

1 Session Subtyping and Multiparty Compatibility 2 using Circular Sequents

3 **Ross Horne** 

4 Computer Science, University of Luxembourg, Esch-sur-Alzette, Luxembourg
5 ross.horne@uni.lu

6 — Abstract —

7 We present a structural proof theory for multi-party sessions, exploiting the expressive power of
8 non-commutative logic which can capture explicitly the message sequence order in sessions. The
9 approach in this work uses a more flexible form of subtyping than standard, for example, allowing a
10 single thread to be substituted by multiple parallel threads which fulfil the role of the single thread.
11 The resulting subtype system has the advantage that it can be used to capture compatibility in the
12 multiparty setting (addressing limitations of pairwise duality). We establish standard results: that
13 the type system is algorithmic, that multiparty compatible processes which are race free are also
14 deadlock free, and that subtyping is sound with respect to the substitution principle. Interestingly,
15 each of these results can be established using cut elimination. We remark that global types are
16 optional in this approach to typing sessions; indeed we show that this theory can be presented
17 independently of the concept of global session types, or even named participants.

18 **2012 ACM Subject Classification** Theory of computation → Type theory; Theory of computation
19 → Proof theory; Theory of computation → Linear logic; Theory of computation → Process calculi

20 **Keywords and phrases** session types, subtyping, compatibility, linear logic, deadlock freedom

21 **Digital Object Identifier** 10.4230/LIPIcs.CONCUR.2020.6

22 **Acknowledgements** This paper benefits hugely from discussions with Mariangiola Dezani-Ciancaglini
23 and Paola Giannini who suggested restricting to a regular calculus.

24 **1** Introduction

25 Session types are a class of type systems for modelling protocols that prescribe, not only the
26 types of messages exchanged, but also the sequence in which they are communicated. The
27 first session type systems were constrained to two parties. For such binary sessions, given a
28 session type prescribing the behaviour of each of the participants, it is possible to determine
29 whether the two behaviours are compatible, in the sense that they can interact together to
30 successfully realise a protocol.

31 Here, in the introduction, we first make it clear there are obvious, underexploited,
32 connections between compatibility in the binary setting and provability in non-commutative
33 extensions of linear logic. The body of this work shows that these observations extend
34 elegantly to the multiparty setting [32, 33], where multiparty compatibility is the problem of
35 whether two or more participants realise a protocol when they communicate together.

36 **On the binary setting and non-commutative logic.** In the binary setting, compat-
37 ibility holds when the two parties are dual to each other [30]. For example, $!\lambda_1; (? \lambda_2 \wedge ? \lambda_3)$
38 is dual to $? \lambda_1; (! \lambda_2 \vee ! \lambda_3)$. The former types a process that is ready to output a message of
39 type λ_1 , and then receives either a message of type λ_2 or λ_3 . The latter types a process that
40 is ready to receive a message of type λ_1 , and then makes a choice between two branches,
41 sending a message of type λ_2 or λ_3 . By building subtyping into the system [24, 23, 18],
42 duality becomes a more flexible concept. For example, two processes of respective types
43 $!\lambda_1; (? \lambda_2 \wedge ? \lambda_3)$ and $? \lambda_1; ! \lambda_2$ are also compatible. Notice a process of the type $!\lambda_1; (? \lambda_2 \wedge ? \lambda_3)$



© Ross Horne;

licensed under Creative Commons License CC-BY

31st International Conference on Concurrency Theory (CONCUR 2020).

Editors: Igor Konnov and Laura Kovács; Article No. 6; pp. 6:1–6:22

Leibniz International Proceedings in Informatics



LIPICs Schloss Dagstuhl – Leibniz-Zentrum für Informatik, Dagstuhl Publishing, Germany

44 offers two possible inputs, so is more than capable of responding correctly to $?\lambda_1;! \lambda_2$, which
 45 always chooses to send λ_2 as its second action.

46 For binary sessions, compatibility is proven by showing that the dual of a type is a subtype
 47 of another type, for example establishing $!\lambda_1;(? \lambda_2 \wedge ? \lambda_3) \leq !\lambda_1;? \lambda_2$. In the original paper on
 48 session types [30], it was explicit that internal and external choice were inspired by the additive
 49 operators in linear logic [27, 26, 1]. For example, interpreting \wedge as additive conjunction in
 50 linear logic, subtype relation $? \lambda_2 \wedge ? \lambda_3 \leq ? \lambda_2$ is a provable implication in linear logic. While
 51 pure linear logic has no concept of sequentiality (all operators are commutative), linear logic
 52 can be extended with non-commutative operators explicitly capturing sequentiality, allowing
 53 the above subtype judgement involving prefixing to be proven. In this work, we restrict
 54 ourselves to a fragment of non-commutative logic with action prefixing only, allowing us
 55 to retain a sequent calculus presentation. Full sequential composition can be achieved [34].
 56 However, for full sequential composition, it is necessary [50] to employ the calculus of
 57 structures [28]. The compromise adopted in this work, of restricting non-commutative logic
 58 to prefixing, allows us to formulate our subtype system using the sequent calculus, whilst
 59 still working within a fragment of a conservative extension of linear logic.

60 **Contribution to the multiparty setting.** Using non-commutative extensions of linear
 61 logic to model multiparty session types provides additional expressive power. In particular,
 62 the subtype system obtained allows more session types to be compared than possible using
 63 established subtype systems [25]. Indeed the subtype system obtained is sufficiently rich, so
 64 that subtyping can be used to evaluate compatibility in the multi-party setting. The notion
 65 of *multiparty compatibility* enforced by this methodology allows session types to be used
 66 to guarantee that multiparty sessions are deadlock free without the need for a global type
 67 choreographing all processes. An advantage of avoiding global types is that we can check
 68 compatibility for protocols for which no global type exists [48].

69 **Problems with pairwise duality resolved.** Early work on multi-party session types [8,
 70 21] employed a notion of compatibility based on the notion of duality for binary types applied
 71 pairwise. In that early work, we take each pair of participants and *restrict them only to the*
 72 *inputs and outputs between the participants selected*, and then check whether each pair of
 73 projections are dual. Pair-wise duality fails to guarantee deadlock freedom, since process
 74 $?\lambda_1;! \lambda_2 \parallel ? \lambda_2;! \lambda_3 \parallel ? \lambda_3;! \lambda_1$ deadlocks, despite participants being pair-wise dual (e.g., restricting
 75 the first two participants to their mutual communications gives types $!\lambda_2$ and $? \lambda_2$, which are
 76 dual). The process above consists of three participants in parallel each waiting to receive a
 77 message, from another process before producing an output. The process is clearly deadlocked
 78 since all inputs await a message that never arrives.

79 The current work, and related work [20, 15, 48, 39, 19], addresses the above limitation
 80 of pair-wise duality by proposing more sophisticated notions of *multi-party compatibility*.
 81 The work on which this builds [15] (which concerned a finite fragment of Scribble [31]),
 82 handles multiparty compatibility as a special case of subtyping. In this work, as required,
 83 our example processes in the previous paragraph would **not** be multi-party compatible. The
 84 rules of the system in this paper are determined by logical principles (cut-elimination).

85 **Related paradigms.** This paper does not follow the Curry-Howard inspired proofs-
 86 as-processes school; instead, it follows a processes-as-formulae [10, 36] approach closer to
 87 intersection types [44] and algorithmic subtyping [47]. For multiparty sessions, the processes-
 88 as-formulae [15] and proofs-as-processes paradigms [12, 11] emerged simultaneously. Papers
 89 following the Curry-Howard approach typically aim to design new (higher-order) session
 90 calculi where the process terms are proofs in an established logic. In contrast, in the processes-
 91 as-formulae approach pursued here, we typically harness the power of structural proof theory

92 to design new logics that can directly embed established session calculi [17], while respecting
 93 their semantics. In this work, linear implication in the logical system introduced provides us
 94 with a notion of session subtyping preserving deadlock freedom.

95 **Summary.** In Section 2, we explain how the notion of multiparty subtyping is more
 96 flexible than established notions of subtyping for multiparty sessions, illustrated using an
 97 example where a participant is substituted by two participants. Section 3 formally develops
 98 a theory of session subtyping and multiparty compatibility in a coinductive sequent calculus.
 99 That section concludes with an example where we guarantee the deadlock freedom of a
 100 session for which no global type exists.

101 2 Motivating Example: A Generalised Substitution Principle

102 The problem of defining a subtype system for multiparty sessions is *in a sense* solved in the
 103 synchronous setting [14, 25]. Soundness in that work is defined according to a *substitution*
 104 *principle* [41], informally stated in related work [25] as: “If $T \mathcal{R} T'$, then a process of type T'
 105 engaged in a well-typed session may be safely replaced with a process of type T .” Here \mathcal{R} is
 106 a candidate subtype relation and “safely” is formalised in terms of deadlock freedom.

107 In the above related work, the substitution principle allows one (single threaded) parti-
 108 cipant to replace another participant. In the current paper, we take a broader interpretation
 109 of the substitution principle, permitting more parallelism to be introduced. We allow parti-
 110 cipants in a session to be replaced by any number of participants, e.g., a single thread of
 111 type T can be replaced by two parallel participants of type T_1 and T_2 , where $T_1 \otimes T_2 \leq T$.
 112 This allows parallel components to be introduced with additional communications, while
 113 preserving the ability of the multiple components to fulfil the role of the original components.
 114 An example is provided next.

115 **An authorisation protocol.** We provide an example that is out of scope of the substi-
 116 tution principle in related work mentioned above, but within the scope of the substitution
 117 principal in the current paper. In the example that follows, we consider an application where
 118 a *Trusted App* is replaced by an *Untrusted App* and an *OAuth Server*. This demands a rich
 119 multi-party subtype system accounting for parallelism and interactions.

120 Consider the protocol realised by the three participants in Fig. 1, which are modelled as
 121 threads in a typical session calculus. In this authorisation protocol, the *Trusted App* asks the
 122 *Owner* of a resource for permission before it accesses the *Resource*.

```

Owner:           ?login_page(app_ID, scope); (!deny  $\oplus$  !authorise(name, password))

Resource:       recX.(?release + ?request(token); (!revoke  $\oplus$  !response(data); X))

Trusted App:    !login_page(app_ID, scope);
                ?deny; !release + ?authorise(name, password);
                recY.!release  $\oplus$  ?request(token);
                ?revoke + ?response(data); Y
  
```

123 **Figure 1** The local behaviours of three participants in an authorisation protocol.

124 *Owner:* This could be you — the human user, who owns the resource. You get redirected
 125 to a login page containing the *app_ID* for the *Trusted App* and a *scope* indicating the
 resources requested (e.g., personal contact details). If you chose to approve authorisation,

6:4 Session Subtyping and Multiparty Compatibility

126 you grant access to the resource by providing your *name* and *password*. You do however
 127 have the ability to chose not to approve, choosing the branch *!deny* in the **internal choice**,
 128 notated \oplus in the process *Owner* in Fig. 1.

129 *Resource*: A token is used by the *Trusted App* to prove it has the right to access the
 130 resource. The *Resource* can be accessed many times by the *Trusted App* until the token
 131 expires or is revoked. The expiry of a token is modelled here by the *Resource* making an
 132 internal choice, deciding whether to provide data or revoke.

133 *Trusted App*: Since the App is trusted it presents directly the login page to the user. If
 134 the *Resource Owner* approves, the same App manufactures a token which is used to access
 135 the resource. Notice **external choice**, notated $+$, is used for inputs.

136 A problem with the above protocol is that user credentials are provided directly to the
 137 *Trusted App*. Furthermore, the *Trusted App* does not only know the credentials of the owner
 138 of the resource, it must also know how to manufacture tokens to access the resource; hence,
 139 in principle, has the right to freely access the resource without asking permission. Thus,
 140 there is no security offered to the *Resource Owner* or *Resource* if the app is compromised.

