

# **Intersectional inequalities in science**

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Name-based racial inference

Race and gender inequalities in US authors

What are we missing? (case example)

## **Name-based racial inference**

# Introduction

*“The racialization of data is an artifact of both the struggles to preserve and to destroy racial stratification.” (Zuberi 2002)*

- ▶ We want to study how the cultural construct of *race* influences US academy.
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# Information retrieval

Each author with a *given* and *family* name has two associated probability distributions:

Type	Name	Asian	Black	Latinx	White
Given	Juan	1.5%	0.5%	93.4%	4.5%
	Doris	3.4%	13.5%	6.3%	76.7%
	Andy	38.8%	1.6%	6.4%	53.2%
Family	Rodriguez	0.6%	0.5%	94.1%	4.8%
	Lee	43.8%	16.9%	2.0%	37.3%
	Washington	0.3%	91.6%	2.7%	5.4%

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# Proposed algorithms

Based on this, we built multiple algorithms

- 1 using Family names,
- 2 using Given names,
- 3 using a mixture of both distributions, and
- 4 normalizing Given names to match the census distribution

because information on given names from mortgage data (Tzioumis 2018) has a different underlying distribution.

<i>Racial group</i>	<i>Family (Census)</i>	<i>Given (Mortgage)</i>
Asian	5.0%	6.3%
Black	12.4%	4.2%
Latinx	16.5%	6.9%
White	66.1%	<b>82.6%</b>

Alternatively we use **fractional counting**: considering the full distribution for each author. In this way, authors are not uniquely identified, but on aggregate gives an unbiased estimate.

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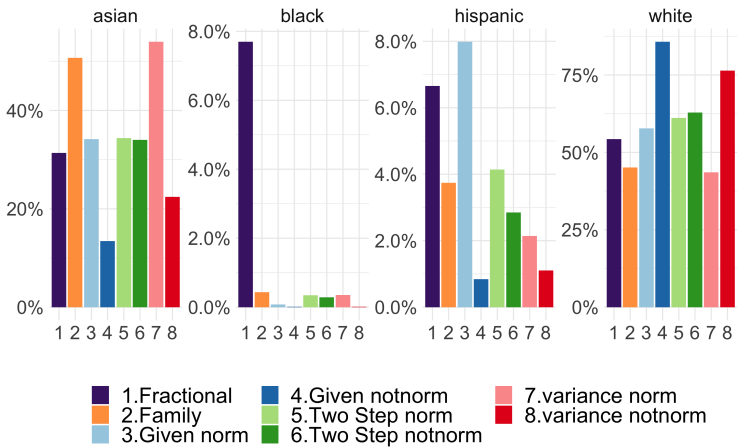
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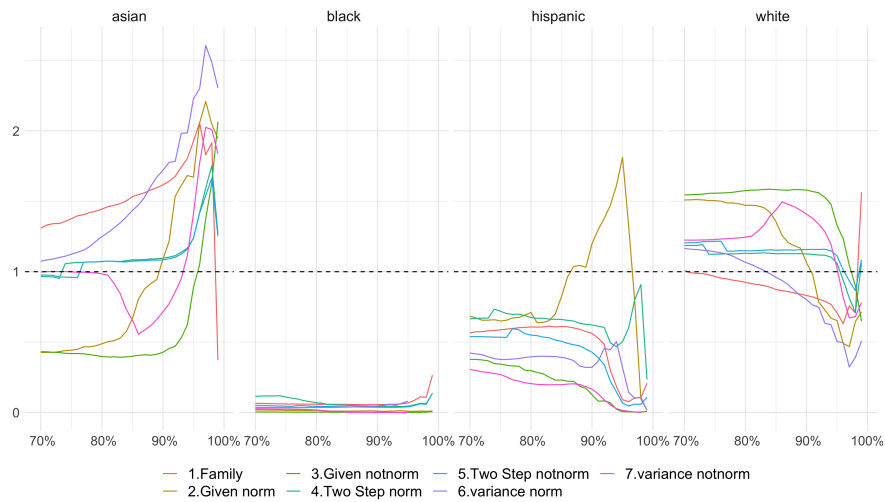
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# 90% threshold in WOS

If we take a 90% threshold, all models underestimate Black authors with respect to **fractional counting**, and almost always underestimate Latinx authors



# Moving Threshold



Changing the threshold does not solve the bias.

