# Frustration between syn- and anticlinicity in mixtures of chiral and non-chiral tilted smectic-C-type liquid crystals

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**Abstract.** We study the effects of mixing ferroelectric and antiferroelectric liquid crystal compounds (FLCs and AFLCs) when the former are strictly synclinic and the latter strictly anticlinic, i.e. one mixture component exhibits only SmC\* and the other only SmCa\* as tilted phase. Three different paths between syn- and anticlinicity were detected: transition directly between SmC\* and Sm- $C_a$ \*, transition via the SmC $_{\beta}$ \* and SmC $_{\gamma}$ \* subphases, or by 'escaping' the clinicity frustration by reducing the tilt to zero, i.e. the SmA\* phase is extended downwards in temperature, separating SmC\* from SmCa\* in the phase diagram. The most common path is the one via the subphases, demonstrating that these phases appear as a result of frustration between syn- and anticlinic and, consequently, between syn- and antipolar order. For assessing the role of chirality, we also replaced the FLC with non-chiral synclinics. With one of the AFLCs, the route via supbhases was detected even in this case, suggesting that chirality – although necessary – does not have quite the importance that has previously been attributed to the appearance of the subphases. The path chosen in the mixture study seemed to be determined mainly by the synclinic component, the subphase induction occurring only when the Sm-A\*-Sm-C\* transition was second-order.

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#### 1 Introduction

The smectic C (SmC) liquid crystal phase, characterized by a non-zero tilt angle  $\theta$  between the director **n** and the smectic layer normal k, is today known to exist in several variants. The two most important are the synclinic SmC and the anticlinic SmCa, with parallel and antiparallel tilt directions in adjacent layers, respectively. If these phases are made chiral (SmC\* and SmCa\*) the director tilting direction precesses in a helical fashion along the smectic layer normal, with a pitch typically in the micrometer range. Moreover, each smectic layer is then spontaneously polarized with an electric polarization  $\mathbf{P}_s$  $\propto$   $\mathbf{n} \times \mathbf{k}$ , and the chiral phases can thus be referred to also as syn- and antipolar, respectively<sup>1</sup>. By forcing the phases into a non-helical state by means of closely spaced substrates (surface-stabilization) the SmC\* and SmCa\* phases can show ferroelectric and antiferroelectric behavior, respectively [1]. Compounds exhibiting only SmC\* are

therefore often referred to as ferroelectric liquid crystals (FLCs) whereas the term antiferroelectric liquid crystal (AFLC) is generally used for compounds exhibiting the SmCa\* phase, although they often exhibit also other chiral smectic-C-type phases, including SmC\*. It is still a matter of discussion what the origin and nature of the director tilt is [2] as well as which the relevant types of correlation in tilting directions across smectic layer boundaries are [3–5].

From single-component AFLCs which exhibit both SmC\* and SmCa\* phases we know of two paths between syn- and anticlinicity: either a direct transition or via the so-called 'subphases' SmC $_{\beta}$ \* and SmC $_{\gamma}$ \* (sometimes only one of them).² The latter path is common in 'standard' alkyl chain AFLCs, but almost never observed in AFLCs with one or two fluorinated terminal chains [8,9]. The location of SmC $_{\beta}$ \* and SmC $_{\gamma}$ \* between SmC\* and SmCa\* early on led to the natural suggestion that these phases appear as a result of competition / frustration between syn- and anticlinicity, or between syn- and antipolarity [10–12]. A third subphase, SmCa\*, may sometimes take the place of

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 $<sup>^{1}</sup>$  In using this terminology, we refer to the structure when the helical modulation, another effect of chirality, is neglected. In real bulk samples the polarization directions of adjacent layers are not exactly parallel in  $\rm SmC^{*},$  nor exactly antiparallel in  $\rm SmCa^{*},$  due to the slow precession in the helical superstructure.

<sup>&</sup>lt;sup>2</sup> Many different nomenclatures exist for the AFLC phases. We find the original naming scheme proposed by the Fukuda school [6] to be the most logical and confusion-free, cf.the discussion in reference [7], and therefore use that in this paper.

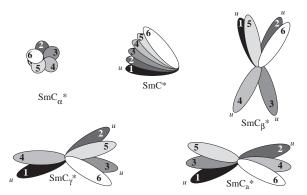


Fig. 1. Schematic overview of the director organizations in the five well-established chiral smectic-C-type phases (as they are understood today). The director tilting directions in six adjacent layers are illustrated with ellipses, the grey-shade of which illustrates the level of the layer (the layer normal is the paper plane normal): the back layer (1) is black and the front layer (6) is white. The layers constituting the smallest repeating unit (disregarding the helical modulation which introduces a constant distortion between and within the repeating units) are indicated with a u at the end of each ellipse. Since the tilt direction modulation in  $\mathrm{SmC}_{\alpha}^*$  is incommensurate with the layer spacing it is difficult to define a repeating unit for this phase.

SmC\* as the first tilted phase forming on cooling from the non-tilted SmA\* phase. On further cooling, SmC $_{\alpha}$ \* (which essentially is a low-tilt and ultrashort pitch version of SmC\*) is then followed by either SmC\*, SmC $_{\beta}$ \* or SmC $_{a}$ \*. All three subphases usually have small temperature ranges, typically in the range 0.5 - 5 K.

Thanks to sophisticated experimental investigations [13–15] one now has a relatively good idea of the complex director organization in the five chiral smectic-C-type phases (cf. Figure 1 where the present understanding is schematically summarized) but their theoretical descriptions are still under development. A few promising models have recently been designed, showing some overlap but also important differences [3–5, 16, 17]. Much empirical knowledge exists on how easily the subphases are destabilized and this has led to some conjectures regarding what factors are important for the formation of these phases, e.g. high enantiomeric excess [16] and high translational (smectic) order [7, 18].

