

LEO-to-User Assignment and Resource Allocation for Uplink Transmit Power Minimization

Hung Nguyen-Kha
SnT, University of Luxembourg
khahung.nguyen@uni.lu

Vu Nguyen Ha
SnT, University of Luxembourg
vu-nguyen.ha@uni.lu

Eva Lagunas
SnT, University of Luxembourg
eva.lagunas@uni.lu

Symeon Chatzinotas
SnT, University of Luxembourg
symeon.chatzinotas@uni.lu

Joel Grotz
SES S.A., Luxembourg
joel.grotz@ses.com

Abstract—This paper aims to develop satellite–user association and resource allocation mechanisms to minimize the total transmit power for integrated terrestrial and non-terrestrial networks wherein a constellation of LEO satellites provides the radio access services to both terrestrial base stations (BSs) and the satellite-enabled users (SUEs). In this work, beside maintaining the traditional SatCom connection for SUEs, the LEO satellites provide backhaul links to the BSs to upload the data received from their ground customers. Taking the individual SUE traffic demands and the aggregated BS demands, we formulate a mixed integer programming which consists of the binary variables due to satellite association selection, power control and bandwidth allocation related variables. To cope with this challenging problem, an iterative optimization-based algorithm is proposed by relaxing the binary components and alternating updating all variables. A greedy mechanism is also presented for comparison purpose. Then, numerical results are presented to confirm the effectiveness of our proposed algorithms.

Index Terms—Integrated terrestrial and non-terrestrial networks, LEO constellation, resource allocation, satellite association, power minimization.

I. INTRODUCTION

Recently, the rapid growth of number of devices, broadband traffic, as well as the new applications in the internet of things (IoT) era has challenged the terrestrial network (TN) operators [1]–[4]. Although fifth generation (5G) new radio cellular networks have been developed and deployed, which can bring several advantages, such as, high data rate, high energy efficiency, and low latency, the critical issue of ubiquitous coverage at the rural and city-edge areas has not been addressed efficiently due the high cost of backhaul-link and transportation network implementation [2]–[5].

To overcome these challenges, the low-earth-orbit (LEO) satellite and TN integration has been considered as a promising solution [4]–[10]. Thanks to inter satellite links and low orbit altitude, the LEO constellation can not only supply the global connectivity with wide coverage but also provide sufficiently-low-latency connection and high-data-rate services. Therefore, the LEO satellite constellation can contribute the high-speed and reliable backhaul connection between isolated cells and core network [5], [11]. However, enhancing the LEO connectivity as the backhaul links for terrestrial BSs has also required to re-solve several traditional technical issues such as SUE/BS

association, power control, and bandwidth allocation for the new integrated terrestrial and non-terrestrial systems [4].

Regarding the satellite and SUEs/BSs association, some satellite-user association schemes have been proposed in the literature [12]–[14]. The satellite selection based on the channel strength has been proposed for ground users in [15]; however, the channel gain may vary too frequently in the practical systems which may degrade network performance. Furthermore, this work only focuses on the satellite selection while the data demand and the limitation of satellite capacity are not considered. In [12], the authors proposed a satellite-gateway association strategy using the graph-theory approach, where a bipartite graph is established with the weight set from the channel gain and the coverage of satellites. Accordingly, the association solution is obtained by finding the maximum weighted matching. While the satellite selection problem is considered as a potential game in [13]. In [14], the LEO satellite is deployed to assist the terrestrial network. This work aims to optimize the user association and transmission power with the fixed bandwidth allocation to maximize the total network throughput. To the best of our knowledge, the problem of joint LEO satellite association, resource allocation under constraints of data demand and load balancing has not been considered in the previous related works, that motivates us to investigate these issues.

In this paper, we aim to minimize the uplink transmit power for the integrated LEO satellite and TN system serving both satellite and NT users. In this system, the LEO satellites can provide the backhaul link for the cellular BSs and the radio access service for the SUE simultaneously. Regarding the demand for satellite and terrestrial users, we formulate the power minimization problem which jointly design the LEO association, transmit power, and bandwidth allocation as an NP-hard mixed interger programming (MIP). Particularly, the optimization problem is difficult to solve directly owing to the coupling of binary and continuous variables, and the non-convexity of rate and objective functions. Hence, we propose an iterative algorithm based on alternating optimization method to cope with it. The numerical results demonstrate the convergence and effectiveness of the proposed algorithm.

The rest of the paper is organized as follows. Section II shows the system model and problem formulation. Section III

describes our proposed iterative algorithm and greedy-based algorithm, respectively. Section IV presents the numerical results which is followed by the conclusion given in Section V

II. SYSTEM MODEL AND PROBLEM FORMULATION

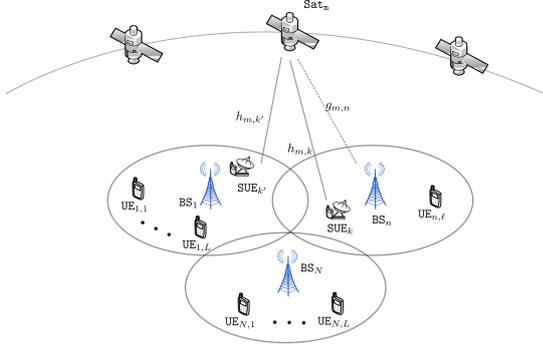


Fig. 1. An example of ISTN communication system.

