

ℓ -INDEPENDENCE FOR COMPATIBLE SYSTEMS OF (MOD ℓ) REPRESENTATIONS

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ABSTRACT. Let K be a number field. For any system of semisimple mod ℓ Galois representations $\{\phi_\ell : \text{Gal}(\bar{\mathbb{Q}}/K) \rightarrow \text{GL}_N(\mathbb{F}_\ell)\}_\ell$ arising from étale cohomology (Definition 1), there exists a finite normal extension L of K such that if we denote $\phi_\ell(\text{Gal}(\bar{\mathbb{Q}}/K))$ and $\phi_\ell(\text{Gal}(\bar{\mathbb{Q}}/L))$ by respectively $\bar{\Gamma}_\ell$ and $\bar{\gamma}_\ell$ for all ℓ , and let $\bar{\mathbf{S}}_\ell$ be the \mathbb{F}_ℓ -semisimple subgroup of $\text{GL}_{N, \mathbb{F}_\ell}$ associated to $\bar{\gamma}_\ell$ (or $\bar{\Gamma}_\ell$) by Nori [No87] for all sufficiently large ℓ , then the following statements hold for all sufficiently large ℓ :

- A(i) The formal character of $\bar{\mathbf{S}}_\ell \hookrightarrow \text{GL}_{N, \mathbb{F}_\ell}$ (Definition 3) is independent of ℓ and is equal to the formal character of $(\mathbf{G}_\ell^\circ)^{\text{der}} \hookrightarrow \text{GL}_{N, \mathbb{Q}_\ell}$, where $(\mathbf{G}_\ell^\circ)^{\text{der}}$ is the derived group of the identity component of \mathbf{G}_ℓ , the monodromy group of the corresponding semi-simplified ℓ -adic Galois representation Φ_ℓ^{ss} .
- A(ii) The non-cyclic composition factors of $\bar{\gamma}_\ell$ and $\bar{\mathbf{S}}_\ell(\mathbb{F}_\ell)$ are identical. Therefore, the composition factors of $\bar{\gamma}_\ell$ are finite simple groups of Lie type of characteristic ℓ and cyclic groups.
- B(i) The total ℓ -rank $\text{rk}_\ell \bar{\Gamma}_\ell$ of $\bar{\Gamma}_\ell$ (Definition 14) is equal to the rank of $\bar{\mathbf{S}}_\ell$ and is therefore independent of ℓ .
- B(ii) The A_n -type ℓ -rank $\text{rk}_\ell^{A_n} \bar{\Gamma}_\ell$ of $\bar{\Gamma}_\ell$ (Definition 14) for $n \in \mathbb{N} \setminus \{1, 2, 3, 4, 5, 7, 8\}$ and the parity of $(\text{rk}_\ell^{A_4} \bar{\Gamma}_\ell)/4$ are independent of ℓ .

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1. INTRODUCTION

Let K be a number field, $\mathcal{P} \subset \mathbb{N}$ the set of prime numbers, and X a complete non-singular variety defined over K . For any integer i belonging to $[0, 2\dim X]$, the absolute Galois group $\mathrm{Gal}_K := \mathrm{Gal}(\bar{\mathbb{Q}}/K)$ acts on the i th ℓ -adic étale cohomology group $H_{\mathrm{ét}}^i(X_{\bar{K}}, \mathbb{Q}_\ell)$ for each prime number $\ell \in \mathcal{P}$. The dimension of $H_{\mathrm{ét}}^i(X_{\bar{K}}, \mathbb{Q}_\ell)$ as a \mathbb{Q}_ℓ -vector space is independent of ℓ and we denote it by N . We therefore obtain a system of continuous, ℓ -adic Galois representations indexed by \mathcal{P} :

$$\{\Phi_\ell : \mathrm{Gal}_K \rightarrow \mathrm{GL}_N(\mathbb{Q}_\ell)\}_{\ell \in \mathcal{P}}$$

which satisfies strict compatibility (Deligne [De74]) in the sense of Serre [Se98, Chapter 1]. There is a conjectural ℓ -independence [Se94] on the images of $\{\Phi_\ell\}$ which has been studied by many people. When X is an elliptic curve without complex multiplication, Serre has proved that the Galois action on the ℓ -adic Tate module $T_\ell(X)$ is the whole $\mathrm{GL}(T_\ell(X))$ when ℓ is sufficiently large by showing that the Galois action ϕ_ℓ on ℓ -torsion points $X[\ell] \cong T_\ell(X)/\ell T_\ell(X)$:

$$\phi_\ell : \mathrm{Gal}_K \rightarrow \mathrm{GL}(X[\ell]) \cong \mathrm{GL}_2(\mathbb{F}_\ell)$$

is surjective for $\ell \gg 1$ [Se72]. This paper is motivated by the idea that the largeness of the ℓ -adic Galois image $\Gamma_\ell := \Phi_\ell(\mathrm{Gal}_K)$ can be studied via *taking mod ℓ reduction*. More precisely, given any continuous, ℓ -adic representation $\Phi_\ell : \mathrm{Gal}_K \rightarrow \mathrm{GL}_N(\mathbb{Q}_\ell)$, one can find a Galois stable \mathbb{Z}_ℓ -lattice of \mathbb{Q}_ℓ^N so that up to some change of coordinates, we may assume $\Phi_\ell(\mathrm{Gal}_K) \subset \mathrm{GL}_N(\mathbb{Z}_\ell)$ since Gal_K is compact. Then by taking mod ℓ reduction $\mathrm{GL}_N(\mathbb{Z}_\ell) \rightarrow \mathrm{GL}_N(\mathbb{F}_\ell)$ and semi-simplification, we obtain a continuous, semisimple, mod ℓ Galois representation

$$\phi_\ell : \mathrm{Gal}_K \rightarrow \mathrm{GL}_N(\mathbb{F}_\ell)$$

which is independent of the choice of the \mathbb{Z}_ℓ -lattice by Brauer-Nesbitt [CR88, Theorem 30.16]. Denote the mod ℓ Galois image $\phi_\ell(\mathrm{Gal}_K)$ by $\bar{\Gamma}_\ell$.

Definition 1. A system of mod ℓ Galois representations

$$\{\phi_\ell : \text{Gal}_K \rightarrow \text{GL}_N(\mathbb{F}_\ell)\}_{\ell \in \mathcal{P}}$$

is said to be *arising from étale cohomology* if it is the semi-simplification of a mod ℓ reduction of the ℓ -adic system or its dual system:

$$\{\Phi_\ell : \text{Gal}_K \rightarrow \text{GL}(H_{\text{ét}}^i(X_{\bar{K}}, \mathbb{Q}_\ell))\}_{\ell \in \mathcal{P}},$$

$$\{\Phi_\ell : \text{Gal}_K \rightarrow \text{GL}(H_{\text{ét}}^i(X_{\bar{K}}, \mathbb{Q}_\ell)^\vee)\}_{\ell \in \mathcal{P}}$$

for a complete non-singular variety X defined over K and some i , where $H_{\text{ét}}^i(X_{\bar{K}}, \mathbb{Q}_\ell)^\vee := \text{Hom}_{\mathbb{Q}_\ell}(H_{\text{ét}}^i(X_{\bar{K}}, \mathbb{Q}_\ell), \mathbb{Q}_\ell)$.

Let ρ^{ss} denote the semi-simplification for any finite dimensional representation ρ over a perfect field (well defined by Brauer-Nesbitt [CR88, Theorem 30.16]). Let $\{\Phi_\ell\}$ be a compatible system of ℓ -adic representations of Gal_K in Definition 1, the algebraic monodromy group at ℓ of the semi-simplified system $\{\Phi_\ell^{\text{ss}}\}$, denoted by \mathbf{G}_ℓ , is the Zariski closure of $\Phi_\ell^{\text{ss}}(\text{Gal}_K)$ in $\text{GL}_{N, \mathbb{Q}_\ell}$. Then \mathbf{G}_ℓ is reductive. Denote the set of non-Archimedean valuations of K and \bar{K} by respectively Σ_K and $\Sigma_{\bar{K}}$. The strict compatibility of $\{\Phi_\ell\}$ implies $\{\phi_\ell\}$ is strictly compatible in the following sense.

Definition 2. A system of mod ℓ Galois representations

$$\{\phi_\ell : \text{Gal}_K \rightarrow \text{GL}_N(\mathbb{F}_\ell)\}_{\ell \in \mathcal{P}}$$

is said to be *strictly compatible* if $\{\phi_\ell\}$ is continuous, semisimple, and satisfies the following conditions:

- (i) There is a finite subset $S \subset \Sigma_K$ such that ϕ_ℓ is *unramified* outside $S_\ell := S \cup \{v \in \Sigma_K : v|\ell\}$ for all ℓ ,
- (ii) For any $\ell_1, \ell_2 \in \mathcal{P}$ and any $\bar{v} \in \Sigma_{\bar{K}}$ extending some $v \in \Sigma_K \setminus (S_{\ell_1} \cup S_{\ell_2})$, the characteristic polynomials of $\phi_{\ell_1}(\text{Frob}_{\bar{v}})$ and $\phi_{\ell_2}(\text{Frob}_{\bar{v}})$ are the reductions mod ℓ_1 and mod ℓ_2 of some polynomial $P_v(x) \in \mathbb{Q}[x]$ depending only on v .

Let $\rho : \mathbf{G} \rightarrow \text{GL}_{N, F}$ be a faithful representation of a rank r reductive algebraic group \mathbf{G} defined over field F . We define in the beginning of §2 *the formal character* of ρ as an element of quotient set $\text{GL}_r(\mathbb{Z}) \backslash \mathbb{Z}[\mathbb{Z}^r]$. Here $\mathbb{Z}[\mathbb{Z}^r]$ is the free abelian group generated by \mathbb{Z}^r and $\text{GL}_r(\mathbb{Z})$ acts naturally on $\mathbb{Z}[\mathbb{Z}^r]$. This allows us to define what is meant by two representations having *the same formal character* (see Definition 3') and the formal character is *bounded by a constant $C > 0$* (see Definition 4, 4'). Let $\{\phi_\ell\}$ be a strictly compatible system of mod ℓ representations

arising from étale cohomology (Definition 1,2), this paper studies ℓ -independence of mod ℓ Galois images $\bar{\Gamma}_\ell$ for all sufficiently large ℓ . Let \mathfrak{g} be a Lie type. We define *total ℓ -rank* $\text{rk}_\ell \bar{\Gamma}$ and *\mathfrak{g} -type ℓ -rank* $\text{rk}_\ell^\mathfrak{g} \bar{\Gamma}$ of a finite group $\bar{\Gamma}$ in §3.3 Definition 14. The main results are as follows.

Theorem A. (Main theorem) *Let K be a number field and $\{\phi_\ell : \text{Gal}_K \rightarrow \text{GL}_N(\mathbb{F}_\ell)\}_{\ell \in \mathcal{P}}$ a strictly compatible system of mod ℓ Galois representations arising from étale cohomology (Definition 1,2). There exists a finite normal extension L of K such that if we denote $\phi_\ell(\text{Gal}_K)$ and $\phi_\ell(\text{Gal}_L)$ by respectively $\bar{\Gamma}_\ell$ and $\bar{\gamma}_\ell$ for all ℓ , and let $\bar{\mathbf{S}}_\ell \subset \text{GL}_{N, \mathbb{F}_\ell}$ be the connected \mathbb{F}_ℓ -semisimple subgroup associated to $\bar{\gamma}_\ell$ (or $\bar{\Gamma}_\ell$) by Nori's theory for $\ell \gg 1$, then the following hold for $\ell \gg 1$:*

- (i) *The formal character of $\bar{\mathbf{S}}_\ell \hookrightarrow \text{GL}_{N, \mathbb{F}_\ell}$ is independent of ℓ (Definition 3') and is equal to the formal character of $(\mathbf{G}_\ell^\circ)^{\text{der}} \hookrightarrow \text{GL}_{N, \mathbb{Q}_\ell}$, where $(\mathbf{G}_\ell^\circ)^{\text{der}}$ is the derived group of the identity component of \mathbf{G}_ℓ , the algebraic monodromy group of the semi-simplified representation Φ_ℓ^{ss} .*
- (ii) *The composition factors of $\bar{\gamma}_\ell$ and $\bar{\mathbf{S}}_\ell(\mathbb{F}_\ell)$ are identical modulo cyclic groups. Therefore, the composition factors of $\bar{\gamma}_\ell$ are finite simple groups of Lie type of characteristic ℓ and cyclic groups.*

Corollary B. *Let $\bar{\mathbf{S}}_\ell$ be defined as above, then the following hold for $\ell \gg 1$:*

- (i) *The total ℓ -rank $\text{rk}_\ell \bar{\Gamma}_\ell$ of $\bar{\Gamma}_\ell$ (Definition 14) is equal to the rank of $\bar{\mathbf{S}}_\ell$ and is therefore independent of ℓ .*
- (ii) *The A_n -type ℓ -rank $\text{rk}_\ell^{A_n} \bar{\Gamma}_\ell$ of $\bar{\Gamma}_\ell$ (Definition 14) for $n \in \mathbb{N} \setminus \{1, 2, 3, 4, 5, 7, 8\}$ and the parity of $(\text{rk}_\ell^{A_4} \bar{\Gamma}_\ell)/4$ are independent of ℓ .*

Remark 1.1. As an application of the main results, we prove in [HL14] that $\Phi_\ell(\text{Gal}_K)$, the ℓ -adic Galois image arising from étale cohomology has certain maximality inside the algebraic monodromy group \mathbf{G}_ℓ if ℓ is sufficiently large and \mathbf{G}_ℓ is of type A. This generalizes Serre's open image theorem on non-CM elliptic curves [Se72].

Remark 1.2. For any field F , define ι to be the involution of $\text{GL}_{N, F}$ that sends A to $(A^t)^{-1}$. If Γ is a subgroup of $\text{GL}_N(F)$, then Γ is semisimple on F^N if and only if $\iota(\Gamma)$ is semisimple on F^N . If ϕ_ℓ is the mod ℓ Galois representation arising from the dual representation $H_{\text{ét}}^i(X_{\bar{K}}, \mathbb{Q}_\ell)^\vee$ (Definition 1), then the mod ℓ representation arising from $H_{\text{ét}}^i(X_{\bar{K}}, \mathbb{Q}_\ell)$ is $\iota \circ \phi_\ell$ under suitable basis by Brauer-Nesbitt [CR88, Theorem 30.16]. Since ι is an automorphism of GL_N , it suffices to prove Theorem A by considering only the dual mod ℓ system $\{\phi_\ell\}$ and Galois images $\{\bar{\Gamma}_\ell\}$. Let $\phi_{\bar{v}}$ be the restriction of ϕ_ℓ to inertia subgroup $I_{\bar{v}}$ such

that $\bar{v} \in \Sigma_{\bar{K}}$ divides ℓ . The reason for choosing the dual system is that the characters of $\phi_{\bar{v}}^{\text{ss}}$ have bounded exponents in the sense of Definition 8 for $\ell \gg 1$ by Serre's tame inertia conjecture proved by Caruso [Ca08] (see Theorem 2.3.1). Such boundedness makes our arguments simpler.

This paper can be considered as “mod ℓ ” version of [Hu13] in which we studied ℓ -independence of monodromy groups of any compatible system of ℓ -adic representations by the theory of abelian ℓ -adic representation [Se98] and the representation theory of complex semisimple Lie algebra. The main difference between [Hu13] and this paper is that you get nothing new for considering monodromy groups of mod ℓ Galois images because they are just finite groups. The strategy in this paper is to first construct for each $\ell \gg 1$ a connected \mathbb{F}_{ℓ} -reductive subgroup $\bar{\mathbf{G}}_{\ell} \subset \text{GL}_{N, \mathbb{F}_{\ell}}$ with bounded formal characters (Definition 4, 4') such that $[\bar{\Gamma}_{\ell} : \bar{\Gamma}_{\ell} \cap \bar{\mathbf{G}}_{\ell}(\mathbb{F}_{\ell})]$ and $[\bar{\mathbf{G}}_{\ell}(\mathbb{F}_{\ell}) : \bar{\Gamma}_{\ell} \cap \bar{\mathbf{G}}_{\ell}(\mathbb{F}_{\ell})]$ are both uniformly bounded (Theorem 2.0.5). The idea to construct such $\bar{\mathbf{G}}_{\ell}$ was due to Serre [Se86] where he considered the Galois action on the ℓ -torsion points of abelian varieties A without complex multiplication. In Serre's case, the semisimple part $\bar{\mathbf{S}}_{\ell}$ of $\bar{\mathbf{G}}_{\ell}$ is constructed by Nori's theory [No87] and the center $\bar{\mathbf{C}}_{\ell}$ of $\bar{\mathbf{G}}_{\ell}$ is the mod ℓ reduction of some \mathbb{Q} -diagonalizable group $\mathbf{C}_{\mathbb{Q}}$ which is a subgroup of the centralizer of monodromy \mathbf{G}_{ℓ} in $\text{GL}_{N, \mathbb{Q}_{\ell}}$. Hence, $\{\bar{\mathbf{G}}_{\ell} \subset \text{GL}_{N, \mathbb{F}_{\ell}}\}_{\ell}$ has bounded formal characters. The construction of $\mathbf{C}_{\mathbb{Q}}$ uses the abelian theory of ℓ -adic representations [Se98] and the Tate conjecture for abelian variety (proved by Faltings [Fa83]) which relates the endomorphism ring of A and the commutant of Galois image Γ_{ℓ} in $\text{End}_N(\mathbb{Q}_{\ell})$. Although we don't have the luxury of the Tate conjecture for étale cohomology in general, it is still possible to construct reductive $\bar{\mathbf{G}}_{\ell} \subset \text{GL}_{N, \mathbb{F}_{\ell}}$ with the above conditions for $\ell \gg 1$ by Nori's theory, tame inertia tori [Se86], and Serre's tame inertia conjecture (proved by Caruso [Ca08]). The constructions of these algebraic envelopes $\bar{\mathbf{G}}_{\ell}$ of $\bar{\Gamma}_{\ell}$ (see Definition 5) are accomplished in §2. Once these nice envelopes are ready, we can use the techniques in [Hu13, §3] to prove that the formal character (Definition 3) of the semisimple part $\bar{\mathbf{S}}_{\ell} \hookrightarrow \text{GL}_{N, \mathbb{F}_{\ell}}$ is independent of $\ell \gg 1$ (Theorem A). The number of A_n factors of $\bar{\mathbf{S}}_{\ell}$ for large n are then independent of ℓ for all $\ell \gg 1$ by [Hu13, Theorem 2.19]. Since the group of \mathbb{F}_{ℓ} -rational points of $\bar{\mathbf{G}}_{\ell}$ is commensurate to the Galois image $\bar{\Gamma}_{\ell}$, one deduces ℓ -independence results on the number of Lie type composition factors of characteristic ℓ of $\bar{\Gamma}_{\ell}$ for $\ell \gg 1$ (Corollary B). Section 3 is devoted to the proofs of Theorem A and Corollary B. The following summarizes the symbols that are frequently used within

the text. Groups inside $\mathrm{GL}_{N,F}$ with $\mathrm{char} F > 0$ have their symbols over-lined and should not be confused with base change to an algebraic closure.