In order to improve our understanding of the delicate balance between syn- and anticlinicity, including the nature and origin of the three subphases as well as the variety of phase sequences possible in AFLCs, we have performed a series of systematic mixing experiments where we let smectics with no smectic-C-type phase other than SmCa\* meet compounds where the only tilted phase is SmC or SmC\*. Some studies in this direction have been carried out earlier [10, 19–23] but as the number of compounds was generally restricted to two (in one study, a total of three compounds were investigated) the conclusions are not of a very general nature. Moreover, in all previous studies but one, at least one of the components already

on its own exhibited more than one type of tilted phase, generally  ${\rm SmC}^*$  as well as  ${\rm SmC_a}^*$ , rendering the results less conclusive than in the present study. Here we present the investigation of all combinations of nine strictly synclinic compounds – chiral as well as non-chiral – with five strict anticlinics, giving a better overview of how the induced frustration can be resolved.

We find three possible paths between syn- and anticlinic order. In addition to the two usual ways, via the  $\mathrm{SmC}_{\beta}{}^{*}$  and  $\mathrm{SmC}_{\gamma}{}^{*}$  subphases or with a direct transition, we encountered a third path: several systems solved the clinicity frustration essentially by reducing the tilt to zero, i.e. the SmA\* phase was extended downwards in temperature at intermediate mixture ratios, separating SmC\* from SmC<sub>a</sub>\* in the phase diagram. The most common route is however the one via  $\mathrm{SmC}_{\beta}^*$  and  $\mathrm{SmC}_{\gamma}^*$ , supporting the idea that the fundamental requirement for observing these phases is the frustration between syn- and anticlinic order. Considering that AFLCs often have relatively high spontaneous polarization  $P_s$ , a somewhat surprising result is that  $P_s$  has little importance for the path followed; one subphase-containing mixture had a saturation value of only  $P_s \approx 10 \text{ nCcm}^{-2}$ . As interesting side-effect the mixture experiments have given us a means of extending the temperature range of the subphases considerably up to about 50 K.

# 2 Experimental

Dielectric spectroscopy with simultaneous texture monitoring was carried out using an HP 4192A impedance bridge and FLC Electronics DiScO measurement software. The optical tilt angle  $\theta$  and the spontaneous polarization  $P_s$  were measured using methods described in references [24] and [25], respectively. Small-angle x-ray scattering (SAXS) experiments were carried out on samples filled into Mark capillary tubes with 0.7 mm diameter, using  $\text{Cu-}K_{\alpha}$  radiation, a Kratky compact camera and an M. Braun electronic 1D detector.

The selected compounds and mixtures were filled into polyimide-coated (for planar alignment) electrode-equipped cells (Chalmers MC2 assembly line) for texture observations, dielectric spectroscopy and measurements of the order parameters  $\theta$  and  $P_s$ . For dielectric spectroscopy the thickness was 23.5  $\mu{\rm m}$ , otherwise it was 2.5  $\mu{\rm m}$  or 4  $\mu{\rm m}$ . The sample temperature was regulated with Instec, Linkam or Julabo hot stages, mounted on an Olympus BH-2 polarizing microscope. In order to get estimates of the mesogen lengths, the conformations of the different molecular structures were optimized with MOPAC / AM1.

### 3 Selected compounds

The compounds under investigation are listed in Table 1 (anticlinics) and Table 2 (synclinics). In order to keep the study in reasonable proportions we limited the selection

**Table 1.** Anticlinic compounds used in the study. Transition temperatures in  $^{\circ}$ C, polarization  $P_s$  in nC cm<sup>-2</sup> and tilt angle  $\theta$  in degrees (for the two latter parameters their highest values are listed; nm = not measured). The last column lists the order of the transition to the SmCa / SmCa\* phase.

Name, structure and phase sequence	$P_s$	$\theta$	Tr.
(S)-EHPOCBC			
Cr. 77 SmC <sub>a</sub> * 94 SmA* 122 Iso.	+42	28	$2^{nd}$
(S)-EHPDoCBC			
Cr. 50 SmC <sub>a</sub> * 90 SmA* 104 Iso.	+60	20	$1^{st}$
(S)-TFMHPOBC			
Čr. 87 SmC <sub>a</sub> * 114.5 SmA* 123 Iso.	+96	30	$1^{st}$
(S)-TFMHPBC-11			
Cr. 33 SmC <sub>a</sub> * 65.4 SmA* 65.9 Iso.	+95	nm	$1^{st}$
(S,S)-M7BBM7			
Cr. 57 SmC <sub>a</sub> * 65 SmQ* 85 Iso.	+360	45	$1^{st}$

of chiral compounds to (S) enantiomers. Exchanging one of the components with the other enantiomer will be an interesting and most relevant follow-up study. As anticlinics we chose four compounds which are structurally similar to the well-known AFLC compound MHPOBC, but which all exhibit direct SmA\*-SmCa\* transitions, as well as a bistereogenic compound where the chiral methylheptyloxycarbonyl tail of MHPOBC is attached on both sides of a core consisting of two esterlinked biphenyls. This compound has very large  $P_s$  and  $\theta$  and a SmQ\*-SmCa\* phase sequence (no SmA\* phase). Unfortunately, the transition to SmCa\* is kinetically inhibited making direct transitions between crystal and SmQ\* common, thereby rendering investigations of the SmCa\* phase in the pure compound very difficult.