We consider the uplink transmission of an integrated satellite-terrestrial network (ISTN) communication system consisting of M LEO satellites, N ground base stations with their users, and K SUEs as described in Fig. 1. Let \mathcal{M} , \mathcal{N} , \mathcal{L}_n and \mathcal{K} denote the sets of LEO satellites, BSs, users (UEs) in cell n served by BS n , and SUEs, respectively. In this integrated system, together with providing the radio access service to SUEs as in traditional SATCOMs, the LEO satellites also provide backhaul service to BS to offload data from their users to the core-network. For convenience, we define m -th LEO satellite, n -th BS, ℓ -th UE of BS n , and k -th SUE by LEO_m , BS_n , $\text{UE}_{n,\ell}$ and SUE_k , respectively. The channel gain of links $\text{LEO}_m - \text{SUE}_k$ and $\text{LEO}_m - \text{BS}_n$ are modeled as

$$h_{m,k} = \frac{G^{\text{LEO}} G^{\text{SUE}} \psi(\vartheta_{m,k})}{\text{PL}_{m,k}} \quad \text{and} \quad g_{m,n} = \frac{G^{\text{LEO}} G^{\text{BS}} \psi(\vartheta_{m,n})}{\text{PL}_{m,n}}, \quad (1)$$

where $\text{PL}_{m,i} = (4\pi f_c d_{m,i}/c)^2$ and $d_{m,i}$ denote the free space path loss and the distance between LEO satellite m and SUE/BS i ; G^{LEO} , G^{SUE} and G^{BS} are the maximum antenna gains of the LEO satellites, SUEs and BSs; $\vartheta_{m,k}$ and $\vartheta_{m,n}$ are the boresight angle from LEO_m to SUE_k and BS_n , respectively. Additionally, taking into account the channel in [16], $\psi(\vartheta)$ in the channel gain formulas represents the beam pattern function which is expressed as

$$\psi(\vartheta) = \begin{cases} 1 & , \vartheta = 0, \\ 4 \left| \frac{J_1(ka \sin \vartheta)}{ka \sin \vartheta} \right| & , \vartheta \neq 0, \end{cases}$$

where $k = 2\pi f_c/c$, a , f_c , and c are the antenna aperture radius, operation frequency and light speed, respectively.

A. Communication between SUE and Satellite

Regarding the LEO-SUE links, one assumes that the radio-access service according to every LEO is available to all SUEs and BSs located inside its corresponding coverage. Then, each SUE can associate with an LEO satellite for data-transmission purpose depended on its location, its transmission budget,

and the available radio resource at the satellite. To perform the LEO-SUE association, we introduce a new variable $\alpha \triangleq [\alpha_{m,k}]_{\forall(m,k) \in (\mathcal{M} \times \mathcal{K})}$ as

$$\alpha_{m,k} = \begin{cases} 1, & \text{SUE}_k \text{ is served by } \text{LEO}_m, \\ 0, & \text{otherwise.} \end{cases} \quad (2)$$

Due to the directional antennas employed in SATCOM, one presumes that each SUE can be served by at most one LEO satellite selected from covering ones, which yields the following constraint,

$$(C1) : \sum_{m \in \mathcal{M}} \alpha_{m,k} \leq 1, \forall k \in \mathcal{K}. \quad (3)$$

Once SUE_k is served by LEO_m , let W_k^{SUE} be the bandwidth allocated to SUE_k and $p_{m,k}$ indicate the transmission power of this user. The orthogonal bandwidth assignment is assumed in this work based on which the Signal-to-noise ratio (SNR) of SUE_k can be written as

$$\gamma_{m,k}^{\text{SUE}} = \frac{p_{m,k} h_{m,k}}{\sigma_m W_k^{\text{SUE}}}, \quad (4)$$

where σ_m is the noise power density per Hz at LEO_m . Then, the achievable rate of SUE_k at LEO_m can be expressed as

$$R_{m,k}^{\text{SUE}} = W_k^{\text{SUE}} \log_2(1 + \gamma_{m,k}^{\text{SUE}}) = W_k^{\text{SUE}} \log_2 \left(1 + \frac{p_{m,k} h_{m,k}}{\sigma_m W_k^{\text{SUE}}} \right). \quad (5)$$

Taking into account the LEO association decision, the achievable transmission rate of SUE_k can be described as

$$R_k^{\text{SUE}}(\mathbf{p}, \mathbf{W}^{\text{SUE}}, \alpha) = \sum_{m \in \mathcal{M}} \alpha_{m,k} R_{m,k}^{\text{SUE}}, \quad (6)$$

where $\mathbf{p} \triangleq [p_{m,k}]_{\forall m,k}$ and $\mathbf{W}^{\text{SUE}} \triangleq [W_k^{\text{SUE}}]_{\forall k}$. Regarding the communication rate demand at each SUE, the following constraint is introduced,

$$(C2) : R_k^{\text{SUE}}(\mathbf{p}, \mathbf{W}^{\text{SUE}}, \alpha) \geq \bar{R}_k^{\text{SUE}}, \quad \forall k \in \mathcal{K}, \quad (7)$$

in which \bar{R}_k^{SUE} indicates the required transmission rate of SUE_k .

B. Data Offloading from BS to LEO

In this system, we assume that the uplink data transmission of terrestrial UEs in one cell is gathered by the corresponding BS before being transferred to the core network through the satellite return links. Let $\bar{R}_{n,\ell}^{\text{UE}}$ be the required data rates of user ℓ in cell n , i.e. $\text{UE}_{n,\ell}$. Then, the required offloaded data from BS_n can be defined as $\bar{R}_n^{\text{BS}} = \sum_{\forall \ell \in \mathcal{L}_n} \bar{R}_{n,\ell}^{\text{UE}}$. Like SUEs, each BS will select a LEO satellite to associate with for data offloading. Denote $\mu \triangleq [\mu_{m,n}]_{\forall(m,n) \in (\mathcal{M} \times \mathcal{N})}$ as the association variable between BSs and LEOs which is determined as

$$\mu_{m,n} = \begin{cases} 1, & \text{BS}_n \text{ is served by } \text{LEO}_m, \\ 0, & \text{otherwise.} \end{cases} \quad (8)$$

Note that each BS can be served by at most one LEO satellite, similar to (C1), this is also casted by the following constraint,

$$(C3) : \sum_{m \in \mathcal{M}} \mu_{m,n} \leq 1, \forall n \in \mathcal{N}, \quad (9)$$