# Imputation

Finally, for those names that do not appear on the Census, we would like to impute a probability that doesn't add bias

<i>Racial group</i>	<i>US census aggregate</i>	<i>US census "All other names"</i>	<i>US WoS (fractional counting)</i>
Asian	5.0%	8.2%	24.5%
Black	12.4%	8.8%	7.2%
Latinx	16.5%	14.1%	5.4%
White	66.1%	68.8%	59.4%

If we use the US Census category "All other names", we would introduce a bias, because the distribution on WOS differs from that of the Census.



# Summary

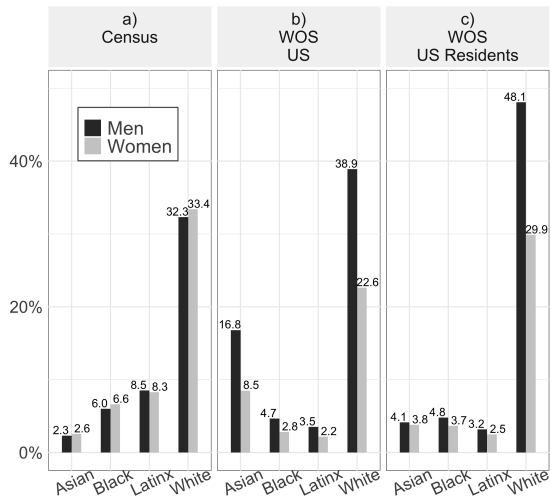
In summary, our recommendation is:

Topic	Do's	Don'ts
Given Names	Use only family names	Given names might have a biased distribution
Thresholding	Use the <b>fractional counting</b>	Do not use a threshold
Imputation	Impute by your own data average	Do not use 'All other names' from Census

- ▶ **Always consider the historical context of your data:** These racial categories only make sense within contemporary US, because they are a product of this society.
- ▶ Naming practices are also a product of society, and in the case of US they go back to the times of slavery. This historical context needs to be acknowledge when we build such name-based algorithms.
- ▶ Using a full distribution instead of a simple label might imply more work, but is the best way to avoid biases.

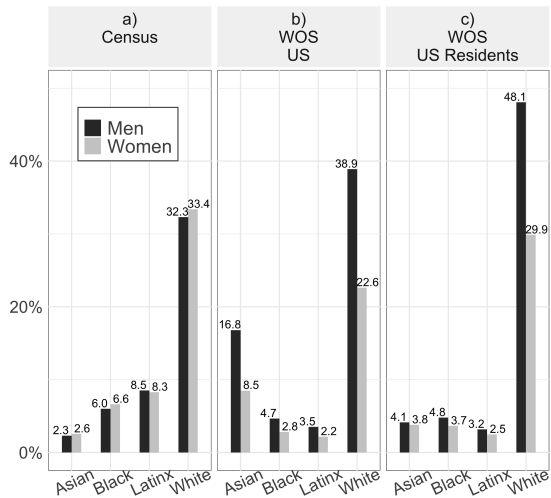
## **Race and gender inequalities in US authors**