Gal_F	the absolute Galois group of field F
K, L	number fields
\bar{v}	a valuation of \bar{K} that divides prime ℓ
$I_{\bar{v}}$	the inertia subgroup of Gal_K at valuation \bar{v}
$U_\ell, V_\ell, W_\ell (\bar{U}_\ell, \bar{V}_\ell, \bar{W}_\ell), \dots$	vector spaces defined over \mathbb{F}_ℓ (over $\bar{\mathbb{F}}_\ell$)
$\bar{\Gamma}_\ell, \bar{\gamma}_\ell, \bar{\Omega}_\ell, \bar{\Omega}_{\bar{v}}, \dots$	finite subgroups of $\mathrm{GL}_N(\mathbb{F}_\ell)$
$\mathbf{G}_\ell, \mathbf{T}_\ell, \dots$	algebraic subgroups of $\mathrm{GL}_{N,\mathbb{Q}_\ell}$
$\bar{\mathbf{G}}_\ell, \bar{\mathbf{S}}_\ell, \bar{\mathbf{N}}_\ell, \bar{\mathbf{I}}_\ell, \bar{\mathbf{I}}_{\bar{v}}, \dots$	algebraic subgroups of $\mathrm{GL}_{N,\mathbb{F}_\ell}$
$\Phi_\ell, \Psi_\ell, \Theta_\ell, \dots$	representations over \mathbb{Q}_ℓ
$\phi_\ell, \psi_\ell, \mu_\ell, t_\ell, \rho_{\bar{v}}, f_{\bar{v}}, w_{\bar{v}}, \dots$	representations over \mathbb{F}_ℓ
ρ^{ss}	the semi-simplification of representation ρ
ρ^\vee	the dual representation of representation ρ

2. ALGEBRAIC ENVELOPE $\bar{\mathbf{G}}_\ell$

We define *formal character* and prove some related propositions before stating the main result (Theorem 2.0.5) of this section. Let $\rho : \mathbf{G} \rightarrow \mathrm{GL}_{N,F}$ be a faithful representation of a rank r reductive algebraic group \mathbf{G} defined over field F . Choose a maximal torus \mathbf{T} of \mathbf{G} and denote the character group of \mathbf{T} by \mathbb{X} . Let $\{w_1, w_2, \dots, w_N\} \subset \mathbb{X}$ be the *multiset* of weights of $\rho|_{\mathbf{T}}$ over \bar{F} and choose an isomorphism $\mathbb{X} \cong \mathbb{Z}^r$. Then the image of $w_1 + w_2 + \dots + w_N \in \mathbb{Z}[\mathbb{X}] \cong \mathbb{Z}[\mathbb{Z}^r]$ in the quotient set $\mathrm{GL}(\mathbb{X}) \backslash \mathbb{Z}[\mathbb{X}] \cong \mathrm{GL}_r(\mathbb{Z}) \backslash \mathbb{Z}[\mathbb{Z}^r]$ is independent of the choices of maximal torus \mathbf{T} and isomorphism $\mathbb{X} \cong \mathbb{Z}^r$.

Definition 3. Let ρ be as above. The *formal character* of ρ is defined to be the image of $w_1 + w_2 + \dots + w_N \in \mathbb{Z}[\mathbb{Z}^r]$ in $\mathrm{GL}_r(\mathbb{Z}) \backslash \mathbb{Z}[\mathbb{Z}^r]$.

This definition of formal character is more general than the one in [Hu13, §2.1]. It allows us to compare formal characters of two N -dimensional faithful representations $\rho_1 : \mathbf{G}_1 \rightarrow \mathrm{GL}_{N,F_1}$ and $\rho_2 : \mathbf{G}_2 \rightarrow \mathrm{GL}_{N,F_2}$ over different fields whenever \mathbf{G}_1 and \mathbf{G}_2 have the same rank. Let \mathbb{G}_m^N be the diagonal subgroup of GL_N . Every character χ of \mathbb{G}_m^N can be expressed uniquely as $x_1^{m_1} x_2^{m_2} \dots x_N^{m_N}$, a product of powers of *standard characters* $\{x_1, x_2, \dots, x_N\}$, where x_i maps $(a_1, \dots, a_N) \in \mathbb{G}_m^N$ to a_i for all i . The following proposition (definition) is particularly useful.

Proposition 2.0.1. (*Definition 3'*) Let ρ_1 and ρ_2 be as above. If $\mathbf{T}_1 \subset \mathbf{G}_1$ and $\mathbf{T}_2 \subset \mathbf{G}_2$ are maximal tori. The following conditions are equivalent:

- (i) Representations ρ_1 and ρ_2 have the same formal character.
- (ii) Tori $\rho_1(\mathbf{T}_1)$ and $\rho_2(\mathbf{T}_2)$ are respectively conjugate (in $\mathrm{GL}_{N, \bar{F}_1}$ and $\mathrm{GL}_{N, \bar{F}_2}$) to some subtori \mathbf{D}_1 and \mathbf{D}_2 of the diagonal subgroup $\mathbb{G}_m^N \subset \mathrm{GL}_N$ such that \mathbf{D}_1 and \mathbf{D}_2 are annihilated by the same set of characters of \mathbb{G}_m^N .

Hence, formal characters of N -dimensional faithful representations are in bijective correspondence with subtori in \mathbb{G}_m^N up to natural action of permutation group $\mathrm{Perm}(N)$ of N letters on \mathbb{G}_m^N .

Proof. Assume $\mathbf{T}_j = \mathbb{G}_{m, \bar{F}_j}^r$ and $\rho_j(\mathbf{T}_j) \subset \mathbb{G}_{m, \bar{F}_j}^N \subset \mathrm{GL}_{N, \bar{F}_j}$ from now on by base change to algebraic closure of F_j and diagonalizations for $j = 1, 2$. Suppose (i) holds, then by taking an automorphism of the character group of \mathbf{T}_1 and a permutation of coordinates of \mathbb{G}_m^N we obtain

$$x_i \circ \rho_1 = x_i \circ \rho_2$$

for all standard character x_i of \mathbb{G}_m^N if we identify the character groups of $\mathbb{G}_{m, \bar{F}_1}^r$ and $\mathbb{G}_{m, \bar{F}_2}^r$ naturally. This implies the set of characters of \mathbb{G}_m^N that annihilate $\mathbf{D}_j := \rho_j(\mathbf{T}_j)$ for $j = 1, 2$ are equal which is (ii). Suppose (ii) holds, it suffices to consider the case that ρ_1 and ρ_2 are standard representations (inclusions) since $\rho : \mathbf{G} \rightarrow \mathrm{GL}_{N, F}$ and $\rho(\mathbf{G}) \subset \mathrm{GL}_{N, F}$ always have the same formal character. Condition (ii) implies that there exists an automorphism of \mathbb{G}_m^N such that

$$\mathbf{D}_j = \{(a_1, \dots, a_N) \in \mathbb{G}_m^N : a_1 = a_2 = \dots = a_{N-r} = 1\}$$

for $j = 1, 2$ because \mathbf{D}_1 and \mathbf{D}_2 are connected. Then (i) follows easily.

Let $\rho : \mathbf{T} \rightarrow \mathrm{GL}_{N, \bar{F}}$ be a representation of a torus \mathbf{T} . Since the set of weights of ρ is obtained by diagonalizing $\rho(\mathbf{T})$ and is independent of diagonalizations, subtori of \mathbb{G}_m^N that are conjugate to $\rho(\mathbf{T})$ only differ by a permutation of N coordinates. Therefore, the map from formal characters of N -dimensional faithful representations to subtori of \mathbb{G}_m^N modulo action of $\mathrm{Perm}(N)$ is well defined. Since the equivalence of (i) and (ii) implies injectivity and any subtorus of \mathbb{G}_m^N is the formal character of the standard representation of the subtorus, the map is a bijective correspondence. \square

Examples: Denote standard representation and faithful representation by respectively Std and ρ . Below are some pair of representations that have the same formal character:

- (i) $(\mathrm{GL}_{2, \mathbb{Q}_\ell}, \mathrm{Std})$ and $(\mathrm{GL}_{2, \mathbb{F}_\ell}, \mathrm{Std})$;
- (ii) (\mathbf{G}, ρ) and $(\mathbf{H}, \rho|_{\mathbf{H}})$ if \mathbf{H} is a reductive subgroup of \mathbf{G} of same rank;
- (iii) (\mathbf{G}, ρ) and (\mathbf{G}, ρ^\vee) ;

(iv) (\mathbf{G}, ρ) and $(\rho(\mathbf{G}), \text{Std})$.

Definition 4. The formal character of ρ is said to be *bounded by a constant* $C > 0$ if there exists an isomorphism $\mathbb{X} \cong \mathbb{Z}^r$ such that the coefficients of the images of weights $w_1, w_2, \dots, w_N \in \mathbb{X}$ in \mathbb{Z}^r have absolute values bounded by C .

Let N be a fixed integer and $\{\rho_i : \mathbf{G}_i \rightarrow \text{GL}_{N_i, F_i}\}_{i \in I}$ a family of faithful representations of reductive groups such that $N_i \leq N$ for all $i \in I$. The family is said to have *bounded formal characters* if the formal character of ρ_i is bounded by some constant $C > 0$ for all $i \in I$.

Remark 2.0.2. Let $\{\rho_i\}_{i \in I}$ be a family of representations in Definition 4 having bounded formal characters. Then the number of distinct formal characters arising from the family is finite.

Let $\chi = x_1^{m_1} x_2^{m_2} \cdots x_N^{m_N}$ be a character of \mathbb{G}_m^N expressed as products of standard characters. We call multiset $\{m_1, \dots, m_N\}$ *the exponents* of χ and say *the exponents are bounded by* $C > 0$ if $|m_i| < C$ for all $1 \leq i \leq N$. The following characterization of Definition 4 is very useful in this paper.

Proposition 2.0.3. (*Definition 4'*) Let $\{\rho_i\}_{i \in I}$ be a family of faithful representations of reductive \mathbf{G}_i such that ρ_i is N_i -dimensional and $N_i \leq N$ for all $i \in I$. Choose a maximal torus \mathbf{T}_i of \mathbf{G}_i for each $i \in I$. The following conditions are equivalent:

- (i) The family has bounded formal characters.
- (ii) For any $i \in I$ and any subtorus \mathbf{D}_i of the diagonal subgroup $\mathbb{G}_m^{N_i} \subset \text{GL}_{N_i}$ that is conjugate (in $\text{GL}_{N_i, \bar{F}_i}$) to $\rho_i(\mathbf{T}_i)$, one can choose a set R_i of characters of $\mathbb{G}_m^{N_i}$ such that the common kernel of R_i is \mathbf{D}_i and the exponents of characters in R_i are bounded by a constant independent of $i \in I$.

Proof. It follows easily from Definition 4, the bijective correspondence in Proposition 2.0.1, and Remark 2.0.2. \square

Proposition 2.0.4. Let $\{\rho_i\}_{i \in I}$ and $\{\phi_i\}_{i \in I}$ be two families of faithful representations of reductive \mathbf{G}_i and \mathbf{H}_i over field F_i with bounded formal characters such that the target of ρ_i and ϕ_i are both equal to GL_{N_i, F_i} and $\rho_i(\mathbf{G}_i)$ commutes with $\phi_i(\mathbf{H}_i)$ for all $i \in I$. Then the family of standard representations

$$\{\rho_i(\mathbf{G}_i) \cdot \phi_i(\mathbf{H}_i) \subset \text{GL}_{N_i, F_i}\}_{i \in I}$$

also has bounded formal characters.

Proof. It follows easily from Remark 2.0.2, Proposition 2.0.3, and the fact (by the commutativity hypothesis) that any maximal torus of $\rho_i(\mathbf{G}_i) \cdot \phi_i(\mathbf{H}_i)$ is generated by some maximal torus of $\rho_i(\mathbf{G}_i)$ and some maximal torus of $\phi_i(\mathbf{H}_i)$. \square

Let $\{\phi_\ell\}$ be the strictly compatible system of mod ℓ Galois representations arising from (Definition 1,2) the dual system of ℓ -adic representations $\{\Phi_\ell\}$. Denote the image of ϕ_ℓ by $\bar{\Gamma}_\ell$ and the ambient space of the representation by $V_\ell \cong \mathbb{F}_\ell^N$ for each ℓ . Each $\bar{\Gamma}_\ell := \phi_\ell(\text{Gal}_K)$ is a subgroup of $\text{GL}_N(\mathbb{F}_\ell)$ for a fixed N . Suppose K' is a finite normal extension of K . Since $[\phi_\ell(\text{Gal}_K) : \phi_\ell(\text{Gal}_{K'})] \leq [K' : K]$ for all ℓ and the restriction of $\{\phi_\ell\}$ to $\text{Gal}_{K'}$ is semisimple [CR88, Theorem 49.2] and satisfies the compatibility conditions (Definition 2), we are free to replace K by K' in the course of proving the main theorem. The main result of this section states that for $\ell \gg 1$, $\bar{\Gamma}_\ell$ can be approximated by some connected, reductive subgroup $\bar{\mathbf{G}}_\ell \subset \text{GL}_{N, \mathbb{F}_\ell}$ with bounded formal characters (Definition 4').

Theorem 2.0.5. *Let $\{\phi_\ell\}_{\ell \in \mathcal{P}}$ be a system of mod ℓ Galois representations as above. There exist a finite normal extension L of K and a connected, \mathbb{F}_ℓ -reductive subgroup $\bar{\mathbf{G}}_\ell$ of $\text{GL}_{N, \mathbb{F}_\ell}$ for each $\ell \gg 1$ such that*

- (i) $\bar{\gamma}_\ell := \phi_\ell(\text{Gal}_L)$ is a subgroup of $\bar{\mathbf{G}}_\ell(\mathbb{F}_\ell)$ of uniformly bounded index,
- (ii) the action of $\bar{\mathbf{G}}_\ell$ on $\bar{V}_\ell := V_\ell \otimes \bar{\mathbb{F}}_\ell$ is semisimple,
- (iii) the representations $\{\bar{\mathbf{G}}_\ell \hookrightarrow \text{GL}_{N, \mathbb{F}_\ell}\}_{\ell \gg 1}$ have bounded formal characters in the sense of Definition 4'.

Definition 5. A system of connected reductive groups $\{\bar{\mathbf{G}}_\ell\}_{\ell \gg 1}$ satisfying the conditions in the above theorem is called a *system of algebraic envelopes* of $\{\bar{\Gamma}_\ell\}_{\ell \gg 1}$. We say $\bar{\mathbf{G}}_\ell$ is the *algebraic envelope* of $\bar{\Gamma}_\ell$ when a system of algebraic envelopes is given.

We first establish in §2.1 – 2.4 essential ingredients of the proof of Theorem 2.0.5. Then the proof is presented in §2.5.

2.1. Nori's theory. The material in this subsection is due to Nori [No87]. Suppose $\ell > N - 1$. Given a subgroup $\bar{\Gamma}$ of $\text{GL}_N(\mathbb{F}_\ell)$, Nori's theory gives us a connected algebraic group $\bar{\mathbf{S}}_\ell$ that captures all the order ℓ elements of $\bar{\Gamma}$ if ℓ is bigger than a constant that only depends on N .

Let $\bar{\Gamma}[\ell] = \{x \in \bar{\Gamma} \mid x^\ell = 1\}$. The normal subgroup of $\bar{\Gamma}$ generated by $\bar{\Gamma}[\ell]$ is denoted by $\bar{\Gamma}^+$. Define $\exp(x)$ and $\log(x)$ by

$$\exp(x) = \sum_{i=0}^{\ell-1} \frac{x^i}{i!} \quad \text{and} \quad \log(x) = - \sum_{i=1}^{\ell-1} \frac{(1-x)^i}{i}.$$

Denote by $\bar{\mathbf{S}}$ the (connected) algebraic subgroup of $\mathrm{GL}_{N, \mathbb{F}_\ell}$, defined over \mathbb{F}_ℓ , generated by the one-parameter subgroups

$$t \mapsto x^t := \exp(t \cdot \log(x))$$

for all $x \in \bar{\Gamma}[\ell]$. Algebraic subgroups with the above property are said to be *exponentially generated*. The theorem we need is stated below.

Theorem 2.1.1. [No87, Theorem B(1), 3.6(v)] *There is a constant $c_0 = c_0(N)$ such that if $\ell > c_0$ and $\bar{\Gamma}$ is a subgroup of $\mathrm{GL}_N(\mathbb{F}_\ell)$, then*

- (i) $\bar{\Gamma}^+ = \bar{\mathbf{S}}(\mathbb{F}_\ell)^+$,
- (ii) $\bar{\mathbf{S}}(\mathbb{F}_\ell)/\bar{\mathbf{S}}(\mathbb{F}_\ell)^+$ is a commutative group of order $\leq 2^{N-1}$.

Proposition 2.1.2. *Let $\bar{\mathbf{S}}_\ell$ be the algebraic group associated to $\bar{\Gamma}_\ell$ by Nori's theory for all $\ell > N-1$. There is a constant $c_1 = c_1(N) > c_0(N)$ that depends only on N such that if $\ell > c_1$, then the following hold:*

- (i) $\bar{\mathbf{S}}_\ell$ is a connected, exponentially generated, semisimple \mathbb{F}_ℓ -subgroup of $\mathrm{GL}_{N, \mathbb{F}_\ell}$.
- (ii) $\bar{\mathbf{S}}_\ell$ acts semi-simply on the ambient space $\bar{V}_\ell \cong \bar{\mathbb{F}}_\ell^N$.
- (iii) $[\bar{\mathbf{S}}_\ell(\mathbb{F}_\ell) : \bar{\mathbf{S}}_\ell(\mathbb{F}_\ell)^+ \cap \bar{\Gamma}_\ell] \leq 2^{N-1}$.