Let W_n^{BS} be the bandwidth of the return channel assigned to BS_n . Then, once BS_n is served by LEO_m , the SNR of BS_n transmission signal received at LEO_m can be expressed as

$$\gamma_{m,n}^{\text{BS}} = \frac{P_{m,n}g_{m,n}}{\sigma_m W_n^{\text{BS}}}, \quad (10)$$

where $P_{m,n}$ denotes the transmit power intended for LEO_m at BS_n . Hence, the achievable offloading rate of BS_n at LEO_m is written as

$$R_{m,n}^{\text{BS}} = W_n^{\text{BS}} \log_2(1 + \gamma_{m,n}^{\text{BS}}) = W_n^{\text{BS}} \log_2\left(1 + \frac{P_{m,n}g_{m,n}}{\sigma_m W_n^{\text{BS}}}\right). \quad (11)$$

Considering the LEO association selection of BS_n , its offloading rate can be summarized as

$$R_n^{\text{BS}}(\mathbf{P}, \mathbf{W}^{\text{BS}}, \boldsymbol{\mu}) = \sum_{\forall m \in \mathcal{M}} \mu_{m,n} R_{m,n}^{\text{BS}}, \quad (12)$$

where $\mathbf{P} \triangleq [P_{m,n}]_{\forall m,n}$ and $\mathbf{W}^{\text{BS}} \triangleq [W_n^{\text{BS}}]_{\forall n}$. In order to successfully forward all the data from UEs associated to BS_n to the core network, the following condition must be hold,

$$(C4): R_n^{\text{BS}}(\mathbf{P}, \mathbf{W}^{\text{BS}}, \boldsymbol{\mu}) \geq \bar{R}_n^{\text{BS}}, \forall n \in \mathcal{N}. \quad (13)$$

C. Problem Formulation

In this work, we aim to minimize the total transmit power of all BSs and SUEs while satisfying all users' transmission-rate demands. The design objective can be mathematically formulated as following power minimization problem,

$$\min_{\substack{\mathbf{P}, \mathbf{P}, \mathbf{W}^{\text{SUE}}, \\ \mathbf{W}^{\text{BS}}, \boldsymbol{\alpha}, \boldsymbol{\mu}}} \sum_{\forall m \in \mathcal{M}} \sum_{\forall n \in \mathcal{K}} \alpha_{m,k} P_{m,k} + \sum_{\forall m \in \mathcal{M}} \sum_{\forall n \in \mathcal{N}} \mu_{m,n} P_{m,n} \quad (14)$$

s.t. constraints (C1) – (C4),

$$(C5): \sum_{\forall m \in \mathcal{M}} \alpha_{m,k} P_{m,k} \leq p_k^{\text{max}}, \forall k \in \mathcal{K},$$

$$(C6): \sum_{\forall m \in \mathcal{M}} \mu_{m,n} P_{m,n} \leq P_n^{\text{max}}, \forall n \in \mathcal{N},$$

$$(C7): \sum_{\forall k \in \mathcal{K}} \alpha_{m,k} W_k^{\text{SUE}} + \sum_{\forall n \in \mathcal{N}} \mu_{m,n} W_n^{\text{BS}} \leq W_m^{\text{LEO}}, \quad \forall m \in \mathcal{M},$$

$$(C8): \alpha_{m,k}, \mu_{m,n} \in \{0, 1\}, \forall (m, n, k),$$

in which constraints (C5) and (C6) represent the limitation on maximum transmit power of SUEs and BSs. While constraint (C7) implies that the total allocated bandwidth for serving BSs and SUEs at each LEO satellite is lower than a pre-determined amount of available bandwidth.

III. PROPOSED SOLUTION APPROACHES

As can be observed, the original optimization problem (14) is non-convex and it is difficult to be solved directly owing to the coupling between binary association variables and power and bandwidth allocation ones. To address this critical issue and develop an efficient mechanism for solving problem (14), we first decompose it into two sub-problems. In particular, the first one aims to optimize the power and bandwidth allocation variables $\mathbf{P}, \mathbf{p}, \mathbf{W}^{\text{SUE}}$ and \mathbf{W}^{BS} for given LEO association decision. While the remaining one focuses on determining the association variables $\boldsymbol{\alpha}$ and $\boldsymbol{\mu}$ to reduce the total transmission

power for given values of $\mathbf{P}, \mathbf{p}, \mathbf{W}^{\text{SUE}}$ and \mathbf{W}^{BS} . Then, the solution of (14) will be defined by alternatively and iteratively solving these two sub-problems.

A. Power and bandwidth allocation optimization

At the iteration i , once $(\boldsymbol{\alpha}, \boldsymbol{\mu})$ are fixed at $(\boldsymbol{\alpha}^{(i)}, \boldsymbol{\mu}^{(i)})$, the corresponding power and bandwidth allocation, i.e., $\mathbf{P}, \mathbf{p}, \mathbf{W}^{\text{SUE}}$ and \mathbf{W}^{BS} can be optimized by addressing the following optimization problem,