For the synclinic materials larger variations in structure were possible, since compounds with SmC / SmC\* as only tilted smectic phase are ubiquitous. The first molecule in Table 2 is the 'classic' FLC compound DOBAMBC. Next, three compounds were chosen from a series of FLCs with chlorocarbon acid ester chiral tails, all exhibiting relatively high spontaneous polarization but varying quite dramatically in the phase sequence. Two FLCs with cores identical to MHPOBC were investigated, both having much longer achiral chain than MHPOBC, attached to the core via an ester linkage. The long achiral chain is enough to destabilize all tilted phases other than SmC\* in MHPOdCBC, and in IPC-16 the chiral tail has in addition been replaced with a camphor group. W504 is a somewhat special FLC, with fluorine substituents in the core as well as

**Table 2.** Synclinic compounds used in the study. Transition temperatures in  ${}^{\circ}$ C, polarization  $P_s$  in nC cm $^{-2}$  and tilt angle  $\theta$  in degrees (for the two latter parameters their highest values are listed; nm = not measured). SmX is used as a generic label for unidentified higher-ordered smectic phases. The last column lists the order of the transition to the SmC / SmC\* phase.

Name, structure and phase sequence		,	1	
Cr. 76 (Sml* 63) SmC* 95 SmA* 117 Iso.	Name, structure and phase sequence	$P_s$	$\theta$	Tr.
Cr. 76 (Sml* 63) SmC* 95 SmA* 117 Iso.	(C) DORAMBC			
Cr. 76 (SmG* 65 SmC* 70) SmA* 82 Iso.  -150 20 1st  (S)-M8 Cr. 96 (SmF* 69 SmI* 74) SmC* 147 N* 183 BP* 185 Iso.  -250 nm 1st  (S)-S1B8 Cr. 62 SmX* 75 SmC* 119 SmA* 131 N* 136 Iso.  -160 34 1st  (S)-IPC-16 Cr. 100 SmC* 140.5 SmA* 168 Iso.  -50 30 2nd  (S)-MHPOdCBC Cr. 68 (SmI* 66.5) SmC* 114 SmA* 124 Iso.  +95 32 2nd  (S)-W504 Cr. 103 SmC* 121.5 SmA* 146 Iso.  -200 28 2nd  80PhPy10 Cr. 37 SmC 68.5 SmA 73.5 Iso.  0 nm 2nd		-7	28	$2^{nd}$
Cr. 76 (SmG* 65 SmC* 70) SmA* 82 Iso.  -150 20 1st  (S)-M8 Cr. 96 (SmF* 69 SmI* 74) SmC* 147 N* 183 BP* 185 Iso.  -250 nm 1st  (S)-S1B8 Cr. 62 SmX* 75 SmC* 119 SmA* 131 N* 136 Iso.  -160 34 1st  (S)-IPC-16 Cr. 100 SmC* 140.5 SmA* 168 Iso.  -50 30 2nd  (S)-MHPOdCBC Cr. 68 (SmI* 66.5) SmC* 114 SmA* 124 Iso.  +95 32 2nd  (S)-W504 Cr. 103 SmC* 121.5 SmA* 146 Iso.  -200 28 2nd  80PhPy10 Cr. 37 SmC 68.5 SmA 73.5 Iso.  0 nm 2nd	(S)-A8			
Cr. 96 (SmF* 69 SmI* 74) SmC* 147 N* 183 BP* 185 Iso.  -250 nm 1st  (S)-S1B8 Cr. 62 SmX* 75 SmC* 119 SmA* 131 N* 136 Iso.  -160 34 1st  (S)-IPC-16 Cr. 100 SmC* 140.5 SmA* 168 Iso.  -50 30 2nd  (S)-MHPOdCBC Cr. 68 (SmI* 66.5) SmC* 114 SmA* 124 Iso.  +95 32 2nd  (S)-W504 Cr. 103 SmC* 121.5 SmA* 146 Iso.  -200 28 2nd  80PhPy10 Cr. 37 SmC 68.5 SmA 73.5 Iso.  0 nm 2nd		-150	20	$1^{st}$
147 N* 183 BP* 185 Iso.	II (-) -			
Cr. 62 SmX* 75 SmC* 119 SmA* 131 N* 136 Iso.  -160 34 1 <sup>st</sup> (S)-IPC-16 Cr. 100 SmC* 140.5 SmA* 168 Iso.  -50 30 2 <sup>nd</sup> (S)-MHPOdCBC Cr. 68 (SmI* 66.5) SmC* 114 SmA* 124 Iso.  +95 32 2 <sup>nd</sup> (S)-W504 Cr. 103 SmC* 121.5 SmA* 146 Iso.  -200 28 2 <sup>nd</sup> 8OPhPy10 Cr. 37 SmC 68.5 SmA 73.5 Iso.  0 nm 2 <sup>nd</sup>	Cr. 96 (SmF* 69 SmI* 74) SmC* 147 N* 183 BP* 185 Iso.	-250	nm	$1^{st}$
Cr. 62 SmX* 75 SmC* 119 SmA* 131 N* 136 Iso.  -160 34 1 <sup>st</sup> (S)-IPC-16 Cr. 100 SmC* 140.5 SmA* 168 Iso.  -50 30 2 <sup>nd</sup> (S)-MHPOdCBC Cr. 68 (SmI* 66.5) SmC* 114 SmA* 124 Iso.  +95 32 2 <sup>nd</sup> (S)-W504 Cr. 103 SmC* 121.5 SmA* 146 Iso.  -200 28 2 <sup>nd</sup> 8OPhPy10 Cr. 37 SmC 68.5 SmA 73.5 Iso.  0 nm 2 <sup>nd</sup>	(S)-S1B8			
(S)-IPC-16 Cr. 100 SmC* 140.5 SmA* 168 Iso50 30 2 <sup>nd</sup> (S)-MHPOdCBC Cr. 68 (SmI* 66.5) SmC* 114 SmA* 124 Iso. +95 32 2 <sup>nd</sup> (S)-W504 Cr. 103 SmC* 121.5 SmA* 146 Iso200 28 2 <sup>nd</sup> 8OPhPy10 Cr. 37 SmC 68.5 SmA 73.5 Iso. 0 nm 2 <sup>nd</sup>	Cr. 62 SmX* 75 SmC* 119 SmA*			
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114 SmA* 124 Iso. +95 32 2 <sup>nd</sup> (S)-W504 Cr. 103 SmC* 121.5 SmA* 146 Iso200 28 2 <sup>nd</sup> 8OPhPy10 Cr. 37 SmC 68.5 SmA 73.5 Iso. 0 nm 2 <sup>nd</sup>				
(S)-W504 Cr. 103 SmC* 121.5 SmA* 146 Iso200 28 2 <sup>nd</sup> 8OPhPy10 Cr. 37 SmC 68.5 SmA 73.5 Iso. 0 nm 2 <sup>nd</sup>		+95	32	$2^{nd}$
Cr. 103 SmC* 121.5 SmA* 146 Iso.  -200 28 2 <sup>nd</sup> 8OPhPy10 Cr. 37 SmC 68.5 SmA 73.5 Iso.  0 nm 2 <sup>nd</sup>				
Cr. 37 SmC 68.5 SmA 73.5 Iso.  0 nm 2 <sup>nd</sup>		-200	28	$2^{nd}$
Cr. 37 SmC 68.5 SmA 73.5 Iso.  0 nm 2 <sup>nd</sup>	80PhPv10			
		0	nm	$2^{nd}$
	HOAB			
		0	45	$1^{st}$

