

# An extension result of CR functions by a general Schwarz Reflection Principle

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We study a general Schwarz Reflection Principle in one complex variable and obtain a holomorphic extension result of continuous CR functions defined on a real analytic, generic, CR submanifold in  $\mathbb{C}^N$ .

## 1 A general Schwarz Reflection Principle

The classical Schwarz Reflection Principle can be stated as follows:

**Theorem 1.1.** *Suppose that  $\Omega$  is a connected domain, symmetric with respect to the real axis, and that  $L = \Omega \cap \mathbb{R}$  is an interval. Let  $\Omega^+ = \{z \in \Omega : \text{Im}(z) > 0\}$ . Suppose that  $f \in A(\Omega^+)$ , a function holomorphic on  $\Omega^+$  and that  $\text{Im}(f)$  has a continuous extension to  $\Omega^+ \cup L$  that vanishes on  $L$ . Then there is a  $F \in A(\Omega)$  such that  $F = f$  in  $\Omega^+$  and  $F(z) = \overline{f(\bar{z})}$  in  $\Omega - \Omega^+$ .*

Our generalized version replaces the vanishing of  $\text{Im}(f)$  on  $L$  by the real analyticity of  $\text{Im}(f)$  on  $L$  which is a necessary condition for the holomorphic extension. We simplify our version as follows:

**Theorem 1.2.** *Let  $D = D(0, 1)$  be the open disc centered at the origin with radius 1,  $D^+ = \{z \in D : \text{Im}(z) > 0\}$ ,  $L = D \cap \mathbb{R}$ . Suppose that  $f \in A(D^+)$  and  $\text{Im}(f)$  has a continuous extension to  $D^+ \cup L$  such that  $v(x, 0) = \text{Im}(f)|_L$  is real analytic at 0 with radius of convergence  $r$ . Denote  $D_r = D(0, r)$ , then there exists  $F \in A(D^+ \cup D_r)$  such that  $F = f$  in  $D^+$  and  $F(z) = \overline{f(\bar{z})} + 2iv(z, 0)$   $\forall z \in D_r - D_r^+$  where  $v(z, 0)$  denotes a holomorphic function on  $D_r$ .*

**Proof:** Since  $v(x, 0) = \text{Im}(f)|_L$  is real analytic at 0 with radius of convergence  $r$ , we can write  $v(x, 0) = \sum a_n x^n \forall |x| < r$ . Thus we get a holomorphic function  $v(z, 0) = \sum a_n z^n$  on  $D_r$  by the complexification of the variable  $x$ . Now  $\text{Im}(f(z) - iv(z, 0))|_{(-r, r)} \equiv 0$ , by the Schwarz Reflection Principle, the function

$$g(z) := \begin{cases} f(z) - iv(z, 0) & \text{for } z \in D_r^+ \\ \overline{f(\bar{z})} - iv(\bar{z}, 0) & \text{for } z \in D_r - D_r^+ \end{cases}$$

is holomorphic on  $D_r$ . Thus the function

$$F(z) := g(z) + iv(z, 0) = \begin{cases} f(z) & \text{for } z \in D^+ \\ \overline{f(\bar{z})} - iv(\bar{z}, 0) + iv(z, 0) & \text{for } z \in D_r - D_r^+ \end{cases}$$

is holomorphic on  $D^+ \cup D_r$  and is the desired extension. Since  $a_n$  are real,  $F(z) = f(\bar{z}) + 2iv(z, 0) \forall z \in D_r - D_r^+$ . ■

Theorem 1.2 leads to a reflection principle of harmonic functions if we impose some real analyticity condition on the boundary.