$$\begin{aligned} \min_{\substack{\mathbf{P}, \mathbf{p}, \\ \mathbf{W}^{\text{SUE}}, \mathbf{W}^{\text{BS}}}} \quad & \sum_{\forall m \in \mathcal{M}} \sum_{\forall k \in \mathcal{K}} \bar{\alpha}_{m,k} P_{m,k} + \sum_{\forall m \in \mathcal{M}} \sum_{\forall n \in \mathcal{N}} \bar{\mu}_{m,n} P_{m,n} \quad (15) \\ \text{s.t.} \quad & (C2.1): \bar{R}_k^{\text{SUE}} \leq R_k^{\text{SUE}}(\mathbf{p}, \mathbf{W}^{\text{SUE}}, \bar{\boldsymbol{\alpha}}), \quad \forall k \in \mathcal{K}, \\ & (C4.1): \sum_{\forall \ell \in \mathcal{L}_n} \bar{R}_{n,\ell}^{\text{UE}} \leq R_n^{\text{BS}}(\mathbf{P}, \mathbf{W}^{\text{BS}}, \bar{\boldsymbol{\mu}}), \quad \forall n \in \mathcal{N}, \\ & (C5.1): \sum_{\forall m \in \mathcal{M}} \bar{\alpha}_{m,k} P_{m,k} \leq p_k^{\text{max}}, \quad \forall k \in \mathcal{K}, \\ & (C6.1): \sum_{\forall m \in \mathcal{M}} \bar{\mu}_{m,n} P_{m,n} \leq P_n^{\text{max}}, \quad \forall n \in \mathcal{N}, \\ & (C7.1): \sum_{\forall k \in \mathcal{K}} \bar{\alpha}_{m,k} W_k^{\text{SUE}} \\ & \quad + \sum_{\forall n \in \mathcal{N}} \bar{\mu}_{m,n} W_n^{\text{BS}} \leq W_m^{\text{LEO}}, \quad \forall m \in \mathcal{M}, \end{aligned}$$

where $(\bar{\boldsymbol{\alpha}}, \bar{\boldsymbol{\mu}}) = (\boldsymbol{\alpha}^{(i)}, \boldsymbol{\mu}^{(i)})$, and (C2.1), (C4.1) – (C7.1) are updated from (C2), (C4) – (C7) with a given value of association variable. To address this problem, we first characterize its convexity in the following theorem.

Theorem 1: Problem (15) is convex.

Proof: It can be seen that rate functions $R_k^{\text{SUE}}(\mathbf{p}, \mathbf{W}^{\text{SUE}}, \bar{\boldsymbol{\alpha}})$ and $R_n^{\text{BS}}(\mathbf{P}, \mathbf{W}^{\text{BS}}, \bar{\boldsymbol{\mu}})$ are concave with the fixed value $(\bar{\boldsymbol{\alpha}}, \bar{\boldsymbol{\mu}})$, resulting in that constraints (C4.1) and (C5.1) are convex. In addition, constraints (C6.1), (C7.1) and the objective function in (15) are linear with fixed association variables. Therefore, problem (15) is convex. ■

Thanks to **Theorem 1**, one can note that the optimal solution of problem (15) can be obtained by utilizing some standard optimization tools, e.g., Gurobi, Mosek, GAMS, CVX [17].

B. LEO Association Update: Relaxation and Projection

This section considers the LEO association decision at BSs and SUEs in the iteration i when transmit power and bandwidth allocation are fixed as $(\mathbf{p}^{(i+1)}, \mathbf{P}^{(i+1)}, (\mathbf{W}^{\text{SUE}})^{(i+1)}, (\mathbf{W}^{\text{BS}})^{(i+1)})$. Accordingly, the LEO association optimization problem at iteration $(i+1)$ can be written as

$$\min_{\boldsymbol{\mu}, \boldsymbol{\alpha}} \sum_{\forall m \in \mathcal{M}} \sum_{\forall n \in \mathcal{N}} \mu_{m,n} \bar{P}_{m,n} + \sum_{\forall m \in \mathcal{M}} \sum_{\forall k \in \mathcal{K}} \alpha_{m,k} \bar{P}_{m,k} \quad (16)$$

s.t. constraints (C1), (C3), and (C8),

$$(C2.2): \bar{R}_k^{\text{SUE}} \leq R_k^{\text{SUE}}(\bar{\mathbf{p}}, \bar{\mathbf{W}}^{\text{SUE}}, \boldsymbol{\alpha}), \quad \forall k \in \mathcal{K},$$

$$(C4.2): \sum_{\forall \ell \in \mathcal{L}_n} \bar{R}_{n,\ell}^{\text{UE}} \leq R_n^{\text{BS}}(\bar{\mathbf{P}}, \bar{\mathbf{W}}^{\text{BS}}, \boldsymbol{\mu}), \quad \forall n \in \mathcal{N},$$

$$(C5.2): \sum_{\forall m \in \mathcal{M}} \mu_{m,n} \bar{P}_{m,n} \leq P_n^{\text{max}}, \quad \forall n \in \mathcal{N},$$

$$(C6.2): \sum_{\forall m \in \mathcal{M}} \alpha_{m,k} \bar{P}_{m,k} \leq p_k^{\text{max}}, \quad \forall k \in \mathcal{K},$$

Algorithm 1 Proposed Algorithm to Solve Problem (14)

Phase 1:

- 1: **Initialization:**
- 2: Set $i := 0$ and generate an initial point $(\boldsymbol{\alpha}^{(0)}, \boldsymbol{\mu}^{(0)})$.
- 3: **repeat**
- 4: Solve (15) to obtain $(\mathbf{p}^*, \mathbf{P}^*, (\mathbf{W}^{\text{SUE}})^*, (\mathbf{W}^{\text{BS}})^*)$.
- 5: Update $(\mathbf{p}^{(i+1)}, \mathbf{P}^{(i+1)}, (\mathbf{W}^{\text{SUE}})^{(i+1)}, (\mathbf{W}^{\text{BS}})^{(i+1)}) = (\mathbf{p}^*, \mathbf{P}^*, (\mathbf{W}^{\text{SUE}})^*, (\mathbf{W}^{\text{BS}})^*)$.
- 6: Solve integer linear problem (16) to obtain $(\boldsymbol{\alpha}^*, \boldsymbol{\mu}^*)$.
- 7: Update $(\boldsymbol{\alpha}^{(i+1)}, \boldsymbol{\mu}^{(i+1)})$ using (17)
- 8: **until** Convergence
- 9: **Output-1:** The optimal solution $(\mathbf{p}^*, \mathbf{P}^*, (\mathbf{W}^{\text{SUE}})^*, (\mathbf{W}^{\text{BS}})^*, \boldsymbol{\alpha}^*, \boldsymbol{\mu}^*)$ with the continuous value of $(\boldsymbol{\alpha}^*, \boldsymbol{\mu}^*)$.

