Construction of sheaves on the subanalytic site

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## Introduction

Let M be a real analytic manifold. The Grothendieck subanalytic topology on M, denoted  $M_{\rm sa}$ , and the morphism of sites  $\rho_{\rm sa} \colon M \to M_{\rm sa}$ , were introduced in [KS01]. Recall that the objects of the site  $M_{\rm sa}$  are the relatively compact subanalytic open subsets of M and the coverings are, roughly speaking, the finite coverings. In loc. cit. the authors use this topology to construct new sheaves which would have no meaning on the usual topology, such as the sheaf  $\mathscr{C}_{M_{\rm sa}}^{\infty, \rm tp}$  of  $\mathscr{C}^{\infty}$ -functions with temperate growth and the sheaf  $\mathscr{D}_{M_{\rm sa}}^{\rm tp}$  of temperate distributions. On a complex manifold X, using the Dolbeault complexes, they constructed the sheaf  $\mathscr{O}_{X_{\rm sa}}^{\rm tp}$  (in the derived sense) of holomorphic functions with temperate growth. The last sheaf is of particular importance in the study of irregular holonomic  $\mathscr{D}$ -modules. (Here, we shall also construct the sheaf  $\mathscr{C}_{M_{\rm sa}}^{\infty, \rm gev}$  of  $\mathscr{C}^{\infty}$ -functions with Gevrey growth and its holomorphic version, the sheaf  $\mathscr{C}_{M_{\rm sa}}^{\infty, \rm gev}$ 

In this paper, we shall modify the preceding construction in order to obtain sheaves of  $\mathscr{C}^{\infty}$ -functions with a given growth at the boundary. For example, functions whose growth at the boundary is bounded by a given power of the distance (temperate growth), or by an exponential of a given power of the distance (Gevrey growth), as well as their holomorphic counterparts. For that purpose, we have to refine the subanalytic topology and we introduce what we call the linear subanalytic topology, denoted  $M_{\rm sal}$ .

Let us describe the contents of this paper with some details.

In **Chapter 1** we first construct the linear subanalytic topology on M. Denoting by  $\operatorname{Op}_{M_{\operatorname{sa}}}$  the category of open relatively compact subanalytic subsets of M, the presite underlying the site  $M_{\operatorname{sal}}$  is the same as for  $M_{\operatorname{sa}}$ , namely  $\operatorname{Op}_{M_{\operatorname{sa}}}$ , but the coverings are the linear coverings. Roughly speaking, a finite family  $\{U_i\}_{i\in I}$  is a linear covering of their union U if there is a constant C such that the distance of any  $x\in M$  to  $M\setminus U$  is bounded by C-times the maximum of the distance of x to  $M\setminus U_i$  (See Definition 1.1.1.)

Let **k** be a commutative unital Noetherian ring with finite global dimension. One easily shows that a presheaf F of **k**-modules on  $M_{\text{sal}}$  is a sheaf as soon as, for any open sets  $U_1$  and  $U_2$  such that  $\{U_1, U_2\}$  is a linear covering of  $U_1 \cup U_2$ , the Mayer-Vietoris sequence

$$(0.0.1) 0 \to F(U_1 \cup U_2) \to F(U_1) \oplus F(U_2) \to F(U_1 \cap U_2)$$

is exact. Moreover, if for any such a covering, the sequence

$$(0.0.2) 0 \to F(U_1 \cup U_2) \to F(U_1) \oplus F(U_2) \to F(U_1 \cap U_2) \to 0$$

is exact, then the sheaf F is  $\Gamma$ -acyclic, that is,  $R\Gamma(U;F)$  is concentrated in degree 0 for all  $U \in \operatorname{Op}_{M_{\operatorname{sa}}}$ .

There is a natural morphism of sites  $\rho_{\rm sal}$ :  $M_{\rm sa} \to M_{\rm sal}$  and we shall prove the two results below (see Theorems 1.4.13 and 1.5.14):

- (1) the functor  $R\rho_{\text{sal}*}$  admits a right adjoint  $\rho_{\text{sal}}^!$
- (2) if U has a Lipschitz boundary, then the object  $R\rho_{sal_*}\mathbf{k}_U$  is concentrated in degree 0.

Therefore, if a presheaf F on  $M_{\rm sa}$  has the property that the Mayer-Vietoris sequences (0.0.2) are exact, it follows that  $\mathrm{R}\Gamma(U;\rho_{\rm sal}^!F)$  is concentrated in degree 0 and isomorphic to F(U) for all U with Lipschitz boundary.

In **Chapter 2**, we briefly study the natural operations on the linear subanalytic sites. The main difficulty is that a morphism  $f: M \to N$  of real analytic manifolds does not induce a morphism of the linear subanalytic sites. This forces us to treat separately the direct or inverse images of sheaves for closed embeddings and for submersive maps.

In Chapter 3 we construct some sheaves on  $M_{\rm sal}$ . We construct the sheaf  $\mathscr{C}_{M_{\rm sal}}^{\infty,s}$  of  $\mathscr{C}^{\infty}$ -functions with growth of order  $s \geq 0$  at the boundary and the sheaves  $\mathscr{C}_{M_{\rm sal}}^{\infty,{\rm gev}(s)}$  and  $\mathscr{C}_{M_{\rm sal}}^{\infty,{\rm gev}\{s\}}$  of  $\mathscr{C}^{\infty}$ -functions with Gevrey growth of type s>1 at the boundary. By using a refined cut-off lemma (which follows from a refined partition of unity due to Hörmander [Ho83]), we prove that these sheaves are  $\Gamma$ -acyclic. Applying the functor  $\rho_{\rm sal}^!$ , we get new sheaves (in the derived sense) on  $M_{\rm sa}$  whose sections on open sets with Lipschitz boundaries are concentrated in degree 0. Then, on a complex manifold X, by considering the Dolbeault complexes of the sheaves of  $\mathscr{C}^{\infty}$ -functions considered above, we obtain new sheaves, namely the sheaf  $\mathscr{O}_{X_{\rm sa}}^s$  of holomorphic functions with growth of order  $s\geq 0$  and the sheaves  $\mathscr{O}_{X_{\rm sa}}^{\rm gev(s)}$  and  $\mathscr{O}_{X_{\rm sal}}^{\rm gev\{s\}}$  of holomorphic functions with Gevrey growth of type s>1.