**Corollary 1.1.** *Let  $D, D^+, L$  as above. Suppose that  $v(x, y)$  is harmonic in  $D^+$  and has a continuous extension to  $D^+ \cup L$  such that  $v(x, 0)$  is real analytic at 0 with radius of convergence  $r$ . Denote  $D_r = D(0, r)$ , then there exists  $V$  harmonic in  $D^+ \cup D_r$  such that  $V = v$  in  $D^+$  and  $V(x, y) = 2\operatorname{Re}(v(z, 0)) - v(x, -y) \forall (x, y) \in D_r - D_r^+$  where  $v(z, 0)$  denotes a holomorphic function on  $D_r$ .*

**Proof:** Since we can find  $u(x, y)$  in  $D^+$  such that  $u + iv \in A(D^+)$ , we then apply Theorem 1.2 to get the extension  $V(x, y)$  in  $D_r$ ,  $V(x, y) = \operatorname{Im}(\overline{f(\bar{z})} + 2iv(z, 0)) = 2\operatorname{Re}(v(z, 0)) - v(x, -y)$ . ■

**Proposition 1.3.** *Every regular real analytic curve  $S$  in  $\mathbb{C}$  is locally biholomorphic equivalent to the real line, i.e.  $\forall p_0 \in S, \exists$  a local biholomorphic map  $\phi$  from a neighborhood  $U$  of 0 in  $\mathbb{C}$  that takes 0 to  $p_0$  and  $U \cap \mathbb{R}$  to  $\phi(U) \cap S$ .*

**Proof:** WLOG, assume  $p_0 = 0$ , since  $S$  is regular real analytic, then  $S$  can be locally parametrized by  $(\sum_{n=1}^{\infty} a_n t^n, \sum_{n=1}^{\infty} b_n t^n), t \in (-r, r)$  with  $(a_1, b_1) \neq (0, 0)$ . Then the local biholomorphic mapping  $\phi(z) = \sum_{n=1}^{\infty} (a_n + ib_n)z^n$  defined on  $U = D(0, \frac{r}{\sqrt{2}})$  maps  $U \cap \mathbb{R}$  to  $\phi(U) \cap S$  and takes 0 to 0. ■

By Proposition 1.3, Theorem 1.2 can be generalized as follows: if a function  $f$  holomorphic on one side of  $S$ ,  $\operatorname{Im}(f)$  has a continuous extension to a neighborhood  $U$  of  $p_0$  in  $S$  such that the restriction to  $U$  is real analytic, then  $f$  can be holomorphically extended to a neighborhood of  $p_0$  in  $\mathbb{C}$ . A generalized Corollary 1.1 will follow easily.

## 2 Holomorphic extension of CR functions on real analytic, generic CR submanifold in $\mathbb{C}^N$

We first introduce the necessary notations and definitions needed in the sequel. We mainly follow [BER]. A smooth (real analytic) real submanifold of  $\mathbb{C}^N$  of codimension  $d$  is a subset  $M$  of  $\mathbb{C}^N$  such that  $\forall p_0 \in M$ , there is a neighborhood  $U$  of  $p_0$  and a smooth (real analytic) real vector-valued function  $\rho = (\rho_1, \dots, \rho_d)$  defined in  $U$  such that

$$M \cap U = \{Z \in U : \rho(Z, \bar{Z}) = 0\},$$

with differentials  $d\rho_1, \dots, d\rho_d$  linearly independent in  $U$ .

**Definition 2.1.** A real submanifold  $M \subset \mathbb{C}^N$  is CR if the complex rank of the complex differentials  $\partial\rho_1, \dots, \partial\rho_d$  is constant for  $p \in M$ . It is generic if  $\partial\rho_1, \dots, \partial\rho_d$  are  $\mathbb{C}$  linearly independent for  $p \in M$ .

It should be noted that the above definitions are independent of choice of local defining functions  $\rho$ . Let  $M \subset \mathbb{C}^N$  be a germ of real analytic, generic, CR submanifold of codimension  $d$  at  $p_0$ , write  $N = n + d$ ,  $n \geq 1$ . After a local holomorphic change of coordinates, we can assume that there exists  $\Omega$ , a sufficiently small open neighborhood of 0 in  $\mathbb{C}^{n+d}$ , such that  $M$  is given in  $\Omega$  by

$$Im(w) = \phi(z, \bar{z}, Re(w)),$$

with  $z \in \mathbb{C}^n$ ,  $w \in \mathbb{C}^d$ ,  $\phi$  a real-valued analytic function (power series) of  $z, \bar{z}, Re(w)$  such that  $\phi(0, 0, 0) = 0$  and  $d\phi(0, 0, 0) = 0$ . Such a choice of coordinates is called regular coordinates.