Proof. Since $\bar{\Gamma}_\ell$ acts semi-simply on \bar{V}_ℓ , so does $\bar{\Gamma}_\ell^+$ [CR88, Theorem 49.2]. Part (ii) then follows from [EHK12, Theorem 24] for some sufficiently large constant $c_1(N)$ ($> c_0(N)$) depending only on N , see also [Se86]. Since $\ell > c_0(N)$, $\bar{\mathbf{S}}_\ell(\mathbb{F}_\ell)^+ = \bar{\Gamma}_\ell^+$ (Theorem 2.1.1) also acts semi-simply on \bar{V}_ℓ . This implies $\bar{\mathbf{S}}_\ell(\mathbb{F}_\ell)^+$ cannot have normal ℓ -subgroup. If $\bar{\mathbf{S}}_\ell$ has a non-trivial unipotent radical $\bar{\mathbf{U}}_\ell$, then $\bar{\mathbf{U}}_\ell$ is defined over \mathbb{F}_ℓ [Sp08, Proposition 14.4.5(v)] and $\bar{\mathbf{U}}_\ell(\mathbb{F}_\ell)$ is then a non-trivial normal ℓ -group of $\bar{\mathbf{S}}_\ell(\mathbb{F}_\ell)^+$ which is a contradiction. Therefore $\bar{\mathbf{S}}_\ell$ is reductive. $\bar{\mathbf{S}}_\ell$ is actually semisimple since it is generated by unipotent elements $\bar{\Gamma}_\ell^+$. This proves (i). Since $\ell > c_0(N)$, (iii) is proved by Theorem 2.1.1. \square

Definition 6. Define the *semisimple envelope* of $\bar{\Gamma}_\ell$ for all sufficiently large ℓ as the connected, semisimple \mathbb{F}_ℓ -algebraic group $\bar{\mathbf{S}}_\ell$ in Proposition 2.1.2.

Remark 2.1.3. If K' is a finite extension of K , then the semisimple envelopes of $\phi_\ell(\mathrm{Gal}_{K'})$ and $\phi_\ell(\mathrm{Gal}_K)$ are identical for $\ell \gg 1$ because the order ℓ elements of the two finite groups are the same when ℓ is large.

2.2. Characters of tame inertia group. Let $\rho_\ell : \mathrm{Gal}_K \rightarrow \mathrm{GL}_N(\mathbb{F}_\ell)$ be a continuous representation and $I_{\bar{v}}$ the inertia subgroup of Gal_K at $\bar{v} \in \Sigma_{\bar{K}}$ that divides ℓ . Let $I_{\bar{v}}^w$ be the wild inertia (normal) subgroup of $I_{\bar{v}}$ and $\rho_{\bar{v}}^{\mathrm{ss}}$ the semi-simplification of the restriction of ρ_ℓ to $I_{\bar{v}}$. Since $\rho_{\bar{v}}^{\mathrm{ss}}(I_{\bar{v}}^w)$ is an ℓ -group and semisimple on \mathbb{F}_ℓ^N , $\rho_{\bar{v}}^{\mathrm{ss}}(I_{\bar{v}}^w) = \{1\}$ and $\rho_{\bar{v}}^{\mathrm{ss}}$

factors through a representation of the tame inertia group $I_{\bar{v}}^t := I_{\bar{v}}/I_{\bar{v}}^w$ (still denoted by $\rho_{\bar{v}}^{\text{ss}}$):

$$\rho_{\bar{v}}^{\text{ss}} : I_{\bar{v}}^t \rightarrow \text{GL}_N(\mathbb{F}_{\ell}).$$

The tame inertia group $I_{\bar{v}}^t$ is a projective limit of cyclic groups of order prime to ℓ [Se72, Proposition 2]

$$\theta_{\bar{v}} : I_{\bar{v}}^t \xrightarrow{\cong} \varprojlim_k \mathbb{F}_{\ell^k}^*$$

where the projective system is given by norm maps of finite fields of characteristic ℓ . The isomorphism is unique up to action of $\text{Gal}_{\mathbb{F}_{\ell}}$ on the target.

Definition 7. The *fundamental characters* of $I_{\bar{v}}^t$ of level d [Se72, §1.7] are defined as

$$\theta_d^{\ell^j}, \quad j = 0, 1, \dots, d-1$$

where $\theta_d : I_{\bar{v}}^t \xrightarrow{\theta_{\bar{v}}} \varprojlim_k \mathbb{F}_{\ell^k}^* \twoheadrightarrow \mathbb{F}_{\ell^d}^* \hookrightarrow \bar{\mathbb{F}}_{\ell}^*$.

Any continuous character $\chi : I_{\bar{v}}^t \rightarrow \bar{\mathbb{F}}_{\ell}^*$ of $\rho_{\bar{v}}^{\text{ss}}$ factors through a power of some θ_d . Character theory says that $\text{Hom}(\mathbb{F}_{\ell^d}^*, \bar{\mathbb{F}}_{\ell}^*) \cong \text{Hom}(\mathbb{F}_{\ell^d}^*, \mathbb{C}^*)$ is cyclic generated by θ_d of order $\ell^d - 1$. Therefore, χ can always be expressed as a product of fundamental characters of level d

$$\chi = (\theta_d)^{m_0} \cdot (\theta_d^{\ell})^{m_1} \cdots (\theta_d^{\ell^{d-1}})^{m_{d-1}}$$

Definition 8. Let $\chi : I_{\bar{v}}^t \rightarrow \bar{\mathbb{F}}_{\ell}^*$ be a character of $\rho_{\bar{v}}^{\text{ss}}$ and express χ as a product of fundamental characters of level d as above.

- (i) The product is said to be ℓ -restricted if $0 \leq m_i \leq \ell - 1$ for all i and not all m_i equal to $\ell - 1$. It is easy to see that ℓ -restricted expression of χ is unique.
- (ii) The *exponents* of χ are defined to be the multiset of powers $\{m_0, m_1, \dots, m_{d-1}\}$ in the ℓ -restricted product. Note that the multiset is independent of the action of $\text{Gal}_{\mathbb{F}_{\ell}}$ on the target.

Lemma 2.2.1. *Let $V \cong \mathbb{F}_{\ell}^n$ be a continuous, irreducible subrepresentation of $\rho_{\bar{v}}$, then the characters of the representation can be written as a product of fundamental characters of level n .*

Proof. For simplicity, assume $\rho_{\bar{v}}$ is irreducible. The image of $I_{\bar{v}}^t$ in $\text{GL}(V)$ is a cyclic group of order prime to ℓ , therefore V is a $\mathbb{F}_{\ell}[x]/(f(x))$ -module where x corresponds to a generator of the cyclic image and the minimal polynomial $f(x)$ is separable. Irreducibility of V implies $f(x)$ is irreducible over \mathbb{F}_{ℓ} . Thus $\rho_{\bar{v}}(I_{\bar{v}}^t)$ is contained in a maximal subfield F of $\text{End}(V)$ and $\rho_{\bar{v}} : I_{\bar{v}}^t \rightarrow F^* \subset \text{GL}(V)$ can be written as a product

of fundamental characters of level n as above. On the other hand, V has a structure of F -vector space of dimension 1 such that the action of $\rho_{\bar{v}}(I_{\bar{v}}^t) \subset F^*$ is through field multiplication. By tensoring F with F (on the right) over \mathbb{F}_ℓ , we obtain an F -isomorphism

$$\begin{aligned} F \otimes F &\rightarrow F \oplus F \oplus \cdots \oplus F \\ x \otimes y &\mapsto (xy, x^\ell y, \dots, x^{\ell^{n-1}} y) \end{aligned}$$

where $x, x^\ell, \dots, x^{\ell^{n-1}}$ are just conjugate of x over \mathbb{F}_ℓ . If $x \in \rho_{\bar{v}}(I_{\bar{v}}^t) \subset F^*$, then we see the action of $I_{\bar{v}}^t$ on $V \otimes_{\mathbb{F}_\ell} F$ is a direct sum of products of fundamental characters of level n . \square

2.3. Exponents of characters arising from étale cohomology.

Every character χ of $\rho_{\bar{v}}^{\text{ss}} : I_{\bar{v}}^t \rightarrow \text{GL}_N(\mathbb{F}_\ell)$ can be written as

$$\chi = (\theta_n)^{m_0} \cdot (\theta_n^\ell)^{m_1} \cdots (\theta_n^{\ell^{n-1}})^{m_{n-1}},$$

a product of fundamental characters of level $n \leq N$ by Lemma 2.2.1. One would like to study the exponents m_0, \dots, m_{n-1} (Definition 8) and in the case of étale cohomology we have the following theorem proved by Caruso [Ca08].

Theorem 2.3.1. (*Serre's tame inertia conjecture*) *Let X be a proper and smooth variety over a local field K (a finite extension of \mathbb{Q}_ℓ) with semi-stable reduction over \mathcal{O}_K , the ring of integers of K and i an integer. The Galois group Gal_K acts on $H_{\text{ét}}^i(X_{\bar{K}}, \mathbb{Z}/\ell\mathbb{Z})^\vee$, the \mathbb{F}_ℓ -dual of the i th cohomology group with $\mathbb{Z}/\ell\mathbb{Z}$ coefficients. If we restrict the representation to the inertia group of Gal_K , then the exponents of the characters of the tame inertia group on any Jordan-Holder quotient of $H_{\text{ét}}^i(X_{\bar{K}}, \mathbb{Z}/\ell\mathbb{Z})^\vee$ are between 0 and ei where e is the ramification index of K/\mathbb{Q}_ℓ .*

We now relate our mod ℓ Galois representation ϕ_ℓ to representation $H_{\text{ét}}^i(X_{\bar{K}}, \mathbb{Z}/\ell\mathbb{Z})^\vee$ in Theorem 2.3.1. Cohomology group $H_{\text{ét}}^i(X_{\bar{K}}, \mathbb{Z}_\ell)$ is a finitely generated, free \mathbb{Z}_ℓ -module [Ga83] for $\ell \gg 1$:

$$H_{\text{ét}}^i(X_{\bar{K}}, \mathbb{Z}_\ell) \cong \mathbb{Z}_\ell \oplus \cdots \oplus \mathbb{Z}_\ell.$$

Reduction mod ℓ gives

$$H_{\text{ét}}^i(X_{\bar{K}}, \mathbb{Z}_\ell) \otimes \mathbb{F}_\ell = \mathbb{Z}/\ell\mathbb{Z} \oplus \cdots \oplus \mathbb{Z}/\ell\mathbb{Z}$$

and the semi-simplification of $H_{\text{ét}}^i(X_{\bar{K}}, \mathbb{Z}_\ell) \otimes \mathbb{F}_\ell$ is then isomorphic to the semi-simplification of a mod ℓ reduction of ℓ -adic representation $H_{\text{ét}}^i(X_{\bar{K}}, \mathbb{Q}_\ell)$ by Brauer-Nesbitt [CR88, Theorem 30.16]. Since the sequence

$$H_{\text{ét}}^i(X_{\bar{K}}, \mathbb{Z}_\ell) \xrightarrow{\ell} H_{\text{ét}}^i(X_{\bar{K}}, \mathbb{Z}_\ell) \rightarrow H_{\text{ét}}^i(X_{\bar{K}}, \mathbb{Z}/\ell\mathbb{Z})$$

is exact [Mi13, Theorem 19.2], $H_{\text{ét}}^i(X_{\bar{K}}, \mathbb{Z}_{\ell}) \otimes \mathbb{F}_{\ell}$ is isomorphic to $H_{\text{ét}}^i(X_{\bar{K}}, \mathbb{Z}/\ell\mathbb{Z})$. Recall V_{ℓ} is the semi-simplification of a mod ℓ reduction of $H_{\text{ét}}^i(X_{\bar{K}}, \mathbb{Q}_{\ell})^{\vee}$. Thus, we conclude that

Proposition 2.3.2. *For all sufficiently large ℓ , $H_{\text{ét}}^i(X_{\bar{K}}, \mathbb{Z}_{\ell}) \otimes \mathbb{F}_{\ell}$ is isomorphic to $H_{\text{ét}}^i(X_{\bar{K}}, \mathbb{Z}/\ell\mathbb{Z})$ and the semi-simplification of $H_{\text{ét}}^i(X_{\bar{K}}, \mathbb{Z}/\ell\mathbb{Z})$ is V_{ℓ}^{\vee} .*

The following theorem is the main result of this subsection.

Theorem 2.3.3. *Let K be a number field. Let $\phi_{\ell} : \text{Gal}_K \rightarrow \text{GL}(V_{\ell}) \cong \text{GL}_N(\mathbb{F}_{\ell})$ be the mod ℓ Galois representation arising from étale cohomology group $H_{\text{ét}}^i(X_{\bar{K}}, \mathbb{Q}_{\ell})^{\vee}$ for sufficiently large ℓ . If we restrict ϕ_{ℓ} to the inertia group $I_{\bar{v}}$ of a valuation $\bar{v}|\ell$ of \bar{K} and semi-simplify the representation, then every character χ of the representation can be written as*

$$\chi = (\theta_{N!})^{m_0} \cdot (\theta_{N!}^{\ell})^{m_1} \cdots (\theta_{N!}^{\ell^{N!-1}})^{m_{N!-1}}$$

a product of fundamental characters of level $N!$ with exponents (Definition 8) $m_0, \dots, m_{N!-1}$ (depending on ℓ) belonging to $[0, ei]$ where e is the ramification index of K_v/\mathbb{Q}_{ℓ} , $v = \bar{v}|_K$, and K_v is the completion of K with respect to v .

Proof. Proposition 2.3.2 implies that if ℓ is sufficiently large, then Galois representations $V_{\ell} = (V_{\ell}^{\vee})^{\vee}$ and $(H_{\text{ét}}^i(X_{\bar{K}}, \mathbb{Z}/\ell\mathbb{Z})^{\vee})^{\text{ss}}$ are isomorphic. Let χ be a character of $I_{\bar{v}}^t$ given by the semi-simplification of the restriction of V_{ℓ} to inertia subgroup $I_{\bar{v}}$. By Theorem 2.3.1, χ can be written as

$$\chi = (\theta_d)^{m_0} \cdot (\theta_d^{\ell})^{m_1} \cdots (\theta_d^{\ell^{d-1}})^{m_{d-1}},$$

a product of fundamental characters of level d ($\leq N$ by Lemma 2.2.1) with exponents m_0, \dots, m_{d-1} belonging to $[0, ei]$ where e is the ramification index of K_v/\mathbb{Q}_{ℓ} . Since d divides $N!$, $\theta_{N!}$ factors through χ . Consider the norm map $\text{Nm} : \mathbb{F}_{\ell^{N!}}^* \rightarrow \mathbb{F}_{\ell^d}^*$

$$x \mapsto x \cdot x^{\ell^d} \cdot x^{\ell^{2d}} \cdots x^{\ell^{(N!-d)}}.$$

Then we obtain a product of fundamental characters of level $N!$

$$\begin{aligned} \chi &= (\text{Nm} \circ \theta_{N!})^{m_0+m_1\ell+\dots+m_{d-1}\ell^{d-1}} \\ &= (\theta_{N!})^{s_0} \cdot (\theta_{N!}^{\ell})^{s_1} \cdots (\theta_{N!}^{\ell^{N!-1}})^{s_{N!-1}} \end{aligned}$$

with exponents $s_0, \dots, s_{N!-1}$ belonging to $[0, ei]$. □

2.4. Tame inertia tori and rigidity. Tame inertia tori were considered by Serre when he studied Galois action on ℓ -torsion points of abelian varieties without complex multiplication [Se86]. He observed that these tori have certain rigidity which will be explained in this subsection.

Assume $\ell > N - 1$ as in §2.1. Since every non-trivial element of every ℓ -Sylow subgroup of $\bar{\Gamma}_\ell$ is of order ℓ and $\bar{\Gamma}_\ell^+$ is contained in $\bar{\mathbf{S}}_\ell(\mathbb{F}_\ell)$ by Theorem 2.1.1(i), index $[\bar{\Gamma}_\ell : \bar{\Gamma}_\ell \cap \bar{\mathbf{S}}_\ell(\mathbb{F}_\ell)]$ is prime to ℓ . Let $\bar{\mathbf{N}}_\ell$ be the normalizer of $\bar{\mathbf{S}}_\ell$ in $\mathrm{GL}_{N, \mathbb{F}_\ell}$; clearly $\bar{\Gamma}_\ell \subset \bar{\mathbf{N}}_\ell$.

Theorem 2.4.1. [Se86, §1 Theorem] *There are constants $c_2 = c_2(N)$ and $c_3 = c_3(N)$ such that if $\ell > c_2$, $\bar{\mathbf{S}}_\ell \subset \mathrm{GL}_{N, \mathbb{F}_\ell}$ is an exponentially generated semisimple algebraic group defined over \mathbb{F}_ℓ , and the action on $\bar{V}_\ell \cong \bar{\mathbb{F}}_\ell^N$ is semisimple. If W_ℓ is the \mathbb{F}_ℓ -subspace of*

$$U_\ell := \bigoplus_{i=1}^{c_3} (\otimes^i V_\ell)$$

fixed by $\bar{\mathbf{S}}_\ell$, then $t_\ell : \bar{\mathbf{N}}_\ell / \bar{\mathbf{S}}_\ell \rightarrow \mathrm{GL}_{W_\ell}$ is an \mathbb{F}_ℓ -embedding. Moreover, if $x \notin \bar{\mathbf{S}}_\ell$, then there is an element of \bar{W}_ℓ that is not fixed by x .

By Theorem 2.4.1, $\bar{\Gamma}_\ell / (\bar{\Gamma}_\ell \cap \bar{\mathbf{S}}_\ell(\mathbb{F}_\ell))$ embeds in $\mathrm{GL}(W_\ell)$ with $\dim(W_\ell) \leq c_4 = c_4(N)$ uniformly for some integer c_4 . Theorem 2.4.2 below is the main result of this subsection.