141 **Substituting one participant with two participants.** We can address the above
 142 limitation by making use of the OAuth 2.0 protocol [29] where handling of credentials and
 143 generation of tokens is handled by an *OAuth Server* that the *Owner* trusts more than the
 144 app. We can refine the above protocol by substituting *Trusted App* with two processes in
 145 parallel: an *Untrusted App* and *OAuth Server*, defined in Fig. 2.

```

Untrusted App:
  !initiate(add_ID, scope);
  ?close + ?authorisation_code(code);
  !exchange(app_ID, secret, code);
  ?close + ?access_token(token);
  recY.!request(token);(?revoke + ?response(data);Y)

OAuth Server:
  ?initiate(app_ID, scope);
  !login_page(app_ID, scope);
  (?deny;!close;!release) + ?authorise(name, password);
  (!close;!release)  $\oplus$  !authorisation_code(code);
  ?exchange(app_ID, secret, code);
  (!close;!release)  $\oplus$  !access_token(token)
  
```

■ **Figure 2** Two participants that can safely replace the *Trusted App* in Fig. 1

146 The OAuth protocol enables the *Untrusted App* to access the *Resource*, for which per-
 147 mission is required from the *Owner*, in such a way that the *Owner* never discloses their
 148 credentials to the *Untrusted App*. The *Owner* permits the *OAuth Server* to grant an access
 149 token to the *Untrusted App* that can be used to access the *Resource*. We briefly describe
 150 informally each process.

151 *OAuth Server*: As a mediator between the *Untrusted App* and *Resource Owner*, the
 152 *OAuth Server* receives an initiate request from the *Untrusted App*, resulting in the *Resource*
 153 *Owner* being redirected to a login page. Notice the *OAuth Server* reacts to the decision of
 154 the *Resource Owner* to either provide credentials or end the session, indicated by an *external*
 155 choice. Notice, after that point, that the server makes two internal choices: the first issuing
 156 a *code* to the *Untrusted App* only if the correct credentials were provided by the *Owner*; the

157 second issuing an access token only if the *Untrusted App* provides its correct credentials (and
 158 the correct *code*). If all is correct, a *token* is eventually issued to the *Untrusted App*.

159 *Untrusted App*: The *Untrusted App* initiates the protocol. It then reacts, indicated by
 160 external choices, to whether the *Resource Owner* and *OAuth Server* grant access. If an access
 161 *token* is granted, the token can be used repeatedly to access the resource requested.

162 **What the subtype system guarantees here.** The *Trusted App* can be replaced by
 163 *Untrusted App* \parallel *OAuth Server* while preserving deadlock freedom of the protocol. We know
 164 this because the type of *App* \parallel *OAuth* is a subtype of the type of *Trusted App*, by using the
 165 subtype system introduced in the next section. Furthermore, for protocols of the complexity of
 166 this OAuth example, it is not immediately obvious whether all roles are correctly implemented
 167 such that deadlock freedom is guaranteed. We can also use the subtype system introduced
 168 in the next section to check whether participants together are multiparty compatible.

169 **3 A Proof System for Subtyping and Multiparty Compatibility**

170 In this section, we introduce session types and a proof system for expressing session types
 171 called *Session*, which defines our subtype system for multiparty sessions. Later in this section,
 172 having introduced *Session*, we define multiparty compatibility and race freedom, and use
 173 these properties to establish our main deadlock freedom result.

174 Session types are defined according to the following syntax. Note we could have proposi-
 175 tional data types (*nat*, *bool*, etc.), but accommodating such data types is a perpendicular
 176 issue to this work, hence we simply label messages (λ_1 , λ_2 , etc.).

177 **► Definition 1** (session types). Session types for threads are defined by:

$$178 \quad L ::= \bigwedge_{i \in I} ?\lambda_i; L_i \mid \bigvee_{i \in I} !\lambda_i; L_i \mid \mu \mathbf{t}. L \mid \mathbf{t} \mid \mathbf{ok}$$

179 Session types for networks are defined by:

$$180 \quad N ::= L \mid N \wp N \mid N \otimes N$$

181 We refer to both of the above simply as session types, which are ranged over by T , U , V . We
 182 restrict ourselves to guarded recursion, avoiding the type $\mu \mathbf{t}. \mathbf{t}$. Index sets I are finite.

183 The constant \mathbf{ok} is used to type networks that, on all paths, either successfully terminate or
 184 progress forever. Intersection types (abbreviated as \wedge when there are two branches) are used
 185 to type external choices between inputs; while union types (abbreviated as \vee) type internal
 186 choices between outputs.

187 *Actions* π are either of the form $!\lambda$ or $?\lambda$. Whenever there is only one branch in a
 188 union/intersection type, we simply write the action prefixed type $\pi; \mathsf{T}$, which is used to type
 189 a process that performs an input or output and then behaves as T . As standard, we allow \mathbf{ok}
 190 to be omitted, by abbreviating $\pi; \mathbf{ok}$ as π .

191 Notably, the syntax features two commutative multiplicative operators \wp and \otimes . When
 192 typing multiparty sessions we employ only $\mathsf{T} \otimes \mathsf{U}$, representing two parallel sessions T and U
 193 that may communicate and interleave actions. The operator $\mathsf{T} \wp \mathsf{U}$ is introduced to complete
 194 the theory, as the dual to parallel composition, and is used in subtyping proofs. Future work
 195 may also use \wp as an additional modelling device that prevents one session from interfering
 196 with another session. As a consequence of including the pair of multiplicatives, every session
 197 type, has a dual type, its co-type, given by the function below.

198 **► Definition 2** (co-type). Co-types are defined by the following mapping over types, prefixed

199 *types and actions:*

$$\begin{array}{l}
200 \quad \overline{\bigwedge_{i \in I} \mathsf{T}_i} = \bigvee_{i \in I} \overline{\mathsf{T}_i} \quad \overline{\bigvee_{i \in I} \mathsf{T}_i} = \bigwedge_{i \in I} \overline{\mathsf{T}_i} \quad \overline{\pi; \mathsf{T}} = \overline{\pi}; \overline{\mathsf{T}} \quad \overline{! \lambda} = ? \lambda \quad \overline{? \lambda} = ! \lambda \\
201 \quad \overline{\mathsf{T} \otimes \mathsf{U}} = \overline{\mathsf{T}} \wp \overline{\mathsf{U}} \quad \overline{\mathsf{T} \wp \mathsf{U}} = \overline{\mathsf{T}} \otimes \overline{\mathsf{U}} \quad \overline{\mu \mathsf{t}. \mathsf{T}} = \mu \mathsf{t}. \overline{\mathsf{T}} \quad \overline{\mathsf{t}} = \mathsf{t} \quad \overline{o\mathsf{k}} = o\mathsf{k}
\end{array}$$

203 In addition to the duality between the multiplicatives, described above, the de Morgan duality
204 between \vee and \wedge is standard for session types. The co-type of a prefix action interchanges
205 send and receive, and dualises the continuation. The unit $o\mathsf{k}$ is self-dual. Since we have only
206 guarded recursion, we treat fixed points equi-recursively, hence the fixed point operator is
207 self-dual. Intuitively, equi-recursive types are treated equivalently to their infinite unfoldings.

208 Note co-types and the use of two multiplicatives is optional in this work. Having co-types
209 reduces the number of rules in the next section by avoiding two sided sequents.

210 3.1 Deriving subtype judgements using the rules of Session

211 The rules of Session are defined in Fig. 3, using, in proof theoretic terms, a circular (or
212 cyclic) sequent calculus [9, 4] — which is, in type theoretical terms, a coinductive algorithmic
213 subtype system [47]. We employ an explicit algorithmic presentation of such a circular system
214 where we have an axiom [LEAF] which is enabled whenever there is a loop in the proof
215 returning to a sequent visited earlier in the proof. This algorithmic approach to coinduction
216 is standard in type theory [2], being sound and complete for infinite proofs such as these due
217 to the restriction to guarded recursion.

218 We explain the notation $[\Theta] \Gamma \vdash$. The sequent Γ is a (comma separated) multiset of
219 types, hence types in a sequent can commute (exchange) inside a sequent, but cannot be
220 duplicated (contraction) or removed (weakening). A **set of sequents** Θ , where each sequent
221 in the set is separated using \parallel , is employed to define an algorithmic coinductive system, by
222 remembering sequents that may be revisited. We omit Θ if it is empty.

223 ► **Remark 3.** Note that proof systems typically formalise *provability of formulae*, written $\vdash \mathsf{T}$.
224 For a tight match with session type conventions (without breaking the logical convention
225 that \wedge is conjunctive), we instead formulate *provability of duals of formulae*. To emphasise
226 that we formulate probability of duals we write sequents as $\mathsf{T} \vdash$, which is equivalent to $\vdash \overline{\mathsf{T}}$.

227 **Subtypes.** Using co-types (Def. 2) and the rules in Fig. 3, subtyping can be defined as
228 follows. Note, a type is closed when no type variables appear free.

229 ► **Definition 4** (subtyping). *We say a closed type T is a subtype of another closed type U ,*
230 *written $\mathsf{T} \leq \mathsf{U}$, whenever $\mathsf{T}, \overline{\mathsf{U}} \vdash$ holds in Session.*

231 Note that in linear logic a linear implication $\overline{\mathsf{T}} \multimap \mathsf{U}$ holds whenever $\overline{\mathsf{T} \otimes \overline{\mathsf{U}}}$ is provable.
232 Translating to provability of duals, proving $\overline{\mathsf{T} \otimes \overline{\mathsf{U}}}$ is equivalent to establishing $\mathsf{T}, \overline{\mathsf{U}} \vdash$.
233 Indeed subtyping as defined above is a conservative extension of linear implication in linear
234 logic (with the mix rule). In what follows, we confirm that standard subtype judgements
235 are covered by the above definition. In addition, some additional subtype judgements hold,
236 which are particular to the multiparty setting.

237 We briefly highlight that most rules are standard rules from linear logic and coinductive
238 proof systems. Examples appear in the next section. Rules are well-defined over closed types.

239 **Rules from MALL.** Most rules of Session are rules of Multiplicative Additive Linear
240 Logic (MALL), dualised in order to formalise provability of duals. The rule [TIMES] breaks
241 down types into their parallel components. The rule [PAR] is required for subtyping in the
242 presence of parallelism. The axiom [OK] indicates that a protocol with no more actions has

$$\begin{array}{c}
\text{[OK]} \\
\frac{}{[\Theta] \text{ok}, \text{ok}, \dots \text{ok} \vdash}
\end{array}
\qquad
\begin{array}{c}
\text{[LEAF]} \\
\frac{}{[\Theta] [\Gamma] \Gamma \vdash}
\end{array}
\qquad
\begin{array}{c}
\text{[FIX-}\mu\text{]} \\
\frac{[\Theta] [\mu\mathbf{t}.\mathbf{T}, \Gamma] \mathbf{T}\{\mu\mathbf{t}.\mathbf{T}/\mathbf{t}\}, \Gamma \vdash}{[\Theta] \mu\mathbf{t}.\mathbf{T}, \Gamma \vdash}
\end{array}$$

$$\begin{array}{c}
\text{[MEET]} \\
\frac{[\Theta] ?\lambda_j; \mathbf{T}_j, \Gamma \vdash \quad \text{for some } j \in I}{[\Theta] \bigwedge_{i \in I} ?\lambda_j; \mathbf{T}_i, \Gamma \vdash}
\end{array}
\qquad
\begin{array}{c}
\text{[JOIN]} \\
\frac{[\Theta] !\lambda_j; \mathbf{T}_j, \Gamma \vdash \quad \text{for all } j \in I}{[\Theta] \bigvee_{i \in I} !\lambda_j; \mathbf{T}_i, \Gamma \vdash}
\end{array}$$

$$\begin{array}{c}
\text{[PREFIX]} \\
\frac{[\Theta] \mathbf{T}, \mathbf{U}, \Gamma \vdash}{[\Theta] !\lambda; \mathbf{T}, ?\lambda; \mathbf{U}, \Gamma \vdash}
\end{array}
\qquad
\begin{array}{c}
\text{[TIMES]} \\
\frac{[\Theta] \mathbf{T}, \mathbf{U}, \Gamma \vdash}{[\Theta] \mathbf{T} \otimes \mathbf{U}, \Gamma \vdash}
\end{array}
\qquad
\begin{array}{c}
\text{[PAR]} \\
\frac{[\Theta] \mathbf{T}, \Gamma_1 \vdash \quad [\Theta] \mathbf{U}, \Gamma_2 \vdash}{[\Theta] \mathbf{T} \wp \mathbf{U}, \Gamma_1, \Gamma_2 \vdash}
\end{array}$$

■ **Figure 3** A presentation of the algorithmic coinductive proof system **Session**. Note, to align with session type conventions, the system establishes provability of duals.