Now suppose  $M$  is a real analytic, generic submanifold, locally parametrized by the regular coordinates near  $0 \in M$ . Since the function  $\phi(z, \bar{z}, s)$  is real analytic near  $0 \in \mathbb{R}^{2n+d}$ , the variable  $s$  can be complexified. Thus, we extend the parametrization  $\Psi(z, \bar{z}, s) = (z, s + i\phi(z, \bar{z}, s)) \in \mathbb{C}^{n+d}$  to a local real analytic diffeomorphism  $\tilde{\Psi}(z, \bar{z}, s + it) = (z, s + it + i\phi(z, \bar{z}, s + it)) \in \mathbb{C}^{n+d}$ , defined in a neighborhood of  $0 \in \mathbb{R}^{2n+2d}$ .

By the above notation, we have the following holomorphic extension result, see [BER].

**Proposition 2.1.** *Let  $M$  be a real analytic generic submanifold of  $\mathbb{C}^{n+d}$  of codimension  $d$  in regular coordinates near  $0 \in M$ . If  $h$  be a CR function on  $M$ , then  $h$  extends holomorphically to a full neighborhood of 0 if and only if there exists  $\epsilon > 0$  such that for every  $z \in \mathbb{C}^n$ ,  $|z| < \epsilon$ , the function  $s \mapsto h \circ \Psi(z, \bar{z}, s)$  extends holomorphically to the open set  $\{s + it \in \mathbb{C}^d, |s| < \epsilon, |t| < \epsilon\}$  in such a way that the extension  $H := h \circ \Psi(z, \bar{z}, s + it)$  is a bounded, measurable function of all its variables.*

The following important corollary is an easy consequence of the above proposition.

**Corollary 2.1.** *Let  $M \subset \mathbb{C}^N$  be a real analytic generic submanifold and  $f$  a CR function in a neighborhood of  $p \in M$ . Then  $f$  extends as a holomorphic function in a neighborhood of  $p$  in  $\mathbb{C}^N$  if and only if  $f$  is real analytic in a neighborhood of  $p$  in  $M$ .*

We shall describe an open wedge with a generic edge in  $\mathbb{C}^{n+d}$ . Let  $M$  be a generic submanifold of  $\mathbb{C}^{n+d}$  of codimension  $d$  and  $p_0 \in M$ . Let  $\rho = (\rho_1, \dots, \rho_d)$  be a defining functions of  $M$  near  $p_0$  and  $\Omega$  a small neighborhood of  $p_0$  in  $\mathbb{C}^{n+d}$  in which  $\rho$  is defined. If  $\Gamma$  is an open convex cone with vertex at the origin in  $\mathbb{R}^d$ , we set

$$W(\Omega, \rho, \Gamma) := \{Z \in \Omega : \rho(Z, \bar{Z}) \in \Gamma\}.$$

The above set is an open subset of  $\mathbb{C}^{n+d}$  whose boundary contains  $M \cap \Omega$ . Such a set is called a wedge of edge  $M$  in the direction  $\Gamma$  centered at  $p_0$ .

The following shows that  $W(\Omega, \rho, \Gamma)$  is in a sense independent of the choice of  $\rho$ , which will allow us to change defining functions freely. [BER]

**Proposition 2.2.** *Let  $\rho$  and  $\rho'$  be two defining functions for  $M$  near  $p_0$ , where  $M$  and  $p_0$  are as above. Then there is a  $d \times d$  real invertible matrix  $B$  such that for every  $\Omega$  and  $\Gamma$  as above the following holds. For any open convex cone  $\Gamma_1 \subset \mathbb{R}^d$  with  $B\Gamma_1 \cap S^{d-1}$  relatively compact in  $\Gamma \cap S^{d-1}$  (where  $S^{d-1}$  denotes the unit sphere in  $\mathbb{R}^d$ ), there exists  $\Omega_1$ , an open neighborhood of  $p_0$  in  $\mathbb{C}^{n+d}$ , such that*

$$W(\Omega_1, \rho', \Gamma_1) \subset W(\Omega, \rho, \Gamma).$$

Below is an Edge-of-the-Wedge Theorem, see [Kr], [Ru]. This theorem together with Proposition 2.1 are essential to the proof of the main theorem.