# Results. General distribution



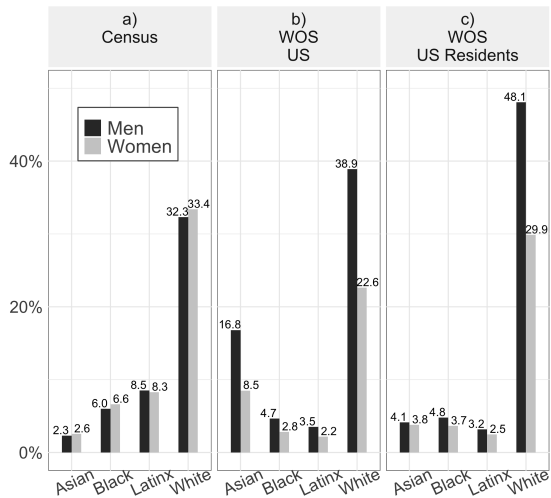
- ▶ Overall, there is an overrepresentation of White and Asian men,
- ▶ a large proportion of Asian authors are not US residents,
- ▶ i.e. the Census is not a perfect benchmark to define *overrepresentation* of this group,
- ▶ **women** are underrepresented,
- ▶ **Black and Latinx** are underrepresented,
- ▶ **Black and Latinx women** are the most underrepresented.