243 successfully terminated (this rule is valid for **MALL** with **mix**). Rules **[JOIN]** and **[MEET]** are
 244 (dualised) standard rules for the additives of linear logic.

245 **Rules for equi-recursion.** Fixed points can be unfolded using the rule **[FIX- μ]**. Axiom
 246 **[LEAF]** is applied when we reach a previously visited sequent, completing a loop.

247 **Rule [Prefix].** The exception to the above established rules for equi-recursion and **MALL**
 248 is the **[PREFIX]** rule. This is used to model an interaction between two processes where one
 249 sends and the other receives. The rule enforces a causal order on interactions.

250 3.2 On notable admissible rules and algorithmic subtyping

251 For a proof system, we say a rule is *admissible*, whenever anything provable in the system
 252 with the rule present is provable in the same system but with the rule removed. We highlight
 253 the following three notable rules that are admissible in **Session**.

$$\begin{array}{c}
\text{[CUT]} \\
\frac{[\Theta] \Gamma_1, \mathbf{T} \vdash \quad [\Theta] \bar{\mathbf{T}}, \Gamma_2 \vdash}{[\Theta] \Gamma_1, \Gamma_2 \vdash}
\end{array}
\qquad
\begin{array}{c}
\text{[INTR]} \\
\frac{I \subseteq J \quad [\Theta] \mathbf{T}_k, \mathbf{U}_k, \Gamma \vdash \quad \text{for all } k \in I}{[\Theta] \bigvee_{i \in I} !\lambda_i; \mathbf{T}_i, \bigwedge_{j \in J} ?\lambda_j; \mathbf{U}_j, \Gamma \vdash}
\end{array}
\qquad
\begin{array}{c}
\text{[MIX]} \\
\frac{[\Theta] \Gamma_1 \vdash \quad [\Theta] \Gamma_2 \vdash}{[\Theta] \Gamma_1, \Gamma_2 \vdash}
\end{array}$$

255 **Cut elimination and algorithmic subtyping.** The admissibility of **[CUT]**, called
 256 cut elimination, is the corner stone of proof theory, since many results in logic (e.g., the
 257 consistency of classical logic) can be proven as corollaries of cut elimination. Since cut
 258 elimination justifies that rules are consistently defined, we present cut elimination in **Session**
 259 as a theorem.

260 ► **Theorem 5** (cut elimination). *The **[CUT]** rule is admissible in **Session**.*

261 To see that the above holds, observe that, trivially, the unfolding of a proof in **Session** to
 262 infinite proofs (over infinitely unfolded terms) is sound, and, due to regularity, complete
 263 (i.e., an infinite proof will always eventually loop on every branch, allowing **[LEAF]** to be
 264 applied). Thus it is sufficient to show that cut elimination holds for the finite proof system.
 265 This follows by observing that the standard normalisation steps for **MALL**, plus cases for

6:8 Session Subtyping and Multiparty Compatibility

266 [PREFIX], can be applied to unfold a cut free proof to an arbitrary depth. We show only the
 267 principal case for [PREFIX], which is given by the following proof normalisation step.

$$\begin{array}{c}
 \frac{\Gamma_1, \mathbf{U}, \mathbf{T} \vdash}{\Gamma_1, ?\lambda; \mathbf{U}, !\lambda; \mathbf{T} \vdash} \quad \frac{\bar{\mathbf{T}}, \mathbf{V}, \Gamma_2 \vdash}{?\lambda; \bar{\mathbf{T}}, !\lambda; \mathbf{V}, \Gamma_2 \vdash} \quad [\text{CUT}] \quad \rightsquigarrow \quad \frac{\Gamma_1, \mathbf{U}, \mathbf{T} \vdash \quad \bar{\mathbf{T}}, \mathbf{V}, \Gamma_2 \vdash}{\Gamma_1, \mathbf{U}, \mathbf{V}, \Gamma_2 \vdash} [\text{CUT}] \\
 \frac{\Gamma_1, ?\lambda; \mathbf{U}, !\lambda; \mathbf{T} \vdash \quad \Gamma_1, ?\lambda; \mathbf{U}, !\lambda; \mathbf{V}, \Gamma_2 \vdash}{\Gamma_1, ?\lambda; \mathbf{U}, !\lambda; \mathbf{V}, \Gamma_2 \vdash} [\text{CUT}]
 \end{array}$$

269 An immediate consequence of cut elimination for session types is that subtyping relation
 270 \leq is transitive. It is also reflexive by a simple induction on the structure of types.

271 ► **Corollary 6.** *If $\mathbf{T} \leq \mathbf{U}$ and $\mathbf{U} \leq \mathbf{V}$, then $\mathbf{T} \leq \mathbf{V}$. Also, we have $\mathbf{T} \leq \mathbf{T}$.*

272 From the perspective of type theory this is a standard result that **must** hold in order to
 273 recommend an *algorithmic subtype system*. An algorithmic subtype system is expressed
 274 without a cut (or transitivity) rule, since cut violates what is known as the *sub-formula*
 275 *property*. The sub-formula property states that every formula appearing in the premise is a
 276 sub-formula of one of formulae appearing in the conclusion (up to unfolding of equi-recursion,
 277 which is allowed here due to regularity). The sub-formula property guarantees that proof
 278 search in **Session** terminates.

279 **Admissibility of [INTR].** Established algorithmic subtype systems usually employ a
 280 rule of the form [INTR]. That rule can be simulated by using [JOIN], [MEET] and [PREFIX],
 281 without loss of expressive power. For example, the following sequent, provable using the rule
 282 [INTR] is also provable as follows.

$$\begin{array}{c}
 \frac{\frac{\frac{\overline{\text{OK}, \text{OK} \vdash} [\text{OK}]}{?\lambda_1, !\lambda_1 \vdash} [\text{PREFIX}]}{(?\lambda_1 \wedge ?\lambda_2), !\lambda_1 \vdash} [\text{MEET}]}{(?\lambda_1 \wedge ?\lambda_2), (!\lambda_1 \vee !\lambda_2) \vdash} [\text{JOIN}] \quad \frac{\frac{\frac{\overline{\text{OK}, \text{OK} \vdash} [\text{OK}]}{?\lambda_2, !\lambda_2 \vdash} [\text{PREFIX}]}{(?\lambda_1 \wedge ?\lambda_2), !\lambda_2 \vdash} [\text{MEET}]}{(?\lambda_1 \wedge ?\lambda_2), (!\lambda_1 \vee !\lambda_2) \vdash} [\text{JOIN}]
 \end{array}$$

284 However, we cannot simulate all proofs involving the three rules discussed above, if, instead,
 285 only [INTR] is employed. The following cannot be proven using only [INTR].

$$\begin{array}{c}
 \frac{\frac{\frac{\frac{\overline{\text{OK}, \text{OK}, \text{OK} \vdash} [\text{OK}]}{!\lambda_3, \text{OK}, ?\lambda_3 \vdash} [\text{PREFIX}]}{!\lambda_3, \text{OK}, ?\lambda_2 \wedge ?\lambda_3 \vdash} [\text{MEET}]}{!\lambda_1; !\lambda_3, ?\lambda_1, ?\lambda_2 \wedge ?\lambda_3 \vdash} [\text{PREFIX}]}{!\lambda_1; !\lambda_3, ?\lambda_1 \wedge ?\lambda_4, ?\lambda_2 \wedge ?\lambda_3 \vdash} [\text{MEET}]}{!\lambda_1; !\lambda_3 \vee !\lambda_2; !\lambda_4, ?\lambda_1 \wedge ?\lambda_4, ?\lambda_2 \wedge ?\lambda_3 \vdash} [\text{JOIN}] \quad \frac{\frac{\frac{\frac{\overline{\text{OK}, \text{OK}, \text{OK} \vdash} [\text{OK}]}{!\lambda_4, ?\lambda_4, \text{OK} \vdash} [\text{PREFIX}]}{!\lambda_4, ?\lambda_1 \wedge ?\lambda_4, \text{OK} \vdash} [\text{MEET}]}{!\lambda_2; !\lambda_4, ?\lambda_1 \wedge ?\lambda_4, ?\lambda_2 \vdash} [\text{PREFIX}]}{!\lambda_2; !\lambda_4, ?\lambda_1 \wedge ?\lambda_4, ?\lambda_2 \wedge ?\lambda_3 \vdash} [\text{MEET}]}{!\lambda_2; !\lambda_4, ?\lambda_1 \wedge ?\lambda_4, ?\lambda_2 \wedge ?\lambda_3 \vdash} [\text{JOIN}]
 \end{array}$$

287 The following is an example of a coinductive proof that, similarly to the above proof,
 288 cannot be established using only [INTR]. In the following proof, assume $\mathbf{T} = \mu \mathbf{t}. (!\lambda_1; \mathbf{t} \vee !\lambda_2; \mathbf{t})$,
 289 $\mathbf{U} = \mu \mathbf{u}. (?\lambda_1; \mathbf{u})$, and $\mathbf{V} = \mu \mathbf{v}. (?\lambda_2; \mathbf{v})$. We also abbreviate sequents $\Gamma = \mathbf{T}, \mathbf{U}, \mathbf{V}$ and
 290 $\Gamma' = !\lambda_1; \mathbf{T}, \mathbf{U}, \mathbf{V}$ and $\Gamma'' = !\lambda_2; \mathbf{T}, \mathbf{U}, \mathbf{V}$, but notice only Γ is used rule [LEAF].

$$\begin{array}{c}
 \frac{\frac{\frac{\overline{[\Gamma'] \parallel \Gamma} \Gamma \vdash} [\text{LEAF}]}{[\Gamma'] \parallel \Gamma !\lambda_1; \mathbf{T}, ?\lambda_1; \mathbf{U}, \mathbf{V} \vdash} [\text{PREFIX}]}{[\Gamma] !\lambda_1; \mathbf{T}, \mathbf{U}, \mathbf{V} \vdash} [\text{FIX-}\mu]}{\frac{\frac{\frac{\overline{[\Gamma'] \parallel \Gamma} \Gamma \vdash} [\text{LEAF}]}{[\Gamma'] \parallel \Gamma !\lambda_2; \mathbf{T}, \mathbf{U}, ?\lambda_2; \mathbf{V} \vdash} [\text{PREFIX}]}{[\Gamma] !\lambda_2; \mathbf{T}, \mathbf{U}, \mathbf{V} \vdash} [\text{FIX-}\mu]}{[\Gamma] !\lambda_1; \mathbf{T} \vee !\lambda_2; \mathbf{T}, \mathbf{U}, \mathbf{V} \vdash} [\text{JOIN}]}{\frac{\mathbf{T}, \mathbf{U}, \mathbf{V} \vdash}{\mathbf{T}, \mathbf{U} \otimes \mathbf{V} \vdash} [\text{TIMES}]}
 \end{array}$$

291

292 Notice, the above proof establishes $\mu\mathbf{u}.(?\lambda_1;\mathbf{u}) \otimes \mu\mathbf{v}.(?\lambda_2;\mathbf{v}) \leq \mu\mathbf{t}.(?\lambda_1;\mathbf{t} \wedge ?\lambda_2;\mathbf{t})$ — a subtype
 293 judgement decomposing a single threaded participant into two concurrent threads.