**Theorem 2.3.** *If  $\Gamma \subset \mathbb{R}^d$  is an open convex cone,  $R > 0$ ,  $d \geq 2$ . Let  $V = \Gamma \cap B(0, R)$ . Let  $E \subset \mathbb{R}^d$  be a nonempty neighborhood of 0. Define  $W^+ \subset \mathbb{C}^d$ ,  $W^- \subset \mathbb{C}^d$  by*

$$W^+ = E + iV, \quad W^- = E - iV$$

*Then there exists a fixed neighborhood  $U$  of  $0 \in \mathbb{C}^d$  such that the following property holds: For any continuous function  $g : W^+ \cup W^- \cup E \rightarrow \mathbb{C}$  that is holomorphic on  $W^+ \cup W^-$ , there is a holomorphic  $G$  on  $U$  such that  $G|_{U \cap (W^+ \cup W^- \cup E)} = g$ .*

**Proof:** Let  $s \in E$ . We may assume that  $s = 0$ . After composition with a linear isomorphism  $A$  in  $\mathbb{C}^d$  with real coefficients, we may assume that  $i\{(t_1, \dots, t_d) \in \mathbb{R}^d : t_j > 0, j = 1, \dots, d\} \subset A^{-1}(i\Gamma)$ , there exists  $B(0, R') \subset \mathbb{R}^d$  with  $R' > 6\sqrt{d}$  and  $E' = \{s \in \mathbb{R}^d : |s_j| < 6, j = 1, \dots, d\}$  such that  $E' + iB(0, R') \subset A^{-1}(E + iB(0, R))$ . So we reduce the case to the following.

**CLAIM:** Let

$$\begin{aligned} E &= \{s \in \mathbb{R}^d : |s_j| < 6, j = 1, \dots, d\}, \\ V &= \{t \in \mathbb{R}^d : 0 < t_j < 6, j = 1, \dots, d\}, \\ W^+ &= E + iV, \quad W^- = E - iV. \end{aligned}$$

Let  $U = D^d(0, 1)$ . If  $g : W^+ \cup W^- \cup E \rightarrow \mathbb{C}$  is continuous and  $g$  is holomorphic on  $W^+ \cup W^-$ , then there is a holomorphic  $G$  on  $U$  such that  $G|_{U \cap (W^+ \cup W^- \cup E)} = g$ .

Let  $c = \sqrt{2} - 1$  and define

$$\begin{aligned} \varphi : \overline{D}^2(0, 1) &\longrightarrow \mathbb{C} \\ (w, \lambda) &\longmapsto \frac{w + \lambda/c}{1 + c\lambda w} \end{aligned}$$

Then

$$\varphi(w, \lambda) = \frac{w + \lambda/c + |\lambda|^2 \bar{w} + c\bar{\lambda}|w|^2}{|1 + c\lambda w|^2};$$

hence

$$\operatorname{Im}\varphi(w, \lambda) = \frac{(1-|\lambda|^2)\operatorname{Im}(cw) + (1-|cw|^2)\operatorname{Im}(\lambda)}{c|1+c\lambda w|^2}$$