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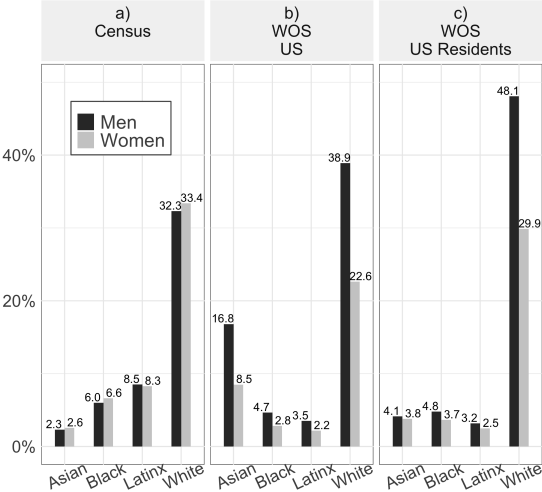
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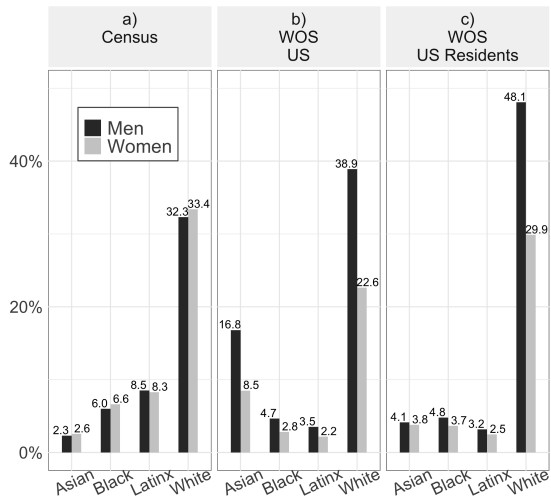
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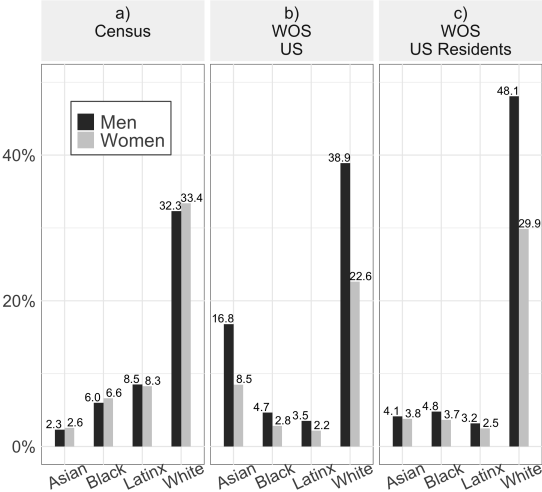
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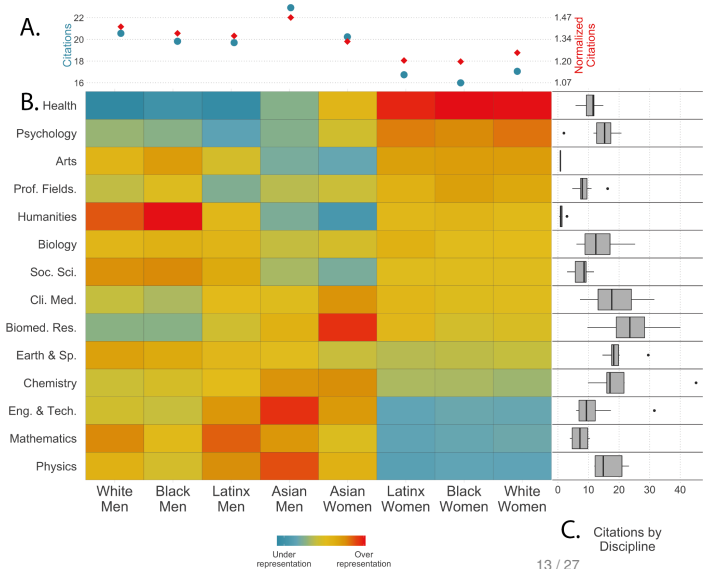
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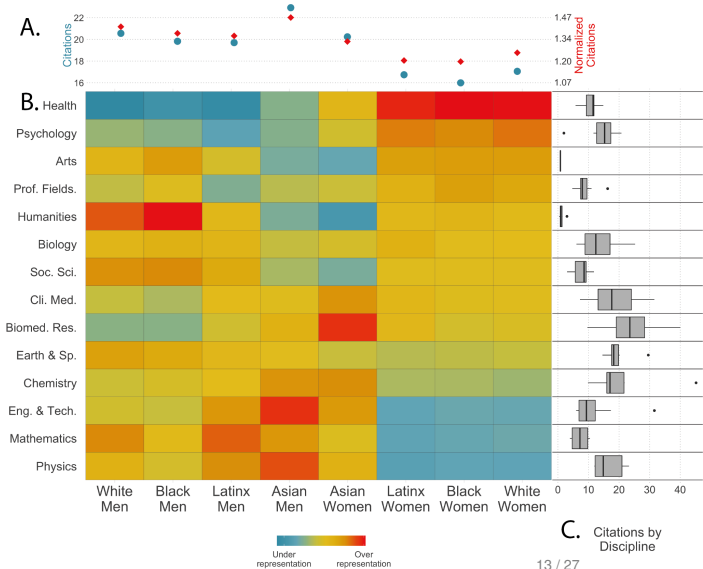