Definition 9. Define $\mu_\ell : \mathrm{Gal}_K \rightarrow \mathrm{GL}(W_\ell)$ to be the composition $t_\ell \circ \phi_\ell$ for each ℓ and $\bar{\Omega}_\ell$ to be the image μ_ℓ , where t_ℓ is defined in Theorem 2.4.1.

Theorem 2.4.2. *Let $\bar{\mathbf{I}}_\ell$ be the algebraic group generated by a set of tame inertia tori $\bar{\mathbf{I}}_{\bar{v}}$ (Definition 10) for $\ell \gg 1$. There exist constant $c_8 = c_8(N)$ and a finite normal field extension L/K such that if $\ell \gg 1$, then $\bar{\mathbf{I}}_\ell$ is a torus, called the inertia torus at ℓ , and $\mu_\ell(\mathrm{Gal}_L) \subset \bar{\Omega}_\ell$ is a subgroup of $\bar{\mathbf{I}}_\ell(\mathbb{F}_\ell)$ such that*

- (i) $\{\bar{\mathbf{I}}_\ell \hookrightarrow \mathrm{GL}_{W_\ell}\}_{\ell \gg 1}$ have bounded formal characters (Definition 4'),
- (ii) $[\bar{\mathbf{I}}_\ell(\mathbb{F}_\ell) : \mu_\ell(\mathrm{Gal}_L)]$ is bounded by c_8 .

Theorem 2.4.3. [Jo78, Jordan's theorem on finite linear groups] *For every n there exists a constant $J(n)$ such that any finite subgroup of GL_n over a field of characteristic zero possesses an abelian normal subgroup of index $\leq J(n)$.*

The order of $\bar{\Omega}_\ell$ is prime to ℓ . $\bar{\Omega}_\ell$ can thus be lifted to a subgroup of $\mathrm{GL}_{N'}(\mathbb{C})$ such that N' only depends on N . Theorem 2.4.3 (Jordan)

then says that $\bar{\Omega}_\ell$ has a abelian normal subgroup \bar{J}_ℓ of index less than a constant $c_5 = c_5(N) := J(N')$ depends on N' . Since N' depends on N , we have $[\bar{\Omega}_\ell : \bar{J}_\ell] \leq c_5$. If \bar{v} divides ℓ , then the action of the inertia group $I_{\bar{v}}$ on W_ℓ is semisimple because $|\bar{\Omega}_\ell|$ is prime to ℓ . Since $\dim(W_\ell) | c_4!$ We obtain

$$\mu_\ell : I_{\bar{v}}^t \xrightarrow{\theta_{c_4!}} \mathbb{F}_{\ell^{c_4!}}^* \rightarrow \mathrm{GL}(W_\ell).$$

By Theorem 2.3.3 and W_ℓ in Theorem 2.4.1, there exist $c_6 = c_6(N) \geq 0$ such that if χ is a character, then χ can be written as a product of fundamental characters of level $c_4!$

$$\chi = (\theta_{c_4!})^{m_0} \cdot (\theta_{c_4!}^\ell)^{m_1} \cdots (\theta_{c_4!}^{\ell^{c_4!-1}})^{m_{c_4!-1}}$$

with exponents $m_0, \dots, m_{c_4!-1}$ belonging to $[0, c_6]$ for all $\ell \gg 1$. Therefore, we make the following definition.

Definition 10. Denote field $\mathbb{F}_{\ell^{c_4!}}$ by \mathbb{E}_ℓ for all ℓ . This gives a homomorphism

$$f_{\bar{v}} : \mathbb{E}_\ell^* \rightarrow \mathrm{GL}(W_\ell)$$

if $\ell > c_6(N) + 1$. Let $\bar{\mathbf{E}}_\ell$ denote $\mathrm{Res}_{\mathbb{E}_\ell/\mathbb{F}_\ell}(\mathbb{G}_m)$ (Weil restriction of scalars) for all ℓ . We have $\bar{\mathbf{E}}_\ell(\mathbb{F}_\ell) = \mathbb{E}_\ell^*$. Then $f_{\bar{v}}$ extends uniquely [Ha11, §3] to an ℓ -restricted \mathbb{F}_ℓ -morphism below:

$$w_{\bar{v}} : \bar{\mathbf{E}}_\ell := \mathrm{Res}_{\mathbb{E}_\ell/\mathbb{F}_\ell}(\mathbb{G}_m) \rightarrow \mathrm{GL}_{W_\ell}.$$

Denote the image of $w_{\bar{v}}$ by $\bar{\mathbf{I}}_{\bar{v}}$ for $\bar{v} | \ell \gg 1$. It is called the *tame inertia torus at $\bar{v} \in \Sigma_K$* .

Lemma 2.4.4. *There exists a constant $c_7 = c_7(N)$ such that for any $\bar{v} | \ell > c_6(N) + 1$, we have*

- (i) $\{\bar{\mathbf{I}}_{\bar{v}} \hookrightarrow \mathrm{GL}_{W_\ell}\}_{\bar{v}}$ have bounded formal characters (Definition 4');
- (ii) $[\bar{\mathbf{I}}_{\bar{v}}(\mathbb{F}_\ell) : f_{\bar{v}}(\mathbb{E}_\ell^*)] \leq c_7$.

Proof. Since $\dim(W_\ell)$ and $\dim(\bar{\mathbf{E}}_\ell)$ are bounded by a constant independent of ℓ and the exponents of the characters of $w_{\bar{v}}$ in terms of the fundamental characters [Ha11, §3] belong to $[0, c_6]$, we find by Proposition 2.0.3 a set of characters $R_{\bar{v}}$ of uniformly bounded exponents of the diagonal subgroup of GL_{W_ℓ} by diagonalizing $\bar{\mathbf{I}}_{\bar{v}}$ and then obtain assertion (i). For assertion (ii), uniform boundedness of exponents of characters and $\dim(\bar{\mathbf{E}}_\ell) = c_4!$ (for all ℓ) imply the number of connected components of $\mathrm{Ker}(w_{\bar{v}})$ is uniformly bounded by c_7 . On the other hand, the number of \mathbb{F}_ℓ -rational points of any \mathbb{F}_ℓ -torus of dimension k is between $(\ell - 1)^k$ and $(\ell + 1)^k$ by [No87, Lemma 3.5]. Therefore,

$\mu_\ell(I_{\bar{v}}^t) = f_{\bar{v}}(\mathbb{E}_\ell^*)$ has at least

$$\frac{|\mathbb{E}_\ell^*|}{c_7(\ell+1)^{\dim(\text{Ker}(w_{\bar{v}}))}} = \frac{\ell^{c_4!} - 1}{c_7(\ell+1)^{\dim(\text{Ker}(w_{\bar{v}}))}}$$

points and $[\bar{\mathbf{I}}_{\bar{v}}(\mathbb{F}_\ell) : \mu_\ell(I_{\bar{v}}^t)]$ is bounded by

$$\frac{c_7(\ell+1)^{\dim(\text{Ker}(w_{\bar{v}})) + \dim(\text{Im}(w_{\bar{v}}))}}{\ell^{c_4!} - 1} = \frac{c_7(\ell+1)^{c_4!}}{\ell^{c_4!} - 1} \rightarrow c_7$$

when ℓ is big. This proves (ii). \square

Lemma 2.4.5. (*Rigidity*) [Ha11, §3], [Se86, §3] *Let $s \in \text{GL}(W_\ell)$ be a semisimple element and $f_{\bar{v}} : \mathbb{E}_\ell^* \rightarrow \text{GL}(W_\ell)$ a representation such that the exponents of characters of $f_{\bar{v}}$ belong to $[0, c]$ for some $c > 0$. If $H \subset \mathbb{E}_\ell^*$ is a subgroup such that $f_{\bar{v}}(H)$ commutes with s in $\text{GL}(W_\ell)$ and $c \cdot [\mathbb{E}_\ell^* : H] \leq \ell - 1$, then $\bar{\mathbf{I}}_{\bar{v}}$ commutes with s , and hence so does $f_{\bar{v}}(\mathbb{E}_\ell^*)$.*

Recall from Definition 2 that there is a finite subset $S \subset \Sigma_K$ such that ϕ_ℓ is unramified outside $S_\ell := S \cup \{v \in \Sigma_K : v|\ell\}$ for all ℓ .

Proof of Theorem 2.4.2. The following arguments are influenced by the arguments Serre gave for [Se86, Theorem 1].

Proof. Denote the image of $\mu_\ell(I_{\bar{v}}^t)$ under the map $\bar{\Gamma}_\ell/(\bar{\Gamma}_\ell \cap \bar{\mathbf{S}}_\ell(\mathbb{F}_\ell)) \hookrightarrow \text{GL}(W_\ell)$ by $\bar{\Omega}_{\bar{v}}$ whenever $\bar{v}|\ell$. Let \bar{J}_ℓ be a maximal abelian normal subgroup of $\bar{\Omega}_\ell := \mu_\ell(\text{Gal}_K)$. We first prove that $\bar{\Omega}_{\bar{v}}$ commutes with \bar{J}_ℓ if ℓ is large. Since $\bar{\Omega}_{\bar{v}}$ and \bar{J}_ℓ are abelian and

$$[\bar{\Omega}_{\bar{v}} : \bar{\Omega}_{\bar{v}} \cap \bar{J}_\ell] \leq c_5$$

by Theorem 2.4.3 (Jordan), the tame inertia torus $\bar{\mathbf{I}}_{\bar{v}}$ at \bar{v} (Definition 10) and hence $f_{\bar{v}}(\mathbb{E}_\ell^*) = \bar{\Omega}_{\bar{v}}$ commute with \bar{J}_ℓ if $\ell > c_5 c_6 + 1$ by rigidity (Lemma 2.4.5). For any $\bar{v}_1, \bar{v}_2|\ell$, since $\bar{\Omega}_{\bar{v}_1} \cap \bar{J}_\ell$ commutes with $\bar{\Omega}_{\bar{v}_2} \cap \bar{J}_\ell$ which are of bounded index in $\bar{\Omega}_{\bar{v}_1}$ and $\bar{\Omega}_{\bar{v}_2}$ respectively, we obtain $\bar{\mathbf{I}}_{\bar{v}_1}$ commutes with $\bar{\mathbf{I}}_{\bar{v}_2}$ if $\ell \gg 1$ by rigidity (Lemma 2.4.5). The subgroup \bar{H}_ℓ of $\bar{\Omega}_\ell$ generated by the inertia subgroups $\bar{\Omega}_{\bar{v}}$ for all $\bar{v}|\ell$ is abelian and normal for $\ell \gg 1$. As \bar{J}_ℓ is maximal normal abelian in $\bar{\Omega}_\ell$, $\bar{H}_\ell \subset \bar{J}_\ell$ for all $\ell \gg 1$. Therefore, $\bar{\Omega}_\ell/\bar{J}_\ell$ corresponds to a field extension of K of degree bounded by c_5 that only ramifies in S (Definition 2) for $\ell \gg 1$. By Hermite's Theorem [La94, p.122], the composite of these fields is still a finite field extension K' of K . Therefore, $\mu_\ell(\text{Gal}_{K'}) \subset \bar{J}_\ell$ for $\ell \gg 1$.

Since the representations $\{\phi_\ell\}$ come from étale cohomology and $I_{\bar{v}} \cap \text{Gal}_{K'}$ is the inertia subgroup of $\text{Gal}_{K'}$ at \bar{v} [Ne99, Proposition 9.5], they

are potentially semi-stable which means there exists a finite extension K'' of K' such that $\phi_\ell(I_{\bar{v}} \cap \text{Gal}_{K''})$ is unipotent for any \bar{v} not dividing ℓ [deJ96, §1]. Therefore, for each $\ell \gg 1$ we have a finite abelian extension of K'' with Galois group $\mu_\ell(\text{Gal}_{K''})$ contained in \bar{J}_ℓ that only ramifies at $v \in \Sigma_{K''}$ dividing ℓ . Since $\mu_\ell(\text{Gal}_{K''})$ is an abelian Galois group over K'' , each ramified prime $v \in \Sigma_{K''}$ dividing large ℓ corresponds to an inertia subgroup $\bar{I}_v'' \subset \mu_\ell(\text{Gal}_{K''})$ and there are at most $[K'' : \mathbb{Q}]$ of them. For each inertia subgroup \bar{I}_v'' , choose a tame inertia torus $\bar{\mathbf{I}}_{\bar{v}}$ such that $\bar{I}_v'' \subset \bar{\mathbf{I}}_{\bar{v}}(\mathbb{F}_\ell)$. Since these tame inertia tori commute with each other, the algebraic group $\bar{\mathbf{I}}_\ell$ generated by them is an \mathbb{F}_ℓ -torus, called *the inertia torus at ℓ* . Since $\{\bar{\mathbf{I}}_{\bar{v}} \rightarrow \text{GL}_{W_\ell}\}_{\bar{v}|\ell \gg 1}$ have bounded formal characters (Lemma 2.4.4(i)) and each $\bar{\mathbf{I}}_\ell$ is generated by at most $[K'' : \mathbb{Q}]$ tame inertia tori, $\{\bar{\mathbf{I}}_\ell \hookrightarrow \text{GL}_{W_\ell}\}_{\ell \gg 1}$ have bounded formal characters by Proposition 2.0.4. This proves (i).

Let \bar{I}_ℓ'' be the subgroup of $\mu_\ell(\text{Gal}_{K''})$ generated by \bar{I}_v'' for all $v|\ell$. Then, for $\ell \gg 1$ we have

$$\mu_\ell(\text{Gal}_{K''})/\bar{I}_\ell''$$

is the Galois group of a finite abelian extension of K'' that is unramified at every non-Archimedean valuation. By abelian class field theory, these fields generate a finite extension K''' of K'' . Choose L normal over K such that $K''' \subset L$. Then, we obtain

$$(*) : \mu_\ell(\text{Gal}_L) \subset \bar{I}_\ell'' \subset \bar{\mathbf{I}}_\ell(\mathbb{F}_\ell).$$

It remains to prove (ii). Suppose $\bar{\mathbf{I}}_\ell$ is generated by tame inertia tori $\bar{\mathbf{I}}_{\bar{v}_i}$ for $1 \leq i \leq k$ for some fixed $k \leq [K'' : \mathbb{Q}]$. We have

$$\begin{aligned} [\bar{\mathbf{I}}_\ell(\mathbb{F}_\ell) : \mu_\ell(\text{Gal}_L)] &= [\bar{\mathbf{I}}_\ell(\mathbb{F}_\ell) : \bar{\mathbf{I}}_\ell(\mathbb{F}_\ell) \cap \bar{\Omega}_\ell] \cdot [\bar{\mathbf{I}}_\ell(\mathbb{F}_\ell) \cap \bar{\Omega}_\ell : \mu_\ell(\text{Gal}_L)] \\ &\leq [\bar{\mathbf{I}}_\ell(\mathbb{F}_\ell) : f_{\bar{v}_1}(\mathbb{E}_\ell^*) \cdots f_{\bar{v}_k}(\mathbb{E}_\ell^*)] \cdot [L : K]. \end{aligned}$$

It suffices to show $[\bar{\mathbf{I}}_\ell(\mathbb{F}_\ell) : f_{\bar{v}_1}(\mathbb{E}_\ell^*) \cdots f_{\bar{v}_k}(\mathbb{E}_\ell^*)]$ is bounded independent of ℓ . The proof is identical to Lemma 2.4.4(ii) since $f_{\bar{v}_1}(\mathbb{E}_\ell^*) \cdots f_{\bar{v}_k}(\mathbb{E}_\ell^*)$ is the image of

$$f_{\bar{v}_1} \times \cdots \times f_{\bar{v}_k} : (\mathbb{E}_\ell^*)^k \rightarrow \text{GL}(W_\ell),$$

$\bar{\mathbf{I}}_\ell$ is the image of

$$w_{\bar{v}_1} \times \cdots \times w_{\bar{v}_k} : (\bar{\mathbf{E}}_\ell)^k \rightarrow \text{GL}_{W_\ell},$$

k (depending on ℓ) is always less than $[K'' : \mathbb{Q}]$, and the exponents of characters (ℓ -restricted 10) of $w_{\bar{v}_1} \times \cdots \times w_{\bar{v}_k}$ are uniformly bounded. Therefore, there exists $c_8 = c_8(N)$ such that $[\bar{\mathbf{I}}_\ell(\mathbb{F}_\ell) : \mu_\ell(\text{Gal}_L)] \leq c_8$ for $\ell \gg 1$. \square

2.5. Construction of $\bar{\mathbf{G}}_\ell$. An \mathbb{F}_ℓ -torus $\bar{\mathbf{I}}_\ell \subset \mathrm{GL}_{W_\ell}$ is constructed in §2.4 for $\ell \gg 1$ and we have the following map defined in Theorem 2.4.1

$$t_\ell : \bar{\mathbf{N}}_\ell \twoheadrightarrow \bar{\mathbf{N}}_\ell / \bar{\mathbf{S}}_\ell \hookrightarrow \mathrm{GL}_{W_\ell}.$$

One has to show that $\bar{\mathbf{I}}_\ell \subset t_\ell(\bar{\mathbf{N}}_\ell)$ so that $t_\ell^{-1}(\bar{\mathbf{I}}_\ell)$ is connected. It suffices to consider tame inertia tori $\bar{\mathbf{I}}_{\bar{v}}$. Recall vector space U_ℓ from Theorem 2.4.1.

Lemma 2.5.1. *Let $\bar{\mathbf{H}}_\ell$ be an algebraic subgroup of $\mathrm{GL}_{\bar{V}_\ell}$. Then $\bar{\mathbf{H}}_\ell$ acts on \bar{U}_ℓ . If $\bar{\mathbf{H}}_\ell$ is invariant on the subspace*

$$\bar{W}_\ell \subset \bar{U}_\ell$$

fixed by $\bar{\mathbf{S}}_\ell$, then $\bar{\mathbf{H}}_\ell$ is contained in $\bar{\mathbf{N}}_\ell$.