Notice that

1.  $\operatorname{sgn}(\operatorname{Im}\varphi) = \operatorname{sgn}(\operatorname{Im}\lambda)$  if  $|\lambda| = 1$ ;
2.  $\operatorname{sgn}(\operatorname{Im}\varphi) = \operatorname{sgn}(\operatorname{Im}\lambda)$  if  $w \in \mathbb{R}$ ;
3.  $\varphi(w, 0) = w$ ;
4.  $|\varphi(w, \lambda)| \leq (1 + 1/c)(1 - c) < 6$ . It follows that the function

$$\begin{aligned} \Phi : D^d(0, 1) \times \overline{D} &\longrightarrow \mathbb{C}^d \\ (w, \lambda) &\longmapsto (\varphi(w_1, \lambda), \dots, \varphi(w_d, \lambda)) \end{aligned}$$

satisfies

5.  $\Phi(w, e^{i\theta}) \in W^+$  if  $0 < \theta < \pi$ ;
6.  $\Phi(w, e^{i\theta}) \in W^-$  if  $\pi < \theta < 2\pi$ ;
7.  $\Phi(w, e^{i\theta}) \in E$  if  $\theta = 0$  or  $\theta = \pi$ ;

In short,  $\Phi(z, e^{i\theta}) \in \operatorname{Dom}(g)$  for all  $0 \leq \theta < 2\pi$ , all  $w \in D^d(0, 1)$ . So we may define

$$G(w) = \frac{1}{2\pi} \int_0^{2\pi} g(\Phi(w, e^{i\theta})) d\theta, \quad w \in D^d(0, 1).$$

We claim that this is what we seek. First,  $G$  is holomorphic by an application of Morera's theorem. Next, we note that for fixed  $s \in E \cap D^d(0, 1)$ , the function  $g(\Phi(s, \cdot))$  is continuous on  $\overline{D}$  and holomorphic on  $\{\lambda \in D : \operatorname{Im}\lambda > 0 \text{ or } < 0\}$ . Again, by Morera,  $g(\Phi(s, \cdot))$  is holomorphic on all of  $D$ . It follows by Mean Value Property that

$$G(s) = \frac{1}{2\pi} \int_0^{2\pi} g(\Phi(s, e^{i\theta})) d\theta = g(\Phi(s, 0)) = g(s);$$

hence  $G = g$  on  $E \cap D^d(0, 1)$ . If  $s + it \in \mathbb{C}^d$  is fixed,  $|s + it| < 1/2$ ,  $t > 0$ , then the function

$$\xi \longmapsto G(s + \xi t) - g(s + \xi t)$$

is holomorphic for  $\xi \in \mathbb{C}$  small and  $\equiv 0$  when  $\xi$  is real. It follows that  $G$  and  $g$  are identical on  $W^+ \cup W^-$ . It follows that  $G \equiv g$  on  $W^+ \cup W^- \cup E$ .

If we return to our original case, the desired extension of  $g$  is

$$G(w) = \frac{1}{2\pi} \int_0^{2\pi} g \circ A(\Phi(A^{-1}(w), e^{i\theta})) d\theta, \quad w \in A^{-1}(D^d(0, 1)).$$

since it agrees with our  $g$  in some open set. Our desire  $U$  is  $A^{-1}(D^d(0, 1))$  ■

According to Corollary 2.1, a CR function  $f = u + iv$  on a real analytic, generic submanifold can be holomorphically extended to a full neighborhood if and only if  $u, v$  are real analytic on  $M$ . Our main theorem, to some extent, reduces the case to  $u$  continuous and  $v$  real analytic on  $M$ .

**Theorem 2.4.** *Let  $M \subset \mathbb{C}^{n+d}$  be a real analytic, generic submanifold.  $0 \in M$ , in a neighborhood  $\Omega$  of 0 in  $\mathbb{C}^{n+d}$ ,  $M$  is given by regular coordinates,  $\rho := \text{Im}(w) - \phi(z, \bar{z}, \text{Re}(w)) = 0$ ,  $(z, w) \in \mathbb{C}^n \times \mathbb{C}^d$ . Let  $f = u + iv$  be a holomorphic function defined in the wedge  $W = W(\Omega, \rho, \Gamma)$ , where  $W(\Omega, \rho, \Gamma)$  is as above defined with  $\Gamma$  an open convex cone in  $\mathbb{R}^d$ . If  $f$  extends continuously up to the edge  $M \cap \Omega$  with  $v = \text{Im}(f)$  is real analytic on the edge  $M \cap \Omega$ . Then there exists a holomorphic function  $F$  in a neighborhood  $\tilde{\Omega}$  of 0 in  $\mathbb{C}^{n+d}$  such that  $F|_{W \cap \tilde{\Omega}} = f$ .*

Before proving the theorem, we need a lemma which ensures a wedge with edge as an open subset of  $\mathbb{R}^{2n+d}$  contained in  $\tilde{\Psi}^{-1}(\Omega \cap W)$ .