in the achiral tail. This compound, which we describe in detail in a separate paper [26], exhibits a tilting transition that follows the asymmetric diffuse cone (ADC) model of Adriaan de Vries [27–29], characterized by small change in actual molecule tilt, the non-zero director tilt instead being a result of azimuthal biasing of tilting directions. Finally, two achiral synclinics were added to the set, one with SmA-SmC and one with N-SmC phase sequence. The former exhibits a second-order tilting transition giving a moderate saturation value of  $\theta$ , whereas the latter compound exhibits an essentially constant tilt of about 45° in the SmC phase.

#### 4 Results and discussion

#### 4.1 Mixtures of syn- and anticlinics

#### 4.1.1 Texture investigations in contact samples

The main basis for determining the transition path for each possible combination of the nine synclinics and the five anticlinics was texture studies in homeotropic contact samples, cf. the examples in Figure 2. When the clinicity change took place via the subphases these phases were recognized in the contact samples through their characteristic long-pitch grey-white schlieren textures. They generally constituted a striking contrast to the adjacent SmC\* and SmCa\* textures, which in these mixtures often had short pitch helices. In many cases the pitch even decreased on approaching the phase transition, as in the example of (S)-TFMHPOBC mixed with (S)-DOBAMBC in Figure 2a. The detection of the boundary between  $SmC_{\beta}^*$ and  $\mathrm{SmC}_{\gamma}{}^*$  was in some systems much more difficult. The textures of these two phases can be very similar and the width of each of the phases in the contact sample could vary considerably, such that the texture sometimes suggested the existence of only one of the subphases. In order to establish the exact nature of the phase or phases between SmC\* and SmCa\*, we therefore prepared contact samples between a selected number of subphase-producing mixtures with defined composition and well-characterized reference AFLCs. This allowed us to verify by means of miscibility tests that indeed both subphases were generated in all combinations tested in this way. Moreover, we ran dielectric spectroscopy runs on the selected mixtures and this generally also gave a good verification of the subphase nature, as described below.

At temperatures of the tilted phases, contact samples with the combinations leading to a direct clinicity change - the least common route - typically exhibited homeotropic textures with two broad areas separated by a sharp line indicating the SmC\*-SmCa\* transition, cf. Figure 2c. The mixtures with extended SmA\* phase, finally, at first seemed to exhibit no other liquid crystalline phase down to crystallization. The homeotropic texture was constantly black and featureless in the center region of the contact sample and no transitional texture changes could be observed as the sample was cooled down. However, the more careful study on mixtures with well-defined composition (cf. Section 4.1.2.) revealed that other liquid crystalline phases, probably higher-ordered ones, formed at least in one of the systems. The combination of the bistereogenic anticlinic compound (S,S)-M7BBM7 with the achiral synclinic 8OPhPy10 was interesting, because this system solved the clinicity frustration by combining extension of the SmA\* temperature range with subphase generation. Figure 2d shows a contact sample of this system at a temperature which is too high for the SmC\* phase to have appeared. The SmCa\*, SmC $_{\gamma}$ \*, SmC $_{\beta}$ \* and SmA\* phases are however easy to recognize. Most likely, there is a  $SmC_{\alpha}^*$  phase between  $SmC_{\beta}^*$  and  $SmA^*$ , but the homeotropic texture of this phase is identical to that of SmA\*, hence it cannot be distinguished in the picture.