Phase 2:

- 10: Round $(\boldsymbol{\alpha}^*, \boldsymbol{\mu}^*)$ to recovery the binary value.
 - 11: Run step 4 with restored association variables to obtain exact solution $(\mathbf{p}^*, \mathbf{P}^*, (\mathbf{W}^{\text{SUE}})^*, (\mathbf{W}^{\text{BS}})^*)$.
 - 12: **Output-2:** The optimal solution $(\mathbf{p}^*, \mathbf{P}^*, (\mathbf{W}^{\text{SUE}})^*, (\mathbf{W}^{\text{BS}})^*, \boldsymbol{\alpha}^*, \boldsymbol{\mu}^*)$ for problem (14).
-

$$(C7.2) : \sum_{\forall n \in \mathcal{N}} \mu_{m,n} \bar{W}_n^{\text{BS}} + \sum_{\forall k \in \mathcal{K}} \alpha_{m,k} \bar{W}_k^{\text{SUE}} \leq W_m^{\text{LEO}}, \quad \forall m \in \mathcal{M},$$

where $(\bar{\mathbf{p}}, \bar{\mathbf{P}}, \bar{\mathbf{W}}^{\text{SUE}}, \bar{\mathbf{W}}^{\text{BS}}) = (\mathbf{p}^{(i+1)}, \mathbf{P}^{(i+1)}, (\mathbf{W}^{\text{SUE}})^{(i+1)}, (\mathbf{W}^{\text{BS}})^{(i+1)})$. Constraints (C2.2), (C4.2)–(C7.2) are rewritten from (C2), (C4)–(C7) with fixed values of power and bandwidth allocation. As can be observed, problem (16) is an integer linear programming which can be solved efficiently by utilizing some standard optimization tools, e.g., Matlab ('intlinprog' function), Gurobi, Mosek, GAMS, CVX [17].

Remark 1: It is worth noting that the outcomes of solving (16) are the binary values. If these binary numbers are utilized to update power and bandwidth allocation as in problem (15), the solution in the following iteration may be trapped at a local optimal point which cannot be improved [18]–[20].

To avoid the case where sub-problem (15) is strapped with strictly binary, a relaxation and projection approach similar to what introduced in [18]–[20] is employed. In particular, after obtaining the binary solution $(\boldsymbol{\alpha}^*, \boldsymbol{\mu}^*)$ for (16), to avoid the case where sub-problem (15) is strapped with strictly binary feasible point $(\boldsymbol{\alpha}^{(i)}, \boldsymbol{\mu}^{(i)})$, we use the following update

$$(\boldsymbol{\alpha}^{(i+1)}, \boldsymbol{\mu}^{(i+1)}) = (1 - \varrho)(\boldsymbol{\alpha}^{(i)}, \boldsymbol{\mu}^{(i)}) + \varrho(\boldsymbol{\alpha}^*, \boldsymbol{\mu}^*), \quad (17)$$

where $0 < \varrho < 1$ is the update rate. By alternatively and iteratively optimizing the transmit power and bandwidth allocation $(\mathbf{p}, \mathbf{P}, \mathbf{W}^{\text{SUE}}, \mathbf{W}^{\text{BS}})$ by solving (15) and updating $(\boldsymbol{\alpha}, \boldsymbol{\mu})$ as in (17), problem (15) can be solved effectively. The proposed algorithm is summarized in Algorithm 1.

C. Greedy-Based Solution

For comparison purpose, this section introduce a greedy algorithm for solving problem (15) which is summarized in Algorithm 2. Following this low-complexity approach, each LEO satellite is assumed to serve at most $\lfloor N/M + 0.5 \rfloor$ BSs and $\lfloor K/M + 0.5 \rfloor$ SUEs to ensure that all LEO satellites jointly serve BSs and SUEs. The pairs of LEO satellite and BS, and SUE with the best channel gain are associated until all BSs and SUEs are served. Subsequently, each LEO satellite

Algorithm 2 Greedy Algorithm

- 1: **Input:** Channel gain matrices \mathbf{h} and \mathbf{g}
 - 2: Set the number of available connection from LEO_m to BSs and SUEs: $N_m^{\text{BS,a}} := \lfloor N/M + 0.5 \rfloor$ and $N_m^{\text{SUE,a}} := \lfloor K/M + 0.5 \rfloor$
 - 3: **repeat**
 - 4: Find the index of the maximum element in \mathbf{g} : $(m^{\text{max}}, n^{\text{max}})$
 - 5: **if** $N_m^{\text{BS,a}} > 0$ **then**
 - 6: Update $\mu_{m^{\text{max}}, n^{\text{max}}} = 1, N_m^{\text{BS,a}} = N_m^{\text{BS,a}} - 1$ and $\mathbf{g}(:, n^{\text{max}}) = \mathbf{0}$
 - 7: **else**
 - 8: Update $\mathbf{g}(m^{\text{max}}, :) := \mathbf{0}$
 - 9: **end if**
 - 10: **until** All BSs are assigned
 - 11: **repeat**
 - 12: Find the index of the maximum element in \mathbf{h} : $(m^{\text{max}}, k^{\text{max}})$
 - 13: **if** $N_m^{\text{SUE,a}} > 0$ **then**
 - 14: Update $\mu_{m^{\text{max}}, k^{\text{max}}} = 1, N_m^{\text{SUE,a}} = N_m^{\text{SUE,a}} - 1$ and $\mathbf{h}(:, k^{\text{max}}) = \mathbf{0}$
 - 15: **else**
 - 16: Update $\mathbf{h}(m^{\text{max}}, :) := \mathbf{0}$
 - 17: **end if**
 - 18: **until** All SUEs are assigned
 - 19: Calculate bandwidth per user in LEO_m: $\bar{W}_m^{\text{per}} = W_m^{\text{LEO}} / (\sum_{\forall k} \alpha_{m,k} + L \sum_{\forall n} \mu_{m,n})$
 - 20: Set $W_k^{\text{SUE}} := \sum_{\forall m} \alpha_{m,k} \bar{W}_m^{\text{per}}$ and $W_n^{\text{BS}} := L \sum_{\forall m} \mu_{m,n} \bar{W}_m^{\text{per}}$
 - 21: **Output:** $\boldsymbol{\mu}, \boldsymbol{\alpha}, W_n^{\text{BS}}$ and $W_k^{\text{SUE}}, \forall n, k$
-

