# Membership Inference Attacks against GANs by Leveraging **Over-representation Regions**

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## **ABSTRACT**

Generative adversarial networks (GANs) have made unprecedented performance in image synthesis and play a key role in various downstream applications of computer vision. However, GAN models trained on sensitive data also pose a distinct threat to privacy. In this poster, we present a novel over-representation based membership inference attack. Unlike prior attacks against GANs which focus on the overall metrics, such as the attack accuracy, our attack aims to make inference from the high-precision perspective, which allows the adversary to concentrate on inferring a sample as a member confidently. Initial experimental results demonstrate that the adversary can achieve a high precision attack even if the overall attack accuracy is about 50% for a well-trained GAN model. Our work will raise awareness of the importance of precision when GAN owners evaluate the privacy risks of their models.

## CCS CONCEPTS

• Security and privacy; • Computing methodologies → Machine learning;

## **KEYWORDS**

Membership Inference Attacks; Generative Adversarial Networks; Human Face Generation; Over-representation

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#### INTRODUCTION

Machine learning, including discriminative models and generative models, has made tremendous progress in a wide range of application domains. In particular, generative adversarial networks (GANs) have made enormous progress in image generation since the seminal work was proposed by Goodfellow et al. [4] in 2014. Since then, GANs have achieved impressive performance in a variety of areas - image synthesis, image-to-image translation, and texture

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generation, etc. However, deploying these state-of-the-art techniques on applications involving sensitive personal data, such as the human face or healthcare data, has caused severe concerns about privacy [2, 14, 16]. For instance, adversaries can mount a membership inference attack against a machine learning model in order to infer whether a given sample was in the training set, which directly leads to information leakage of the training set [14].

Early studies about membership inference attacks concentrate on discriminative models [13, 14], and overfitting is considered as an important reason causing the leakage of training samples. Furthermore, Yeom et al. [16] formally illustrate the connection between overfitting and privacy risks and show that overfitting is a sufficient condition but not a necessary condition for membership inference attacks. Indeed, there have been several works advocating that for the training set of a machine learning model, there are always some training points that are more vulnerable to membership inference attacks, no matter whether the model is overfitting [1, 2, 10, 11, 15]. For example, Carlini et al. [2] reveal that certain training samples in language models which exhibit no overfitting can be extracted, such as phone numbers and email addresses from the victim model GPT-2. Long et al. [11] also show that there exist vulnerable samples in well-generalized classification models. Additionally, Leino et al. [10] further advocate that even if only one training sample is inferred as a member confidently, then it should be also considered as a privacy violation. Therefore, all these works motivate us to study membership inference attacks against GANs from the perspective of precision, i.e., whether the adversary can infer a sample as a member confidently.

In this paper, we propose a novel membership inference attack against GANs, which focuses on a high-precision inference. The precision refers to the proportion of real members among the samples that are inferred as members. Our attack methodology is based on the over-representation of GAN models: if the proportion of training samples (member samples) in some regions is significantly higher than that in other regions, then it can be abused by the adversary to mount membership inference attacks. Our preliminary results show that a high-precision attack can be achieved for a well-trained GAN.

# **METHODOLOGY**

## 2.1 Problem Formulation

Given a target GAN model  $G_{target}$  and a target dataset  $X_{target}$ , the goal of membership inference attacks is to infer whether a sample  $x_t$ from  $X_{target}$  is used to train the target model  $G_{target}$ .

Prior works [3, 5] perform membership inference attacks against GANs by comparing how close a sample  $x_t$  from  $X_{target}$  is to the

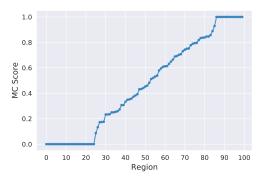


Figure 1: MC scores for target model StyleGAN.

sample generated by the target model  $G_{target}$ . Our attack methodology exploits one particular insight: when a generative model learns the distribution of a data set, there exist over-representation regions [12]. If a sample from  $X_{target}$  falls in a region where the most training samples of the target model lie, we believe this sample is more likely to be a member sample. Thus, we define a member confidence (MC) score to estimate the probability that each region contains members (see Figure 1). Finally, a sample with MC score higher than a threshold is predicted as a member. The key step of our method is to estimate a MC score which represents a region how frequent training samples occur.