Note that Sobolev sheaves will be treated in a forthcoming paper by G. Lebeau [Le12].

Finally, in **Chapter 4**, we apply these results to endow the sheaf  $\mathcal{O}_{X_{\text{sal}}}$  of holomorphic functions on a complex manifold X with a kind of an  $L^{\infty}$ -filtration.

Denote by  $F\mathscr{D}_{M_{\operatorname{sa}}}$  the sheaf  $\mathscr{D}_{M_{\operatorname{sa}}} := \rho_{\operatorname{sa}!} \mathscr{D}_{M}$  of differential operators on  $M_{\operatorname{sa}}$ , endowed with its natural filtration and denote by  $F\mathscr{D}_{M_{\operatorname{sal}}}$  the sheaf  $\mathscr{D}_{M_{\operatorname{sal}}} := \rho_{\operatorname{sal}*} \mathscr{D}_{M_{\operatorname{sa}}}$  endowed with its natural filtration. For  $\mathscr{T} = M$ ,  $M_{\operatorname{sa}}$ ,  $M_{\operatorname{sal}}$ , the category  $\operatorname{Mod}(F\mathscr{D}_{\mathscr{T}})$  of filtered  $\mathscr{D}$ -modules on  $\mathscr{T}$  is quasi-abelian in the sense of [Sn99] and its derived category  $\operatorname{D}^+(F\mathscr{D}_{\mathscr{T}})$  is well-defined. We shall use here the recent results of [SSn13] which gives an easy description of these derived categories. We construct a right adjoint  $\rho_{\operatorname{sal}}^!$  to the derived functor  $R\rho_{\operatorname{sal}*}\colon \operatorname{D}^+(F\mathscr{D}_{M_{\operatorname{sal}}})\to \operatorname{D}^+(F\mathscr{D}_{M_{\operatorname{sal}}})$ . Then, on a complex manifold X, by considering the Dolbeault complexes of the sheaves of  $\mathscr{C}^{\infty}$ -functions considered above, we obtain new sheaves. By using the filtration of  $\mathscr{C}^{\infty}_{M_{\operatorname{sal}}}$  by the sheaves  $\mathscr{C}^{\infty,s}_{M_{\operatorname{sal}}}$  we obtain the  $L^{\infty}$ -filtration  $F_{\infty}\mathscr{O}_{X_{\operatorname{sa}}}$  on the sheaf  $\mathscr{O}^{\operatorname{tp}}_{X_{\operatorname{sal}}}$ . Recall now the Riemann-Hilbert correspondence. Let  $\mathscr{M}$  be a regular

Recall now the Riemann-Hilbert correspondence. Let  $\mathscr{M}$  be a regular holonomic  $\mathscr{D}_X$ -module and let  $G := R\mathscr{H}om_{\mathscr{D}_X}(\mathscr{M}, \mathscr{O}_X)$  be the perverse sheaf of its holomorphic solutions. Kashiwara's theorem of [Ka84] may be formulated by saying that the natural morphism  $\mathscr{M} \to \rho_{\operatorname{sa}}^{-1}R\mathscr{H}om(G, \mathscr{O}_{X_{\operatorname{sa}}}^{\operatorname{tp}})$  is an isomorphism. Replacing the sheaf  $\mathscr{O}_{X_{\operatorname{sa}}}^{\operatorname{tp}}$  with its filtered version  $F_{\infty}\mathscr{O}_{X_{\operatorname{sa}}}$ , we get that any regular holonomic  $\mathscr{D}_X$ -module  $\mathscr{M}$  can be functorially endowed with a filtration  $F_{\infty}\mathscr{M}$ , in the derived sense, namely

$$F_{\infty}\mathcal{M} := \rho_{\operatorname{sa}}^{-1} R \mathcal{H}om\left(G, F_{\infty} \mathcal{O}_{X_{\operatorname{sa}}}^{\operatorname{tp}}\right) \in D^{+}(F \mathcal{D}_{X}).$$

Then some natural questions arise:

- (i) is this filtration (that is,  $F_{\infty}^{s}\mathcal{M}$  for  $s\gg 0$ ) concentrated in degree 0?
- (ii) is the filtration so obtained on  $\mathcal{M}$  a good filtration?
- (iii) does there exist a discrete set  $Z \subset \mathbb{R}_{\geq 0}$  such that the morphisms  $F^s_{\infty} \mathcal{M} \to F^t_{\infty} \mathcal{M}$  are isomorphisms for  $s \leq t$  in the same components of  $\mathbb{R}_{\geq 0} \setminus Z$ ?

The answer to question (i) is presumably negative in general, but it is reasonable to conjecture that it is true when the perverse sheaf G is a local system in the complementary of a normal crossing divisor (perhaps after replacing the  $L^{\infty}$ -filtration on  $\mathscr{O}_{X_{\operatorname{sa}}}^{\operatorname{tp}}$  with an  $L^2$ -filtration constructed similarly). We hope to come back to these questions later.

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Finally Theorem 1.5.9 plays an essential role in the whole paper and we are extremely grateful to Adam Parusinski who has given a proof of this result.