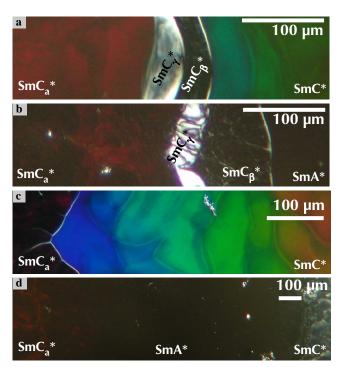


Fig. 2. Examples of the three different paths between synand anticlinic order encountered in the study, as observed with homeotropically aligned contact samples in the polarizing microscope. (a) (S)-TFMHPOBC (left) with (S)-DOBAMBC (right) at 96°C; transition via subphases. (b) (S,S)-M7BBM7 (left) with 8OPhPy10 (right) at 92°C; transition via subphases and extended SmA\*. (c) (S,S)-M7BBM7 (left) with (S)-S1B8 (right) at 113°C; direct SmC\*-SmCa\* transition. (d) (S)-TFMHPOBC (left) with (S)-M8 (right) at 69°C; transition via extended SmA\*.

On further cooling, the SmC/SmC\* phase formed on the 8OPhPy10 side of the contact sample, but it never reached the subphase region; the SmA\* phase separated SmC\* from the AFLC phase sequence down to crystallization.

#### 4.1.2 Detailed studies on three selected systems

We selected three mixture systems for more detailed studies, preparing homogeneous mixtures at a number of mixture ratios and carrying out texture investigations, dielectric and electrooptic studies on each mixture. In all three systems the anticlinic component was (S)-TFMHPOBC, but as the synclinic component was varied the path to synclinicity changed from direct [(S)-MHPOdCBC as synclinic], via subphases [(S)-DOBAMBC] to transition via extended SmA\* [(S)-S1B8]. Together these three systems thus represent all paths found between syn- and anticlinicity. Their phase diagrams are shown in Figure 3.

In the dielectric spectrum of the 50/50 mixture of TFMHPOBC and MHPOdCBC (Figure 4a), representative of the systems with a direct clinicity change, the SmC\*–SmCa\* transition can easily be recognized. Whereas the low-temperature SmCa\* regime is characterized by an almost featureless spectrum, the SmC\* phase above the

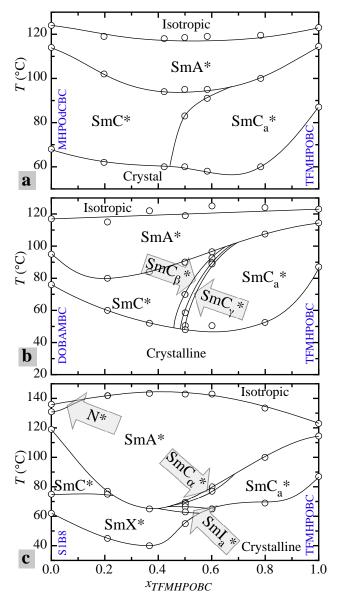


Fig. 3. Phase diagrams of three systems exhibiting the three different types of transition between syn- and anticlinic: (a) (S)-TFMHPOBC and (S)-MHPOdCBC (direct transition), (b) (S)-TFMHPOBC and (S)-DOBAMBC (transition via subphases) and (c) (S)-TFMHPOBC and (S)-S1B8 (transition via extended SmA\*). The temperature range of untilted SmA\* is in all cases extended by mixing, hence the tendency of resolving the clinicity frustration by tilt disappearance can be spotted even in (a) and (b). Rings are actually studied transitions, lines are interpolations based on the experimental data.

phase transition exhibits a strong phason mode absorption. The only other major change in the response occurs at the SmC\*–SmA\* transition, where the soft mode (the fluctuation corresponding to the electroclinic effect, i.e. field-induced director tilt) has its maximum and then decreases rapidly in strength on further heating.

The absorption spectrum of a 49.9/50.1 mixture of (S)-TFMHPOBC and (S)-DOBAMBC is shown in Figure 4b. The induced  $\mathrm{SmC}_{\gamma}^*$  and  $\mathrm{SmC}_{\beta}^*$  subphases are

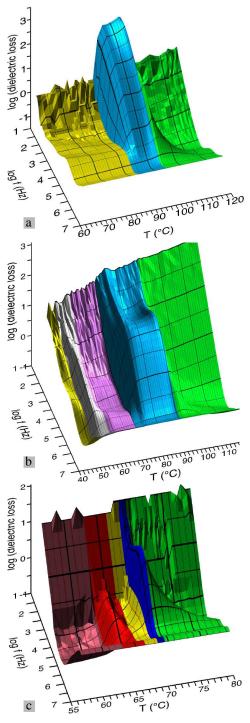


Fig. 4. Dielectric absorption spectra of (a) a 50/50 (mol %) mixture of (S)-TFMHPOBC and (S)-MHPOdCBC, (b) a 49.9/50.1 (mol %) mixture of (S)-TFMHPOBC and (S)-DOBAMBC and (c) a 53.5/46.5 (mol %) mixture of (S)-TFMHPOBC and (S)-S1B8. All spectra were obtained on heating using 23.5  $\mu$ m thick planar-aligned samples. Color coding: SmCa\* – yellow, SmC $_{\gamma}$ \* – grey, SmC $_{\beta}$ \* – purple, SmC $_{\alpha}$ \* – dark blue, SmC\* - light blue, SmA\* – green, SmIa\* – red, SmX\* – burgundy. Most likely, the (b) mixture exhibits the SmC $_{\alpha}$ \* phase in a small temperature range between SmA\* and SmC\* but due to phase coexistence phenomena this cannot clearly be separated from the surrounding phases in the dielectric spectrum. The phases below SmA\* in (c) are not yet fully confirmed.

easy to distinguish by their characteristic responses,  $\mathrm{SmC}_{\gamma}^*$  (plotted in grey) exhibiting a clear absorption,  $\mathrm{SmC}_{\beta}^*$  (purple) being basically absorption-free. Surrounding these phases we can recognize the strong absorption of the  $\mathrm{SmC}^*$  phase at higher temperatures (blue) and two weak  $\mathrm{SmC}_a^*$  absorptions at lower temperatures (yellow). In some other systems where the subphases were induced, for instance the combinations of (S,S)-M7BBM7 and HOAB (see [18] where this mixture system is described in detail) or (S)-TFMHPOBC and (S)-W504, the two subphases were basically indistinguishable in the dielectric spectrum, most likely a result of phase coexistence and / or a very small value of  $P_s$ .