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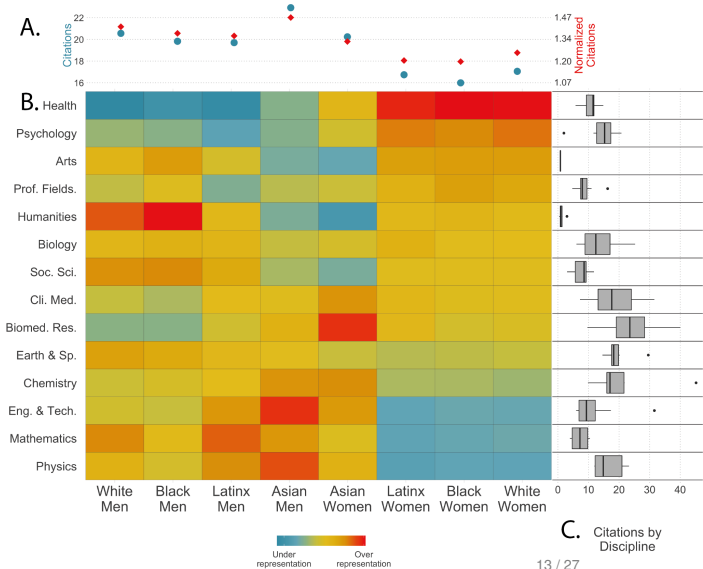
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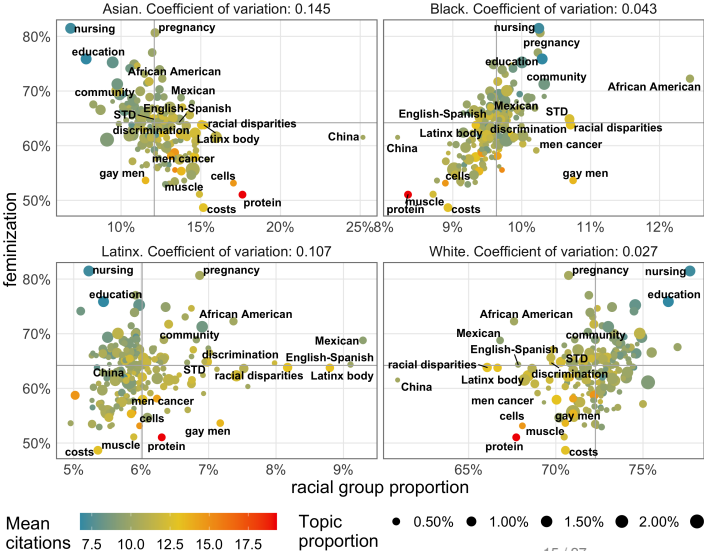
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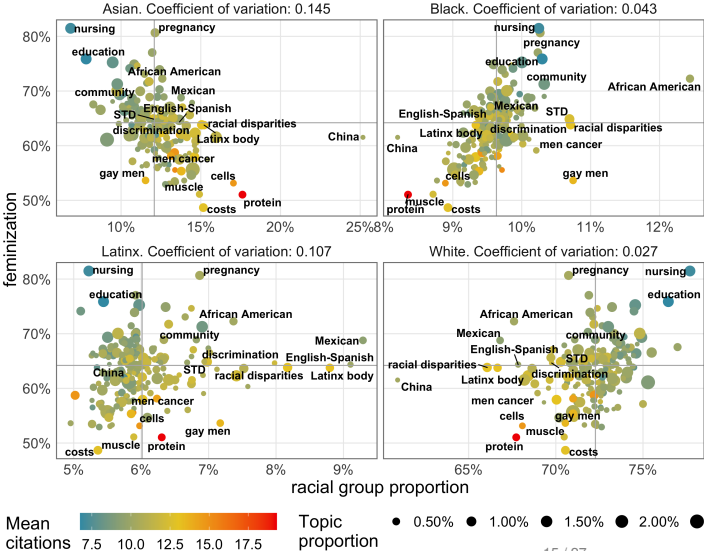
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- ▶ Black authors focus on *African American and racial disparities* studies,
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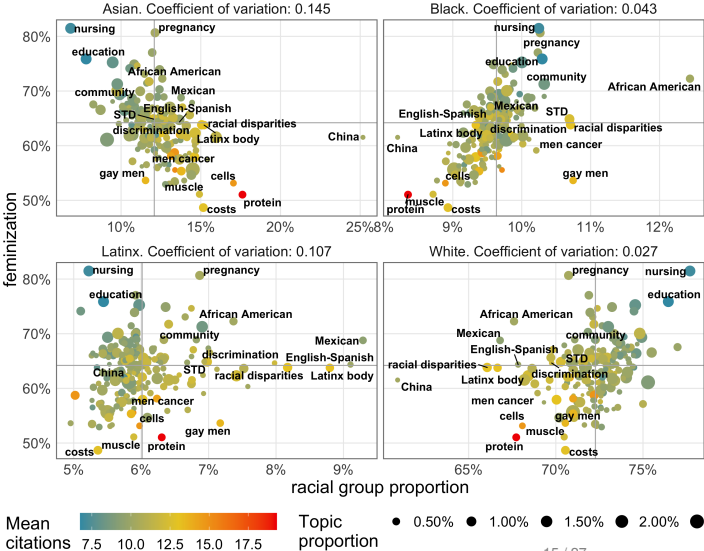
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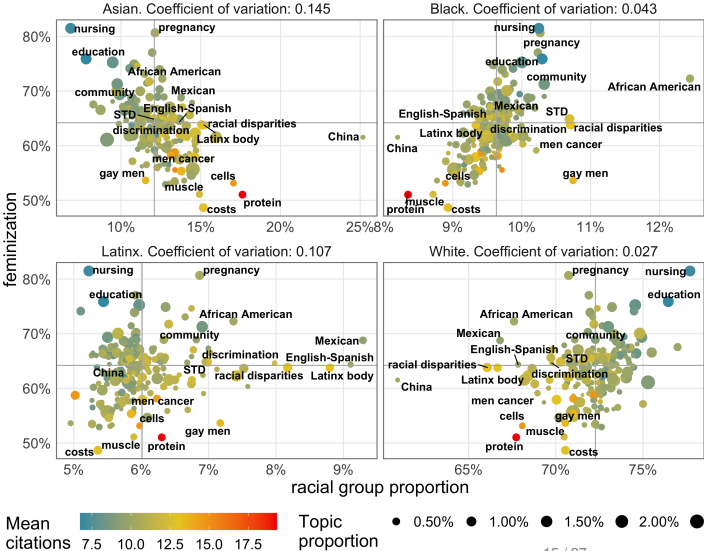