294 **Admissibility of [MIX].** The fact that the [MIX] rule is admissible allows scenarios
 295 where separate parallel communications can occur. For example, the subtype judgement
 296 $!\lambda_1 \otimes ?\lambda_1 \otimes !\lambda_2 \otimes ?\lambda_2 \leq \text{ok}$ (which also holds in pure linear logic **with** mix only), can be
 297 established by the following proof in **Session without** using mix.

$$\frac{\frac{\frac{\text{ok}, \text{ok}, \text{ok}, \text{ok}, \text{ok}}{\text{ok}} \text{ [OK]}}{!\lambda_1, ?\lambda_1, !\lambda_2, ?\lambda_2, \text{ok}} \text{ [PREFIX] (twice)}}{!\lambda_1 \otimes ?\lambda_1 \otimes !\lambda_2 \otimes ?\lambda_2, \text{ok}} \text{ [TIMES] (twice)}}$$

299 The admissibility of [MIX] is a corollary of Theorem 5.

300 3.3 Typing multiparty compatible networks, by using subtyping

301 The syntax of processes is defined by the following grammar.

302 ► **Definition 7 (Processes).** Processes for threads are defined by:

$$303 \quad \mathbb{P} ::= \sum_{i \in I} ?\lambda_i; \mathbb{P}_i \mid \oplus_{i \in I} !\lambda_i; \mathbb{P}_i \mid \mu X. \mathbb{P} \mid X \mid 1$$

304 Processes for networks are defined by grammar: $\mathbb{N} ::= \mathbb{P} \mid \mathbb{N} \parallel \mathbb{N}$.

305 We simply refer to both of the above as processes, ranged over by P, Q, R, \dots

306 Internal choice \oplus defines a process ready to perform **any** of the given outputs, and external
 307 choice \sum indicates a process ready to perform **some** input. We typically abbreviate $!\lambda; P$
 308 and $!\lambda_1; P_1 \oplus !\lambda_2; P_2$ for the unary and binary versions of the above external choice. Similarly,
 309 $? \lambda; P$ and $? \lambda_1; P_1 + ? \lambda_2; P_2$ can be used for internal choices.

$$\frac{\Delta \vdash P_i : \mathbb{T}_i \ (i \in I)}{\Delta \vdash \sum_{i \in I} ?\lambda_i; P_i : \bigwedge_{i \in I} ?\lambda_i; \mathbb{T}_i} \text{ [T-EXTCH]} \quad \frac{\Delta \vdash P_i : \mathbb{T}_i \ (i \in I)}{\Delta \vdash \oplus_{i \in I} !\lambda_i; P_i : \bigvee_{i \in I} !\lambda_i; \mathbb{T}_i} \text{ [T-INTCH]}$$

$$\Delta, X : \mathbf{t} \vdash X : \mathbf{t} \text{ [T-VAR]} \quad \frac{\Delta, X : \mathbf{t} \vdash P : \mathbb{T}}{\Delta \vdash \mu X. P : \mu \mathbf{t}. \mathbb{T}} \text{ [T-REC]}$$

$$\frac{\Delta \vdash P : \mathbb{T} \quad \Delta \vdash Q : \mathbb{U}}{\Delta \vdash P \parallel Q : \mathbb{T} \otimes \mathbb{U}} \text{ [T-PAR]} \quad \Delta \vdash 1 : \text{ok} \text{ [T-1]} \quad \frac{\Delta \vdash P : \mathbb{T} \quad \mathbb{T} \leq \mathbb{U}}{\Delta \vdash P : \mathbb{U}} \text{ [SUBSUMPTION]}$$

■ **Figure 4** Typing rules for processes, making use of the subtype relation \leq in Def. 4.

310 Multiparty compatible processes are those with type ok . Note, for any interesting example,
 311 this will involve applying SUBSUMPTION.

312 ► **Definition 8 (compatibility).** Process P is multiparty compatible whenever $\vdash P : \text{ok}$, ac-
 313 cording to the rules of Fig. 4, where environment Δ associates process variables to type
 314 variables.

315 Any application of the [SUBSUMPTION] rule can always be delayed to the final step. I.e.,
 316 we calculate the minimal type for the whole network, then apply [SUBSUMPTION].

317 ► **Theorem 9 (algorithmic typing).** If $\vdash P : \mathbb{U}$ then we can construct a \mathbb{T} such that $\mathbb{T} \leq \mathbb{U}$
 318 holds and $\vdash P : \mathbb{T}$ holds without using the [SUBSUMPTION] rule.

352 **Race freedom.** Some multiparty compatible networks with race conditions are not
 353 deadlock free. Races can be avoided by naming participants and ensuring each branch of an
 354 external choice awaits a message from the same participant but is labelled differently compared
 355 to other branches of that external choice. For example, the following multiparty compatible
 356 networks have races, hence should be rejected. For network $!\lambda_1;!\lambda_2\oplus!\lambda_1;!\lambda_3\parallel?\lambda_1;?\lambda_2+?\lambda_1;?\lambda_3$,
 357 when λ_1 is sent it may be received by the wrong branch of the external choice resulting in
 358 deadlock. Similarly, network $!\lambda_1;?\lambda_2\parallel!\lambda_1\parallel?\lambda_1;!\lambda_2;?\lambda_1$, may deadlock if the second process
 359 engages in a communication before the first.

360 While explicitly naming participants, as described above, would avoid such examples, for
 361 added flexibility we show that we can also achieve race freedom without naming participants.
 362 This additional flexibility is necessary for examples such as in Sec. 2, where one participant
 363 is replaced by two or more participants (hence if participants were named we would require a
 364 mechanism such as internal delegation [13] to allow one participant act on behalf of another).
 365 An added benefit of avoiding races without naming participants is that we may guarantee
 366 race freedom without relying on participant names to guide reductions.

367 Race freedom can be formulated in terms of a type inference problem using the race type
 368 system in Fig. 6, where A *race type* is of the form $\langle \mathbf{o}:\alpha, \mathbf{i}:\chi \rangle$, where α and χ are sets of sets
 369 of labels. The former, α , represents a set of sets of output labels — one set of labels for each
 370 thread in a network. The latter χ represents a set of sets of inputs — one set of labels for
 371 each external choice somewhere in the network. We also require a “participant condition”
 372 ensuring all branches of a choice talk to the same process, formalised as follows.

373 **► Definition 10.** A race type $\langle \mathbf{o}:\alpha, \mathbf{i}:\chi \rangle$ satisfies the participant condition whenever, for
 374 all $x \in \chi$ and $y, z \in \alpha$, if $x \cap y \neq \emptyset$ and $x \cap z \neq \emptyset$ then $y = z$. A process P is race free,
 375 whenever there exists a race type $\langle \mathbf{o}:\alpha, \mathbf{i}:\chi \rangle$ satisfying the participant condition such that
 376 $\vdash P : \langle \mathbf{o}:\alpha, \mathbf{i}:\chi \rangle$ using the rules of Fig. 6.

$$\begin{array}{c}
 \frac{\Sigma \vdash P_i : \langle \mathbf{o}:\{x_i\}, \mathbf{i}:\chi_i \rangle \ (i \in I) \quad (\forall i, j \in I) \lambda_i = \lambda_j \text{ implies } i = j}{\Sigma \vdash \Sigma_{i \in I} ?\lambda_i; P_i : \left\langle \mathbf{o}:\left\{ \bigcup_{i \in I} x_i \right\}, \mathbf{i}:\bigcup_{i \in I} \chi_i \cup \{ \{ \lambda_i : i \in I \} \} \right\rangle} \text{[R-EXTCH]} \\
 \\
 \frac{\Sigma \vdash P_i : \langle \mathbf{o}:\{x_i\}, \mathbf{i}:\chi_i \rangle \ (i \in I)}{\Sigma \vdash \bigoplus_{i \in I} !\lambda_i; P_i : \left\langle \mathbf{o}:\left\{ \bigcup_{i \in I} x_i \cup \{ \lambda_i : i \in I \} \right\}, \mathbf{i}:\bigcup_{i \in I} \chi_i \right\rangle} \text{[R-INTCH]} \\
 \\
 \frac{\Sigma \vdash P : \langle \mathbf{o}:\alpha, \mathbf{i}:\chi \rangle \quad \Sigma \vdash Q : \langle \mathbf{o}:\beta, \mathbf{i}:\zeta \rangle \quad \left(\bigcup \alpha \right) \cap \left(\bigcup \beta \right) = \emptyset \quad \left(\bigcup \chi \right) \cap \left(\bigcup \zeta \right) = \emptyset}{\Sigma \vdash P \parallel Q : \langle \mathbf{o}:\alpha \cup \beta, \mathbf{i}:\chi \cup \zeta \rangle} \text{[R-PAR]} \\
 \\
 \Sigma, X : \langle \mathbf{o}:\alpha, \mathbf{i}:\chi \rangle \vdash X : \langle \mathbf{o}:\alpha, \mathbf{i}:\chi \rangle \quad \text{[R-VAR]} \qquad \Sigma \vdash 1 : \langle \mathbf{o}:\emptyset, \mathbf{i}:\emptyset \rangle \quad \text{[R-1]} \\
 \\
 \frac{\Sigma, X : \langle \mathbf{o}:\alpha, \mathbf{i}:\chi \rangle \vdash P : \langle \mathbf{o}:\alpha, \mathbf{i}:\chi \rangle}{\Sigma \vdash \mu X. P : \langle \mathbf{o}:\alpha, \mathbf{i}:\chi \rangle} \text{[R-REC]}
 \end{array}$$

■ **Figure 6** Type rules for checking race freedom.

377 The above *race-freedom* property we propose is satisfied whenever the unfolding of all

6:12 Session Subtyping and Multiparty Compatibility

378 fixed points of a process satisfies the following:

- 379 ■ Branches of an external choice use distinct labels for their **immediately enabled** inputs
380 (see [R-EXTCH]).
- 381 ■ For any external choice, the set of immediately enabled input labels in an external choice
382 must be disjoint from the set of all output labels of **all but one** of the parallel components
383 (the *participant condition*). This ensures one participant is listening to at most one other
384 participant at a time.
- 385 ■ For parallel processes, $P \parallel Q$, the set of **all** input labels of P and the set of **all** input
386 labels of Q are disjoint; and, similarly, the sets of all output labels of P and Q are disjoint
387 (see [R-PAR]).

388 The above property is efficient to check, since it simply builds up the relevant sets of sets
389 of labels. Note, a single thread always has a singleton set of outputs.

390 A critical example the participant condition rejects is the following process, which is of
391 the race type indicated below.

$$392 \quad \vdash !\lambda_1 \parallel \text{rec}X. (?\lambda_1 + ?\lambda_2; X) \parallel \text{rec}Y. !\lambda_2; Y : \langle \text{o} : \{ \{ \lambda_1 \}, \emptyset, \{ \lambda_2 \} \}, \text{i} : \{ \{ \lambda_1, \lambda_2 \} \} \rangle$$

393 The above example processes contains a race. Two parallel outputs with different labels
394 contact a process ready to receive a message from either process and, if actions labelled
395 λ_1 are played, the process deadlocks. The above example is forbidden by the participant
396 condition since we have $\{ \lambda_1, \lambda_2 \} \cap \{ \lambda_1 \} \neq \emptyset$ and $\{ \lambda_1, \lambda_2 \} \cap \{ \lambda_2 \} \neq \emptyset$ but $\{ \lambda_1 \} \neq \{ \lambda_2 \}$.

397 **Deadlock freedom.** Deadlock freedom can be defined as follows (coinductively): at any
398 point we can either make progress or we have successfully terminated.

399 ► **Definition 11** (deadlock freedom). *A network P is deadlock free whenever:*

- 400 ■ *either $P \equiv 1$, or there exists network Q such that $P \longrightarrow Q$;*
- 401 ■ *and, for all R such that $P \longrightarrow R$ we have R is deadlock free.*

402 The theory developed in this work guarantees deadlock freedom as in Def. 11.

403 ► **Theorem 12.** *Any race-free multiparty-compatible network satisfies deadlock freedom.*

404 The proof of this result [see Appendix] relies on Theorem 5 and builds on novel proof
405 normalisation techniques developed for giving computational interpretations of formulae in
406 extensions of linear logic [35, 36].