**Member Confidence Score.** Let m, n be the numbers of member samples and nonmember samples, respectively. Here, member samples also refer to training samples of a target model. Nonmember samples are from the same distribution of training samples, but are not used for training. A region is a set of samples, which can be constructed by clustering algorithms. It is also called a cluster in our work and k is the number of regions. Member and nonmember samples are distributed among these regions, where  $m=m_1+m_2+\ldots+m_k$  and  $n=n_1+n_2+\ldots+n_k$ . For the  $i^{th}$  region, there are  $m_i$  member samples and  $n_i$  nonmember samples. Therefore, the ratio of member samples in the  $i^{th}$  region is defined:  $r_{memb}(i)=\frac{m_i}{m}$ , and the ratio of nonmember samples in the  $i^{th}$  region is:  $r_{nonmemb}(i)=\frac{n_i}{n}$ , we define the member confidence score in the  $i^{th}$  region as:

$$MCScore(i) = \frac{r_{memb}(i)}{r_{memb}(i) + r_{nonmemb}(i)} \tag{1}$$

As an example, we show the MC scores for target model StyleGAN trained on FFHQ in Figure 1. We can observe that different regions indeed show different proportions of member samples, i.e. different MC scores.

# 2.2 Over-representation based Attack

In this work, we assume that an adversary can have access to the whole GAN model, including the generator and the discriminator. However, the adversary has no knowledge of the training dataset. This attack scenario usually occurs when some research institutions publish their models to the public to avoid directly sharing original data, or model providers grant their models to their customers which utilize their state-of-the-art models to develop their own applications. For attack scenarios that require much less knowledge, we leave it for future work.

## Algorithm 1: Over-representation based attack

```
Input: target data: X_{target}; target model: G, D; the number of
              clusters: k
 1 def constructMCScore(G, k):
          Sample m samples X_{memb} from G;
 2
          Sample n samples \tilde{X}_{nonmemb} from G;
          \tilde{G}, \tilde{D} \leftarrow \text{trainSubstituteModel}(\tilde{X}_{memb});
          \Phi_{memb} \leftarrow \operatorname{sigmoid}(\tilde{D}(\tilde{X}_{memb}));
          \Phi_{nonmemb} \leftarrow \operatorname{sigmoid}(\tilde{D}(\tilde{X}_{nonmemb}));
          clusters \leftarrow \mathsf{cluster}(\Phi_{memb}, \Phi_{nonmemb}, k);
          \textit{MCScore} \leftarrow \mathsf{computeMCScore}(\Phi_{\textit{memb}}, \textit{m}, \Phi_{\textit{nonmemb}}, \textit{n,clusters})
            ⊳ based on Eq. 1, MCScore: MC scores of regions ;
          return MCScore, clusters
10 def assignMCScore(X_{target}, D, MCScore, clusters):
                                   \triangleright MC score of each sample from X_{target};
11
          X_{MCScore} = []
          forall x_t of X_{target} do
12
13
                \Phi_t \leftarrow \operatorname{sigmoid}(D(x_t));
                i \leftarrow \operatorname{assignCluster}(\Phi_t, clusters)
                                                                     ▶ i: index of cluster;
14
                X_{MCScore}.append(MCScore[i])
          return X_{MCScore}
   def predictMemb(x_{MCScore}, \tau):
         return 1 if x_{MCScore} \ge \tau else 0
                                                            \triangleright \tau: threshold
```

Our attack consists of three steps, which is also described in Algorithm 1. In the first step, we construct MC scores that represent the degree of over-representation in each region. In order to estimate MC scores, we first train a substitute model by querying the target model. The training process of the substitute model can be regarded as a model extraction attack against the GAN [7], which aims to duplicate the target GAN model including its functionality and implicit data distribution. In this way, data used for training the substitute model is considered as members of the model, and data sampled from the target model but not used for training the substitute model is regarded as nonmembers. Therefore, we can know the members and nonmembers for this substitute model, which is utilized to construct MC scores. Here, we leverage the discriminator's outputs instead of raw samples to split regions (Algorithm 1, line 5-7). we convert these outputs to a fixed interval through the sigmoid function because they have different ranges for different discriminators. Since the discriminator's output is a single value, we do not need to perform clustering, instead directly dividing the range of outputs into k parts, i.e. k regions. Finally, we calculate the MC score on each part through the substitute model (Algorithm 1, line 8).