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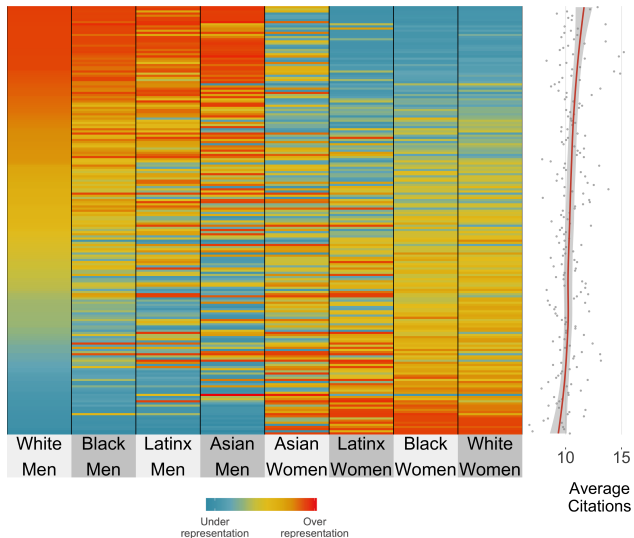
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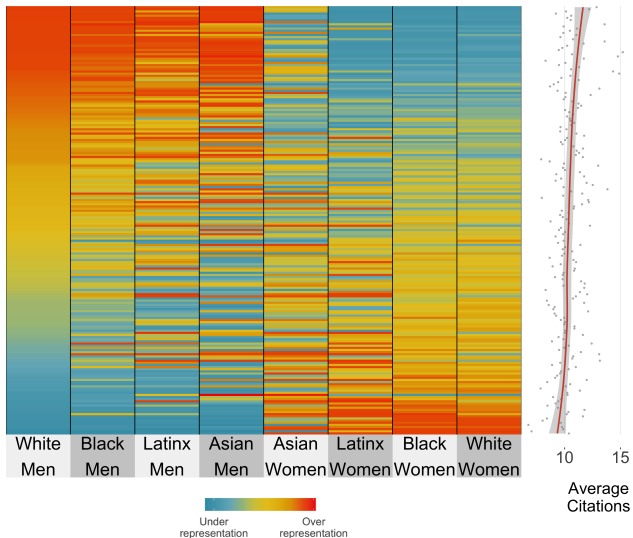
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How does this topics affect citations gaps?