## Chapter 1

# Subanalytic topologies

## 1.1 The linear subanalytic topology

#### Usual notations

We shall mainly follow the notations of [KS90, KS01] and [KS06]. We shall also freely use the theory of subanalytic sets, due to Gabrielov and Hironaka, after the pioneering work of Lojasiewicz. A short presentation of this theory may be found in [BM88].

In this paper, we denote by  $\mathbf{k}$  a commutative unital *Noetherian* ring with finite global dimension. Unless otherwise specified, a manifold means a real analytic manifold.

For a subset A in a topological space X,  $\overline{A}$  denotes its closure, Int A its interior and  $\partial A$  its boundary,  $\partial A = \overline{A} \setminus \operatorname{Int} A$ .

If  $\mathscr{C}$  is an additive category, we denote by  $C(\mathscr{C})$  the additive category of complexes in  $\mathscr{C}$ . For \*=+,-, b we also consider the full additive subcategory  $C^*(\mathscr{C})$  of  $C(\mathscr{C})$  consisting of complexes bounded from below (resp. from above, resp. bounded) and  $C^*(\mathscr{C})$  with \*= ub means  $C(\mathscr{C})$  ("ub" stands for "unbounded"). If  $\mathscr{C}$  is an abelian category, we denote by  $D(\mathscr{C})$  its derived category and similarly with  $D^*(\mathscr{C})$  for \*=+,-, b, ub.

For a site  $\mathscr{T}$ , we denote by  $\operatorname{PSh}(\mathbf{k}_{\mathscr{T}})$  and  $\operatorname{Mod}(\mathbf{k}_{\mathscr{T}})$  the abelian categories of presheaves and sheaves of  $\mathbf{k}$ -modules on  $\mathscr{T}$ . We denote by  $\iota \colon \operatorname{Mod}(\mathbf{k}_{\mathscr{T}}) \to \operatorname{PSh}(\mathbf{k}_{\mathscr{T}})$  the forgetful functor and by  $(\bullet)^a$  its left adjoint, the functor which associates a sheaf to a presheaf. Note that in practice we shall often not write  $\iota$ . Recall that  $\operatorname{Mod}(\mathbf{k}_{\mathscr{T}})$  is a Grothendieck category. We write  $\operatorname{D}^*(\mathbf{k}_{\mathscr{T}})$  instead of  $\operatorname{D}^*(\operatorname{Mod}(\mathbf{k}_{\mathscr{T}}))$   $(*=\mathrm{ub},+,-,\mathrm{b})$ .

For a real analytic manifold M, one denotes by  $\operatorname{Mod}_{\mathbb{R}\text{-c}}(\mathbf{k}_M)$  the category of  $\mathbb{R}$ -constructible sheaves on M. One denotes by  $\mathsf{D}^{\mathrm{b}}_{\mathbb{R}\text{-c}}(\mathbf{k}_M)$  the full triangulated subcategory of  $\mathsf{D}^{\mathrm{b}}(\mathbf{k}_M)$  consisting of objects with  $\mathbb{R}$ -constructible cohomologies.

(1.1.1) 
$$\begin{cases} \text{Recall that given two metric spaces } (X, d_X) \text{ and } (Y, d_Y), \text{ a} \\ \text{function } f \colon X \to Y \text{ is Lipschitz if there exists a constant} \\ C \ge 0 \text{ such that } d_Y(f(x), f(x')) \le C \cdot d_X(x, x') \text{ for all } x, x' \in X. \end{cases}$$

#### The site $M_{\rm sa}$

All along this chapter, if M is a real analytic manifold, we choose a distance  $d_M$  on M such that, for any  $x \in M$  and any local chart  $(U, \varphi : U \hookrightarrow \mathbb{R}^n)$  around x, there exists a neighborhood of x over which  $d_M$  is Lipschitz equivalent to the pull-back of the Euclidean distance by  $\varphi$ . If there is no risk of confusion, we write d instead of  $d_M$ .

For a site  $\mathscr{T}$ , we will often use the following well-known fact. For any  $F \in D(\mathbf{k}_{\mathscr{T}})$  and any  $i \in \mathbb{Z}$ , the cohomology sheaf  $H^{i}(F)$  is the sheaf associated with the presheaf  $U \mapsto H^{i}(U; F)$ . In particular, if  $H^{i}(U; F) = 0$  for all  $U \in \mathscr{T}$ , then  $H^{i}(F) \simeq 0$ .

For an object U of  $\mathscr{T}$ , recall that there is a sheaf naturally attached to U (see e.g. [KS06, § 17.6]). We shall denote it here by  $\mathbf{k}_{U\mathscr{T}}$  or simply  $\mathbf{k}_{U}$  if there is no risk of confusion. This is the sheaf associated with the presheaf (see loc. cit. Lemma 17.6.11):

$$V \mapsto \bigoplus_{V \to U} \mathbf{k}$$
.

The functor "associated sheaf" is exact. If follows that, if  $V \to U$  is a monomorphism in  $\mathscr{T}$ , then the natural morphism  $\mathbf{k}_{V\mathscr{T}} \to \mathbf{k}_{U\mathscr{T}}$  also is a monomorphism.

We shall mainly use the subanalytic topology introduced in [KS01]. In loc. cit., sheaves on the subanalytic topology are studied in the more general framework of indsheaves. We refer to [Pr08] for a direct and more elementary treatment of subanalytic sheaves.