407 ► **Remark 13.** Note often deadlock freedom is referred to as “progress” which is an overloaded
408 word in the literature. Deadlock freedom does not necessarily prevent starvation, as for
409 notions such as lock freedom [37, 46]. Restricted variants of **Session** can be tightened to
410 enforce stronger liveness properties — an observation deserving of attention in future work.

411 Soundness of the subtype system with respect to our multithreaded liberalisation of the
412 substitution principle [25] is precisely formulated below, which is an immediate consequence
413 of Theorem 5 and Theorem 12. Notice the flexible subtype system in this work, which permits
414 networks consisting of parallel threads to be compared, allows a thread to be substituted by
415 more than one thread, as motivated in Sec. 2.

416 ► **Corollary 14** (substitution principle). *Assume P, Q and R are closed networks. If $\vdash P : \top$,
417 $\vdash Q : \top'$ and $\top \leq \top'$, then if $\vdash Q \parallel R : \alpha$, and $P \parallel R$ is race free, then $P \parallel R$ is deadlock free.*

418 **Proof.** Assume $\vdash P : T$ and $\vdash Q : T'$ without using [SUBSUMPTION], and also assume $T \leq T'$,
 419 $\vdash Q \parallel R : \text{ok}$ and $P \parallel R$ is race free. By Theorem 9, there exists a type U such that $\vdash Q \parallel R : U$
 420 without using [SUBSUMPTION] and $U \leq \text{ok}$. By Lemma 15 there exists V such that $U = T' \otimes V$
 421 and $\vdash P \parallel R : T' \otimes V$ without using [SUBSUMPTION]. By Theorem 5, we have $T \otimes V \leq T' \otimes V$,
 422 and hence, by Theorem 5 again, $T \otimes V \leq \text{ok}$. Thereby $\vdash P \parallel R : \text{ok}$, and hence, by race freedom
 423 and Theorem 12, we have $P \parallel R$ is deadlock free, as required. \blacktriangleleft

424 **Importance of avoiding races.** The following example emphasises the importance of
 425 checking races are avoided. Consider the multiparty compatible network $1 \parallel !\lambda_1 \parallel (? \lambda_1 + ? \lambda_2)$.
 426 Observe we have $\vdash !\lambda_2 \parallel ? \lambda_2 : \text{ok}$ hence process 1 can be substituted by $!\lambda_2 \parallel ? \lambda_2$ while
 427 preserving multiparty compatibility. Now, if we remove the condition concerning races in
 428 the substitution principle, after applying the above substitution in the network at the top of
 429 the paragraph, we should have $!\lambda_2 \parallel ? \lambda_2 \parallel !\lambda_1 \parallel (? \lambda_1 + ? \lambda_2)$ is deadlock free. However, this
 430 network is in fact not deadlock free, due to the presence of a race.

431 Note our race-freedom property does not require output labels in an internal choice
 432 to be distinct. For example, the network $(!\lambda_1; !\lambda_2 \oplus !\lambda_1; !\lambda_3) \parallel ? \lambda_1; (? \lambda_2 + ? \lambda_3)$ is race free,
 433 multiparty compatible and deadlock free. Note this is example would not be typeable using
 434 established session type systems.

435 3.5 Typeable sessions for which there is no global type

436 Multiparty compatibility is defined independently from global types. Theories that rely
 437 on global types run into the problem that many reasonable protocols have no global type.
 438 Such problematic protocols typically feature branching under a recursion where different
 439 participants are contacted in each branch. The problem of typing protocols for which there
 440 is no established theory in which they can be assigned a global type has been explored in
 441 recent work [48].

442 To emphasise that **Session** can also be used to type multiparty sessions for which there is
 443 no global type, we adapt one of the key examples from related work (Figure 4, (2) [48]). In
 444 this recursive two-buyer protocol a buyer repeatedly asks another buyer to split the price.
 445 Assume we have the following types.

- 446 ■ $T_A = !\text{query}; ?\text{price}; \mu t. T_1$ where $T_1 = (!\text{split}; T_2 \vee !\text{cancel}; !\text{no})$ and $T_2 = (? \text{yes}; !\text{buy} \wedge$
 447 $? \text{no}; t)$
- 448 ■ $T_B = \mu t. T_3$ where $T_3 = (? \text{split}; T_4 \wedge ? \text{cancel})$ and $T_4 = !\text{yes} \vee !\text{no}; t$
- 449 ■ $T_S = ?\text{query}; !\text{price}; T_5$ where $T_5 = ?\text{buy} \wedge ? \text{no}$.

450 Also assume we have sequents $\Gamma = \mu t. T_1, T_5, T_B$ and $\Gamma' = T_1 \{ \mu t. T_1 / t \}, T_5, T_B$
 451 (only the former is used in a [LEAF] axiom). The following proof can be used to establish
 452 $T_A \otimes T_B \otimes T_S \leq \text{ok}$, which can be used in a multiparty compatibility judgement. Notice we
 453 use the admissible compound rule [INTR] to shorten the proof.

$$\begin{array}{c}
 \frac{}{[\Gamma' \parallel \Gamma]_{\text{ok}, \text{ok}, \text{ok}} \vdash} \text{[OK]} \\
 \frac{}{[\Gamma' \parallel \Gamma]_{! \text{buy}, T_5, \text{ok}} \vdash} \text{[INTR]} \quad \frac{}{[\Gamma' \parallel \Gamma]_{\mu t. T_1, T_5, T_B} \vdash} \text{[LEAF]} \quad \frac{}{[\Gamma' \parallel \Gamma]_{\text{ok}, \text{ok}, \text{ok}} \vdash} \text{[OK]} \\
 \frac{}{[\Gamma' \parallel \Gamma]_{T_2 \{ \mu t. T_1 / t \}, T_5, T_4 \{ \mu t. T_3 / t \}} \vdash} \text{[INTR]} \quad \frac{}{[\Gamma' \parallel \Gamma]_{! \text{no}, T_5, \text{ok}} \vdash} \text{[INTR]} \\
 \frac{}{[\Gamma' \parallel \Gamma]_{T_1 \{ \mu t. T_1 / t \}, T_5, T_3 \{ \mu t. T_3 / t \}} \vdash} \text{[INTR]} \\
 \frac{}{[\Gamma]_{T_1 \{ \mu t. T_1 / t \}, T_5, T_B} \vdash} \text{[FIX-}\mu\text{]} \\
 \frac{}{[\Gamma]_{T_1 \{ \mu t. T_1 / t \}, T_5, T_B} \vdash} \text{[FIX-}\mu\text{]} \\
 \frac{}{\mu t. T_1, T_5, T_B \vdash} \text{[PREFIX]} \\
 \frac{}{? \text{price}; \mu t. T_1, ! \text{price}; T_5, T_B \vdash} \text{[PREFIX]} \\
 \frac{}{T_A, T_S, T_B \vdash} \text{[PREFIX]}
 \end{array}$$

454

455 In the above example, it is possible that processes typed with T_A and T_B negotiate forever and
 456 a process typed with T_S , after reaching a state typed by T_5 , waits forever. Such starvation is
 457 permitted by our classic notion of progress in Def. 11, i.e., deadlock freedom.

458 **4 Related Work and Future Work**

459 A closely related line of work studies the problem of synthesising a “coherent” global type
 460 for multi-party compatible types [43]. The approach in the current paper can be used to
 461 expose the structural proof theoretic content of a closely related system proposed for such
 462 a synthesis problem [38]. There is much work providing notions of semantic subtyping for
 463 session types [7, 5, 45], whose resulting systems can be interpreted proof theoretically using
 464 subsystems and variants of *Session* (at least for the first-order fragment without delegation).

465 It could be valuable to explore connections between *Session*, which follows a processes-as-
 466 formulas approach, and a variety of Curry-Howard inspired systems. There are intersection
 467 type systems, satisfying subject expansion, that completely characterise deadlock freedom
 468 for a fragment of the asynchronous π -calculus where a name can only be used as an input
 469 channel by the process that created the name [16]. Process in that work are quite different
 470 from those in our session calculus, since, in this work, we neither consider channel passing
 471 (delegation) nor asynchrony, while they do not consider choice. Challenges concerning duality
 472 of binary sessions in the presence of delegation and recursion are explored through a linear
 473 λ -calculus typed using explicit least and greatest fixedpoints rather than equi-recursion [40].
 474 Regarding circular proofs, Derakhshan and Pfenning propose a calculus for binary sessions
 475 with delegation in a Curry-Howard style [22]. In their work, they propose a locally checkable
 476 condition that guarantees a well-typed session will always terminate either in an empty
 477 configuration or a configuration attempting to communicate along external channels.

478 In future work, it would be valuable to investigate variants of the rules, notably a focussed
 479 variant of *Session* [3, 4]. In a focussed system, rules such as JOIN are treated *asynchronously*,
 480 meaning that we can immediately apply the rule without backtracking; whereas rules such
 481 as MEET are *synchronous*, meaning that, in general, backtracking may be required during
 482 proof search. The important observation is that, for race-free sessions there will only be one
 483 way to apply synchronous rules, thereby eliminating the need to backtrack in the search for
 484 a proof, i.e., proof search can be conducted deterministically. The ability to search for proofs
 485 in this uniform manner is connected with goal-directed search in logic programming [42].

486 The system designed in this work preserves deadlock freedom for race-free processes,
 487 as established in Theorem 12; but does not guarantee stronger livelock freedom properties
 488 (sometimes referred to as lock freedom) [37, 46, 49]. Livelock freedom strengthens deadlock
 489 freedom by ensuring that no parties are starved of resources; however, there are many subtle
 490 variations on precisely how livelock freedom is defined. Hence we push the investigation of
 491 refinements of *Session* that can guarantee notions livelock freedom to future work.

492 To illustrate the above point, we observe some more unexpected properties of *Session*.
 493 Observe, the process $\mu X. ?\lambda_1; X \parallel ?\lambda_2 \parallel \mu Y. !\lambda_1; Y$ is race-free and multiparty compatible, and
 494 hence deadlock free. However, it has a hanging input $?\lambda_2$ that never receives a message,
 495 hence it is not livelock free in any sense. Using a proof of the multiparty compatibility
 496 of the above process, we can also establish subtype judgement $\mu t. ?\lambda_1; \mathbf{t} \otimes ?\lambda_2 \leq \mu t. ?\lambda_1; \mathbf{t}$.
 497 This subtype judgements allows inactive parallel components to be typed using the subtype
 498 system, as long as they rest of the system is deadlock free. Thus the current formulation of
 499 *Session* guarantees no property stronger than deadlock freedom.

500 For a more subtle example outside the scope of established session type systems, consider

501 the types $T = \mu t.(!\lambda_1; t \vee !\lambda_2; !\lambda_3)$ and $U = \mu t.(?\lambda_1; t \wedge ?\lambda_2)$. We have $T \otimes U \leq !\lambda_3$ thus a
 502 thread that sends λ_2 can be replaced by two threads that may choose to talk internally on
 503 λ_1 forever, although there is always the possibility of a branching taken where λ_3 is sent.
 504 This subtype judgement does preserve some notions of livelock freedom (it is always possible
 505 for everyone to eventually act [46]), but not stronger notions of livelock freedom (always
 506 everyone must act eventually [37]). An objective for future work would be to explain how
 507 **Session** can be refined by restricting circular proofs so that they preserve a strong form of
 508 livelock freedom. The key idea is to check that at all threads in a network act at least once
 509 in every unfolding of a recursion, thereby rejecting both subtype judgements above.