- ▶ If we sort topics by White Men's participation, this positively correlates with the average number of citations by topic.
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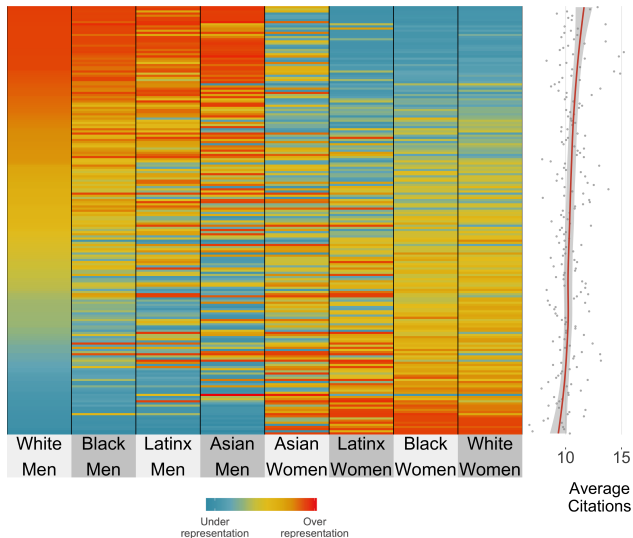
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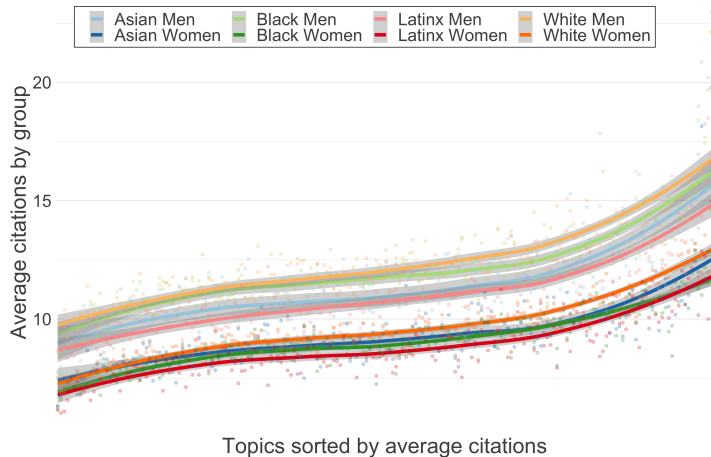