**Lemma 2.5.** *There exists an neighborhood  $U_1$  of 0 in  $\mathbb{R}^{2n+2d}$ , an neighborhood  $Q_1$  of 0 in  $\mathbb{R}^{2n+d}$ , an open convex cone  $\Gamma'$  with vertex at 0 in  $\mathbb{R}^d$  such that  $\tilde{\Psi}$  is a real analytic diffeomorphism from  $U_1$  to  $\tilde{\Psi}(U_1) \subset \Omega$  and  $\tilde{\Psi}((Q_1 \times \Gamma') \cap U_1) \subset \Omega \cap W$ .*

**Proof of the lemma:** Take an neighborhood  $U_1$  of 0 in  $\mathbb{R}^{2n+2d}$  such that  $\tilde{\Psi}$  is a real analytic diffeomorphism from  $U_1$  to  $\tilde{\Psi}(U_1) \subset \Omega$ .  $\tilde{\Psi}(z, s + it) = (z, s + it + i\phi(z, \bar{z}, s + it)) = (\tilde{z}, \tilde{w})$ , we can write  $t = \Theta(\tilde{z}, \bar{\tilde{z}}, \tilde{w}, \bar{\tilde{w}})$  where  $\Theta$  is  $\mathbb{R}^d$  valued, real analytic in all its variables. Note that  $t := \Theta(\tilde{z}, \bar{\tilde{z}}, \tilde{w}, \bar{\tilde{w}})$  can be taken as the defining functions for  $M$  in  $\tilde{\Psi}(U)$ . By Proposition 2.2, there exists a neighborhood of 0 in  $\mathbb{C}^{n+d}$  (we may take it as a subset of  $U_1$  and still denote it as  $U_1$ ), a convex open cone  $\Gamma'$  such that

$$W(U_1, t, \Gamma') \subset W(\Omega, \rho, \Gamma),$$

Take  $Q_1 = U_1 \cap \mathbb{R}^{2n+d}$ , we then have  $\tilde{\Psi}((Q_1 \times \Gamma') \cap U_1) \subset \Omega \cap W$ . ■

**Proof of the theorem:**  $f|_{M \cap \Omega}$  is a continuous CR function on  $M \cap \Omega$ . By the lemma, the function  $f \circ \tilde{\Psi}(z, \bar{z}, s + it) = f(z, \bar{z}, s + it + i\phi(z, \bar{z}, s + it))$  is defined on  $\{(z, s + it) \in (Q_1 \times \Gamma') \cap U_1\}$ , note that it is holomorphic in the variables  $w = s + it$ . To use Proposition 2.1, it suffices to show that  $f \circ \tilde{\Psi}$  can be continuously extended to a full neighborhood of 0 in  $\mathbb{R}^{2n+2d}$  such that the extension is holomorphic in variables  $w = s + it$ .

Now, denote the restriction of the function  $v \circ \tilde{\Psi}(z, s + it)$  on  $Q_1$  to be  $v(z, \bar{z}, s)$ . By the real analyticity of  $v$  and  $\tilde{\Psi}$ , we can treat  $v(z, \bar{z}, s)$  as a power series expansion at  $0 \in \mathbb{R}^{2n+d}$  and complexify the variable  $s$  to  $w$ . Denote the new function to be  $v(z, \bar{z}, w)$  defined in a product neighborhood  $U_2 = U_3 \times U_4 \subset \mathbb{C}^n \times \mathbb{C}^d$  of 0. Note that  $v(z, \bar{z}, w)$  is continuous in  $U_2$  and

holomorphic in variables  $w$ .