In the second step, we assign the MC score to each suspect sample from the target dataset  $X_{target}$ , based on the distance between each suspect sample and each region (Algorithm 1, line 10-16). In the last step, a sample with MC score higher than a threshold is predicted as a member (Algorithm 1, line 17-18).

Note that, our method is similar to the membership inference attacks against discriminative models [15] where the top-1 confidence scores of a discriminative model are used as the features. However, our attack does not make any assumption about the training set while the attack against discriminative models needs a shadow dataset that is from the same distribution of the training set.

Table 1: Attack performance (SD: standard deviation).

Target Model	Methods	Precision (%)	Precision (%)	Recall (%)	AUCROC/
					Accuracy (%)
		Mean (SD)	Maximum	Mean (SD)	Mean (SD)
StyleGAN	Ours	96.00 (8.00)	100.00	0.03 (0.007)	50.02 (0.003)
StyleGAN	LOGAN	55.65 (0.15)	55.85	55.64 (0.15)	55.65 (0.15)
PGGAN	Ours	59.00 (2.04)	61.17	0.39 (0.20)	50.06 (0.04)
PGGAN	LOGAN	51.83 (0.10)	52.01	51.83 (0.10)	51.83 (0.10)

## 3 PRELIMINARY RESULTS

**Datasets.** We perform all of some experiments with the FFHQ dataset [9], which contains 70,000 human face images. We split the dataset into two parts: a training set for model training (60,000 images) and a test set that is not used for training. A target set is used to evaluate the performance of membership inference attacks. It consists of the equal number of member samples (randomly selected from the training set) and nonmember samples (randomly selected from the test set). In our experiments, images are resized to  $64 \times 64$  and the size of a target set is 20,000.

Target Models. We choose PGGAN [8] and StyleGAN [9] as our target models to be attacked, considering their excellent performance and widespread adoption. In our experiments, target models with the best Fréchet Inception Distance (FID) [6] during the training progress are selected. Specifically, the FID of target model StyleGAN and PGGAN are 5.05 and 6.59, respectively.

Attack Evaluation. We use precision as a key indicator to evaluate the attack performance because it can better capture the severity of the leakage of a training set. The precision of an attack refers to the ratio of real-true member samples in all the positive inferences. We also report recall, accuracy and AUCROC. We compare our attack approach with the prior work LOGAN [5], due to the similar attack scenario. The suggested hyperparameters of LOGAN are used, and for our method, we set the threshold as 99.99th percentile of all MC scores of the target set and the number of clusters is 100. In all experiments, we repeat 5 times to evaluate attack performance.

Results. Table 1 shows a comparison of different attack methods. Overall, our method can achieve much higher mean precision than LOGAN on both target models, even if the accuracy or AUCROC is about 50%. We also report the maximum precision as a reference because it can be considered as a worst-case for target models. Our method can achieve 100% maximum precision in some cases, which indicates these samples predicted as members are all real-true samples. Our attack method achieves high precision at the expense of recall because we only consider samples with higher MC scores. It means that not all training samples can be easily inferred and there only exist some vulnerable samples in a training set. This is consistent with observations made on other machine learning models, i.e., language models or classification models [1, 2, 11].

# 4 CONCLUSION

In this poster, we have presented a novel membership inference attack against GANs from the perspective of precision. Our method leverages over-representation regions of a GAN model to make inferences. Initial experimental evaluations showed that our method can achieve a high-precision membership inference even though the overall attack accuracy is around 50% for a well-trained model.

We hope that our study highlights the necessity that model owners should systematically evaluate the privacy risks when sharing their models, including the worst-case conditions.

As future work, we aim to relax our assumption and generalize our approach to more challenging attack scenarios. In addition, it will be interesting to design possible defense measures against our new attack. In the literature, differential privacy has been shown as a promising approach to defend against privacy attacks. However, an effective differential privacy strategy to train a GAN that produces high-quality images still needs to be developed in the future.

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