TABLE I
SIMULATION PARAMETERS

Parameter	Value
LEO satellite bandwidth, W_m^{LEO}	500 MHz
LEO satellite altitude	340 km
LEO satellite antenna gain, G^{LEO}	42 dBi
BS antenna gain, G^{BS}	32.8 dBi
SUE antenna gain, G^{SUE}	10 dBi
Operation frequency, f_c	27.5 GHz
Noise power power density, σ_m	-174 dBm/Hz
Maximum power at SUE, P_k^{max}	20 dBW
Maximum power at BS, P_n^{max}	40 dBW
Number of SUEs, K	10
Number of BSs, N	10
Demand per user, $\bar{R}_k^{\text{SUE}} = \bar{R}_{n,\ell}^{\text{SUE}} = \bar{R}$	100 Mb/s

allocates uniformly bandwidth for all served SUEs and BSs. The greedy-based algorithm is summarized in Algorithm 2. For the convenience, we use the Matlab notation for the index of channel gain matrices \mathbf{g} and \mathbf{h} .

IV. NUMERICAL RESULTS

In this section, the numerical results are illustrated to evaluate the performance of the proposed algorithms. The simulation parameters are summarized as in Table I. In these simulation, we consider a square area of 25 km² whose center is located at geographical coordinate $(\varphi_0, \theta_0) = (40^\circ\text{N}, 20^\circ\text{E})$. Inside this area, K SUEs and N BSs are randomly deployed. Herein, the number of UEs connecting to each BS is set by utilizing a Poisson distribution with a mean of $\bar{L} = 10$. Furthermore, 3 LEO satellites ($M = 3$) are assumed to cover this area which are taken from one orbit of the Walker star constellation. The latitude difference of two adjacent LEO satellites is 0.02°, the middle LEO satellite has the projection at (φ_0, θ_0) . For instance, a simulation topology consisting of $K = 10$ SUEs, $N = 10$ BSs served by three LEO satellites is

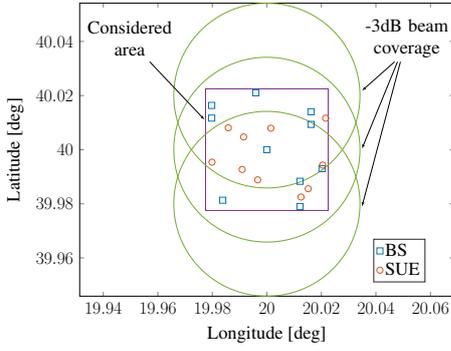


Fig. 2. A simulation topology with $K = 10$ SUEs, $N = 10$ BSs.

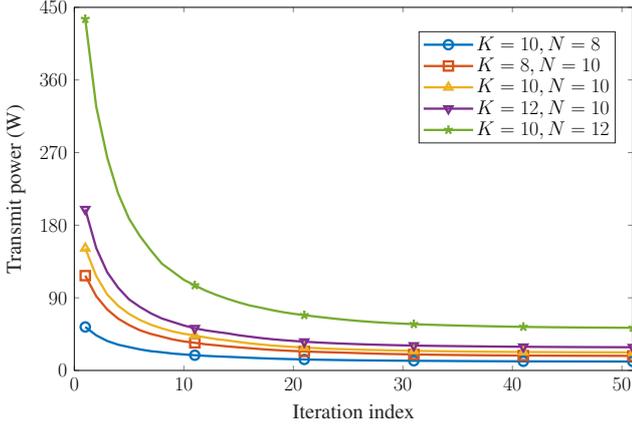


Fig. 3. Convergence rate of the proposed algorithm.

illustrated in Fig. 2.

Fig. 3 illustrates the convergence behavior of the proposed algorithm with different numbers of SUEs and BSs, i.e., $K = 8, 10, 12$ and $N = 8, 10, 12$. As can be observed, the total transmission power decreases and then saturates after a few number of iterations, which has confirmed the convergence of the proposed algorithm. It can be seen that the higher number of SUEs and BSs results in a higher number of iterations for convergence implementation. In particular, the schemes according to $(K, N) = (10, 8)$ and $(8, 10)$ require about 16 iterations for convergence while that number corresponding to $(10, 10)$ and $(10, 12)$ (or $(12, 10)$) schemes are around 22 and 31, respectively. As expected, implementing Algorithm 1 for a larger scaled-size system requires a higher number of iterations to converge.