Let M be a real analytic manifold and denote by  $\operatorname{Op}_{M_{\operatorname{sa}}}$  the category of relatively compact subanalytic open subsets of M, the morphisms being the inclusion morphisms. Recall that one endows  $\operatorname{Op}_{M_{\operatorname{sa}}}$  with a Grothendieck

topology by saying that a family  $\{U_i\}_{i\in I}$  of objects of  $\operatorname{Op}_{M_{\operatorname{sa}}}$  is a covering of  $U\in\operatorname{Op}_{M_{\operatorname{sa}}}$  if  $U_i\subset U$  for all  $i\in I$  and there exists a finite subset  $J\subset I$  such that  $\bigcup_{j\in J}U_j=U$ . It follows from the theory of subanalytic sets that in this situation there exist a constant C>0 and a positive integer N such that

(1.1.2) 
$$d(x, M \setminus U)^N \le C \cdot (\max_{j \in J} d(x, M \setminus U_j)).$$

One shall be aware that if U is an open subset of M, we may endow it with the subanalytic topology  $U_{\rm sa}$ , but this topology does not coincide in general with the topology induced by M.

We denote by  $\rho_{\rm sa} \colon M \to M_{\rm sa}$  (or simply  $\rho$ ) the natural morphism of sites. The functor  $\rho_{\rm sa_*}$  is left exact and its left adjoint  $\rho_{\rm sa}^{-1}$  is exact. Hence, we have the pairs of adjoint functors

$$(1.1.3) \quad \operatorname{Mod}(\mathbf{k}_{M}) \xrightarrow{\rho_{\operatorname{sa}_{*}}} \operatorname{Mod}(\mathbf{k}_{M_{\operatorname{sa}}}), \quad \mathsf{D}^{\operatorname{b}}(\mathbf{k}_{M}) \xrightarrow{\mathbb{R}\rho_{\operatorname{sa}_{*}}} \overset{\mathsf{R}\rho_{\operatorname{sa}_{*}}}{\rho_{\operatorname{sa}_{*}}^{-1}} \mathsf{D}^{\operatorname{b}}(\mathbf{k}_{M_{\operatorname{sa}}}).$$

The functor  $\rho_{\rm sa*}$  is fully faithful and  $\rho_{\rm sa}^{-1}\rho_{\rm sa*} \simeq id$ . Moreover,  $\rho_{\rm sa}^{-1}R\rho_{\rm sa*} \simeq id$  and  $R\rho_{\rm sa*}$  in (1.1.3) is fully faithful.

The functor  $\rho_{\text{sa}}^{-1}$  also admits a left adjoint functor  $\rho_{\text{sa}!}$ . For  $F \in \text{Mod}(\mathbf{k}_M)$ ,  $\rho_{\text{sa}!}F$  is the sheaf on  $M_{\text{sa}}$  associated with the presheaf  $U \mapsto F(\overline{U})$ . The functor  $\rho_{\text{sa}!}$  is exact.

Recall that  $\rho_{\text{sa}*}$  is exact when restricted to the subcategory  $\text{Mod}_{\mathbb{R}\text{-c}}(\mathbf{k}_M)$ . Hence we shall consider this last category both as a full subcategory of  $\text{Mod}(\mathbf{k}_M)$  and a full subcategory of  $\text{Mod}(\mathbf{k}_{M_{\text{sa}}})$ .

For  $U \in \operatorname{Op}_{M_{\operatorname{sa}}}$  we have the sheaf  $\mathbf{k}_{UM_{\operatorname{sa}}} \simeq \rho_{\operatorname{sa}_*} \mathbf{k}_{UM}$  on  $M_{\operatorname{sa}}$  that we simply denote by  $\mathbf{k}_U$ .

#### Linear coverings

In the following we will adopt the convention

$$(1.1.4) d(x,\emptyset) = D_M + 1, \text{for all } x \in M,$$

where  $D_M = \sup\{d(y, z); y, z \in M\}$ . In this way we avoid distinguishing the special case where  $M = \bigcup_{i \in I} U_i$  in (1.1.5) below (which can happen if M is compact).

**Definition 1.1.1.** Let  $\{U_i\}_{i\in I}$  be a finite family in  $\operatorname{Op}_{M_{\operatorname{sa}}}$ . We say that this family is 1-regularly situated if there is a constant C such that for any  $x \in M$ 

(1.1.5) 
$$d(x, M \setminus \bigcup_{i \in I} U_i) \le C \cdot \max_{i \in I} d(x, M \setminus U_i).$$

Of course, this definition does not depend on the choice of the distance d.

**Example 1.1.2.** On  $\mathbb{R}^2$  with coordinates  $(x_1, x_2)$  consider the open sets:

$$U_1 = \{(x_1, x_2); x_2 > -x_1^2, x_1 > 0\},\$$

$$U_2 = \{(x_1, x_2); x_2 < x_1^2, x_1 > 0\},\$$

$$U_3 = \{(x_1, x_2); x_1 > -x_2^2, x_2 > 0\}.$$

Then  $\{U_1, U_2\}$  is not 1-regularly situated. Indeed, set  $W := U_1 \cup U_2 = \{x_1 > 0\}$ . Then, if  $x = (x_1, 0), x_1 > 0$ ,  $d(x, \mathbb{R}^2 \setminus W) = x_1$  and  $d(x, \mathbb{R}^2 \setminus U_i)$  (i = 1, 2) is less that  $x_1^2$ .

On the other hand  $\{U_1, U_3\}$  is 1-regularly situated. Indeed,

$$d(x, \mathbb{R}^2 \setminus (U_1 \cup U_3)) \le \sqrt{2} \max(d(x, \mathbb{R}^2 \setminus U_1), d(x, \mathbb{R}^2 \setminus U_3)).$$

**Definition 1.1.3.** A linear covering of U is a small family  $\{U_i\}_{i\in I}$  of objects of  $\operatorname{Op}_{M_{\operatorname{sa}}}$  such that  $U_i \subset U$  for all  $i \in I$  and

(1.1.6) 
$$\begin{cases} \text{there exists a finite subset } I_0 \subset I \text{ such that the family } \{U_i\}_{i \in I_0} \\ \text{is 1-regularly situated and } \bigcup_{i \in I_0} U_i = U. \end{cases}$$

Let  $\{U_i\}_{i\in I}$  and  $\{V_j\}_{j\in J}$  be two families of objects of  $\operatorname{Op}_{M_{\operatorname{sa}}}$ . Recall that one says that  $\{U_i\}_{i\in I}$  is a refinement of  $\{V_j\}_{j\in J}$  if for any  $i\in I$ , there exists  $j\in J$  with  $U_i\subset V_j$ .