Proof. Let $x \in \bar{\mathbf{H}}_\ell \setminus \bar{\mathbf{N}}_\ell$. Then there exists $s \in \bar{\mathbf{S}}_\ell$ such that $xsx^{-1} \notin \bar{\mathbf{S}}_\ell$. There exists $w \in \bar{W}_\ell$ such that

$$xsx^{-1}w \neq w$$

by the last statement of Theorem 2.4.1. Therefore,

$$sx^{-1}w \neq x^{-1}w$$

implies $x^{-1}w \notin \bar{W}_\ell$, a contradiction. Hence, $\bar{\mathbf{H}}_\ell$ is contained in $\bar{\mathbf{N}}_\ell$. \square

Proposition 2.5.2. *The \mathbb{F}_ℓ -torus $\bar{\mathbf{I}}_\ell$ in GL_{W_ℓ} is a subgroup of the image of*

$$t_\ell : \bar{\mathbf{N}}_\ell \twoheadrightarrow \bar{\mathbf{N}}_\ell / \bar{\mathbf{S}}_\ell \hookrightarrow \mathrm{GL}_{W_\ell}$$

defined in Theorem 2.4.1.

Proof. Let $\bar{v}|\ell$ be a valuation of \bar{K} and $I_{\bar{v}}$ the inertia subgroup of Gal_K at \bar{v} . The restriction $\phi_\ell : I_{\bar{v}} \rightarrow \mathrm{GL}(V_\ell)$ factors through a finite quotient $\pi_{\bar{v}} : I_{\bar{v}} \twoheadrightarrow J_{\bar{v}}$ such that $|J_{\bar{v}}| = \ell^k \cdot (\ell^{c_4!} - 1)$. Recall vector spaces $W_\ell \subset U_\ell$ from Theorem 2.4.1 and $f_{\bar{v}} : \mathbb{E}_\ell^* \rightarrow \mathrm{GL}(W_\ell)$ from Definition 10. Consider the following diagram so that

$$r_\ell \circ \phi_\ell \circ i_{\bar{v}} = f'_{\bar{v}}$$

and the actions of \mathbb{E}_ℓ^* on W_ℓ via $f'_{\bar{v}}$ and $f_{\bar{v}}$ are the same. Here r_ℓ is the obvious map and $i_{\bar{v}}$ is a splitting of $\pi_{\bar{v}}$. This is possible because \mathbb{E}_ℓ^* defined in §2.4 is cyclic of order $(\ell^{c_4!} - 1)$ prime to ℓ .

$$\begin{array}{ccc} & \xleftarrow{i_{\bar{v}}} & \\ J_{\bar{v}} & \xrightarrow{\pi_{\bar{v}}} & \mathbb{E}_\ell^* \\ \phi_\ell \downarrow & & \downarrow f'_{\bar{v}} \\ \mathrm{GL}_{V_\ell} & \xrightarrow{r_\ell} & \mathrm{GL}_{U_\ell} \end{array}$$

If ℓ is sufficiently large, then the exponents of the characters (ℓ -restricted) of representations $\phi_\ell \circ i_{\bar{v}}$ and $r_\ell \circ \phi_\ell \circ i_{\bar{v}}$ belong to $[0, i]$ and $[0, ic_3]$ respectively by Theorem 2.3.3 and the construction of U_ℓ . Recall $\bar{\mathbf{E}}_\ell$ from definition 10. By Weil restriction of scalars, we obtain two \mathbb{F}_ℓ -morphisms

$$\begin{aligned}\alpha_\ell : \bar{\mathbf{E}}_\ell &\rightarrow \mathrm{GL}_{V_\ell} \\ \beta_\ell : \bar{\mathbf{E}}_\ell &\rightarrow \mathrm{GL}_{U_\ell}.\end{aligned}$$

Since $r_\ell \circ \alpha_\ell$ and β_ℓ are both ℓ -restricted [Ha11, §3] and equal to $r_\ell \circ \phi_\ell \circ i_{\bar{v}}$ when restricting to \mathbb{E}_ℓ^* , by uniqueness [Ha11, §3] we have

$$r_\ell \circ \alpha_\ell = \beta_\ell.$$

The image $r_\ell \circ \phi_\ell \circ i_{\bar{v}}(\mathbb{E}_\ell^*) = f'_v(\mathbb{E}_\ell^*)$ maps W_ℓ and hence \bar{W}_ℓ to itself, so $\beta_\ell(\bar{\mathbf{E}}_\ell)$ also maps \bar{W}_ℓ to itself. Since $r_\ell \circ \alpha_\ell(\bar{\mathbf{E}}_\ell) = \beta_\ell(\bar{\mathbf{E}}_\ell)$, we conclude that $\alpha_\ell(\bar{\mathbf{E}}_\ell) \subset \bar{\mathbf{N}}_\ell$ by Lemma 2.5.1. One also observes that the following morphism

$$t_\ell : \bar{\mathbf{N}}_\ell \twoheadrightarrow \bar{\mathbf{N}}_\ell / \bar{\mathbf{S}}_\ell \hookrightarrow \mathrm{GL}_{W_\ell}$$

maps $\alpha_\ell(\bar{\mathbf{E}}_\ell)$ to $\bar{\mathbf{I}}_{\bar{v}} := w_{\bar{v}}(\bar{\mathbf{E}}_\ell)$. Therefore, tame inertia torus $\bar{\mathbf{I}}_{\bar{v}}$ and thus $\bar{\mathbf{I}}_\ell$ is a subgroup of $t_\ell(\bar{\mathbf{N}}_\ell)$. \square

Definition 11. Let L be the normal extension of K in Theorem 2.4.2. Denote $\phi_\ell(\mathrm{Gal}_L)$ by $\bar{\gamma}_\ell$ for all ℓ . Then $[\bar{\Gamma}_\ell : \bar{\gamma}_\ell] \leq [L : K]$ for all ℓ .

Proof of Theorem 2.0.5(i), (ii).

Proof. Since $\bar{\mathbf{S}}_\ell$ is a connected normal subgroup of $\bar{\mathbf{N}}_\ell$, $\bar{\mathbf{I}}_\ell$ is a torus, and t_ℓ is an \mathbb{F}_ℓ -morphism, Proposition 2.5.2 implies $t_\ell^{-1}(\bar{\mathbf{I}}_\ell)$, the preimage of the \mathbb{F}_ℓ -torus $\bar{\mathbf{I}}_\ell$ is a connected \mathbb{F}_ℓ -reductive group $\bar{\mathbf{G}}_\ell$. Moreover, $\bar{\gamma}_\ell \subset \bar{\mathbf{G}}_\ell(\mathbb{F}_\ell)$ by construction of $\bar{\mathbf{G}}_\ell$ for $\ell \gg 1$. We obtain an exact sequences of \mathbb{F}_ℓ algebraic groups for $\ell \gg 1$

$$1 \rightarrow \bar{\mathbf{S}}_\ell \rightarrow \bar{\mathbf{G}}_\ell \rightarrow \bar{\mathbf{I}}_\ell \rightarrow 1.$$

and hence

$$1 \rightarrow \bar{\mathbf{S}}_\ell(\mathbb{F}_\ell) \rightarrow \bar{\mathbf{G}}_\ell(\mathbb{F}_\ell) \rightarrow \bar{\mathbf{I}}_\ell(\mathbb{F}_\ell).$$

Recall $\mu_\ell(\mathrm{Gal}_L) = t_\ell(\bar{\gamma}_\ell)$ from Theorem 2.4.2. Since the semisimple envelopes (Definition 6) of $\bar{\Gamma}_\ell$ and $\bar{\gamma}_\ell$ are identical for $\ell \gg 1$ by Remark 2.1.3, the above exact sequence implies

$$[\bar{\mathbf{G}}_\ell(\mathbb{F}_\ell) : \bar{\gamma}_\ell] \leq [\bar{\mathbf{S}}_\ell(\mathbb{F}_\ell) : \bar{\gamma}_\ell \cap \bar{\mathbf{S}}_\ell(\mathbb{F}_\ell)][\bar{\mathbf{I}}_\ell(\mathbb{F}_\ell) : \mu_\ell(\mathrm{Gal}_L)] \leq 2^{N-1}c_8$$

by Proposition 2.1.2(iii) and Theorem 2.4.2 for $\ell \gg 1$. Since the derived group of $\bar{\mathbf{G}}_\ell$ is $\bar{\mathbf{S}}_\ell$, the action of $\bar{\mathbf{G}}_\ell$ on the ambient space is semisimple if $\ell \gg 1$ by Proposition 2.1.2(ii). Therefore, we have proved Theorem 2.0.5 (i) and (ii). \square

Proof of Theorem 2.0.5(iii).

Proof. Let $\bar{\mathbf{S}}_\ell^{\text{sc}} \rightarrow \bar{\mathbf{S}}_\ell$ be the simply connected cover of $\bar{\mathbf{S}}_\ell$. The representation $(\bar{\mathbf{S}}_\ell^{\text{sc}} \rightarrow \bar{\mathbf{S}}_\ell \hookrightarrow \text{GL}_{N, \mathbb{F}_\ell}) \times \bar{\mathbb{F}}_\ell$ is semisimple and has a \mathbb{Z} -form which belongs to a finite set of \mathbb{Z} -representations of simply-connected Chevalley schemes [EHK12, Theorem 24] if $\ell \gg 1$. Thus, $\{\bar{\mathbf{S}}_\ell \hookrightarrow \text{GL}_{N, \mathbb{F}_\ell}\}_{\ell \gg 1}$ have bounded formal characters (Definition 4'). Let $\bar{\mathbf{C}}_\ell$ be the center of $\bar{\mathbf{G}}_\ell$. Since $\bar{\mathbf{S}}_\ell$ acts semi-simply on \bar{V}_ℓ by Proposition 2.1.2(ii) for $\ell \gg 1$, we decompose the representation $\bar{\mathbf{S}}_\ell \rightarrow \text{GL}(\bar{V}_\ell)$ into a sum of absolutely irreducible representations \bar{M}_i

$$\bar{V}_\ell = \left(\bigoplus_1^{m_1} \bar{M}_1 \right) \oplus \left(\bigoplus_1^{m_2} \bar{M}_2 \right) \oplus \cdots \oplus \left(\bigoplus_1^{m_k} \bar{M}_k \right)$$

such that $\bar{M}_i \not\cong \bar{M}_j$ if $i \neq j$. If $c \in \bar{\mathbf{C}}_\ell$, then \bar{M}_i and $c(\bar{M}_i)$ are isomorphic representations of $\bar{\mathbf{S}}_\ell$ for all i . Hence, c is invariant on $\bigoplus_1^{m_i} \bar{M}_i$ and $\bigoplus_1^{m_i} \bar{M}_i$ is a subrepresentation of $\bar{\mathbf{G}}_\ell$ on \bar{V}_ℓ for all i . Let n_i be the dimension of \bar{M}_i . Denote the representation of $\bar{\mathbf{S}}_\ell$ on \bar{M}_i under some coordinates by

$$u_i : \bar{\mathbf{S}}_\ell \rightarrow \text{GL}_{n_i}(\bar{\mathbb{F}}_\ell).$$

Then, the representation of $\bar{\mathbf{G}}_\ell$ on $\bigoplus_1^{m_i} \bar{M}_i$ is given by:

$$q_i : \bar{\mathbf{G}}_\ell \rightarrow \text{GL}_{n_i m_i}(\bar{\mathbb{F}}_\ell)$$

so that when restricting to $\bar{\mathbf{S}}_\ell$, the action is “diagonal”

$$q_i : \bar{\mathbf{S}}_\ell \xrightarrow{u_i} \text{GL}_{n_i}(\bar{\mathbb{F}}_\ell) \rightarrow \bigoplus_1^{m_i} \text{GL}_{n_i}(\bar{\mathbb{F}}_\ell) \subset \text{GL}_{n_i m_i}(\bar{\mathbb{F}}_\ell)$$

$$x \mapsto u_i(x) \mapsto (u_i(x), \dots, u_i(x)).$$

Since u_i is a irreducible representation and $q_i(c)$ commutes with $q_i(\bar{\mathbf{S}}_\ell)$, $q_i(c)$ is contained in the subgroup

$$\bar{\mathbf{H}}_i = \begin{pmatrix} \bar{\mathbf{D}}_{11} & \bar{\mathbf{D}}_{12} & \cdots & \bar{\mathbf{D}}_{1m_i} \\ \bar{\mathbf{D}}_{21} & \bar{\mathbf{D}}_{22} & \cdots & \bar{\mathbf{D}}_{2m_i} \\ \vdots & \vdots & \ddots & \vdots \\ \bar{\mathbf{D}}_{m_i 1} & \bar{\mathbf{D}}_{m_i 2} & \cdots & \bar{\mathbf{D}}_{m_i m_i} \end{pmatrix},$$

where $\bar{\mathbf{D}}_{jk}$ is the subgroup of scalars of $\text{GL}_{n_i}(\bar{\mathbb{F}}_\ell)$ for all $1 \leq j \leq m_i$, $1 \leq k \leq m_i$. We see that $\bar{\mathbf{H}}_i$ is isomorphic to $\text{GL}_{m_i}(\bar{\mathbb{F}}_\ell)$. Since $q_i(\bar{\mathbf{C}}_\ell)$ is a diagonalizable group which commutes with $q_i(\bar{\mathbf{S}}_\ell)$ and $q_i|_{\bar{\mathbf{S}}_\ell}$ is “diagonal”, we may assume $q_i(\bar{\mathbf{C}}_\ell)$ is contained in the following torus

$\bar{\mathbf{D}}_i$ for all i

$$\bar{\mathbf{D}}_i = \begin{pmatrix} \bar{\mathbf{D}}_{11} & 0 & \dots & 0 \\ 0 & \bar{\mathbf{D}}_{22} & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & \bar{\mathbf{D}}_{m_i m_i} \end{pmatrix}$$

after a change of coordinates by some element in $\bar{\mathbf{H}}_i \cong \mathrm{GL}_{m_i}(\bar{\mathbb{F}}_\ell)$. Therefore, we may assume that $\bar{\mathbf{C}}_\ell$ is a subgroup of

$$\bar{\mathbf{B}}_\ell := \bar{\mathbf{D}}_1 \times \bar{\mathbf{D}}_2 \times \dots \times \bar{\mathbf{D}}_k \subset \mathrm{GL}_N(\bar{\mathbb{F}}_\ell).$$

in suitable coordinates. Torus $\bar{\mathbf{B}}_\ell$ centralizes $\bar{\mathbf{S}}_\ell$ implies $\bar{\mathbf{B}}_\ell \subset \bar{\mathbf{N}}_\ell$. Denote the restriction $t_\ell|_{\bar{\mathbf{B}}_\ell}$ by s_ℓ . Since $\bar{\mathbf{N}}_\ell$ acts on \bar{W}_ℓ , we have

$$s_\ell : \bar{\mathbf{B}}_\ell \rightarrow \mathrm{GL}_{W_\ell}.$$

We obtain $(s_\ell^{-1}(\bar{\mathbf{I}}_\ell))^\circ = \bar{\mathbf{C}}_\ell^\circ$ because $\mathrm{Ker}(s_\ell)$ is discrete. Consider the construction of U_ℓ from Theorem 2.4.1. This implies the exponents of characters of s_ℓ on $\bar{\mathbf{D}}_i \cong \prod_1^{m_i} \bar{\mathbb{F}}_\ell^*$ are between 0 and c_3 for all i . By Theorem 2.4.2(i) and above, the diagonalizable groups $\{s_\ell^{-1}(\bar{\mathbf{I}}_\ell)\}_{\ell \gg 1}$ satisfies the bounded exponents condition in Definition 4'. Hence, $\{\bar{\mathbf{C}}_\ell^\circ = (s_\ell^{-1}(\bar{\mathbf{I}}_\ell))^\circ \hookrightarrow \bar{\mathbf{B}}_\ell \hookrightarrow \mathrm{GL}_{V_\ell}\}_{\ell \gg 1}$ have bounded formal characters. Since $\{\bar{\mathbf{C}}_\ell \hookrightarrow \mathrm{GL}_{N, \mathbb{F}_\ell}\}_{\ell \gg 1}$ and $\{\bar{\mathbf{S}}_\ell \hookrightarrow \mathrm{GL}_{N, \mathbb{F}_\ell}\}_{\ell \gg 1}$ both have bounded formal characters and $\bar{\mathbf{C}}_\ell^\circ$ commutes with $\bar{\mathbf{S}}_\ell$ for $\ell \gg 1$, $\{\bar{\mathbf{G}}_\ell = \bar{\mathbf{C}}_\ell^\circ \cdot \bar{\mathbf{S}}_\ell \hookrightarrow \mathrm{GL}_{N, \mathbb{F}_\ell}\}_{\ell \gg 1}$ have bounded formal characters by Proposition 2.0.4. This prove Theorem 2.0.5(iii). \square

3. ℓ -INDEPENDENCE OF $\bar{\Gamma}_\ell$

3.1. Formal character of $\bar{\mathbf{G}}_\ell \subset \mathrm{GL}_{N, \mathbb{F}_\ell}$. A system of algebraic envelopes $\{\bar{\mathbf{G}}_\ell\}_{\ell \gg 1}$ of $\{\bar{\Gamma}_\ell\}_{\ell \gg 1}$ (Definition 5) are constructed in §2.5. Let \mathbf{G}_ℓ be the algebraic monodromy group of Φ_ℓ^{ss} for all ℓ . The compatibility (Definition 2) of the system $\{\phi_\ell\}$ implies that the formal characters of $\{\bar{\mathbf{G}}_\ell \hookrightarrow \mathrm{GL}_{N, \mathbb{F}_\ell}\}_{\ell \gg 1} \cup \{\mathbf{G}_\ell \hookrightarrow \mathrm{GL}_{N, \mathbb{Q}_\ell}\}_{\ell \gg 1}$ are the same in the sense of Definition 3'.