510 **5 Conclusion**

511 The proof calculus **Session**, introduced in Fig. 3, showcases tools of structural proof theory,
 512 i.e., analytic calculi satisfying cut elimination (Theorem 5), which can be used in the design
 513 of rich multiparty session type systems. **Session** defines an algorithmic subtype system
 514 (Definition 4), the transitivity of which follows from cut elimination (Corollary 6). The
 515 subtype system admits a more flexible substitution principle (Corollary 14) than standard.
 516 This flexibility enables subtyping to be used directly to decide multiparty compatibility
 517 (Definition 8) and also opens up fresh problems that can be tackled using subtyping, not
 518 limited to scenarios where extra parallelism is introduced, as illustrated in Sec. 2.

519 Race freedom may be guaranteed by naming participants; however, for extra flexibility we
 520 propose a type system for race freedom (Definition 10). From these definitions, we establish
 521 our main result (Theorem 12) guaranteeing deadlock freedom for networks that are both
 522 multiparty compatible and race free. In this line of work, global types are optional, allowing
 523 networks for which no global type exists to be typed.

524 **References**

-
- 525 **1** Samson Abramsky. Computational interpretations of linear logic. *Theoretical computer science*,
 526 111(1):3–57, 1993. doi:10.1016/0304-3975(93)90181-R.
 - 527 **2** Roberto M. Amadio and Luca Cardelli. Subtyping recursive types. *ACM Trans. Program.*
 528 *Lang. Syst.*, 15(4):575–631, September 1993. doi:10.1145/155183.155231.
 - 529 **3** Jean-Marc Andreoli. Logic programming with focusing proofs in linear logic. *Journal of Logic*
 530 *and Computation*, 2(3):297–347, 1992. doi:10.1093/logcom/2.3.297.
 - 531 **4** David Baelde, Amina Doumane, and Alexis Saurin. Infinitary Proof Theory: the Multiplicative
 532 Additive Case. In Jean-Marc Talbot and Laurent Regnier, editors, *25th EACSL Annual*
 533 *Conference on Computer Science Logic (CSL 2016)*, volume 62 of *LIPICs*, pages 42:1–42:17.
 534 Schloss Dagstuhl, 2016. doi:10.4230/LIPICs.CSL.2016.42.
 - 535 **5** Franco Barbanera and Ugo de'Liguoro. Sub-behaviour relations for session-based client/server
 536 systems. *Mathematical Structures in Computer Science*, 25(6):1339–1381, 2015. doi:10.1017/
 537 S096012951400005X.
 - 538 **6** Franco Barbanera and Mariangiola Dezani-Ciancaglini. Open multiparty sessions. In Massimo
 539 Bartoletti, Ludovic Henrio, Anastasia Mavridou, and Alceste Scalas, editors, *Proceedings 12th*
 540 *Interaction and Concurrency Experience, ICE 2019, Copenhagen, Denmark, 20-21 June 2019.*,
 541 volume 304 of *EPTCS*, pages 77–96, 2019. doi:10.4204/EPTCS.304.6.
 - 542 **7** Giovanni Bernardi and Matthew Hennessy. Using higher-order contracts to model session
 543 types. *Logical Methods in Computer Science*, 12(2), 2016. doi:10.2168/LMCS-12(2:10)2016.
 - 544 **8** Eduardo Bonelli and Adriana Compagnoni. Multipoint session types for a distributed calculus.
 545 In Gilles Barthe and Cédric Fournet, editors, *Trustworthy Global Computing*, pages 240–256.
 546 Springer, 2008. doi:10.1007/978-3-540-78663-4_17.

- 547 9 James Brotherston and Alex Simpson. Sequent calculi for induction and infinite descent.
548 *Journal of Logic and Computation*, 21(6):1177–1216, 10 2010. doi:10.1093/logcom/exq052.
- 549 10 Paola Bruscoli. A purely logical account of sequentiality in proof search. In Peter J. Stuckey,
550 editor, *Logic Programming*, pages 302–316. Springer, 2002. doi:10.1007/3-540-45619-8_21.
- 551 11 Luís Caires and Jorge A. Pérez. Multiparty session types within a canonical binary theory, and
552 beyond. In Elvira Albert and Ivan Lanese, editors, *FORTE 2016*, volume 9688 of *Lecture Notes*
553 *in Computer Science*, pages 74–95. Springer, 2016. doi:10.1007/978-3-319-39570-8_6.
- 554 12 Marco Carbone, Fabrizio Montesi, Carsten Schürmann, and Nobuko Yoshida. Multiparty
555 session types as coherence proofs. *Acta Informatica*, 54(3):243–269, 2017. doi:10.1007/
556 s00236-016-0285-y.
- 557 13 Ilaria Castellani, Mariangiola Dezani-Ciancaglini, Paola Giannini, and Ross Horne. Global
558 types with internal delegation. *Theoretical Computer Science*, 807:128–153, 2020. doi:
559 10.1016/j.tcs.2019.09.027.
- 560 14 Tzu-chun Chen, Mariangiola Dezani-Ciancaglini, Alceste Scalas, and Nobuko Yoshida. On the
561 Preciseness of Subtyping in Session Types. *Logical Methods in Computer Science*, Volume 13,
562 Issue 2, 2017. doi:10.23638/LMCS-13(2:12)2017.
- 563 15 Gabriel Ciobanu and Ross Horne. Behavioural analysis of sessions using the calculus
564 of structures. In Manuel Mazzara and Andrei Voronkov, editors, *Perspectives of Sys-*
565 *tem Informatics - 10th International Andrei Ershov Informatics Conference, PSI 2015*,
566 volume 9609 of *Lecture Notes in Computer Science*, pages 91–106. Springer, 2015. doi:
567 10.1007/978-3-319-41579-6_8.
- 568 16 Ugo Dal Lago, Marc de Visme, Damiano Mazza, and Akira Yoshimizu. Intersection types
569 and runtime errors in the pi-calculus. *Proc. ACM Program. Lang.*, 3(POPL), 2019. doi:
570 10.1145/3290320.
- 571 17 Rocco De Nicola and Matthew Hennessy. CCS without τ 's. In Hartmut Ehrig, Robert
572 Kowalski, Giorgio Levi, and Ugo Montanari, editors, *TAPSOFT '87*, pages 138–152. Springer,
573 1987. doi:10.1007/3-540-17660-8_53.
- 574 18 Romain Demangeon and Kohei Honda. Full abstraction in a subtyped pi-calculus with
575 linear types. In *CONCUR*, volume 6901 of *LNCS*, pages 280–296. Springer, 2011. doi:
576 10.1007/978-3-642-23217-6_19.
- 577 19 Pierre-Malo Deniérou and Nobuko Yoshida. Multiparty session types meet communicating
578 automata. In Helmut Seidl, editor, *Programming Languages and Systems*, pages 194–213.
579 Springer, 2012. doi:10.1007/978-3-642-28869-2_10.
- 580 20 Pierre-Malo Deniérou and Nobuko Yoshida. Multiparty compatibility in communicating
581 automata: Characterisation and synthesis of global session types. In *Automata, Languages,*
582 *and Programming*, pages 174–186. Springer, 2013. doi:10.1007/978-3-642-39212-2_18.
- 583 21 Pierre-Malo Deniérou, Nobuko Yoshida, Andi Bejleri, and Raymond Hu. Parameterised
584 multiparty session types. *Logical Methods in Computer Science*, 8(4), 2012. doi:10.2168/
585 LMCS-8(4:6)2012.
- 586 22 Farzaneh Derakhshan and Frank Pfenning. Circular proof as session-typed processes: a local
587 validity condition. (arXiv:1908.01909), 2019. URL: <https://arxiv.org/abs/1908.01909>.
- 588 23 Simon Gay and Malcolm Hole. Subtyping for session types in the pi calculus. *Acta Informatica*,
589 42(2-3):191–225, 2005. doi:10.1007/s00236-005-0177-z.
- 590 24 Simon J. Gay and Malcolm Hole. Types and subtypes for client-server interactions. In
591 *ESOP*, volume 1576 of *Lecture Notes in Computer Science*, pages 74–90. Springer, 1999.
592 doi:10.1007/3-540-49099-X_6.
- 593 25 Silvia Ghilezan, Svetlana Jaksic, Jovanka Pantovic, Alceste Scalas, and Nobuko Yoshida.
594 Precise subtyping for synchronous multiparty sessions. *J. Log. Algebr. Meth. Program.*,
595 104:127–173, 2019. doi:10.1016/j.jlamp.2018.12.002.
- 596 26 Jean-Yves Girard. Linear logic. *Theoretical Computer Science*, 50(1):1–112, 1987. doi:
597 10.1016/0304-3975(87)90045-4.

- 598 27 Jean-Yves Girard and Yves Lafont. Linear logic and lazy computation. In Hartmut Ehrig,
599 Robert Kowalski, Giorgio Levi, and Ugo Montanari, editors, *TAPSOFT '87*, pages 52–66.
600 Springer, 1987. doi:10.1007/BFb0014972.
- 601 28 Alessio Guglielmi. A system of interaction and structure. *ACM Transactions on Computa-*
602 *tional Logic*, 8, 2007. doi:10.1145/1182613.1182614.
- 603 29 Dick Hardt. The OAuth 2.0 authorization framework. standard rfc6749, Internet Engineering
604 Task Force, 2012. URL: <https://tools.ietf.org/html/rfc6749>.
- 605 30 Kohei Honda. Types for dyadic interaction. In *CONCUR'93*, pages 509–523. Springer, 1993.
606 doi:10.1007/3-540-57208-2_35.
- 607 31 Kohei Honda, Aybek Mukhamedov, Gary Brown, Tzu-Chun Chen, and Nobuko Yoshida.
608 Scribbling interactions with a formal foundation. In Raja Natarajan and Adegboyega Ojo,
609 editors, *Distributed Computing and Internet Technology*, pages 55–75. Springer, 2011. doi:
610 10.1007/978-3-642-19056-8_4.
- 611 32 Kohei Honda, Nobuko Yoshida, and Marco Carbone. Multiparty asynchronous session types.
612 In *Proceedings of the 35th Annual ACM SIGPLAN-SIGACT Symposium on Principles of*
613 *Programming Languages*, POPL '08, pages 273–284. ACM, 2008. doi:10.1145/1328438.
614 1328472.
- 615 33 Kohei Honda, Nobuko Yoshida, and Marco Carbone. Multiparty asynchronous session types.
616 *J. ACM*, 63(1):9:1–9:67, 2016. doi:10.1145/2827695.
- 617 34 Ross Horne. The consistency and complexity of multiplicative additive system virtual. *Scientific*
618 *Annals of Computer Science*, 25(2):245–316, 2015. doi:10.7561/SACS.2015.2.245.
- 619 35 Ross Horne. The sub-additives: A proof theory for probabilistic choice extending linear
620 logic. In Herman Geuvers, editor, *4th International Conference on Formal Structures for*
621 *Computation and Deduction, FSCD 2019*, volume 131 of *LIPICs*, pages 23:1–23:16. Schloss
622 Dagstuhl, 2019. doi:10.4230/LIPICs.FSCD.2019.23.
- 623 36 Ross Horne and Alwen Tiu. Constructing weak simulations from linear implications for
624 processes with private names. *Mathematical Structures in Computer Science*, 29(8):1275–1308,
625 2019. doi:10.1017/S0960129518000452.
- 626 37 Naoki Kobayashi. A type system for lock-free processes. *Information and Computation*,
627 177(2):122–159, 2002. doi:10.1016/S0890-5401(02)93171-8.
- 628 38 Julien Lange and Emilio Tuosto. Synthesising choreographies from local session types. In
629 Maciej Koutny and Irek Ulidowski, editors, *CONCUR 2012 – Concurrency Theory*, pages
630 225–239. Springer, 2012.
- 631 39 Julien Lange, Emilio Tuosto, and Nobuko Yoshida. From communicating machines to graphical
632 choreographies. In *Proceedings of the 42nd Annual ACM SIGPLAN-SIGACT Symposium*
633 *on Principles of Programming Languages*, POPL '15, pages 221–232. ACM, 2015. doi:
634 10.1145/2676726.2676964.
- 635 40 Sam Lindley and J. Garrett Morris. Talking bananas: Structural recursion for session types. In
636 *Proceedings of the 21st ACM SIGPLAN International Conference on Functional Programming*,
637 ICFP 2016, page 434–447. ACM, 2016. doi:10.1145/2951913.2951921.
- 638 41 Barbara Liskov and Jeannette M. Wing. A behavioral notion of subtyping. *ACM Trans.*
639 *Program. Lang. Syst.*, 16(6):1811–1841, 1994. doi:10.1145/197320.197383.
- 640 42 Dale Miller, Gopalan Nadathur, Frank Pfenning, and Andre Scedrov. Uniform proofs as a
641 foundation for logic programming. *Annals of Pure and Applied Logic*, 51(1):125–157, 1991.
642 doi:10.1016/0168-0072(91)90068-w.
- 643 43 Dimitris Mostrous, Nobuko Yoshida, and Kohei Honda. Global principal typing in partially
644 commutative asynchronous sessions. In *Programming Languages and Systems*, pages 316–332.
645 Springer, 2009. doi:10.1007/978-3-642-00590-9_23.
- 646 44 Luca Padovani. Session types = intersection types + union types. In Elaine Pimentel, Betti
647 Venneri, and Joe B. Wells, editors, *Proceedings Fifth Workshop on Intersection Types and*
648 *Related Systems, ITRS 2010, Edinburgh, U.K., 9th July 2010.*, volume 45 of *EPTCS*, pages
649 71–89, 2010. doi:10.4204/EPTCS.45.6.