**Proposition 1.1.4.** The family of linear coverings satisfies the axioms of Grothendieck topologies below (see [KS06, § 16.1]).

COV1  $\{U\}$  is a covering of U, for any  $U \in \operatorname{Op}_{M_{\operatorname{sa}}}$ .

COV2 If a covering  $\{U_i\}_{i\in I}$  of U is a refinement of a family  $\{V_j\}_{j\in J}$  in  $\operatorname{Op}_{M_{\operatorname{sa}}}$  with  $V_j\subset U$  for all  $j\in J$ , then  $\{V_j\}_{j\in J}$  is a covering of U.

COV3 If  $V \subset U$  are in  $\operatorname{Op}_{M_{\operatorname{sa}}}$  and  $\{U_i\}_{i \in I}$  is a covering of U, then  $\{V \cap U_i\}_{i \in I}$  is a covering of V.

COV4 If  $\{U_i\}_{i\in I}$  is a covering of U and  $\{V_j\}_{j\in J}$  is a small family in  $\operatorname{Op}_{M_{\operatorname{sa}}}$  with  $V_j\subset U$  such that  $\{U_i\cap V_j\}_{j\in J}$  is a covering of  $U_i$  for all  $i\in I$ , then  $\{V_j\}_{j\in J}$  is a covering of U.

*Proof.* We shall use the obvious fact stating that for two subsets  $A \subset B$  in M, we have  $d(x, M \setminus A) \leq d(x, M \setminus B)$ .

COV1 is trivial.

COV2 Let  $I_0 \subset I$  be as in (1.1.6). Let  $\sigma: I \to J$  be such that  $U_i \subset V_{\sigma(i)}$ , for all  $i \in I$ . Then, for all  $x \in U_i$  we have  $d(x, M \setminus U_i) \leq d(x, M \setminus V_{\sigma(i)})$ . It follows that  $\sigma(I_0)$  satisfies (1.1.6) with respect to  $\{V_i\}_{i \in J}$ .

COV3 Let  $I_0 \subset I$  be as in (1.1.6) and let C be the constant in (1.1.5). Let x be a given point in  $V \cap U$ . We have  $d(x, M \setminus (V \cap U)) \leq d(x, M \setminus U)$ . We distinguish two cases.

- (a) We assume that  $d(x, M \setminus (V \cap U_i)) = d(x, M \setminus U_i)$ , for all  $i \in I_0$ . Then we clearly have  $d(x, M \setminus (V \cap U)) \leq C \max_{i \in I_0} d(x, M \setminus (V \cap U_i))$  and  $I_0$  satisfies (1.1.6) with respect to  $\{V \cap U_i\}_{i \in I}$ .
- (b) We assume  $d(x, M \setminus (V \cap U_{i_0})) < d(x, M \setminus U_{i_0})$  for some  $i_0 \in I_0$ . We choose  $y \in M \setminus (V \cap U_{i_0})$  such that  $d(x, y) = d(x, M \setminus (V \cap U_{i_0}))$ . Then we have  $d(x, y) < d(x, M \setminus U_{i_0})$ . We deduce that  $y \in U_{i_0}$  and then that  $y \in M \setminus V$ . Hence  $y \in M \setminus (V \cap U)$  and  $d(x, M \setminus (V \cap U)) \leq d(x, y)$ . Then

$$d(x, M \setminus (V \cap U)) \le d(x, M \setminus (V \cap U_{i_0}))$$
  
$$\le \max_{i \in I_0} d(x, M \setminus (V \cap U_i)).$$

We obtain (1.1.5) for the family  $\{V \cap U_i\}_{i \in I_0}$  with C = 1.

COV4 Let  $I_0 \subset I$  be as in (1.1.6) and let C be the constant in (1.1.5). For each  $i \in I_0$  let  $J_i \subset J$  satisfy (1.1.6) with respect to  $U_i$  for the family  $\{U_i \cap V_j\}_{j \in J}$  and let  $C_i$  be the corresponding constant. We set  $J_0 = \bigcup_{i \in I_0} J_i$  and  $B = \max\{C \cdot C_i; i \in I_0\}$ . Then we have

$$d(x, M \setminus U) \leq C \max_{i \in I_0} d(x, M \setminus U_i)$$

$$\leq C \max_{i \in I_0} (C_i \max_{j \in J_i} d(x, M \setminus (U_i \cap V_j)))$$

$$\leq B \max_{i \in I_0} \max_{j \in J_i} d(x, M \setminus V_j)$$

$$\leq B \max_{j \in J_0} d(x, M \setminus V_j),$$

which proves that  $J_0$  satisfies (1.1.6) with respect to  $\{V_j\}_{j\in J}$ . Q.E.D.

As a particular case of COV4, we get

Corollary 1.1.5. If  $\{U_i\}_{i\in I}$  is a linear covering of  $U \in \operatorname{Op}_{M_{\operatorname{sa}}}$  and  $I = \bigsqcup_{\alpha\in A}I_{\alpha}$  is a partition of I, then setting  $U_{\alpha} := \bigcup_{i\in I_{\alpha}}U_i$ ,  $\{U_{\alpha}\}_{\alpha\in A}$  is a linear covering of U.