Theorem 3.1.1. *Let $\{\bar{\mathbf{G}}_\ell\}_{\ell \gg 1}$ be a system of algebraic envelopes of $\{\bar{\Gamma}_\ell\}_{\ell \gg 1}$ (Definition 5).*

- (i) *The formal characters of $\bar{\mathbf{G}}_\ell \hookrightarrow \mathrm{GL}_{N, \mathbb{F}_\ell}$ and $\mathbf{G}_\ell \hookrightarrow \mathrm{GL}_{N, \mathbb{Q}_\ell}$ are the same for $\ell \gg 1$.*
- (ii) *The formal characters of $\{\bar{\mathbf{G}}_\ell \hookrightarrow \mathrm{GL}_{N, \mathbb{F}_\ell}\}_{\ell \gg 1}$ are the same.*

Proof. The mod ℓ system $\{\phi_\ell : \mathrm{Gal}_K \rightarrow \mathrm{GL}_N(\mathbb{F}_\ell)\}$ comes from the ℓ -adic system $\{\Phi_\ell^{\mathrm{ss}} : \mathrm{Gal}_K \rightarrow \mathrm{GL}_N(\mathbb{Q}_\ell)\}$ (Definition 1). The algebraic monodromy group \mathbf{G}_ℓ is reductive for all ℓ . By taking a finite extension K^{conn} of K [Se81], we may assume \mathbf{G}_ℓ is connected for all ℓ . This does

not change the formal character of $\mathbf{G}_\ell \hookrightarrow \mathrm{GL}_{N, \mathbb{Q}_\ell}$. It is well known that these algebraic monodromy groups have same reductive rank r . Define

$$\mathrm{Char} : \mathrm{GL}_N \rightarrow \mathbb{G}_a^{N-1} \times \mathbb{G}_m$$

that maps a matrix to the coefficients of its characteristic polynomial. We know that $\mathrm{Char}(\mathbf{G}_\ell)$ is a \mathbb{Q} -variety of dimension r that is independent of ℓ (by the compatibility conditions) and can be defined over $\mathbb{Z}[\frac{1}{N'}]$ for some positive integer N' that is sufficiently divisible. Let $\mathbf{P}_{\mathbb{Z}[\frac{1}{N'}]}$ be the Zariski closure of $\mathrm{Char}(\mathbf{G}_\ell)$ in the projective $\mathbb{P}_{\mathbb{Z}[\frac{1}{N'}]}^N$. Since ϕ_ℓ is continuous, every element of $\bar{\Gamma}_\ell$ is the image of a Frobenius element. Therefore, $\mathrm{Char}(\bar{\Gamma}_\ell)$ is a subset of the \mathbb{F}_ℓ -rational points of $\mathbf{P}_{\mathbb{F}_\ell} := \mathbf{P}_{\mathbb{Z}[\frac{1}{N'}]} \times_{\mathbb{Z}} \mathbb{F}_\ell$ for $\ell \gg 1$.

Generic flatness [DG65, Theorem 6.9.1] implies $\mathbf{P}_{\mathbb{Z}[\frac{1}{N'}]}$ is flat over $\mathbb{Z}[\frac{1}{N'}]$ for sufficiently divisible N' , so the dimension of every irreducible component of $\mathbf{P}_{\mathbb{Z}[\frac{1}{N'}]}$ is $r + 1$ [Ha77, Chapter 3 Proposition 9.5] and hence the dimension of every irreducible component of $\mathbf{P}_{\mathbb{F}_\ell}$ is r [Ha77, Chapter 3 Corollary 9.6] for $\ell \gg 1$. Also, the Hilbert polynomial of $\mathbf{P}_{\mathbb{F}_\ell}$ and in particular the *degree* (let it be d) of $\mathbf{P}_{\mathbb{F}_\ell} \subset \mathbb{P}_{\mathbb{F}_\ell}^N$ is independent of ℓ for $\ell \gg 1$ [Ha77, Chapter 3 Theorem 9.9]. Since d is a positive integer, we conclude that the number and degrees of irreducible components of $\mathbf{P}_{\mathbb{F}_\ell}$ are bounded by d [Ha77, Chapter 1 Proposition 7.6(a),(b)]. By [LW54, Theorem 1] and above, we have

$$|\mathbf{P}_{\mathbb{F}_\ell}(\mathbb{F}_\ell)| \leq 3d \cdot \ell^r$$

for $\ell \gg 1$. Let $\bar{\mathbf{T}}_\ell$ be a \mathbb{F}_ℓ -maximal torus of $\bar{\mathbf{G}}_\ell$. [No87, Lemma 3.5] implies $\bar{\mathbf{T}}_\ell$ has at least $(\ell - 1)^{\dim(\bar{\mathbf{T}}_\ell)}$ \mathbb{F}_ℓ -rational points. By Theorem 2.0.5 (i), there is an integer $n > 0$ such that the n th power of $\bar{\mathbf{T}}_\ell(\mathbb{F}_\ell)$ is contained in $\bar{\gamma}_\ell$ for $\ell \gg 1$. One sees by diagonalizing $\bar{\mathbf{T}}_\ell$ in $\mathrm{GL}_{N, \bar{\mathbb{F}}_\ell}$ that the order of the kernel of this n th power homomorphism is less than or equal to n^N . Hence, we obtain

$$|\bar{\mathbf{T}}_\ell(\mathbb{F}_\ell) \cap \bar{\gamma}_\ell| \geq \frac{(\ell - 1)^{\dim(\bar{\mathbf{T}}_\ell)}}{n^N}.$$

Also, morphism Char restricted to any maximal torus of GL_N is finite morphism of degree $N!$. Therefore, there is a constant $c > 0$ such that

$$c \cdot \ell^{\dim(\bar{\mathbf{T}}_\ell)} \leq |\mathrm{Char}(\bar{\mathbf{T}}_\ell(\mathbb{F}_\ell) \cap \bar{\gamma}_\ell)| \leq |\mathrm{Char}(\bar{\gamma}_\ell)| \leq |\mathbf{P}_{\mathbb{F}_\ell}(\mathbb{F}_\ell)| \leq 3d \cdot \ell^r$$

for $\ell \gg 1$. This implies $\dim(\bar{\mathbf{T}}_\ell) \leq r$ for $\ell \gg 1$.

On the other hand, we find for each $\ell \gg 1$ a set R_ℓ of characters of \mathbb{G}_m^N of exponents bounded by $C > 0$ such that $\bar{\mathbf{T}}_\ell$ is conjugate in $\mathrm{GL}_{N, \bar{\mathbb{F}}_\ell}$ to the kernel of R_ℓ by Theorem 2.0.5(iii) and Definition 4'. Let \mathcal{L} be an infinite subset of prime numbers \mathcal{P} such that for all $\ell, \ell' \in \mathcal{L}$,

we have equality $R_\ell = R_{\ell'}$. Denote this common set of characters by R and define $\mathbf{Y}_{\mathbb{C}} = \{y \in \mathbb{G}_{m,\mathbb{C}}^N : \chi(y) = 1 \ \forall \chi \in R\}$ so that $\dim_{\mathbb{C}} \mathbf{Y}_{\mathbb{C}} = \dim_{\mathbb{F}_\ell} \bar{\mathbf{T}}_\ell$ for all $\ell \in \mathcal{L}$. If \bar{v} divides $v \in \Sigma_K \setminus S_\ell$ (S_ℓ in Definition 2), then the characteristic polynomial of $\phi_\ell(\text{Frob}_{\bar{v}})$ is just the mod ℓ reduction of the characteristic polynomial of $\Phi_\ell^{\text{ss}}(\text{Frob}_{\bar{v}}) = P_v(x) \in \mathbb{Q}[x]$ which depends only on v (Definition 2). Therefore, for each $v \notin S$ (Definition 2), we can put the roots of $P_v(x)$ in some order $\alpha_1, \alpha_2, \dots, \alpha_N$ such that the following congruence equation holds:

$$\alpha_1^{m_1} \alpha_2^{m_2} \cdots \alpha_N^{m_N} \equiv 1 \pmod{\ell'}$$

for any character $x_1^{m_1} x_2^{m_2} \cdots x_N^{m_N} \in R$ and

$$\ell' \in \mathcal{L}_v := \mathcal{L} \setminus \{\ell'' \in \mathcal{P} : \exists v' \in S_\ell \text{ s.t. } v' | \ell''\}$$

if $v | \ell$. Since $\alpha_1^{m_1} \alpha_2^{m_2} \cdots \alpha_N^{m_N}$ is an algebraic number and \mathcal{L}_v consists of infinitely many primes, we obtain equality

$$\alpha_1^{m_1} \alpha_2^{m_2} \cdots \alpha_N^{m_N} = 1$$

for any character $x_1^{m_1} x_2^{m_2} \cdots x_N^{m_N} \in R$. Therefore,

$$(\text{Char}|_{\mathbb{G}_m^N})^{-1}(\{P_v(x) : v \in \Sigma_K \setminus S\}) \subset \bigcup_{g \in \text{Perm}(N)} g(\mathbf{Y}_{\mathbb{C}}),$$

where $\text{Perm}(N)$ is the group of permutations of N letters permuting the coordinates. Since $\{P_v(x) : v \in \Sigma_K \setminus S\}$ is Zariski dense in $\text{Char}(\mathbf{G}_\ell)$ of dimension r and $\text{Char}|_{\mathbb{G}_m^N}$ is a finite morphism of degree $N!$, the Zariski closure of $(\text{Char}|_{\mathbb{G}_m^N})^{-1}(\{P_v(x) : v \in \Sigma_K \setminus S\})$ in $\mathbb{G}_{m,\mathbb{C}}^N$ denoted by $\mathbf{D}_{\mathbb{C}}$ is also of dimension r . Since we have obtained $\dim(\bar{\mathbf{T}}_\ell) \leq r$ at the end of the second paragraph and any maximal torus of the algebraic monodromy group \mathbf{G}_ℓ is conjugate in $\text{GL}_{N,\mathbb{C}}$ to an irreducible component of $\mathbf{D}_{\mathbb{C}}$ [Se81], the inclusion

$$\mathbf{D}_{\mathbb{C}} \subset \bigcup_{g \in \text{Perm}(N)} g(\mathbf{Y}_{\mathbb{C}})$$

implies the formal characters of $\bar{\mathbf{G}}_\ell \hookrightarrow \text{GL}_{N,\mathbb{F}_\ell}$ and $\mathbf{G}_\ell \hookrightarrow \text{GL}_{N,\mathbb{Q}_\ell}$ are the same in the sense of Definition 3' for all $\ell \in \mathcal{L}$. There are only finitely many possibilities for R_ℓ by Remark 2.0.2 and Proposition 2.0.3. By excluding the primes ℓ such that R_ℓ appears finitely many times, we conclude that the formal characters of $\bar{\mathbf{G}}_\ell \hookrightarrow \text{GL}_{N,\mathbb{F}_\ell}$ and $\mathbf{G}_\ell \hookrightarrow \text{GL}_{N,\mathbb{Q}_\ell}$ are the same for $\ell \gg 1$. This proves (i) and hence (ii) since formal character of $\mathbf{G}_\ell \hookrightarrow \text{GL}_{N,\mathbb{Q}_\ell}$ is independent of ℓ [Se81]. \square

3.2. Formal character of $\bar{\mathbf{S}}_\ell \subset \mathrm{GL}_{N, \mathbb{F}_\ell}$. We make the following assumptions for this subsection.

Assumptions: By taking a field extension of K , we may assume

- (i) \mathbf{G}_ℓ , the algebraic monodromy group of Φ_ℓ^{ss} is connected for all ℓ (see [Se81]),
- (ii) $\bar{\Omega}_\ell := \mu_\ell(\bar{\Gamma}_\ell)$ corresponds to an abelian extension of K that is unramified at all primes not dividing ℓ for all ℓ (see the first paragraph of the proof of Theorem 2.4.2).

Theorem 3.2.1 below is the main result in this subsection. Denote a finite extension of K by K' . Since $\bar{\mathbf{S}}_\ell$ is independent of K' over K for $\ell \gg 1$ by Remark 2.1.3, the assumptions above remain valid for K' , and $\{\mathbf{G}_\ell\}_{\ell \gg 1}$ constructed in §2.5 are still algebraic envelopes of $\{\phi_\ell(\mathrm{Gal}_{K'})\}_{\ell \gg 1}$, we are free to replace K by K' in this subsection.

Theorem 3.2.1. *Let $\bar{\mathbf{S}}_\ell \subset \mathrm{GL}_{N, \mathbb{F}_\ell}$ be the semisimple envelope of $\bar{\Gamma}_\ell$ (Definition 6) for all $\ell \gg 1$.*

- (i) *The formal character of $\bar{\mathbf{S}}_\ell \hookrightarrow \mathrm{GL}_{N, \mathbb{F}_\ell}$ is equal to the formal character of $\mathbf{G}_\ell^{\mathrm{der}} \hookrightarrow \mathrm{GL}_{N, \mathbb{Q}_\ell}$ for $\ell \gg 1$, where $\mathbf{G}_\ell^{\mathrm{der}}$ is the derived group of the algebraic monodromy group \mathbf{G}_ℓ of Φ_ℓ^{ss} .*
- (ii) *The formal character of $\bar{\mathbf{S}}_\ell \hookrightarrow \mathrm{GL}_{N, \mathbb{F}_\ell}$ is independent of ℓ if $\ell \gg 1$.*

In [Hu13, §3], we used mainly abelian ℓ -adic representations to prove that the formal character of $\mathbf{G}_\ell^{\mathrm{der}} \hookrightarrow \mathrm{GL}_{N, \mathbb{Q}_\ell}$ is independent of ℓ . To prove Theorem 3.2.1, we adopt this strategy in a mod ℓ fashion. The key point is to prove that the inertia characters of μ_ℓ (Definition 9) for $\ell \gg 1$ are in some sense the mod ℓ reduction of inertia characters of some Serre group \mathbf{S}_m [Se98, Chapter 2] (Proposition 3.2.4).

Definition 12. For each prime $\ell \in \mathcal{P}$, choose a valuation \bar{v}_ℓ of $\bar{\mathbb{Q}}$ that extends the ℓ -adic valuation of \mathbb{Q} . This valuation on $\bar{\mathbb{Q}}$ is equal to the restriction of the unique non-Archimedean valuation on $\bar{\mathbb{Q}}_\ell$ (extending the ℓ -adic valuation on \mathbb{Q}_ℓ) to $\bar{\mathbb{Q}}$ with respect to some embedding $\bar{\mathbb{Q}} \hookrightarrow \bar{\mathbb{Q}}_\ell$. Denote also this valuation on $\bar{\mathbb{Q}}_\ell$ by \bar{v}_ℓ . Define the following notation.

- $\mathrm{Gal}_K^{\mathrm{ab}}$: the Galois group of the maximal abelian extension of K ,
- I_K : the group of idèles of K ,
- $(x_v)_{v \in \Sigma_K}$: a representation of a finite idèle,
- K_v : the completion of K with respect to $v \in \Sigma_K$,
- U_v : the unit group of K_v^* ,
- k_v : the residue field of K_v ,
- \mathfrak{m}_0 : the modulus of empty support,

- $U_{\mathfrak{m}_0} := \prod_v U_v$,
- $K_\ell := \prod_{v|\ell} K_v = K \otimes \mathbb{Q}_\ell$,
- $\bar{\mathbb{Z}}_\ell$: the valuation ring of \bar{v}_ℓ ,
- \mathfrak{p}_ℓ : the maximal ideal of \bar{v}_ℓ ,
- k_ℓ : the residue field of \bar{v}_ℓ ,
- $x_\ell := (x_v)_{v|\ell}$.

Let $\sigma : K \rightarrow \bar{\mathbb{Q}}$ be an embedding of K in $\bar{\mathbb{Q}}$. The composition of σ with $\bar{\mathbb{Q}} \hookrightarrow \bar{\mathbb{Q}}_\ell$ extends to a \mathbb{Q}_ℓ -algebra homomorphism $\sigma_\ell : K_\ell \rightarrow \bar{\mathbb{Q}}_\ell$.

Remark 3.2.2. The field k_ℓ is an algebraic closure of \mathbb{F}_ℓ and homomorphism σ_ℓ is trivial on the components K_v of K_ℓ when v is not equivalent to $\bar{v}_\ell \circ \sigma$.

Recall representation $\mu_\ell : \text{Gal}_K \rightarrow \text{GL}(W_\ell)$ (abelian by Assumption (ii)) from Definition 9. Thus, μ_ℓ induces ρ_ℓ below for each ℓ by composing with $I_K \rightarrow \text{Gal}_K^{\text{ab}}$:

$$\rho_\ell : I_K \rightarrow \text{GL}(W_\ell).$$

Proposition 3.2.3. *If $\chi_\ell : I_K \rightarrow \bar{\mathbb{F}}_\ell^*$ is a character of ρ_ℓ for $\ell \gg 1$, then for all finite idèle $x \in U_{\mathfrak{m}_0}$ we have the congruence*

$$\chi_\ell(x) \equiv \prod_{\sigma \in \text{Hom}(K, \bar{\mathbb{Q}})} \sigma_\ell(x_\ell^{-1})^{m(\sigma, \ell)} \pmod{\mathfrak{p}_\ell}$$

such that $0 \leq m(\sigma, \ell) \leq c_6$.

Proof. Since $|\bar{\Omega}_\ell|$ is prime to ℓ , the following homomorphism

$$U_v \hookrightarrow K_v^* \rightarrow I_K \xrightarrow{\rho_\ell} \text{GL}(W_\ell)$$

factors through $\alpha_v : k_v^* \rightarrow \text{GL}(W_\ell)$ for all $v|\ell$. On the other hand, let $\bar{v} \in \Sigma_{\bar{K}}$ divide ℓ . Since $\bar{\Omega}_\ell$ is abelian and of order prime to ℓ , the restriction of $\mu_\ell : \text{Gal}_K \rightarrow \text{GL}(W_\ell)$ to $I_{\bar{v}}$ factors through

$$I_{\bar{v}} \rightarrow I_{\bar{v}}^t \xrightarrow{\cong} \varprojlim \mathbb{F}_{\ell^k}^* \rightarrow k_v^*$$

and induces $\beta_v : k_v^* \rightarrow \text{GL}(W_\ell)$ that depends on $v = \bar{v}|_{\bar{K}}$. By [Se72, Proposition 3], α_v and β_v are inverse of each other. Since $f_{\bar{v}}$ (Definition 10) factors through β_v and the exponents of any character of $f_{\bar{v}}$ when expressed as a ℓ -restricted (Definition 8) product of fundamental characters of level $c_4!$ are bounded by c_6 for $\ell \gg 1$ (§2.4), the exponents of χ_ℓ when expressed as a ℓ -restricted product of fundamental characters of level $[k_v : \mathbb{F}_\ell]$ are also bounded by c_6 for $\ell \gg 1$. Since ρ_ℓ is unramified at all v not dividing ℓ , ρ_ℓ is trivial on subgroup $\prod_{v \nmid \ell} U_v$ of $U_{\mathfrak{m}_0} := \prod_v U_v$. Therefore, we conclude the congruence for $\ell \gg 1$. \square

Definition 13. Let \mathbf{S}_m be the Serre group of K with modulus m [Se98, Chapter 2] and $\Theta : \mathbf{S}_m \rightarrow \mathbb{G}_{m, \bar{\mathbb{Q}}_\ell}$ a character of \mathbf{S}_m over $\bar{\mathbb{Q}}_\ell$. Since the image of the abelian representation Θ_ℓ attached to Θ [Se98, Chapter 2]

$$\Theta_\ell : \text{Gal}_K^{\text{ab}} \rightarrow \mathbf{S}_m(\mathbb{Q}_\ell) \xrightarrow{\Theta} \bar{\mathbb{Q}}_\ell^*$$

is contained in $\bar{\mathbb{Z}}_\ell^*$, define

$$\theta_\ell : I_K \rightarrow k_\ell^* \cong \bar{\mathbb{F}}_\ell^*$$

as the mod \mathfrak{p}_ℓ reduction of the composition of $I_K \rightarrow \text{Gal}_K^{\text{ab}}$ with Θ_ℓ .