The impact of demand per user \bar{R} on transmit power is shown in Fig. 4, where the total transmission according to two propose algorithms versus various users' demand is illustrated. As anticipated, BSs and SUEs consume more power to meet the higher user demand for both algorithms. Especially, the transmit power returned by both mechanisms raises quickly when \bar{R} increases. In particular, the consumed-power gap between two points $\bar{R} = 60$ Mbps and $\bar{R} = 120$ Mbps of Greedy-based algorithm is about 36 dB, while that of Alg. 1 is only about 28 dB. In addition, for \bar{R} larger than 100 Mbps, the greedy-based algorithm cannot return a feasible

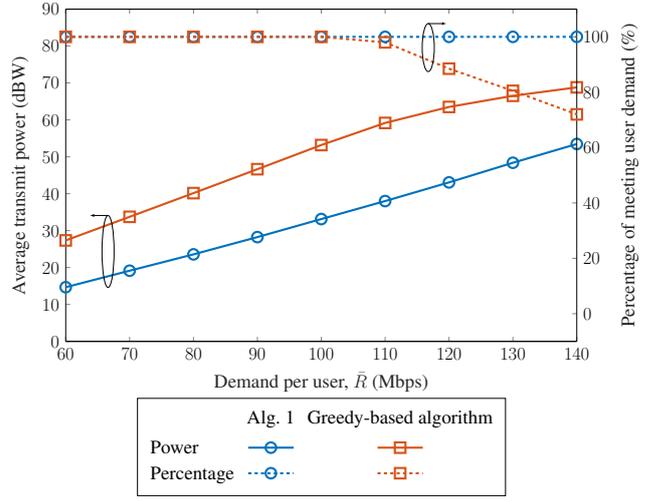


Fig. 4. Average transmit power versus the demand per user.

solution, e.g., the return can achieve all users' demands. The percentage of SUEs/BSs which are satisfied the data demand decreases when \bar{R} increases. In these infeasible scenarios, the unsatisfied BSs/SUEs have to spend all their transmit power budgets, and cannot consume more than that amounts. This has explained a light slow-down of the increasing trend of transmit power at \bar{R} larger than 100 Mbps for the greedy algorithm in comparison to that increasing trend due to Algorithm 1. Inversely, Algorithm 1 has outperformed the greedy one not only in term of achieving the much lower transmission power but also satisfying users' demands in all simulated scenarios.

Fig. 5 presents the variation of transmit power versus the different bandwidth budget of LEO₂ (W_2^{LEO}). In addition, the percentages of simulated scenarios achieving feasible solution for both proposed algorithms are also provided in this figure. As expected, SUEs and BSs consume more power if the bandwidth of LEO₂ decreases. Once again, Algorithm 1 has shown its superiority since it returns much lower transmission power than the greedy one does for all LEO₂'s bandwidth budget. In particular, when W_2^{LEO} decreases from 700 MHz down to 100 MHz, our first proposed algorithm helps the system consume about 28 dBW to 49 dBW while implementing the greedy algorithm suggests a transmit power amount of 47 dBW to 94 dBW. Furthermore, Algorithm 1 can also satisfy all users' demands in all considered scenarios while the greedy algorithm fails to do that when W_2^{LEO} is lower than 500 MHz. Hence, this figure has confirmed again the effectiveness of Algorithm 1.

For a more detail in the impact of the maximum bandwidth of LEO₂, Fig. 6 depicts the number of served users by each LEO satellite with different values of W_2^{LEO} according to implementing Algorithm 1. It can be seen that SUEs and BSs prioritize connection to LEO₂ owing its better channel gain. When W_2^{LEO} decreases, the bandwidth which can be allocated for SUEs/BSs connecting to LEO₂ has been also reduced. Hence, to optimize the transmit power and maintain the traffic demand, some of connecting SUEs/BSs have to

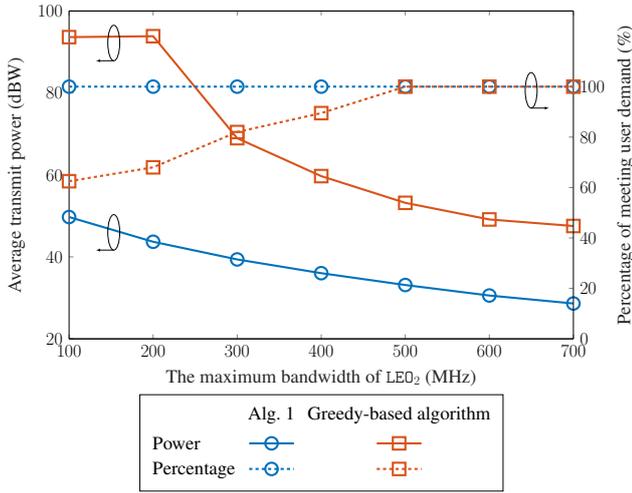


Fig. 5. Average transmit power versus the maximum bandwidth of LEO₂.

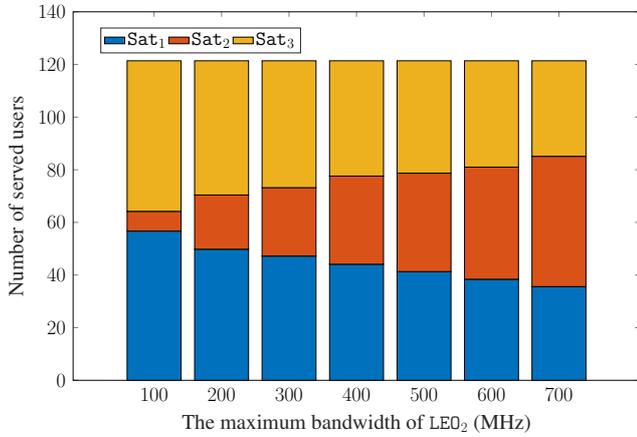


Fig. 6. Number of connections of satellites versus the maximum bandwidth of LEO₂ of a topology.

switch their connection from LEO₂ to the others. These results has demonstrated the flexibility of the proposed algorithm in various network circumstances.

V. CONCLUSION

In this paper, we studied integrated LEO satellite and terrestrial network uplink systems, where LEO satellites serve SUEs and provide the backhaul link for cellular networks simultaneously. Subsequently, we formulated the power minimization problem including the transmit power, bandwidth allocation and LEO satellite-SUE/BS association under the user demand requirement. To solve the problem effectively, we proposed an iterative algorithm based on the alternating optimization method. Numerical results demonstrated the effectiveness of our proposed algorithm, compared with the greedy-based algorithm.

ACKNOWLEDGMENT

This work has been supported by the Luxembourg National Research Fund (FNR) under the project INSTRUCT (IPBG19/14016225/INSTRUCT).