By careful adjustment of the mixing proportions such that the tendencies for syn- and anticlinicity are evenly balanced, the temperature range of the  $SmC_{\beta}^*$  and / or  $SmC_{\gamma}^*$  phase can be extended considerably. In [18] we describe the detailed study of the (S, S)-M7BBM7 and HOAB system within which we prepared a mixture with a 50 K wide  $SmC_{\beta}^*$  phase. Within the (S)-TFMHPOBC and (S)-DOBAMBC system, we prepared only five mixtures, giving poor resolution in the interesting range around 50/50 composition. The phase transition curves for this system in Figure 3 c are estimates based on the observations made on the five mixtures prepared. It is likely that the real curves relating to the subphase transitions are closer to vertical close to the balanced mixture ratio, i.e. we can expect larger temperature ranges of  $SmC_{\beta}^*$ and / or  $SmC_{\gamma}^*$  than what we observed with the mixture studied in Figure 4 b.

The system chosen to represent the transition via extended SmA\* phase, TFMHPOBC + S1B8, turned out to be more complex than the initial contact sample texture studies had suggested. Careful investigations of mixtures in the vicinity of 50/50 composition revealed that the texture at low temperatures was not completely black, but exhibited very weak dark grey schlieren. Dielectric spectroscopy measurements on each prepared mixture (five in total, cf. the phase diagrams in Figure 3) also clearly showed that the SmA\* phase never extended lower than about 65°C in any mixture. In the mixtures with 20.7% and 53.5% TFMHPOBC, the temperature range below SmA\* was dominated by a phase that exhibited no response to electric fields (no electrooptic switching and almost no dielectric absorption), but which clearly is liquid crystalline as it was mechanically shearable. Its viscosity was however considerably higher than in SmA\*, so we assume this to be a higher-ordered smectic phase, for now simply denoted SmX\* (also in the investigations of pure S1B8 of Schacht et al. [30] two higher-order smectic phases were detected, but not identified). The mixture with 53.5% TFMHPOBC exhibited the most complex phase sequence within this system, with the SmA\* phase on cooling being followed first by a thin  $SmC_{\alpha}^*$  phase, then SmCa\*, and thereafter a phase which we believe is  $\mathrm{SmI_a}^*$ , before entering the unidentified  $\mathrm{SmX}^*$  phase, cf Figure 4c. The  $\mathrm{SmC}_{\alpha}^{*}$  phase may be difficult to recognize in this figure, but it was easily detectable in the mixture with 61.3% TFMHPOBC (not shown), where its temper-

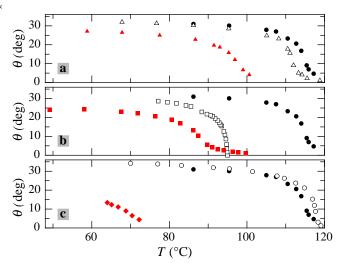


Fig. 5. The optical tilt angle of the four components used for the three mixtures systems selected for detailed studies, and for  $\sim 50/50$  mixtures in each system. The anticlinic is in all cases TFMHPOBC (filled black circles) and the synclinics are (a) MHPOdCBC (empty triangles), (b) DOBAMBC (empty squares, data from Dumrongrattana et al. [31]) and (c) S1B8 (empty circles, data from Schacht et al. [30]). The respective mixture data are plotted with red symbols.

ature range was somewhat larger. A weak polar response together with a perfectly black homeotropic texture, indistinguishable from SmA\*, serve as the basis for our designating the phase as  $SmC_{\alpha}$ \*.

Since the SmX\* phase may be tilted it is possible that the director tilt does not disappear completely in this mixture system. However, in the phase diagram the SmC\* and SmC<sub>a</sub>\* phase are horizontally fully separated by SmA\* (cf. Figure 3), thereby resolving the clinicity frustration. Even if SmX\* is tilted, the low-temperature end of SmA\* was in the 41.2% mixture detected at about 65°C, 50 -55 K lower than the temperature of onset of tilt in the respective pure components and even below the melting point of (S)-TFMHPOBC, so the suppression of tilt is quite impressive. As expected, it is this system which exhibits the smallest tilt values in Figure 5. When the mixture entered the SmI<sub>a</sub>\* phase the measured tilt angle value decreased compared to that measured at higher temperatures. Most likely this is an artifact due to the too high switching threshold, hence these data are not shown in the figure. A certain general reduction in tilt angle is actually seen in both other mixture systems as well, which correlates well with the fact that the SmA\* phase has its maximum temperature range at intermediate mixing ratios for all systems studied.