6:18 Session Subtyping and Multiparty Compatibility

- 650 **45** Luca Padovani. On projecting processes into session types. *Mathematical Structures in*
651 *Computer Science*, 22(2):237–289, 2012. doi:10.1017/S0960129511000405.
- 652 **46** Luca Padovani. Deadlock and lock freedom in the linear pi-calculus. In *CSL-LICS*, pages
653 72:1–72:10. ACM Press, 2014. doi:10.1145/2603088.2603116.
- 654 **47** Benjamin C. Pierce and Davide Sangiorgi. Typing and subtyping for mobile processes. *Mathem-*
655 *atical Structures in Computer Science*, 6(5):409–453, 1996. doi:10.1017/S096012950007002X.
- 656 **48** Alceste Scalas and Nobuko Yoshida. Less is more: multiparty session types revisited. *PACMPL*,
657 3(POPL):30:1–30:29, 2019. doi:10.1145/3290343.
- 658 **49** Paula Severi and Mariangiola Dezani-Ciancaglini. Observational equivalence for multiparty
659 sessions. *Fundam. Inform.*, 170(1-3):267–305, 2019. doi:10.3233/FI-2019-1863.
- 660 **50** Alwen Tiu. A system of interaction and structure II: The need for deep inference. *Logical*
661 *Methods in Computer Science*, 2(2), 2006. doi:10.2168/LMCS-2(2:4)2006.

A Proof of Theorem 12: well-typed networks are deadlock free

We require the following standard lemmas, which follow by structural induction.

► **Lemma 15** (inversion lemma). *In the following, we do not use the subsumption rule.*

■ If $\vdash P \parallel Q : \mathbb{T}$, there exists \mathbb{U} and \mathbb{V} such that $\mathbb{T} = \mathbb{U} \otimes \mathbb{V}$ and $\vdash P : \mathbb{U}$ and $\vdash Q : \mathbb{V}$.

■ If $\vdash \oplus_{i \in I} !\lambda_i; P_i : \mathbb{T}$, there exists \mathbb{T}_i such that $\mathbb{T} = \bigvee_{i \in I} !\lambda_i; \mathbb{T}_i$ and $\vdash P_i : \mathbb{T}_i$.

■ If $\vdash \Sigma_{i \in I} ?\lambda_i; P_i : \mathbb{T}$, there exists \mathbb{T}_i such that $\mathbb{T} = \bigwedge_{i \in I} ?\lambda_i; \mathbb{T}_i$ and $\vdash P_i : \mathbb{T}_i$.

■ If $\vdash \text{rec} X.P : \mathbb{T}$, there exists \mathbb{U} and \mathbf{t} such that $\mathbb{T} = \mu \mathbf{t}. \mathbb{U}$ and $X : \mathbf{t} \vdash P : \mathbb{U}$.

■ If $\vdash 1 : \mathbb{T}$ then $\mathbb{T} = \text{ok}$.

► **Lemma 16.** If $\vdash \text{rec} X.P : \mu \mathbf{t}. \mathbb{T}$ then $\vdash P\{X.P/X\} : \mathbb{T}\{\mu \mathbf{t}. \mathbb{T}/\mathbf{t}\}$.

We also require that race freedom is preserved by the reduction system. This is effectively a subject reduction theorem for the race free property.

► **Lemma 17** (race freedom). *If P is race free and $P \longrightarrow Q$, then Q is race free.*

The following condition follows from inverting the type system for race freedom.

► **Lemma 18.** *If $P \parallel Q$ is race free and $\vdash P : \mathbb{T}$ and $\vdash Q : \mathbb{U}$, then if π appears in \mathbb{T} , then π does not appear in \mathbb{U} .*

Since we employ a reduction semantics, we require that the rules of the structural congruence preserve multiparty compatibility.

► **Lemma 19.** *If $\vdash P : \text{ok}$ and $P \equiv Q$, then $\vdash Q : \text{ok}$.*

We also require a subject reduction result, where proofs that $\mathbb{T} \leq \text{ok}$ and race freedom play the role that a global type normally plays in such proofs. Note we avoid the term session fidelity since fidelity is typically expressed in terms of global types [32].

► **Lemma 20** (subject reduction). *If $\vdash P : \text{ok}$, and P is race free, then for all Q such that $P \longrightarrow Q$, we have $\vdash Q : \text{ok}$.*

Proof. If there exists a reduction, we can apply the structural congruence to a process to reach one of the following forms. By Lemma 19, the use of the structural congruence preserves multiparty compatibility.

Case of internal choice. Assume we have $\vdash \oplus_{i \in I} !\lambda_i; P_i \parallel Q : \text{ok}$. By Theorem 9, for some \mathbb{T} , we have $\vdash \oplus_{i \in I} !\lambda_i; P_i \parallel Q : \mathbb{T}$, without using subsumption, and $\mathbb{T} \leq \text{ok}$. Consider the transition $\oplus_{i \in I} !\lambda_i; P_i \parallel Q \longrightarrow !\lambda_k; P_k \parallel Q$, where $k \in I$.

By Lemma 15, we have there exists \mathbb{U}_i and \mathbb{V} such that $\mathbb{T} = \bigvee_{i \in I} !\lambda_i; \mathbb{U}_i \otimes \mathbb{V}$ and $\vdash P_i : \mathbb{U}_i$, for all i , and $\vdash Q : \mathbb{V}$. Therefore $\vdash !\lambda_k; P_k \parallel Q : !\lambda_k; \mathbb{U}_k \otimes \mathbb{V}$.

Now, since $\bigvee_{i \in I} !\lambda_i; \mathbb{U}_i, \mathbb{V} \vdash$ is provable and so is $\bigwedge_{i \in I} ?\lambda_i; \overline{\mathbb{U}}_i, !\lambda_k; \mathbb{U}_k \vdash$, by Theorem 5, $!\lambda_k; \mathbb{U}_k, \mathbb{V} \vdash$ holds. Hence $!\lambda_k; \mathbb{U}_k \otimes \mathbb{V} \leq \text{ok}$, as required.

Case of external choice. Assume we have $\vdash \Sigma_{i \in I} ?\lambda_i; P_i \parallel !\lambda_k; Q \parallel R : \text{ok}$, where $k \in I$ and $\Sigma_{i \in I} ?\lambda_i; P_i \parallel !\lambda_k; Q \parallel R$ is race free. Consider transition $\Sigma_{i \in I} ?\lambda_i; P_i \parallel !\lambda_k; Q \parallel R \longrightarrow P_k \parallel Q \parallel R$.

By Theorem 9, for some \mathbb{T} , we have that $\vdash \Sigma_{i \in I} ?\lambda_i; P_i \parallel !\lambda_k; Q \parallel R : \mathbb{T}$ holds without using subsumption, and $\mathbb{T} \leq \text{ok}$. By Lemma 15 we have there exists \mathbb{U}_i, \mathbb{V} and \mathbb{W} such that we have $\mathbb{T} = \bigwedge_{i \in I} ?\lambda_i; \mathbb{U}_i \otimes !\lambda_k; \mathbb{V} \otimes \mathbb{W}$ and $\vdash P_i : \mathbb{U}_i$, for all i , and $\vdash Q : \mathbb{V}$ and $\vdash R : \mathbb{W}$. Therefore we have $\vdash P_k \parallel Q \parallel R : \mathbb{U}_k \otimes \mathbb{V} \otimes \mathbb{W}$ holds.

Now, consider the proof of $\bigwedge_{i \in I} ?\lambda_i; \mathbb{U}_i, !\lambda_k; \mathbb{V}, \mathbb{W} \vdash$. Since we have the type judgements $\vdash \Sigma_{i \in I} ?\lambda_i; P_i \parallel !\lambda_k; Q : \bigwedge_{i \in I} ?\lambda_i; \mathbb{U}_i \otimes !\lambda_k; \mathbb{V}$ and $\vdash R : \mathbb{W}$ and $\Sigma_{i \in I} ?\lambda_i; P_i \parallel !\lambda_k; Q \parallel R$ is race free, by Lemma 18, neither $!\lambda_i$ nor $?\lambda_k$ appear in \mathbb{W} . Hence there are only two possibilities, for every branch of the proof tree:

6:20 Session Subtyping and Multiparty Compatibility

- 705 1. Either we eventually reach an application of rule [PREFIX], possibly via an application of
706 [MEET] as follows:

$$\frac{\frac{\vdots}{[\Theta] \vdash \mathbf{U}_k, \mathbf{V}, \Gamma \vdash} \text{[PREFIX]}}{[\Theta] ?\lambda_k; \mathbf{U}_k, !\lambda_k; \mathbf{V}, \Gamma \vdash} \text{[PREFIX]}$$

$$\frac{\frac{\vdots}{[\Theta'] ?\lambda_k; \mathbf{U}_k, !\lambda_k; \mathbf{V}, \Gamma' \vdash} \text{[MEET]}}{[\Theta'] \bigwedge_{i \in I} ?\lambda_i; \mathbf{U}_i, !\lambda_k; \mathbf{V}, \Gamma' \vdash} \text{[MEET]}$$

$$\vdots$$

707

708 Note, by race freedom, if $\lambda_j = \lambda_k$ then $j = k$, hence only one branch can be selected in
709 rule [MEET] to enable the rule [PREFIX]. Hence the above application of rule [INTR] is
710 deterministic.

- 711 2. Alternatively, on some path no [PREFIX] is ever applied to type $!\lambda_k; \mathbf{V}$ and there is a
712 [LEAF] axiom of the following form, with an corresponding ancestor [FIX- μ] rule as
713 follows:

$$\frac{\frac{[\Theta' \llbracket !\lambda_k; \mathbf{V}, \mu t.W', \Gamma \rrbracket !\lambda_k; \mathbf{V}, \mu t.W', \Gamma \vdash} \text{[LEAF]}}{\vdots}}{[\Theta] \llbracket !\lambda_k; \mathbf{V}, \mu t.W', \Gamma \rrbracket !\lambda_k; \mathbf{V}, W' \left\{ \frac{\mu t.W'}{t} \right\}, \Gamma \vdash} \text{[FIX-}\mu\text{]}}$$

$$\frac{\vdots}{[\Theta] !\lambda_k; \mathbf{V}, \mu t.W', \Gamma \vdash}$$

714

715 In this case, by the participant condition in the race free condition, each λ_j such that
716 $j \in I$ can only match an output in the type $!\lambda_k; \mathbf{V}$. Hence there must also be no [PREFIX]
717 applied to any λ_i in $\bigwedge_{i \in I} ?\lambda_i; \mathbf{U}_i$ between the [LEAF] and the corresponding [FIX- μ]. Hence
718 either $\bigwedge_{i \in I} ?\lambda_i; \mathbf{U}_i$ appears in Γ , or there is some $j \in I$ such that $?\lambda_j; \mathbf{U}_j$ for $j \in I$ appears
719 in Γ .