The notion of a linear covering is of local nature (in the usual topology). More precisely, we have:

**Proposition 1.1.6.** Let  $V \in \operatorname{Op}_{M_{\operatorname{sa}}}$  and let  $\{U_i\}_{i \in I}$  be a finite covering of  $\overline{V}$  in  $M_{\operatorname{sa}}$ . Then  $\{V \cap U_i\}_{i \in I}$  is a linear covering of V.

Proof. Set  $U = \bigcup_i U_i$  and let  $W \in \operatorname{Op}_{M_{\operatorname{sa}}}$  be a neighborhood of the boundary  $\partial U$  such that  $V \cap W = \emptyset$ . Let us prove that the family  $\{W, \{U_i\}_{i \in I}\}$  is a linear covering of  $W \cup U$ . We set  $f(x) = \max\{d(x, M \setminus W), d(x, M \setminus U_i), i \in I\}$  and  $Z = \{x \in M; d(x, M \setminus (W \cup U)) \geq d(x, U)\}$ . Then Z is a compact subset of  $W \cup U$ . Hence there exists  $\varepsilon > 0$  such that  $f(x) > \varepsilon$  for all  $x \in Z$ . We also see that  $\overline{U} \subset Z$ . Hence  $f(x) = d(x, M \setminus W)$  for  $x \notin Z$ . Moreover, for given  $x \notin Z$  and  $y \in M \setminus (W \cup U)$  realizing  $d(x, M \setminus (W \cup U))$  we can not have  $y \in U$  by definition of Z. Hence  $d(x, M \setminus (W \cup U)) = d(x, M \setminus W) = f(x)$  for  $x \notin Z$ . Now we deduce that  $d(x, M \setminus (W \cup U)) \leq Cf(x)$  for some C > 0 and for all  $x \in M$ , that is,  $\{W, \{U_i\}_{i \in I}\}$  is a linear covering of  $W \cup U$ .

Taking the intersection with V we obtain by COV3 that  $\{V \cap U_i\}_{i \in I}$  is a linear covering of V. Q.E.D.

**Corollary 1.1.7.** Let  $\{U_i\}_{i\in I}$  and  $\{B_j\}_{j\in J}$  be two finite families in  $\operatorname{Op}_{M_{\operatorname{sa}}}$ . We set  $U=\bigcup_i U_i$  and we assume that  $\overline{U}\subset\bigcup_j B_j$ . Then  $\{U_i\}_{i\in I}$  is a linear covering of U if and only if  $\{U_i\cap B_j\}_{i\in I}$  is a linear covering of  $U\cap B_j$  for all  $j\in J$ .

- *Proof.* (i) Assume that  $\{U_i\}_i$  is a linear covering of U. Applying COV3 to  $B_j \cap U \subset U$  we get that the family  $\{U_i \cap B_j\}_{i \in I}$  is a linear covering of  $U \cap B_j$  for all  $j \in J$ .
- (ii) Assume that the family  $\{U_i \cap B_j\}_{i \in I}$  is a linear covering of  $U \cap B_j$  for all  $j \in J$ . By Proposition 1.1.6 the family  $\{U \cap B_j\}_{j \in J}$  is a linear covering of U. Hence the result follows from COV4. Q.E.D.

#### Regular covering

We shall also use the following:

**Definition 1.1.8.** Let  $U \in \operatorname{Op}_{M_{\operatorname{sa}}}$ . A regular covering of U is a sequence  $\{U_i\}_{i \in [1,N]}$  with  $1 \leq N \in \mathbb{N}$  such that  $U = \bigcup_{i \in [1,N]} U_i$  and, for all  $1 \leq k \leq N$ ,  $\{U_i\}_{i \in [1,k]}$  is a linear covering of  $\bigcup_{1 \leq i \leq k} U_i$ .

We will use the following recipe to turn an arbitrary covering into a linear covering by a slight enlargement of the open subsets. For an open subset U of M, an arbitrary subset  $V \subset U$  and  $\varepsilon > 0$  we set

$$(1.1.7) V^{\varepsilon,U} = \{ x \in M; \ d(x,V) < \varepsilon \, d(x,M \setminus U) \}.$$

Then  $V^{\varepsilon,U}$  is an open subset of U. If the distance d is a subanalytic function on  $M \times M$ ,  $U \in \operatorname{Op}_{M_{\operatorname{sa}}}$  and V is a subanalytic subset, then  $V^{\varepsilon,U}$  also belongs to  $\operatorname{Op}_{M_{\operatorname{sa}}}$ . We see easily that  $(U \cap \overline{V}) \subset V^{\varepsilon,U} \subset U$ .

**Lemma 1.1.9.** We assume that the distance d is a subanalytic function on  $M \times M$ . Let  $U \in \operatorname{Op}_{M_{\operatorname{sa}}}$  and let  $V \subset U$  be a subanalytic subset. Let  $0 < \varepsilon$  and  $0 < \delta < 1$ . We set  $\varepsilon' = \frac{\varepsilon + \delta}{1 - \delta}$ . Then

(i) for any  $x \in V^{\varepsilon,U}$  and  $y \in M$  such that

$$d(x,y) < \delta d(x, M \setminus U)$$
 or  $d(x,y) < \delta d(y, M \setminus U)$ ,

we have  $d(y, V) < \varepsilon' d(y, M \setminus U)$ , that is,  $y \in V^{\varepsilon', U}$ ,

- (ii) for any  $x \in V^{\varepsilon,U}$  we have  $d(x, M \setminus V^{\varepsilon',U}) \ge \delta d(x, M \setminus U)$ ,
- (iii)  $\{U \setminus \overline{V}, V^{\varepsilon',U}\}$  is a linear covering of U.

We remark that any  $\varepsilon' > 0$  can be written  $\varepsilon' = \frac{\varepsilon + \delta}{1 - \delta}$  with  $\varepsilon, \delta$  as in the lemma.