Proposition 3.2.4. *Let χ_ℓ be a character of ρ_ℓ as above. If ℓ is sufficiently large, then there is a character Θ of \mathbf{S}_{m_0} such that*

$$\chi_\ell(x) = \theta_\ell(x)$$

for all $x \in U_{m_0}$, where θ_ℓ is defined in Definition 13.

Proof. Since $0 \leq m(\sigma, \ell) \leq c_6$ for all $\sigma \in \text{Hom}(K, \bar{\mathbb{Q}})$ and $\ell \gg 1$ by Proposition 3.2.3, the proposition follows by the proof of [Se72, Proposition 20]. \square

Let $\Psi : \mathbf{S}_{m_0} \rightarrow \text{GL}_{n, \mathbb{Q}}$ be a \mathbb{Q} -morphism of the Serre group \mathbf{S}_{m_0} with finite kernel. Then Ψ induces a strictly compatible system $\{\Psi_\ell\}_{\ell \in \mathcal{P}}$ of abelian ℓ -adic representations of Gal_K [Se98, Chapter 2] with $S = \emptyset$ (Definition 2):

$$\Psi_\ell : \text{Gal}_K \rightarrow \text{Gal}_K^{\text{ab}} \rightarrow \text{GL}_n(\mathbb{Q}_\ell).$$

We may assume $\{\Psi_\ell\}$ is integral [Se98, Chapter 2 §3.4] by twisting $\{\Psi_\ell\}$ with suitable big power of the system of cyclotomic characters.

Proposition 3.2.5. *Given Ψ and $\{\Psi_\ell\}_{\ell \in \mathcal{P}}$ as above.*

- (i) *The subgroup generated by the characters of Ψ is of finite index in the character group of \mathbf{S}_{m_0} . Denote this index by k .*
- (ii) *For any ℓ and character θ_ℓ of I_K induced from a character Θ of \mathbf{S}_{m_0} in Definition 13, we obtain the following congruence for all $x \in U_{m_0} \subset I_K$*

$$\theta_\ell(x) \equiv \prod_{\sigma \in \text{Hom}(K, \bar{\mathbb{Q}})} \sigma_\ell(x_\ell^{-1})^{m(\sigma)} \pmod{\mathfrak{p}_\ell}.$$

such that $m(\sigma) \geq 0$ for all σ .

Proof. Part (i) follows by Ψ is an isogeny from \mathbf{S}_{m_0} onto $\Psi(\mathbf{S}_{m_0})$. Part (ii) follows by the integrality of the system $\{\Psi_\ell\}$ and the theory of abelian ℓ -adic representations [Se98, Chapter 2,3]. \square

Denote the semi-simplification of some mod ℓ reduction of Ψ_ℓ by ψ_ℓ for all ℓ . Consider the following strictly compatible system of ℓ -adic representations

$$\{\Phi_\ell \times \Psi_\ell : \text{Gal}_K \rightarrow \text{GL}_N(\mathbb{Q}_\ell) \times \text{GL}_n(\mathbb{Q}_\ell)\}_{\ell \in \mathcal{P}}.$$

The semi-simplification of some mod ℓ reduction of $\{\Phi_\ell \times \Psi_\ell\}_{\ell \in \mathcal{P}}$:

$$\{\phi_\ell \times \psi_\ell : \text{Gal}_K \rightarrow \text{GL}_N(\mathbb{F}_\ell) \times \text{GL}_n(\mathbb{F}_\ell)\}_{\ell \in \mathcal{P}}$$

is then a strictly compatible system of mod ℓ representations (Definition 2). Denote the image of $\phi_\ell \times \psi_\ell$ by $\bar{\Gamma}'_\ell$. Let $\bar{v} \in \Sigma_{\bar{K}}$ divide ℓ . When we restrict $\phi_\ell \times \psi_\ell$ to inertia subgroup $I_{\bar{v}}$ of Gal_K and then semi-simplify, the exponents of characters of tame inertia quotient $I_{\bar{v}}^t$ for some level are bounded independent of ℓ by §2.3, Proposition 3.2.5(ii), and [Se72, Proposition 3]. Therefore, we can construct as in §2 semisimple envelopes $\{\bar{\mathbf{S}}'_\ell\}_{\ell \gg 1}$ (Definition 6), inertia tori $\{\bar{\mathbf{I}}'_\ell\}_{\ell \gg 1}$ (Theorem 2.4.2), and algebraic envelopes $\{\bar{\mathbf{G}}'_\ell\}_{\ell \gg 1}$ (Definition 5) of $\{\bar{\Gamma}'_\ell\}_{\ell \gg 1}$.

Since ψ_ℓ is semisimple and abelian, we see that Nori's construction gives $\bar{\mathbf{S}}'_\ell = \bar{\mathbf{S}}_\ell \times \{1\} \subset \text{GL}_{N, \mathbb{F}_\ell} \times \text{GL}_{n, \mathbb{F}_\ell}$. The normalizer of $\bar{\mathbf{S}}_\ell \times \{1\}$ in $\text{GL}_{N, \mathbb{F}_\ell} \times \text{GL}_{n, \mathbb{F}_\ell}$ is $\bar{\mathbf{N}}_\ell \times \text{GL}_{n, \mathbb{F}_\ell}$. We have

$$t_\ell \times \text{id} : \bar{\mathbf{N}}_\ell \times \text{GL}_{n, \mathbb{F}_\ell} \rightarrow \text{GL}_{W_\ell} \times \text{GL}_{n, \mathbb{F}_\ell}$$

with kernel $\bar{\mathbf{S}}_\ell \times \{1\}$. Therefore, we obtain a map

$$\mu_\ell \times \psi_\ell : \text{Gal}_K^{\text{ab}} \rightarrow \text{GL}(W_\ell) \times \text{GL}_n(\mathbb{F}_\ell)$$

with image denoted by $\bar{\Omega}'_\ell$. As $\bar{\Omega}'_\ell$ is abelian, denote the composition of μ_ℓ and ψ_ℓ with $I_K \rightarrow \text{Gal}_K^{\text{ab}}$ by $\tilde{\mu}_\ell$ and $\tilde{\psi}_\ell$ for all ℓ . By (*) in the proof of Theorem 2.4.2 and [Ne99, Proposition 9.5], we assume by taking a finite extension of K that

$$(**) : (\tilde{\mu}_\ell \times \tilde{\psi}_\ell) \left(\prod_{v|\ell} U_v \right) = \bar{\Omega}'_\ell \quad \forall \ell \gg 1.$$

Proposition 3.2.6. *Let $p_2 : \text{GL}_{W_\ell} \times \text{GL}_{n, \mathbb{F}_\ell}$ be the projection to the second factor. Then p_2 is an isogeny from $\bar{\mathbf{I}}'_\ell$ onto $p_2(\bar{\mathbf{I}}'_\ell)$ for $\ell \gg 1$.*

Proof. Let $(x, 1) \in \text{GL}_{W_\ell} \times \text{GL}_{n, \mathbb{F}_\ell}$ be an element of $\bar{\Omega}'_\ell \cap \text{Ker}(p_2)$, where $(x, 1) = (\tilde{\mu}_\ell \times \tilde{\psi}_\ell)(x_\ell)$ for some $x_\ell \in \prod_{v|\ell} U_v$ (Definition 12) by (**) above. Since $\Psi : \mathbf{S}_{\mathbf{m}_0} \rightarrow \text{GL}_{n, \mathbb{Q}}$ has finite kernel and $\tilde{\mu}_\ell \times \tilde{\psi}_\ell$ is abelian and semisimple, we have $x^k = 1$ for $\ell \gg 1$ by $1 = \tilde{\psi}_\ell(x_\ell)$, Proposition 3.2.4, and Proposition 3.2.5(i). Since $\bar{\Omega}'_\ell$ is abelian of order prime to ℓ , $x^k = 1$ implies x has at most $k^{\dim(W_\ell)}$ possibilities (by diagonalizing the image of $\tilde{\mu}_\ell$) which implies

$$|\bar{\Omega}'_\ell \cap \text{Ker}(p_2)| \leq k^{\dim(W_\ell)}.$$

Therefore, the \mathbb{F}_ℓ -diagonalizable group $\text{Ker}(p_2) \cap \bar{\mathbf{I}}'_\ell$ cannot have positive dimension for $\ell \gg 1$ because $[\bar{\mathbf{I}}'_\ell(\mathbb{F}_\ell) : \bar{\Omega}'_\ell \cap \bar{\mathbf{I}}'_\ell(\mathbb{F}_\ell)]$ is also uniformly bounded by Theorem 2.4.2(ii). Thus, p_2 is an isogeny from $\bar{\mathbf{I}}'_\ell$ onto $p_2(\bar{\mathbf{I}}'_\ell)$. \square

Proof of Theorem 3.2.1.

Proof. The mod ℓ system

$$\{\phi_\ell \times \psi_\ell : \text{Gal}_K \rightarrow \text{GL}_N(\mathbb{F}_\ell) \times \text{GL}_n(\mathbb{F}_\ell)\}$$

comes from the ℓ -adic system (i.e., the semi-simplification of a mod ℓ reduction)

$$\{\Phi_\ell^{\text{ss}} \times \Psi_\ell : \text{Gal}_K \rightarrow \text{GL}_N(\mathbb{Q}_\ell) \times \text{GL}_n(\mathbb{Q}_\ell)\}.$$

Let \mathbf{G}'_ℓ be the algebraic monodromy group of semisimple representation $\Phi_\ell^{\text{ss}} \times \Psi_\ell$ for all ℓ . Thus, \mathbf{G}'_ℓ is reductive and we may assume \mathbf{G}'_ℓ is connected for all ℓ by taking a finite extension of K . Denote the projection to the first and second factor of $\text{GL}_N \times \text{GL}_n$ by respectively p_1 and p_2 . Consider the map

$$\text{Char}_1 \times \text{Char}_2 : \text{GL}_N \times \text{GL}_n \rightarrow (\mathbb{G}_a^{N-1} \times \mathbb{G}_m) \times (\mathbb{G}_a^{n-1} \times \mathbb{G}_m)$$

where $\text{Char}_i = \text{Char} \circ p_i$, $i = 1, 2$. Note that the restriction of $\text{Char}_1 \times \text{Char}_2$ to $\mathbb{G}_m^N \times \mathbb{G}_m^n$ is a finite morphism. Let \mathbf{T}'_ℓ be a maximal torus of monodromy group \mathbf{G}'_ℓ and $\bar{\mathbf{T}}'_\ell$ a maximal torus of $\bar{\mathbf{G}}'_\ell$, the algebraic envelope of the mod ℓ representation $\phi_\ell \times \psi_\ell$. Up to conjugation by $\text{GL}_N \times \text{GL}_n$ (over algebraically closed fields), we may assume \mathbf{T}'_ℓ and $\bar{\mathbf{T}}'_\ell$ are diagonal (i.e., inside \mathbb{G}_m^{N+n}). We claim that up to permutation of coordinates by $\text{Perm}(N) \times \text{Perm}(n)$, \mathbf{T}'_ℓ and $\bar{\mathbf{T}}'_\ell$ are annihilated by the same set of characters of \mathbb{G}_m^{N+n} for all sufficiently large ℓ . The proof of the claim goes exactly the same as the proof of Theorem 3.1.1(i) with the following replacements:

- $\text{GL}_N \longrightarrow \text{GL}_N \times \text{GL}_n$
- Morphism $\text{Char} \longrightarrow$ morphism $\text{Char}_1 \times \text{Char}_2$
- \mathbb{Q} -variety $\text{Char}(\mathbf{G}_\ell) \longrightarrow$ \mathbb{Q} -variety $\text{Char}_1 \times \text{Char}_2(\mathbf{G}'_\ell)$
- $\text{Perm}(N) \longrightarrow \text{Perm}(N) \times \text{Perm}(n)$

Therefore, $\mathbf{T}''_\ell := \text{Ker}(p_2 : \mathbf{T}'_\ell \rightarrow p_2(\mathbf{T}'_\ell))^\circ$ and $\bar{\mathbf{T}}''_\ell := \text{Ker}(p_2 : \bar{\mathbf{T}}'_\ell \rightarrow p_2(\bar{\mathbf{T}}'_\ell))^\circ$ as subtori of \mathbb{G}_m^N are annihilated by the same set of characters for $\ell \gg 1$. Torus \mathbf{T}''_ℓ is the formal character of $\mathbf{G}_\ell^{\text{der}} \hookrightarrow \text{GL}_{N, \mathbb{Q}_\ell}$ [Hu13, proof of Theorem 3.19]. It suffices to show $\bar{\mathbf{T}}''_\ell$ is a maximal torus of $\bar{\mathbf{S}}_\ell$ for $\ell \gg 1$. Since the dimension of torus $\bar{\mathbf{I}}'_\ell$ is equal to the dimension of the center of algebraic envelope $\bar{\mathbf{G}}'_\ell$ for $\ell \gg 1$ (see §2.5) and p_2 is an

isogeny from $\bar{\mathbf{I}}'_\ell$ onto $p_2(\bar{\mathbf{I}}'_\ell)$ by Proposition 3.2.6 for $\ell \gg 1$, the identity component of the kernel of

$$p_2 : \bar{\mathbf{G}}'_\ell \rightarrow p_2(\bar{\mathbf{G}}'_\ell) = p_2(\bar{\mathbf{I}}'_\ell)$$

is $\bar{\mathbf{S}}'_\ell$ (the semisimple part of $\bar{\mathbf{G}}'_\ell$) for $\ell \gg 1$. Since $p_2(\bar{\mathbf{T}}'_\ell) = p_2(\bar{\mathbf{G}}'_\ell) = p_2(\bar{\mathbf{I}}'_\ell)$ for $\ell \gg 1$, $\bar{\mathbf{T}}''_\ell$ by construction is a maximal torus of $\bar{\mathbf{S}}'_\ell = \bar{\mathbf{S}}_\ell \times \{1\}$ for $\ell \gg 1$. Hence, the formal character of $\bar{\mathbf{S}}_\ell \hookrightarrow \mathrm{GL}_{N, \mathbb{F}_\ell}$ and $\mathbf{G}_\ell^{\mathrm{der}} \hookrightarrow \mathrm{GL}_{N, \mathbb{Q}_\ell}$ are the same for $\ell \gg 1$. This proves (i). Since the formal character of $\mathbf{G}_\ell^{\mathrm{der}} \hookrightarrow \mathrm{GL}_{N, \mathbb{Q}_\ell}$ is independent of ℓ [Hu13, Theorem 3.19], we obtain (ii) by (i). \square

3.3. Proofs of Theorem A and Corollary B. The following purely representation theoretic result is crucial to the study of Galois images $\bar{\Gamma}_\ell$ for $\ell \gg 1$.