Following the idea of Theorem 1.2, the function

$$g(z, \bar{z}, s + it) := \begin{cases} f \circ \tilde{\Psi}(z, \bar{z}, s + it) - iv(z, \bar{z}, s + it) & \text{for } (z, s + it) \in (Q_1 \times (\Gamma' \cup \{0\})) \cap U_2 \\ f \circ \tilde{\Psi}(z, \bar{z}, s - it) - iv(z, \bar{z}, s - it) & \text{for } (z, s + it) \in (Q_1 \times -\Gamma') \cap U_2 \end{cases}$$

is holomorphic in variables  $w = s + it$  for fixed  $z$  and continuously up to  $Q_1 \cap U_2$ .

We shall apply the construction of holomorphic extension in the proof of Theorem 2.3 to  $g(z, \bar{z}, s + it)$  for every fixed  $z$ . According to the proof, we have a linear isomorphism  $A$  in  $\mathbb{C}^d$  with real coefficients and  $\Phi : D^d(0, 1) \times \bar{D} \rightarrow \mathbb{C}^d$ . Now we extend  $A$  to a linear isomorphism in  $\mathbb{C}^{n+d}$  which maps  $(z, w) \in \mathbb{C}^{n+d}$  to  $(z, A(w))$ , still denote it as  $A$ . We also extend  $\Phi$  to a mapping which takes  $(z, w, \lambda) \in U_3 \times D^d(0, 1) \times \bar{D}$  to  $(z, \Phi(w, \lambda)) \in \mathbb{C}^n \times \mathbb{C}^d$ , still denote it as  $\Phi$ . Since the choice of  $A$  depends only on  $U_4$  and the cone  $\Gamma'$ , so we have the extension

$$G(z, \bar{z}, w) = \frac{1}{2\pi} \int_0^{2\pi} g \circ A(\Phi(A^{-1}(z, w), e^{i\theta})) d\theta, \quad \forall (z, w) \in A^{-1}(U_3 \times D^d(0, 1))$$

by Theorem 2.3 and Lemma 2.5.

From the construction above, the extension  $G(z, \bar{z}, s + it)$  is continuous on  $U_3 \times U_5 = A^{-1}(U_3 \times D^d(0, 1))$  and holomorphic in variables  $w$ . Thus, the function  $F(z, \bar{z}, s + it) := G + iv(z, \bar{z}, s + it)$  is a continuous extension of  $f \circ \tilde{\Psi}(z, \bar{z}, s + it)$  in  $U_3 \times U_5$ , holomorphic in  $w = s + it$ . Thus by Proposition 2.1,  $f$  can be holomorphically extended to a full neighborhood  $\tilde{\Omega}$  of 0 in  $\mathbb{C}^{n+d}$ . ■

The following theorem states that minimality is a sufficient condition for holomorphic extension of all CR functions from a generic submanifold  $M$  in  $\mathbb{C}^N$  into an open wedge. See [BER], [T].

**Theorem 2.6.** *Let  $M$  be a generic submanifold of  $\mathbb{C}^{n+d}$  of codimension  $d$  and  $p_0 \in M$ . If  $M$  is minimal at  $p_0$ , then for every open neighborhood  $U$  of  $p_0$  in  $M$  there exists a wedge  $W$  with edge  $M$  centered at  $p_0$  such that every continuous CR function in  $U$  extends holomorphically to the wedge  $W$ .*

Since a real analytic CR submanifold  $M$  in  $\mathbb{C}^N$  is finite type at  $p_0 \in M$  if and only if it is minimal at  $p_0$ . Thus, together Theorem 2.6, we have the following corollary.