*Proof.* (i) The triangular inequality  $d(x, M \setminus U) \leq d(x, y) + d(y, M \setminus U)$  implies

$$\begin{cases} d(x, M \setminus U) < (1 - \delta)^{-1} d(y, M \setminus U), & \text{if } d(x, y) < \delta d(x, M \setminus U), \\ d(x, M \setminus U) < (1 + \delta) d(y, M \setminus U), & \text{if } d(x, y) < \delta d(y, M \setminus U). \end{cases}$$

Since  $1 + \delta < (1 - \delta)^{-1}$  we obtain in both cases

$$(1.1.8) d(x, M \setminus U) < (1 - \delta)^{-1} d(y, M \setminus U).$$

In particular we have in both cases  $d(x,y) < \delta(1-\delta)^{-1}d(y,M\setminus U)$ . Now the definition of  $V^{\varepsilon,U}$  implies

$$d(y, V) \le d(x, y) + d(x, V)$$

$$< \delta(1 - \delta)^{-1} d(y, M \setminus U) + \varepsilon d(x, M \setminus U)$$

$$< (\varepsilon + \delta)(1 - \delta)^{-1} d(y, M \setminus U),$$

where the last inequality follows from (1.1.8).

- (ii) By (i), if a point  $y \in M$  does not belong to  $V^{\varepsilon',U}$ , we have  $d(x,y) \ge \delta d(x, M \setminus U)$ . This gives (ii).
- (iii) Since d is subanalytic, the open subset  $V^{\varepsilon',U}$  is subanalytic. We also see easily that  $U=(U\setminus \overline{V})\cup V^{\varepsilon',U}$ . Now let  $x\in M$ .
- (a) If  $x \notin V^{\varepsilon,U}$ , then (1.1.7) gives  $d(x,V) \geq \varepsilon d(x,M \setminus U)$ . Since  $d(x,M \setminus U \setminus \overline{V}) = \min\{d(x,M \setminus U),d(x,V)\}$ , we deduce  $d(x,M \setminus U \setminus \overline{V}) \geq \min\{\varepsilon,1\}d(x,M \setminus U)$ .
- (b) If  $x \in V^{\varepsilon,U}$ , then (ii) gives  $d(x, M \setminus V^{\varepsilon',U}) \ge \delta d(x, M \setminus U)$ . We obtain in both cases

$$\max\{d(x, M \setminus (U \setminus \overline{V})), d(x, M \setminus V^{\varepsilon', U})\} \ge Cd(x, M \setminus U),$$

where  $C = \min\{\delta, \varepsilon\}$ . This proves (iii).

Q.E.D.

Lemma 1.1.11 below will be used later to obtain subsets satisfying the hypothesis of Lemma 3.3.1. We will prove it by using Lemma 1.1.9 as follows. Let  $U_1, U_2 \in \operatorname{Op}_{M_{\operatorname{sa}}}$  and let  $U = U_1 \cup U_2$ . For  $\varepsilon > 0$  we set, using Notation (1.1.7),

$$(1.1.9) \quad U_1^{\varepsilon} = (U_1 \setminus U_2)^{\varepsilon, U_1} = \{ x \in U_1; \ d(x, U_1 \setminus U_2) < \varepsilon \, d(x, M \setminus U_1) \},$$

$$(1.1.10) \quad U_2^{\varepsilon} = (U_2 \setminus U_1)^{\varepsilon, U_2} = \{ x \in U_2; \ d(x, U_2 \setminus U_1) < \varepsilon \, d(x, M \setminus U_2) \}.$$

**Lemma 1.1.10.** (i) For i = 1, 2 and for any  $\varepsilon > 0$ , the pair  $\{U_i^{\varepsilon}, U_1 \cap U_2\}$  is a linear covering of  $U_i$ .

- (ii) For any  $\varepsilon, \varepsilon' > 0$  such that  $\varepsilon \varepsilon' < 1$ , we have  $\overline{U_1^{\varepsilon}} \cap \overline{U_2^{\varepsilon'}} \cap U = \emptyset$ .
- (iii) Let  $\varepsilon > 0$ ,  $0 < \delta < 1$  and set  $\varepsilon' = \frac{\varepsilon + \delta}{1 \delta}$ ,  $\varepsilon'' = \frac{\varepsilon' + \delta}{1 \delta}$ . We assume  $\varepsilon \varepsilon'' < 1$ . Then, for any  $x \in M$ ,

$$\begin{cases} d(x, U_2^{\varepsilon}) \ge \delta d(x, M \setminus U_1) & \text{if } x \in U_1^{\varepsilon'}, \\ d(x, U_1^{\varepsilon}) \ge \delta d(x, M \setminus U_1) & \text{if } x \notin U_1^{\varepsilon'}. \end{cases}$$

*Proof.* (i) By symmetry we can assume i = 1. By Lemma 1.1.9, the pair  $\{U_1 \setminus \overline{(U_1 \setminus U_2)}, U_1^{\varepsilon}\}$  is a linear covering of  $U_1$ . Since  $U_2$  is open we have  $U_1 \setminus (U_1 \setminus U_2) = U_1 \cap U_2$  and (i) follows.