# 4.1.3 Relation between transition path and choice of mixture components

An overview of how the path depended on the compound combination is given in Table 3. We first note that DOBAMBC and IPC-16 induce the intermediate subphases when mixed

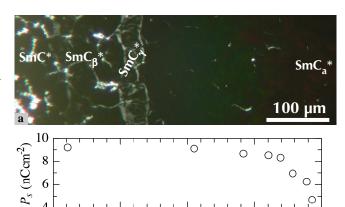
	EHPOCBC	EHPDoCBC	TFMHPOBC	TFMHPBC-11	M7BBM7
DOBAMBC	$\mathrm{SmC}_{\beta}^*$ - $\mathrm{SmC}_{\gamma}^*$	$\mathrm{SmC}_{\beta}^*$ - $\mathrm{SmC}_{\gamma}^*$	$\mathrm{SmC}_{\beta}^*$ - $\mathrm{SmC}_{\gamma}^*$	$\mathrm{SmC}_{\beta}^*$ - $\mathrm{SmC}_{\gamma}^*$	$\mathrm{SmC}_{\beta}^*$ - $\mathrm{SmC}_{\gamma}^*$
A8	SmA*	SmA*	SmA*	SmA*	SmA*
M8	SmA*	direct	SmA*	SmA*	direct
S1B8	SmA*	SmA*	SmA*	SmA*	direct
IPC16	$\mathrm{SmC}_{\beta}^*$ - $\mathrm{SmC}_{\gamma}^*$	$\operatorname{SmC}_{\beta}^*$ - $\operatorname{SmC}_{\gamma}^*$	$\mathrm{SmC}_{\beta}^*$ - $\mathrm{SmC}_{\gamma}^*$	$\operatorname{SmC}_{\beta}^*$ - $\operatorname{SmC}_{\gamma}^*$	$\mathrm{SmC}_{\beta}^*$ - $\mathrm{SmC}_{\gamma}^*$
MHPOdCBC	$\mathrm{SmC}_{\beta}^*$ - $\mathrm{SmC}_{\gamma}^*$	$\operatorname{SmC}_{\beta}^*$ - $\operatorname{SmC}_{\gamma}^*$	direct	$\operatorname{SmC}_{\beta}^*$ - $\operatorname{SmC}_{\gamma}^*$	$\operatorname{SmC}_{\beta}^*$ - $\operatorname{SmC}_{\gamma}^*$
W504	$\mathrm{SmC}_{\beta}^*$ - $\mathrm{SmC}_{\gamma}^*$	direct	$\mathrm{SmC}_{\beta}^*$ - $\mathrm{SmC}_{\gamma}^*$	$\operatorname{SmC}_{\beta}^*$ - $\operatorname{SmC}_{\gamma}^*$	direct
8OPhPy10	SmA*	SmA*	SmA*	SmA*	$SmA^*$ , $SmC_{\alpha}^*$ , $SmC_{\beta}^*$ , $SmC_{\gamma}^*$
HOAB	SmA*	SmA*	SmA*	SmA*	$\mathrm{SmC}_{\beta}^*$ - $\mathrm{SmC}_{\gamma}^*$

b

Table 3. The paths between syn- and anticlinic order as each anticlinic is mixed with different synclinic-forming compounds.

with any anticlinic mesogen used in the study. The success of the former compound in generating the subphases was quite a surprise, considering the proposal that the subphases require strong chiral interactions. DOBAMBC has a very long SmC\* pitch and a low saturation value of  $P_s$ , hence it would seem to be a clear case of weak chiral interactions. Subphases are also the most common route when the synclinic compound is MHPOdCBC or W504, although the direct transition is also observed in these cases. All these compounds exhibit a second-order SmA\*-SmC\* transition, whereas A8, M8 and S1B8, which never produce the  $SmC_{\beta}^*$  and  $SmC_{\gamma}^*$  phases, have first-order SmA\*-SmC\* or N\*-SmC\* (M8) transitions. The achiral synclinics, both of which produce subphases when mixed with (S, S)-M7BBM7, have second-order SmA-C transition and first-order N-C transition, respectively. The observations thus give a hint that the order of the tilting transition may be important for the path between synand anticlinicity which is followed, such that a first-order SmA\*-SmC\* transition is incompatible with the generation of the intermediate phases. However, we have in this investigation had access to only two synclinics with firstorder SmA\*-SmC\* transition, having certain similarities in the molecule constitution, hence there might be other reasons why these mesogens do not allow the route via subphases. This possible trend must thus be corroborated with further investigations, using first-order SmA\*-SmC\* synclinics with substantially different molecule structures.

IPC-16 and MHPOdCBC both have very long achiral chains rendering these molecules considerably longer than any anticlinic with which they are mixed. In a study of how molecules of different lengths mix in smectic phases, Keymeulen and co-workers concluded that the longer of the mesogens may adopt a strongly kinked conformation of one of its end chains, thereby shortening the effective molecule length such that packing with the shorter molecules can still be efficient [32]. It has been suggested that one of the most important structural features for promoting an AFLC phase sequence is a end chain which prefers to be non-parallel to the core, angles in the range of 90° being typical for the prototype chiral AFLC tail, 1-methylheptyloxycarbonyl [33–35]. This geometrical feature prevents out-of-layer fluctuations and thus increases the degree of smectic order. We may spectulate that the long achiral tails of IPC-16 and of MHPOdCBC are not extended and straight in our mixtures but kinked into



**Fig. 6.** The combination of TFMHPOBC and W504 investigated in a contact sample (a) and in a 51/49 mixture, measuring the spontaneous polarization (b).

105

 $T(^{\circ}C)$ 

110

115

100

a hook shape, thereby increasing the smectic order and hence facilitating subphase formation. Looking at the role of the anticlinic, finally, it is much more difficult to spot any pattern regarding the clinicity change route. Each anticlinic can follow the subphase route as well as the route via extended  $\rm SmA^*$  and three of the five also show the direct transition.

