heating	0.84	0.21
	cooling	0.74	0.05

Table 6 presents the accumulating strains for HP sample (high plasticity clay) across four thermal cycles, contrasting normally consolidated (OCR=1), and an overconsolidated state (OCR=2 & 6). A more significant volumetric response is observed in this sample when compared to the low plasticity material in Table 5 for OCR=1 samples, which is also discussed earlier with regard to Figure 14. While the trends of the thermal loading cycles in the HP sample under different OCR conditions align with the trends of the LP sample in their corresponding OCR conditions, it is observed that the overconsolidated

623 samples with a higher OCR show negligible overall changes after one thermal
624 cycle compared to a considerably reduced accumulated strain after multiple
625 cycles when the sample had a lower OCR condition.

Table 6: Accumulating strain, $\varepsilon_v(\%)$ during heating-cooling cycles for HP sample.

Cycle	Process	OCR=1	OCR=2	OCR=6
1	heating	1.09	0.16	0.15
	cooling	0.91	0.10	0.02
2	heating	1.24	0.18	0.16
	cooling	1.14	0.14	0.05
3	heating	1.37	0.20	0.16
	cooling	1.33	0.16	0.07
4	heating	1.47		0.19
	cooling	1.40		0.07

626 In both sample groups, the cumulative plastic deformation during each
627 successive heating cycle decreases, as each subsequent cooling cycle only re-
628 stores some volume back to the sample, and the rate of plastic deformation
629 noticeably reduces after each cycle. Figure 15, representing data from the
630 present study combined with a comparable dataset available in published
631 form, demonstrates that plastic components of volumetric strains are most
632 prominent during the early cycles of repeated drained heating on normally
633 consolidated samples, where material-specific changes (linked with Plasticity
634 Index), exhibit notable variations in the magnitude of deformations at first
635 phases followed by reduced change rates after subsequent phases.

636 Data generally confirms reduced changes over thermal treatment through
637 further cycling for every test series used, irrespective of material type or

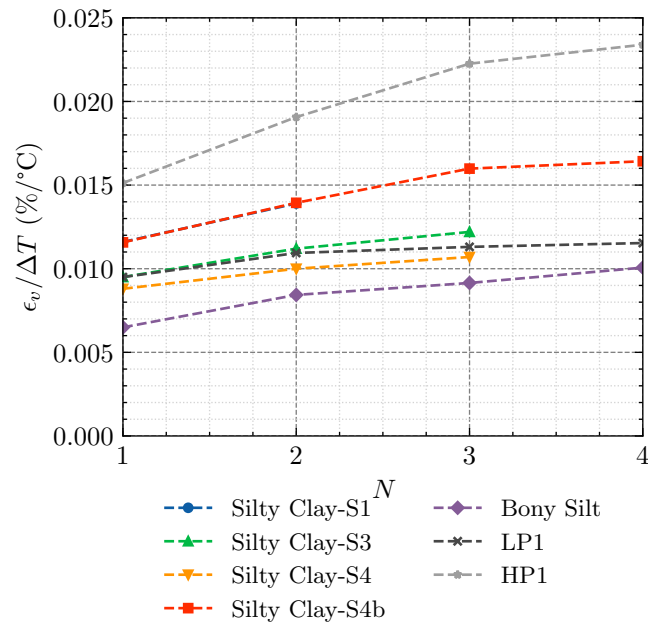


Figure 15: Relationship between the normalized plastic strain increment per degree Celsius and the number of thermal cycles (N) for various soil types. The plot compares silty clays (S1, S3, S4, S4b) from (Di Donna and Laloui, 2015), Bony Silt from (Vega and McCartney, 2015), and two newly tested samples (Samples LP1 and HP1).

638 values showing reduction and trend toward an elastic like behavior with pro-
639 gressive cycle number but mostly being dominant when plasticity properties
640 are high. The results indicate that thermal volume change stabilizes after
641 the 4th thermal cycle or before the 5th cycle. Furthermore, the incremen-
642 tal plastic deformation during each heating-cooling cycle appears to increase
643 with higher plasticity.

644 7. Conclusions

645 This research provides a comprehensive experimental investigation into
646 the thermally induced volumetric behavior of fine-grained soils, focusing on
647 the coupled effects of over-consolidation ratio (OCR), plasticity, and stress
648 history. Key findings include:

- 649 • **Stress History Dominance over OCR in Over-Consolidated**
650 **Clays:** Contrary to expectations based solely on OCR, the recent stress
651 history, specifically reloading, significantly influenced the behavior of
652 over-consolidated samples. Reloaded clays contracted upon heating
653 rather than undergoing initial expansion. This indicates that current
654 models emphasizing only OCR for thermal volumetric change are in-
655 adequate.
- 656 • **Plasticity as a Key Driver of Volumetric Strain:** The plasticity
657 index (PI) was revealed as a pivotal parameter. High-plasticity clays
658 exhibited substantially larger plastic contractions, greater total volu-

metric changes, and slower stabilization rates under thermal cycling compared to low-plasticity clays with the same OCR values. These observations underscore that material-specific parameters dominate the magnitude and rates of volume change.

- **Quantified Relationships with OCR and PI:** Logarithmic relationships were quantified to express volumetric strain as a function of temperature change, OCR and PI.
- **Repeated Thermal Cycles Stabilisation:** Repeated thermal cycles induced a gradual decrease in cumulative plastic strain, highlighting that a major portion of the total thermal change was accrued within the first few cycles (less than five thermal cycles) in most samples. Clays trended toward a more elastic behavior with increased cycle counts. Overconsolidated soils stabilized more quickly than their normally consolidated counterparts and showed greater elastic recovery.
- **Interactive Effects of OCR, PI, and Loading Path:** Data synthesis demonstrates that thermal behavior is governed by an interplay between OCR, PI, and recent stress path (reloading or unloading), contradicting conventional assumptions that OCR is the dominant parameter. The study highlights that PI has a significant influence in modifying the contraction of highly over-consolidated soils. Even with very high OCRs, high PI soils can exhibit notable contraction, while the thermal sensitivity of soils at low OCR depends heavily on PI.

681 Findings from this study provide compelling evidence for reevaluating
682 existing thermo-mechanical constitutive models to specifically include plas-
683 ticity alongside stress history (including the effects of reloading) for improved
684 predictive capability in thermally active geo-energy applications. Future re-
685 search should utilize these findings to refine constitutive models for better
686 prediction of soil behavior in diverse real-world scenarios.

687 The insights gained from our thermo-mechanical tests have practical ap-
688 plications for geologic risk assessments in various subsurface engineering
689 projects. Clays subjected to cyclic heating (e.g., in geothermal energy extrac-
690 tion or seasonal underground thermal energy storage systems) may undergo
691 progressive deformation or develop thermally induced microcracks, poten-
692 tially altering permeability and mechanical strength. This phenomenon be-
693 comes particularly relevant in regions with clay-rich strata similar to those
694 of District 8 in Budapest, where high-plasticity minerals magnify thermally
695 triggered volumetric changes. By matching the OCR- and PI-dependent
696 strain models with specific lithologies, site engineers can more reliably ac-
697 count for the cumulative effects of temperature during facility operations.
698 This expanded viewpoint not only mitigates the potential for unexpected
699 ground movements but also refines the safety margins necessary for struc-
700 ture integrity in the subsurface. Overall, our experimental outcomes bridge
701 the gap between small-scale laboratory characterizations and large-scale geo-
702 logic risk management, particularly where thermally active systems interact
703 with fine-grained formations.

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Declaration of interests

☐The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

☒The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

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