**Corollary 2.2.** *Let  $M \subset \mathbb{C}^N$  be a real analytic, generic CR submanifold, finite type at  $p_0 \in M$ . If  $f = u + iv$  is a continuous CR function defined in a neighborhood of  $p_0$  in  $M$  with  $v$  real analytic. Then  $f$  can be holomorphically extended to a full neighborhood of  $p_0$  in  $\mathbb{C}^N$ . (Or  $u$  is also real analytic in a neighborhood of  $p_0$  in  $M$  by Corollary 2.1)*

**Definition 2.2.** A CR submanifold of the form

$$M = \{(z, s + it) \in \mathbb{C}^n \times \mathbb{C}^d; t = \phi(z, \bar{z})\}$$

where  $\phi : \mathbb{C}^n \mapsto \mathbb{R}^d$  is smooth with  $\phi(0) = 0$  and  $d\phi(0) = 0$  is called rigid.

**Corollary 2.3.** *Let  $M$  be a real analytic, generic submanifold,  $0 \in M$ , given by  $\{(z, s + it) \in U \subset \mathbb{C}^n \times \mathbb{C}^d : t = \phi(z, \bar{z}, s)\}$ . Let  $M'$  be a real analytic, generic, rigid submanifold,  $0 \in M'$ , given by  $\{(z', s' + it') \in U' \subset \mathbb{C}^{n'} \times \mathbb{C}^{d'} : t' = \phi'(z', \bar{z}')\}$ . If  $H = (f_1, \dots, f_{n'}, g_1, \dots, g_{d'})$  is a holomorphic mapping defined in the wedge  $W = W(U, \rho, \Gamma)$  with  $\Gamma$  an open convex cone. If  $H$  extends continuously to the edge  $M \cap U$  with  $H(0) = 0$ ,  $H(M) \subset M'$ . Then  $(f_1, \dots, f_{n'})$  can be holomorphically extended near 0 implies  $H$  can be holomorphically extended near 0.*

**Proof:** Denote  $Im(g_i)(z, \bar{z}, s) := Im(g_i) \circ \Psi(z, \bar{z}, s)$ ,  $1 \leq i \leq d'$ , similarly for  $f_j(z, \bar{z}, s)$ ,  $1 \leq j \leq n'$ . Now  $Im(g_i)(z, \bar{z}, s) = \phi'(f(z, \bar{z}, s), \overline{f(z, \bar{z}, s)})$  and  $f_j$  real analytic. Write  $f_j(z, \bar{z}, s) = \sum a_{\alpha\beta\gamma}^j z^\alpha \bar{z}^\beta s^\gamma$  for all  $j$  in a neighborhood of 0 in  $\mathbb{R}^{2n+d}$ , similarly  $\overline{f_j}(z, \bar{z}, s) = \sum \overline{a_{\alpha\beta\gamma}^j} \bar{z}^\alpha z^\beta s^\gamma$  for all  $j$  in the same neighborhood. We extend  $f_j(z, \bar{z}, s)$  to  $f_j(z, \chi, w) = \sum a_{\alpha\beta\gamma}^j z^\alpha \chi^\beta w^\gamma$ ,  $\overline{f_j}(z, \bar{z}, s)$  to  $\overline{f_j}(z, \chi, w) = \sum \overline{a_{\alpha\beta\gamma}^j} \chi^\alpha z^\beta w^\gamma$  in a neighborhood of 0 of  $\mathbb{C}^{2n+d}$ .

Note that the function  $\phi'_i(f_1(z, \chi, w), \dots, f_{n'}(z, \chi, w), \overline{f_1}(z, \chi, w), \dots, \overline{f_{n'}}(z, \chi, w))$  is holomorphic in a neighborhood of 0 in  $\mathbb{C}^{2n+d}$  by the real analyticity of  $\phi'$ , it is therefore real analytic in that neighborhood. The restriction of such function to the plane  $\{(z, \chi, w) \in \mathbb{C}^{2n+d}; \chi = \bar{z}, w \in \mathbb{R}^d\}$  is also real analytic and equals  $Im(g_i)(z, \bar{z}, s)$ , thus  $Im(g_i)$  are real analytic for all  $i$ , by Theorem 2.4,  $g_i$  can be holomorphically extended to a neighborhood, we get the desire result.  $\blacksquare$