Based on the observation that AFLCs generally have high spontaneous polarization, one might think that the magnitude of  $P_s$  plays a role for the route which is followed. The anticlinics in the study have saturation values of  $P_s$  between 42 and 95 nCcm<sup>-2</sup>, and many of the FLCs had much higher magnitude of polarization. There was no correlation between the magnitude of  $P_s$  of the pure components and the type of transition between synand anticlinic order which resulted. Moreover, as most of the AFLCs had  $\mathbf{P}_s$  of different sign from that of the FLCs with which they were mixed the mixtures generally passed through a point of zero spontaneous polarization, but there was no clear consequences of this on the phase sequences observed. For instance, a mixture of (S)-TFMHPOBC and (S)-W504 in 51/49 molar ratio exhibited a SmC\*–SmC $_{\beta}$ \*–SmC $_{\gamma}$ \* phase sequence but the spontaneous polarization did not even reach 10 nCcm<sup>-2</sup>, cf. Figure 6, a very low value indeed for an AFLC.

The fact that the  $\mathrm{SmC}_{\beta}^*$  and  $\mathrm{SmC}_{\gamma}^*$  subphases were frequently generated in our mixtures of syn- and anticlinics lends strong support to the initial notion that the main prerequisite for the formation of these phases is the frustration between syn- and anticlinicity and / or between syn- and antipolarity. Akizuki et al. have shown that director configurations which are qualitatively identical to those shown for these two phases in Figure 1 can very simply be generated by combining syn- and anticlinic layer interfaces [11]. If we to the synclinic structure of SmC\* add a competing tendency for anticlinic order by setting every second layer interface anticlinic, we get the four-layer period director configuration of  $SmC_{\beta}^*$ , the first phase to follow SmC\* on cooling. In doing so, one must remember that the small chiral modulation between every two layers that corresponds to the helical superstructure, and which thus comes on top of the basic 0° or 180° change in tilting direction, is in opposite directions for syn- and anticlinic layer interfaces (the helical modulation must change sense at a transition between syn- and anticlinic [36]). If we assume the magnitude of the chiral modulation to be constant the resulting phase gets an infinite pitch. Indeed, the  $SmC_{\beta}^*$  phase is known to have very long pitch and a helix inversion is often encountered within or at a border of this phase, see e.g. [37]. The cases where its pitch is long but not infinite can easily be accounted for by assuming slightly different magnitudes of the chiral modulation at syn- and anticlinic interfaces. To arrive at the structure of SmC<sub>2</sub>\* the same scheme is applied but now with two anticlinic interfaces between every synclinic, reflecting the fact that this phase is closer to the fully anticlinic SmC<sub>a</sub>\*

The difference between the results of this type of construction and the experimentally determined structures is the angle between directors at synclinic interfaces, which in experimental work has been found to be on the order of 20° for  $\mathrm{SmC}_{\beta}^*$  and even higher for  $\mathrm{SmC}_{\gamma}^*$  [13, 15], whereas it with the Akizuki method becomes the same as in  $\mathrm{SmC}^*$ , typically 2-3°. This difference shows that the method of combining syn- and anticlinic interfaces, each assumed to be unaffected by the presence of neighbor interfaces of opposite type, is too simple. Nevertheless, the basic approach seems sound and is clearly supported by the present experimental data.

## 5 Conclusions and outlook

In our study of induced frustration between the synclinic  $\rm SmC^*$  and anticlinic  $\rm SmC_a^*$  phases we could identify three possible paths between the two incompatible types of order:

- transition via the subphases  $\mathrm{SmC}_{\beta}^*$  and  $\mathrm{SmC}_{\gamma}^*$
- transition via tilt disappearance, i.e. via extended SmA\* phase
- direct transition SmC\*-SmCa\*.

The most common was the first one, demonstrating that the fundamental requirement for the appearance of

the  $\mathrm{SmC}_{\beta}^{*}$  and  $\mathrm{SmC}_{\gamma}^{*}$  phases is the frustration between syn- and anticlinicity. By deliberately inducing such frustration, like in the mixtures studied here, one can open completely new possibilities to study theses phases in detail. First of all, the temperature range can be widely extended compared to the typical 0.5 - 5 K ranges in pure substances. Second, it may be very interesting to apply the revealing resonant x-ray methodology [14, 15] to mixtures of this type. By mixing a synclinic compound containing a sulphur atom in the core with a selenium-containing anticlinic, or vice versa, it would be possible to study each component separately by tuning the x-ray frequency. In this way one can investigate if the frustration also leads to some microphase segregation between components preferring synclinic order and those striving for anticlinic organization, or if the phase is fully homogeneous.

Even with non-chiral synclinic compounds the path via induced subphases was observed, and the low-polarization and long-pitch FLC (S)-DOBAMBC was one of the most successful synclinics in generating the subphases. Furthermore, the subphase path was also followed in mixture systems where the spontaneous polarization was reduced to very low magnitude. Although chirality and spontaneous polarization are inherent qualities of all AFLCs, materials displaying a phase sequence comprising the subphases are thus not exceptional in these respects. A relevant followup study for understanding the role of chirality better, will be to combine (S)- and (R)-enantiomers, one being synclinic the other anticlinic.

The  $\mathrm{SmC}_{\alpha}^*$  subphase was detected over a small mixture ratio range in a system that took the syn- to anticlinicity path via extended  $\mathrm{SmA}^*$ , but where no  $\mathrm{SmC}_{\beta}^*$  or  $\mathrm{SmC}_{\gamma}^*$  phase was detected. Whereas the two latter generally appear together, obviously as intermediate states between syn- and anticlinicity, the former seems more related to the  $\mathrm{SmC}^*$  phase. In our set of nine synclinics and five anticlinics the path chosen between syn- and anticlinicity was determined mainly by the synclinic component: if this had a first-order tilting transition subphases were never induced. In contrast, with a second-order  $\mathrm{Sm-}A^*$ - $\mathrm{Sm-}C^*$  transition the subphases were almost always induced, a direct transition being the exception in three cases.

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