720 In paths in the proof satisfying the first case above, simply remove the relevant instance
721 of the rule [INTR] below the rule in the proof, replace $\bigwedge_{i \in I} ?\lambda_i; \mathbf{U}_i$ and $!\lambda_k; \mathbf{V}$ with \mathbf{U}_k and \mathbf{V} .

722 In paths in the proof satisfying the second case above where both $\bigwedge_{i \in I} ?\lambda_i; \mathbf{U}_i$ and $!\lambda_k; \mathbf{V}$
723 are never touched, simply replacing these formulae with \mathbf{U}_k and \mathbf{V} everywhere in the given
724 path. In cases where $?\lambda_j; \mathbf{U}_j$ appears in Γ , there must be an instance of rule [JOIN] below the
725 rule [FIX- μ] that introduced Γ or the following form.

$$\frac{[\Theta''] !\lambda_k; \mathbf{V}, ?\lambda_j; \mathbf{U}_j, \Gamma'' \vdash}{[\Theta''] !\lambda_k; \mathbf{V}, \bigwedge_{i \in I} ?\lambda_i; \mathbf{U}_i, \Gamma'' \vdash}$$

726

727 Since, by the participant condition, we know that in this path we never apply [PREFIX] to
728 λ_j , we can safely remove the above rule instances from the proof and replace $?\lambda_j; \mathbf{U}_j$ with \mathbf{U}_k
729 along that path.

730 After applying the above proof transformation, we obtain a proof of $\mathbf{U}_k, \mathbf{V}, W \vdash$. Hence
731 $\mathbf{U}_k \otimes \mathbf{V} \otimes W \leq \text{ok}$ as required.

732 **Case of fixed points.** Assume $\vdash \text{rec}X.P \parallel Q : \text{ok}$ holds. By Theorem 9, for some T , we
733 have $\vdash \text{rec}X.P \parallel Q : \mathsf{T}$, without using subsumption, and $\mathsf{T} \leq \text{ok}$. Consider the transition
734 $\text{rec}X.P \parallel Q \longrightarrow P \{ \text{rec}X.P / X \} \parallel Q$.

735 By Lemma 15, we have there exist types U and V and type variable t such that $T =$
 736 $\mu t. U \otimes V$ and $\vdash \text{rec} X.P: \mu t. U$ and $\vdash Q: V$. Now, by Lemma 16, $\vdash P\{\text{rec} X.P/X\}: U\{\mu t. U/t\}$.
 737 Therefore, we have $\vdash P\{\text{rec} X.P/X\} \parallel Q: U\{\mu t. U/t\} \otimes V$.

738 Now, since $\vdash \mu t. U, V$ is provable and $\mu t. U, U\{\mu t. U/t\} \vdash$ is provable, by Theorem 5, we
 739 have $U\{\mu t. U/t\}, V \vdash$ is provable. Hence $U\{\mu t. U/t\} \otimes V \leq \text{ok}$, as required. \blacktriangleleft

740 \blacktriangleright **Theorem 21** (Theorem 12). *Any race-free multiparty-compatible network is deadlock free.*

741 **Proof.** Assume $\vdash P: \text{ok}$ holds and P is race free. Consider the form of P . Either P has a
 742 fixed point or internal choice at the head of a process, hence is ready to act. Hence, there
 743 exists Q such that $P \longrightarrow Q$. Otherwise we have a process equivalent to the following form.

$$744 \quad !\lambda_1; Q_1 \parallel \dots \parallel !\lambda_m; Q_m \parallel \Sigma_{i \in I_1} ?\lambda_i^1; R_i^1 \parallel \dots \parallel \Sigma_{i \in I_n} ?\lambda_i^n; R_i^n \parallel 1 \parallel \dots \parallel 1$$

745 There are two cases to consider as follows.

746 In the first case, $m = n = 0$; hence we have $P = 1 \parallel \dots \parallel 1$. Therefore, $P \equiv 1$ and hence
 747 the processes is successfully terminated.

748 Otherwise, observe, by Theorem 9, there exists T such that $\vdash P: T$ without using
 749 subsumption and $T \leq \text{ok}$. Also, observe, by Theorem 15, there exists U_i and V_i^j such that
 750 $T = !\lambda_1; U_1 \otimes \dots \otimes !\lambda_m; U_m \otimes \bigwedge_{i \in I_1} ?\lambda_i^1; V_i^1 \parallel \dots \parallel \bigwedge_{i \in I_n} ?\lambda_i^n; V_i^n \otimes \text{ok} \otimes \dots \otimes \text{ok}$ and $\vdash Q_k: U_k$
 751 and $\vdash R_j^\ell: V_j^\ell$, for all j, k and ℓ .

752 In the proof of $T \vdash$, there must be at least one application of the rule [PREFIX]. Due to the
 753 absence of \approx in T , the only other rules that may be applied before the bottommost instances
 754 of rule [PREFIX] are the rules [PAR] and [MEET]. In order to apply the rule [PREFIX], there
 755 exists j, k and ℓ such $j \in I_\ell$ and $\lambda_k = \lambda_j^\ell$, allowing a proof tree of the following form.

$$\frac{\frac{\frac{[\Theta] T_k, U_i^\ell, \Gamma \vdash}{[\Theta] !\lambda_k; T_k, ?\lambda_j^\ell; U_j^\ell, \Gamma \vdash}}{\vdots}}{\frac{[\Theta] !\lambda_k; T_k, ?\lambda_j^\ell; U_j^\ell, \Gamma \vdash}{[\Theta] !\lambda_k; T_k, \bigwedge_{i \in I_\ell} ?\lambda_i^\ell; U_i^\ell, \Gamma \vdash}}{\vdots}}{T \vdash}$$

757 Thus, simply due to the existence of such a matching pair of inputs and outputs, we have a
 758 transition of the form.

$$759 \quad \begin{array}{l} !\lambda_1; Q_1 \parallel \dots \parallel !\lambda_k; Q_k \parallel \dots \parallel !\lambda_m; Q_m \\ \parallel \Sigma_{i \in I_1} ?\lambda_i^1; R_i^1 \parallel \dots \parallel \Sigma_{i \in I_\ell} ?\lambda_i^\ell; R_i^\ell \parallel \dots \parallel \\ \Sigma_{i \in I_n} ?\lambda_i^n; R_i^n \parallel 1 \parallel \dots \parallel 1 \end{array} \longrightarrow \begin{array}{l} !\lambda_1; Q_1 \parallel \dots \parallel Q_k \parallel \dots \parallel !\lambda_m; Q_m \\ \parallel \Sigma_{i \in I_1} ?\lambda_i^1; R_i^1 \parallel \dots \parallel R_j^\ell \parallel \dots \\ \parallel \Sigma_{i \in I_n} ?\lambda_i^n; R_i^n \parallel 1 \parallel \dots \parallel 1 \end{array}$$

760 Thus we certainly have that either $P \equiv 1$ or there exists Q such that $P \longrightarrow Q$.

761 Finally, by Lemma 20, since R is race free, we have that for all R such that $P \longrightarrow R$,
 762 $\vdash R: \text{ok}$ and furthermore, by Lemma 17, R is race free, as required. Hence, deadlock freedom
 763 is established by coinduction. \blacktriangleleft

764 **B** The Precise Relationship to Linear Logic

765 For a self-contained presentation, we summarise the related non-commutative logic [15] on
 766 which this work builds, formulated in the calculus of structures [28]. We adjust the syntax
 767 to match the body of the paper. The rules of MAV [34] are presented as in Fig. 7, where the
 768 calculus of structures allows rules to be applied in any context $\mathcal{C}\{ \cdot \}$ and the structural
 congruence \equiv can be applied at any point in a proof.

$$\begin{array}{c}
 \frac{}{\text{ok} \vdash} \text{ success} \qquad \frac{\mathcal{C}\{ \text{ok} \} \vdash}{\mathcal{C}\{ !\lambda \otimes ?\lambda \} \vdash} \text{ atomic interaction} \\
 \\
 \frac{\mathcal{C}\{ (T \otimes V); (U \otimes W) \} \vdash}{\mathcal{C}\{ (T; U) \otimes (V; W) \} \vdash} \text{ seq} \qquad \frac{\mathcal{C}\{ T \wp (U \otimes V) \} \vdash}{\mathcal{C}\{ (T \wp U) \otimes V \} \vdash} \text{ switch} \\
 \\
 \frac{\mathcal{C}\{ (T \vee V); (U \vee W) \} \vdash}{\mathcal{C}\{ (T; U) \vee (V; W) \} \vdash} \text{ medial} \qquad \frac{\mathcal{C}\{ (T \otimes U) \vee (T \otimes V) \} \vdash}{\mathcal{C}\{ T \otimes (U \vee V) \} \vdash} \text{ external} \\
 \\
 \frac{\mathcal{C}\{ T \} \vdash}{\mathcal{C}\{ T \wedge U \} \vdash} \text{ left} \qquad \frac{\mathcal{C}\{ U \} \vdash}{\mathcal{C}\{ T \wedge U \} \vdash} \text{ right} \qquad \frac{\mathcal{C}\{ \text{ok} \} \vdash}{\mathcal{C}\{ \text{ok} \vee \text{ok} \} \vdash} \text{ tidy} \\
 \\
 \begin{array}{ccc}
 (T \wp U) \wp V \equiv T \wp (U \wp V) & \text{ok}; T \equiv T & (T \otimes U) \otimes V \equiv T \otimes (U \otimes V) \\
 T \wp \text{ok} \equiv T & T; \text{ok} \equiv T & T \otimes \text{ok} \equiv T \\
 T \wp U \equiv U \wp T & (T; U); V \equiv T; (U; V) & T \otimes U \equiv U \otimes T
 \end{array}
 \end{array}$$

■ **Figure 7** Inference and structural rules for proof system MAV (formalising provability of duals).

769 We extend the notion of a co-type to local types with sequential composition.
 770

$$\begin{array}{c}
 771 \quad \overline{(T \wedge U)} = \overline{T} \vee \overline{U} \quad \overline{(T \vee U)} = \overline{T} \wedge \overline{U} \quad \overline{T \wp U} = \overline{T} \otimes \overline{U} \quad \overline{T \otimes U} = \overline{T} \wp \overline{U} \\
 772 \quad \overline{(T; U)} = \overline{T}; \overline{U} \quad \overline{\text{ok}} = \text{ok} \quad \overline{!\lambda} = ?\lambda \quad \overline{?\lambda} = !\lambda \\
 773
 \end{array}$$

774 Notice the only difference compared to the co-type transformation for Session (Def. 2) is
 775 that any type may appear to the left of sequential composition, not only an atomic send or
 776 receive action. The following result generalises *cut elimination* to the calculus of structures.

777 ► **Theorem 22** (Horne 2015 [34]). *In the system in Fig. 7, if $\mathcal{C}\{ \overline{T} \wp T \} \vdash$ holds then we
 778 can construct a proof of $\mathcal{C}\{ \text{ok} \} \vdash$.*

779 The related work [15, 34], from which the above is extracted, clarifies that, as for Session in
 780 the body of this paper, MAV defines a rich notion of multiparty subtyping and compatibility.

781 The following result formally relating MAV and Session is a corollary of cut elimination
 782 (each direction of the implication follows from cut elimination in one of the two systems).

783 ► **Corollary 23.** *If T is a session type, as in Def. 1 but without fixed points, then $T \vdash$ in
 784 Session if and only if $T \vdash$ in System MAV.*

785 Finally, observe that MAV is a conservative extension of linear logic with mix and, the above
 786 corollary proves the finite fragment of Session is also a fragment of MAV.