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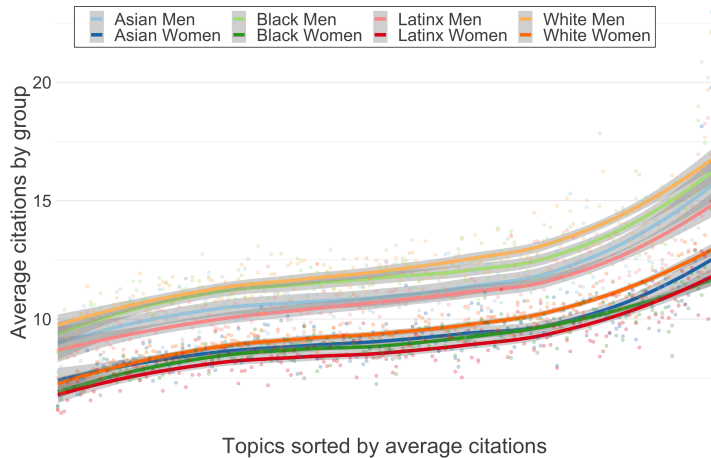
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- ▶ these groups have specific research interests,
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**What are we missing? (case example)**

- ▶ As we conclude, there are relevant under-studied topics in science, that mainly affect marginalized groups.
- ▶ this can also reflect on **missing datasets**,
- ▶ As the authors of *Data Feminism* explain:  
*"the things that we do not or cannot collect data about are very often perceived to be things that do not exist at all." (D'Ignazio and Klein 2018)*
- ▶ How can we make evidence-based public policy over marginalized topics?
- ▶ We are going to present the case of data on abortion in clandestine situations in Argentina.
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- ▶ a feminist organization that works on data analysis.
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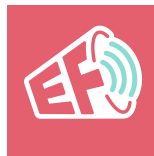


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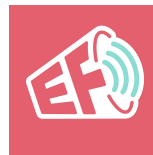
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- ▶ a grass-root organization of female doctors that helps people to have the safest conditions when practicing clandestine abortions,
- ▶ a feminist organization that works on data analysis.
- ▶ This goes beyond traditional research institutions. Sometimes, only grass-root organizations are able to access the most relevant data (D'Ignazio and Klein 2018)



[larevuelta.com.ar](http://larevuelta.com.ar)

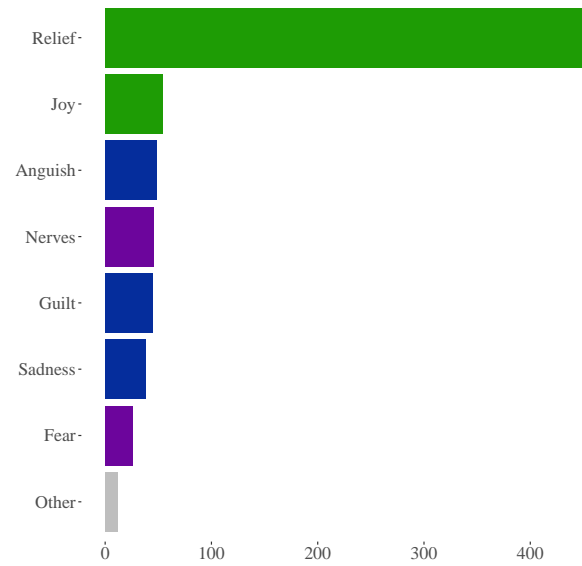


[ecofeminita.com](http://ecofeminita.com)

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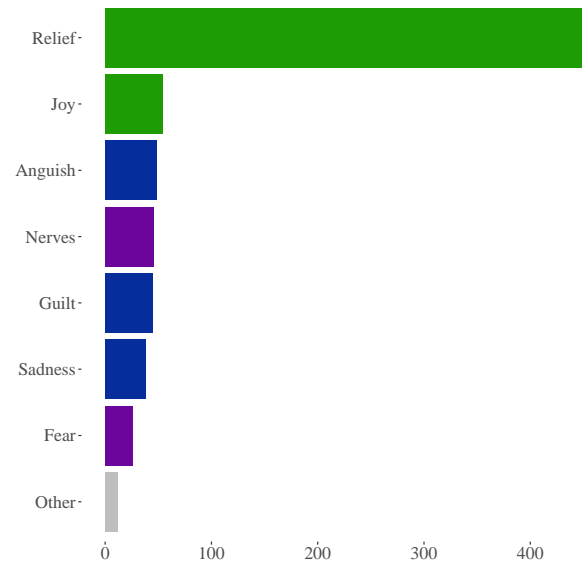
- ▶ They interviewed more than 400 people who were previously accompanied by La Revuelta, asking about which where the principal emotions they felt after practicing the abortion.
- ▶ The data shows that the most common emotion is actually **relief**,
- ▶ this evidence goes against the more or less generalized belief that abortion produces anguish and permanent trauma, an idea popularized by the anti-abortion organizations.

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# Conclusion

- ▶ Understudied research topics also appear in the form of *missing data*, that is also necessary for public policy.
- ▶ In order to move towards a more inclusive science, we also need to include grass-root organizations.
- ▶ In US, there is a rise of laws that persecute organizations that help people who practice abortions,
- ▶ this does not only generate a more unsafe environment for them, but will also restrict the possibility of research on this topic.

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# Thank You!

## Questions?

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<https://driven.uni.lu>



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