REFERENCES

- [1] M. Giordani, M. Polese, M. Mezzavilla, S. Rangan, and M. Zorzi, "Toward 6G networks: Use cases and technologies," *IEEE Commun. Mag.*, vol. 58, no. 3, pp. 55–61, Mar. 2020.
- [2] P.-D. Nguyen, V. N. Ha, and L. B. Le, "Computation offloading and resource allocation for backhaul limited cooperative MEC systems," in *Proc. IEEE 90th Veh. Tech. Conf. (VTC2019-Fall)*, 2019, pp. 1–6.
- [3] V. N. Ha, L. B. Le, and N.-D. Dao, "Coordinated multipoint transmission design for cloud-RANs with limited fronthaul capacity constraints," *IEEE Trans. Veh. Technol.*, vol. 65, no. 9, pp. 7432–7447, 2016.
- [4] M. Giordani and M. Zorzi, "Non-terrestrial networks in the 6G era: Challenges and opportunities," *IEEE Network*, vol. 35, no. 2, pp. 244–251, Mar. 2021.
- [5] O. Kodheli, E. Lagunas, N. Maturo, S. K. Sharma, B. Shankar, J. F. M. Montoya, J. C. M. Duncan, D. Spano, S. Chatzinotas, S. Kisseleff, J. Querol, L. Lei, T. X. Vu, and G. Goussetis, "Satellite communications in the new space era: A survey and future challenges," *IEEE Commun. Surveys Tuts.*, vol. 23, no. 1, pp. 70–109, 1st Quart. 2021.
- [6] M. Giordani and M. Zorzi, "Satellite communication at millimeter waves: a key enabler of the 6G era," in *2020 Int. Conf. Computing, Networking and Commun. (ICNC)*, Feb. 2020, pp. 383–388.
- [7] V. N. Ha, T. T. Nguyen, E. Lagunas, J. C. Merlano Duncan, and S. Chatzinotas, "GEO payload power minimization: Joint precoding and beam hopping design," in *GLOBECOM 2022 - 2022 IEEE Global Commun. Conf.*, 2022, pp. 6445–6450.
- [8] L. Chen, V. N. Ha, E. Lagunas, L. Wu, S. Chatzinotas, and B. Ottersten, "The next generation of beam hopping satellite systems: Dynamic beam illumination with selective precoding," *IEEE Trans. Wireless Commun.*, 2022.
- [9] E. Lagunas, V. N. Ha, T. V. Chien, S. Andrenacci, N. Mazzali, and S. Chatzinotas, "Multicast MMSE-based precoded satellite systems: User scheduling and equivalent channel impact," in *2022 IEEE 96th Veh. Tech. Conf. (VTC2022-Fall)*, 2022, pp. 1–6.
- [10] V. N. Ha, Z. Abdullah, G. Eappen, J. C. M. Duncan, R. Palisetty, J. L. G. Rios, W. A. Martins, H.-F. Chou, J. A. Vasquez, L. M. Garcés-Socarras, H. Chaker, and S. Chatzinotas, "Joint linear precoding and DFT beamforming design for massive MIMO satellite communication," in *2022 IEEE Globecom Workshops (GC Wkshps)*, 2022, pp. 1121–1126.
- [11] K. Liolis, A. Geurtz, R. Sperber, D. Schulz, S. Watts, G. Poziopoulou, B. Evans, N. Wang, O. Vidal, B. Tiomela Jou, M. Fitch, S. Diaz Sendra, P. Sayyad Khodashenas, and N. Chuberre, "Use cases and scenarios of 5G integrated satellite-terrestrial networks for enhanced mobile broadband: The SaT5G approach," *Int. J. Satellite Commun. Netw.*, vol. 37, no. 2, pp. 91–112, 2019.
- [12] L. Feng, Y. Liu, L. Wu, Z. Zhang, and J. Dang, "A satellite handover strategy based on MIMO technology in LEO satellite networks," *IEEE Commun. Lett.*, vol. 24, no. 7, pp. 1505–1509, July 2020.
- [13] Y. Wu, G. Hu, F. Jin, and J. Zu, "A satellite handover strategy based on the potential game in LEO satellite networks," *IEEE Access*, vol. 7, pp. 133 641–133 652, Sept. 2019.
- [14] C.-Q. Dai, J. Luo, S. Fu, J. Wu, and Q. Chen, "Dynamic user association for resilient backhauling in satellite-terrestrial integrated networks," *IEEE Systems Journal*, vol. 14, no. 4, pp. 5025–5036, Dec. 2020.
- [15] E. Juan, M. Lauridsen, J. Wigard, and P. E. Mogensen, "5G new radio mobility performance in LEO-based non-terrestrial networks," in *2020 IEEE Globecom Workshops (GC Wkshps)*, Dec. 2020, pp. 1–6.
- [16] 3GPP, "Study on New Radio (NR) to support non-terrestrial networks," 3rd Generation Partnership Project (3GPP), Technical report (TR) 38.811, Sept. 2020, version 15.4.0.
- [17] M. Grant and S. Boyd, "CVX: Matlab software for disciplined convex programming, version 2.1," <http://cvxr.com/cvx>, Mar. 2014.
- [18] M. Sanjabi, M. Razaviyayn, and Z.-Q. Luo, "Optimal joint base station assignment and beamforming for heterogeneous networks," *IEEE Trans. Signal Process.*, vol. 62, no. 8, pp. 1950–1961, 2014.
- [19] V. N. Ha, D. H. N. Nguyen, and J.-F. Frigon, "Subchannel allocation and hybrid precoding in millimeter-wave OFDMA systems," *IEEE Trans. Wireless Commun.*, vol. 17, no. 9, pp. 5900–5914, 2018.
- [20] V. N. Ha and L. B. Le, "End-to-end network slicing in virtualized OFDMA-based cloud radio access networks," *IEEE Access*, vol. 5, pp. 18 675–18 691, 2017.