Theorem 3.3.1. [Hu13, Theorem 2.19] *Let V be a finite dimensional \mathbb{C} -vector space and $\rho_1 : \mathfrak{g} \rightarrow \mathrm{End}(V)$ and $\rho_2 : \mathfrak{h} \rightarrow \mathrm{End}(V)$ are two faithful representations of complex semisimple Lie algebras. If the formal characters of ρ_1 and ρ_2 are equal, then the number of A_n factors for $n \in \mathbb{N} \setminus \{1, 2, 3, 4, 5, 7, 8\}$ and the parity of A_4 factors of \mathfrak{g} and \mathfrak{h} are equal.*

Theorem 3.3.2. *The number of $A_n = \mathfrak{sl}_{n+1}$ factors for $n \in \mathbb{N} \setminus \{1, 2, 3, 4, 5, 7, 8\}$ and the parity of A_4 factors of $\bar{\mathbf{S}}_\ell \times_{\mathbb{F}_\ell} \bar{\mathbb{F}}_\ell$ are independent of ℓ if $\ell \gg 1$.*

Proof. Let $\bar{\mathbf{S}}_\ell^{\mathrm{sc}} \rightarrow \bar{\mathbf{S}}_\ell$ be the simply connected cover of the semisimple $\bar{\mathbf{S}}_\ell$ for $\ell \gg 1$. Then the representation $(\bar{\mathbf{S}}_\ell^{\mathrm{sc}} \rightarrow \bar{\mathbf{S}}_\ell \hookrightarrow \mathrm{GL}_{N, \mathbb{F}_\ell}) \times \bar{\mathbb{F}}_\ell$ can be lifted to a representation of a simply connected Chevalley scheme $\mathbf{H}_{\ell, \mathbb{Z}}$ defined over \mathbb{Z} for $\ell \gg 1$ [EHK12, Theorem 24]

$$\pi_{\ell, \mathbb{Z}} : \mathbf{H}_{\ell, \mathbb{Z}} \rightarrow \mathrm{GL}_{N, \mathbb{Z}}$$

which is also a \mathbb{Z} -form of a representation of simply connected \mathbb{C} -semisimple group $\mathbf{H}_{\ell, \mathbb{C}}$ [St68a]

$$\pi_{\ell, \mathbb{C}} : \mathbf{H}_{\ell, \mathbb{C}} \rightarrow \mathrm{GL}_{N, \mathbb{C}}.$$

Hence, $\bar{\mathbf{S}}_\ell \subset \mathrm{GL}_{N, \mathbb{F}_\ell}$ and $\pi_{\ell, \mathbb{C}}(\mathbf{H}_{\ell, \mathbb{C}}) \subset \mathrm{GL}_{N, \mathbb{C}}$ have the same formal character for $\ell \gg 1$. This and Theorem 3.2.1 imply the formal character of $\pi_{\ell, \mathbb{C}}(\mathbf{H}_{\ell, \mathbb{C}}) \subset \mathrm{GL}_{N, \mathbb{C}}$ is independent of ℓ when ℓ is sufficiently large. This in turn implies the formal character of $\mathrm{Lie}(\pi_{\ell, \mathbb{C}}(\mathbf{H}_{\ell, \mathbb{C}})) \hookrightarrow \mathrm{End}(\mathbb{C}^N)$ (see [Hu13, §2.1]) is independent of ℓ when ℓ is sufficiently large. Therefore, the number of A_n factors for $n \in \mathbb{N} \setminus \{1, 2, 3, 4, 5, 7, 8\}$ and the parity of A_4 factors of $\pi_{\ell, \mathbb{C}}(\mathbf{H}_{\ell, \mathbb{C}})$ and hence $\mathbf{H}_{\ell, \mathbb{C}}$ (the homomorphism $\mathbf{H}_{\ell, \mathbb{C}} \rightarrow \pi_{\ell, \mathbb{C}}(\mathbf{H}_{\ell, \mathbb{C}})$ is an isogeny since $\bar{\mathbf{S}}_\ell^{\mathrm{sc}} \rightarrow \bar{\mathbf{S}}_\ell$ is an isogeny) are independent of ℓ for $\ell \gg 1$ by Theorem 3.3.1. Since the number of simple factors of each type of $\bar{\mathbf{S}}_\ell^{\mathrm{sc}} \times \bar{\mathbb{F}}_\ell$ and $\mathbf{H}_{\ell, \mathbb{C}}$ are equal, we are done. \square

Let \mathfrak{g} be a simple Lie type (e.g., $A_n, B_n, C_n, D_n, \dots$) and $\bar{\Gamma}$ a finite group. Suppose $\ell \geq 5$. We measure the number of \mathfrak{g} -type simple factors of characteristic ℓ and the total number of Lie type simple factors of characteristic ℓ in the set of composition factors of $\bar{\Gamma}$ in the following sense: Let \mathbb{F}_q be a finite field of characteristic ℓ , σ the Frobenius automorphism of $\mathbb{F}_q/\mathbb{F}_q$, and $\bar{\mathbf{G}}$ a connected \mathbb{F}_q -group which is almost simple over \mathbb{F}_q . The identification of $\bar{\mathbf{G}}_\sigma := \bar{\mathbf{G}}(\mathbb{F}_q)$ is related to \mathfrak{g} , the simple type of $\bar{\mathbf{G}} \times_{\mathbb{F}_q} \mathbb{F}_q$ [St68b, 11.6]:

Type of $\bar{\mathbf{G}}$	Composition factors of $\bar{\mathbf{G}}(\mathbb{F}_q)$
A_1	$A_1(q) = \text{PSL}_2(q) + \text{cyclic groups}$
$A_n \ (n \geq 2)$	$A_n(q)$ or ${}^2A_n(q^2) + \text{cyclic groups}$
$B_n \ (n \geq 2)$	$B_n(q) + \text{cyclic groups}$
$C_n \ (n \geq 3)$	$C_n(q) + \text{cyclic groups}$
D_4	$D_4(q)$ or ${}^2D_4(q^2)$ or ${}^3D_4(q^3) + \text{cyclic groups}$
$D_n \ (n \geq 5)$	$D_n(q)$ or ${}^2D_n(q^2) + \text{cyclic groups}$
E_6	$E_6(q)$ or ${}^2E_6(q^2) + \text{cyclic groups}$
E_7	$E_7(q) + \text{cyclic groups}$
E_8	$E_8(q) + \text{cyclic groups}$
F_4	$F_4(q) + \text{cyclic groups}$
G_2	$G_2(q) + \text{cyclic groups}$

$\bar{\mathbf{G}}(\mathbb{F}_q)$ has only one non-cyclic composition factor which is either a Chevalley group or a Steinberg group of type \mathfrak{g} . For example, the non-cyclic composition factor is $A_n(q)$ or ${}^2A_n(q^2)$ if $\mathfrak{g} = A_n$ and $n \geq 2$. For any semisimple algebraic group \mathbf{H}/F and complex semisimple Lie algebra \mathfrak{h} , denote by $\text{rk } \mathbf{H}$ and $\text{rk } \mathfrak{h}$ respectively the rank of \mathbf{H}/\bar{F} and the rank of \mathfrak{h} .

Definition 14. Suppose $\ell \geq 5$ is a prime number and $q = \ell^f$. Let $\bar{\Gamma}$ be a finite simple group of Lie type (of characteristic ℓ) in the above table and \mathfrak{g} the simple Lie type of the corresponding $\bar{\mathbf{G}}$. We define the *\mathfrak{g} -type ℓ -rank* of $\bar{\Gamma}$ to be

$$\text{rk}_\ell^{\mathfrak{g}} \bar{\Gamma} := \begin{cases} f \cdot \text{rk } \mathfrak{g} & \text{if } \bar{\Gamma} \text{ is associated with } \mathfrak{g} \text{ in the above table,} \\ 0 & \text{otherwise.} \end{cases}$$

For finite simple group $\bar{\Gamma}'$ not in the table, $\text{rk}_\ell^{\mathfrak{g}} \bar{\Gamma}'$ is defined to be 0 for any \mathfrak{g} . We extend this definition to arbitrary finite groups by defining the \mathfrak{g} -type ℓ -rank of any finite group to be the sum of the \mathfrak{g} -type ℓ -ranks of its composition factors. The *total ℓ -rank* of a finite group $\bar{\Gamma}$ is defined to be

$$\text{rk}_\ell \bar{\Gamma} := \sum_{\mathfrak{g}} \text{rk}_\ell^{\mathfrak{g}} \bar{\Gamma}.$$

Remark 3.3.3. The definition of \mathfrak{g} -type ℓ -rank is equivalent to the following. For any finite simple group $\bar{\Gamma}$ of Lie type of characteristic ℓ , we have

$$\bar{\Gamma} = \bar{\mathbf{G}}(\mathbb{F}_{\ell^{f'}})^{\text{der}}$$

for some adjoint simple group $\bar{\mathbf{G}}/\mathbb{F}_{\ell^{f'}}$ so that

$$\bar{\mathbf{G}} \times_{\mathbb{F}_{\ell^{f'}}} \bar{\mathbb{F}}_{\ell} = \prod_{i=1}^m \bar{\mathbf{H}}_i,$$

where $\bar{\mathbf{H}}_i$ is an $\bar{\mathbb{F}}_{\ell}$ -adjoint simple group of some Lie type \mathfrak{h}_i . We then set the \mathfrak{g} -type ℓ -rank of $\bar{\Gamma}$ to be

$$\text{rk}_{\ell}^{\mathfrak{g}} \bar{\Gamma} := \begin{cases} f' \cdot \text{rk} \bar{\mathbf{G}} & \text{if } \mathfrak{g} = \mathfrak{h}. \\ 0 & \text{otherwise.} \end{cases}$$

We extend this definition to arbitrary finite groups by defining the \mathfrak{g} -type ℓ -rank of any finite group to be the sum of the \mathfrak{g} -type ℓ -ranks of its composition factors.

Let $\bar{\mathbf{G}}$ be a connected semisimple algebraic group over \mathbb{F}_q and $\pi : \bar{\mathbf{G}}^{\text{sc}} \rightarrow \bar{\mathbf{G}}$ the simply-connected cover of $\bar{\mathbf{G}}$. Simply-connected $\bar{\mathbf{G}}^{\text{sc}}$ and isogeny π are defined over \mathbb{F}_q [St68b, 9.16]. Group $\bar{\mathbf{G}}^{\text{sc}}$ is a direct product of \mathbb{F}_q -simple, simply-connected semisimple groups $\bar{\mathbf{G}}_i^{\text{sc}}$ [CF65, Chapter 10 §1.3]:

$$\bar{\mathbf{G}}_1^{\text{sc}} \times \bar{\mathbf{G}}_2^{\text{sc}} \times \cdots \times \bar{\mathbf{G}}_k^{\text{sc}} \xrightarrow{\mathbb{F}_q \cong} \bar{\mathbf{G}}^{\text{sc}}.$$

For each $\bar{\mathbf{G}}_i^{\text{sc}}$, there exist an integer m_i and an algebraic group $\bar{\mathbf{H}}_i^{\text{sc}}$ defined over $\mathbb{F}_{q^{m_i}}$ such that $\bar{\mathbf{H}}_i^{\text{sc}} \times_{\mathbb{F}_{q^{m_i}}} \bar{\mathbb{F}}_q$ is almost simple and

$$\bar{\mathbf{G}}_i^{\text{sc}} \times_{\mathbb{F}_q} \mathbb{F}_{q^{m_i}} = \prod_{i=1}^{m_i} \bar{\mathbf{H}}_i^{\text{sc}}.$$

We have [CF65, Chapter 10 §1.3]

$$\bar{\mathbf{G}}_i^{\text{sc}} = \text{Res}_{\mathbb{F}_{q^{m_i}}/\mathbb{F}_q}(\bar{\mathbf{H}}_i^{\text{sc}})$$

so that

$$\bar{\mathbf{G}}_i^{\text{sc}}(\mathbb{F}_q) = \bar{\mathbf{H}}_i^{\text{sc}}(\mathbb{F}_{q^{m_i}}).$$

The following proposition relates $\text{rk}_{\ell}^{\mathfrak{g}} \bar{\mathbf{G}}(\mathbb{F}_q)$ and $\text{rk}_{\ell} \bar{\mathbf{G}}(\mathbb{F}_q)$ to $\bar{\mathbf{G}} \times_{\mathbb{F}_q} \bar{\mathbb{F}}_q$.

Proposition 3.3.4. *Let $\ell \geq 5$ be a prime and $\bar{\mathbf{G}}$ a connected semisimple algebraic group over \mathbb{F}_q , where $q = \ell^f$. The composition factors of $\bar{\mathbf{G}}(\mathbb{F}_q)$ are cyclic groups and finite simple groups of Lie type of characteristic ℓ . Moreover, let m be the number of almost simple factors of $\bar{\mathbf{G}} \times_{\mathbb{F}_q} \bar{\mathbb{F}}_q$ of simple type \mathfrak{g} . Then,*

$$\text{rk}_{\ell}^{\mathfrak{g}} \bar{\mathbf{G}}(\mathbb{F}_q) = mf \cdot \text{rk} \mathfrak{g} \quad \text{and} \quad \text{rk}_{\ell} \bar{\mathbf{G}}(\mathbb{F}_q) = f \cdot \text{rk} \bar{\mathbf{G}}.$$

Proof. Since the kernel and the cokernel of $\pi : \bar{\mathbf{G}}^{\text{sc}}(\mathbb{F}_q) \rightarrow \bar{\mathbf{G}}(\mathbb{F}_q)$ are both abelian [St68b, 12.6], the composition factors of $\bar{\mathbf{G}}(\mathbb{F}_q)$ and $\prod_{i=1}^k \bar{\mathbf{H}}_i^{\text{sc}}(\mathbb{F}_{q^{m_i}})$ defined above are identical modulo cyclic groups. Hence, the composition factors of $\bar{\mathbf{G}}(\mathbb{F}_q)$ are cyclic groups and finite simple groups of Lie type of characteristic ℓ by the table. Let

$$\{\bar{\mathbf{H}}_1^{\text{sc}}, \bar{\mathbf{H}}_2^{\text{sc}}, \dots, \bar{\mathbf{H}}_j^{\text{sc}}\}$$

be the subset of $\{\bar{\mathbf{H}}_1^{\text{sc}}, \dots, \bar{\mathbf{H}}_k^{\text{sc}}\}$ of type \mathfrak{g} . The equation

$$m_1 + m_2 + \dots + m_j = m$$

follows immediately from the fact that each $\bar{\mathbf{G}}_i^{\text{sc}}$ is a direct product of m_i copies of $\bar{\mathbf{H}}_i^{\text{sc}}$ over $\bar{\mathbb{F}}_q$. Since $\bar{\mathbf{H}}_i^{\text{sc}}$ is almost simple over $\bar{\mathbb{F}}_q$, we obtain by Definition 14 that the \mathfrak{g} -type ℓ -rank

$$\text{rk}_{\ell}^{\mathfrak{g}} \bar{\mathbf{G}}(\mathbb{F}_q) = \sum_{i=1}^k \text{rk}_{\ell}^{\mathfrak{g}} \bar{\mathbf{H}}_i^{\text{sc}}(\mathbb{F}_{q^{m_i}}) = \sum_{i=1}^j m_i f \cdot \text{rk } \mathfrak{g} = m f \cdot \text{rk } \mathfrak{g}.$$

and therefore the total ℓ -rank

$$\text{rk}_{\ell} \bar{\mathbf{G}}(\mathbb{F}_q) = f \cdot \text{rk } \bar{\mathbf{G}}.$$

□

We can now prove our main results.

Theorem A. (Main Theorem) *Let K be a number field and $\{\phi_{\ell} : \text{Gal}_K \rightarrow \text{GL}_N(\mathbb{F}_{\ell})\}_{\ell \in \mathcal{P}}$ a strictly compatible system of mod ℓ Galois representations arising from étale cohomology (Definition 1,2). There exists a finite normal extension L of K such that if we denote $\phi_{\ell}(\text{Gal}_K)$ and $\phi_{\ell}(\text{Gal}_L)$ by respectively $\bar{\Gamma}_{\ell}$ and $\bar{\gamma}_{\ell}$ for all ℓ , and let $\bar{\mathbf{S}}_{\ell} \subset \text{GL}_{N, \mathbb{F}_{\ell}}$ be the connected \mathbb{F}_{ℓ} -semisimple subgroup associated to $\bar{\gamma}_{\ell}$ (or $\bar{\Gamma}_{\ell}$) by Nori's theory for $\ell \gg 1$, then the following hold for $\ell \gg 1$:*

- (i) *The formal character of $\bar{\mathbf{S}}_{\ell} \hookrightarrow \text{GL}_{N, \mathbb{F}_{\ell}}$ is independent of ℓ (Definition 3') and is equal to the formal character of $(\mathbf{G}_{\ell}^{\circ})^{\text{der}} \hookrightarrow \text{GL}_{N, \mathbb{Q}_{\ell}}$, where $(\mathbf{G}_{\ell}^{\circ})^{\text{der}}$ is the derived group of the identity component of \mathbf{G}_{ℓ} , the algebraic monodromy group of the semi-simplified representation Φ_{ℓ}^{ss} .*
- (ii) *The composition factors of $\bar{\gamma}_{\ell}$ and $\bar{\mathbf{S}}_{\ell}(\mathbb{F}_{\ell})$ are identical modulo cyclic groups. Therefore, the composition factors of $\bar{\gamma}_{\ell}$ are finite simple groups of Lie type of characteristic ℓ and cyclic groups.*

Proof. By Proposition 2.1.2(i), $\bar{\mathbf{S}}_{\ell} \subset \text{GL}_{N, \mathbb{F}_{\ell}}$ is a connected \mathbb{F}_{ℓ} -semisimple subgroup for $\ell \gg 1$. Part (i) is proved by Theorem 3.2.1. Since there is a finite normal extension L/K such that $\bar{\gamma}_{\ell} := \phi_{\ell}(\text{Gal}_L)$ is a subgroup of $\bar{\mathbf{G}}_{\ell}(\mathbb{F}_{\ell})$ of uniform bounded index by Theorem 2.0.5 and $\bar{\mathbf{S}}_{\ell}$ is the

derived group of $\bar{\mathbf{G}}_\ell$, the composition factors of $\bar{\gamma}_\ell$ and $\bar{\gamma}_\ell \cap \bar{\mathbf{S}}_\ell(\mathbb{F}_\ell)$ are identical modulo cyclic groups. Together with $\bar{\mathbf{S}}_\ell(\mathbb{F}_\ell)/\bar{\mathbf{S}}_\ell(\mathbb{F}_\ell)^+$ abelian and normal series

$$\bar{\mathbf{S}}_\ell(\mathbb{F}_\ell)^+ = \bar{\gamma}_\ell^+ \triangleleft \bar{\gamma}_\ell \cap \bar{\mathbf{S}}_\ell(\mathbb{F}_\ell) \triangleleft \bar{\mathbf{S}}_\ell(\mathbb{F}_\ell)$$

for $\ell \gg 1$ by Theorem 2.1.1 and Remark 2.1.3, we conclude that the composition factors of $\bar{\gamma}_\ell$ and $\bar{\mathbf{S}}_\ell(\mathbb{F}_\ell)$ are identical modulo cyclic groups. Since Proposition 3.3.4 implies the non-cyclic composition factors of $\bar{\mathbf{S}}_\ell(\mathbb{F}_\ell)$ are finite simple groups of Lie type of characteristic ℓ , we obtain (ii). \square

Corollary B. *Let $\bar{\mathbf{S}}_\ell$ be defined as above, then the following hold for $\ell \gg 1$:*

- (i) *The total ℓ -rank $\mathrm{rk}_\ell \bar{\Gamma}_\ell$ of $\bar{\Gamma}_\ell$ (Definition 14) is equal to the rank of $\bar{\mathbf{S}}_\ell$ and is therefore independent of ℓ .*
- (ii) *The A_n -type ℓ -rank $\mathrm{rk}_\ell^{A_n} \bar{\Gamma}_\ell$ of $\bar{\Gamma}_\ell$ (Definition 14) for $n \in \mathbb{N} \setminus \{1, 2, 3, 4, 5, 7, 8\}$ and the parity of $(\mathrm{rk}_\ell^{A_4} \bar{\Gamma}_\ell)/4$ are independent of ℓ .*

Proof. Since $\bar{\gamma}_\ell$ is a normal subgroup of $\bar{\Gamma}_\ell$ of index bounded by $[L : K]$, they have equal total ℓ -rank and \mathfrak{g} -type ℓ -rank for all sufficiently large ℓ . It suffices to prove (i) and (ii) for $\bar{\gamma}_\ell$. Since (i) is a direct consequence of Proposition 3.3.4 and Theorem A and (ii) follows easily from Theorem 3.3.2, Proposition 3.3.4, and Theorem A, we are done. \square

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