(ii) We have

$$\overline{U_1^{\varepsilon}} \cap U \subset \{x \in U; \ d(x, U_1 \setminus U_2) \le \varepsilon \, d(x, M \setminus U_1)\},$$
  
$$\overline{U_2^{\varepsilon'}} \cap U \subset \{x \in U; \ d(x, U_2 \setminus U_1) \le \varepsilon' \, d(x, M \setminus U_2)\}.$$

We remark that  $d(x, M \setminus U_2) \leq d(x, U_1 \setminus U_2)$  and  $d(x, M \setminus U_1) \leq d(x, U_2 \setminus U_1)$  for any  $x \in M$ . Let  $x \in \overline{U_1^{\varepsilon}} \cap \overline{U_2^{\varepsilon'}} \cap U$  and set  $d_1 = d(x, U_2 \setminus U_1), d_2 = d(x, U_1 \setminus U_2)$ . We deduce  $d_i \leq \varepsilon \varepsilon' d_i$ , for i = 1, 2. Since  $\varepsilon \varepsilon' < 1$  we obtain  $d_1 = d_2 = 0$ . Hence  $x \notin U_1$  and  $x \notin U_2$ . Since  $U = U_1 \cup U_2$ , this proves (ii).

(iii) By Lemma 1.1.9 (ii), we have  $d(x, M \setminus U_1^{\varepsilon''}) \geq \delta d(x, M \setminus U_1)$  for any  $x \in U_1^{\varepsilon'}$ . By (ii) we have  $U_2^{\varepsilon} \subset M \setminus U_1^{\varepsilon''}$  and the first inequality follows. By Lemma 1.1.9 (i), if  $x \notin U_1^{\varepsilon'}$  and  $z \in U_1^{\varepsilon}$ , then  $d(x, z) \geq \delta d(x, M \setminus U_1)$ .

This gives the second inequality. Q.E.D.

**Lemma 1.1.11.** Let  $U_1, U_2 \in \operatorname{Op}_{M_{\operatorname{sa}}}$  and set  $U = U_1 \cup U_2$ . We assume that  $\{U_1, U_2\}$  is a linear covering of U. Then there exist  $U_i' \subset U_i$ , i = 1, 2, and C > 0 such that

- (i)  $\{U_i', U_1 \cap U_2\}$  is a linear covering of  $U_i$  (i = 1, 2),
- (ii)  $\overline{U_1'} \cap \overline{U_2'} \cap U = \emptyset$ ,
- (iii) setting  $Z_i = (M \setminus U) \cup \overline{U_i'}$ , we have  $Z_1 \cap Z_2 = M \setminus U$  and

$$d(x, Z_1 \cap Z_2) \le C(d(x, Z_1) + d(x, Z_2)),$$
 for any  $x \in M$ .

*Proof.* We set  $\varepsilon = \delta = 1/3$ ,  $\varepsilon' = \frac{\varepsilon + \delta}{1 - \delta} = 1$  and  $\varepsilon'' = \frac{\varepsilon' + \delta}{1 - \delta} = 2$ . Using the notations (1.1.9) and (1.1.10) we set  $U_i' = U_i^{\varepsilon}$ , i = 1, 2.

- (i) and (ii) are given by Lemma 1.1.10 (i) and (ii).
- (iii) The equality  $Z_1 \cap Z_2 = M \setminus U$  follows from (ii). Let C be the constant in (1.1.5). We set  $C_1 = \max\{1, \delta^{-1}C\}$ . Let  $x \in M$  and let  $x_i \in Z_i$  be such that  $d(x, x_i) = d(x, Z_i)$ . By the definition of  $Z_1$ , if  $x_1 \notin \overline{U_1'}$ , then  $x_1 \in M \setminus U$ . Hence  $d(x, Z_1) = d(x, M \setminus U)$  and the inequality in (iii) is clear.

Hence we can assume  $x_1 \in \overline{U_1'}$  and also  $x_2 \in \overline{U_2'}$  by symmetry. Then we have  $d(x, Z_1) + d(x, Z_2) = d(x, U_1^{\varepsilon}) + d(x, U_2^{\varepsilon})$ . Since  $\varepsilon \varepsilon'' = 2/3 < 1$ , Lemma 1.1.10 (iii) gives  $d(x, U_1^{\varepsilon}) + d(x, U_2^{\varepsilon}) \geq \delta d(x, M \setminus U_1)$ . The same holds with  $M \setminus U_1$  replaced by  $M \setminus U_2$  and (1.1.5) gives

$$d(x, U_1^{\varepsilon}) + d(x, U_2^{\varepsilon}) \ge \delta \max_{i=1,2} \{ d(x, M \setminus U_i) \} \ge C_1^{-1} d(x, M \setminus U),$$

so that (iii) holds with  $C = C_1$ .

Q.E.D.

**Lemma 1.1.12.** We assume that the distance d is a subanalytic function on  $M \times M$ . Let  $\{U_i\}_{i=1}^N$  be a 1-regularly situated family in  $\operatorname{Op}_{M_{\operatorname{sa}}}$  and let  $C \geq 1$  be a constant satisfying (1.1.5). We choose D > C and  $1 > \varepsilon > 0$  such that  $\varepsilon D < 1 - \varepsilon$ . We define  $U_i^0, V_i, U_i' \in \operatorname{Op}_{M_{\operatorname{sa}}}$  inductively on i by  $U_1^0 = V_1 = U_1' = U_1$  and

$$U_i^0 = \{x \in U_i; \ d(x, M \setminus (U_i \cup V_{i-1})) < D \ d(x, M \setminus U_i)\},$$
  

$$V_i = V_{i-1} \cup U_i^0,$$
  

$$U_i' = (U_i^0)^{\varepsilon, V_i} \quad (using \ the \ notation \ (1.1.7)).$$

Then  $V_N = \bigcup_{i=1}^N U_i$  and, for all k = 1, ..., N, we have  $U'_k \subset U_k$ ,  $V_k = \bigcup_{i=1}^k U'_i$  and  $\{U'_i\}_{i=1}^k$  is a 1-regularly situated family in  $\operatorname{Op}_{M_{\operatorname{sa}}}$ .

*Proof.* (i) Let us prove that  $U'_k \subset U_k$ . Let  $x \in U'_k$  and let us show that  $x \in U_k$ . By (1.1.7) we have  $x \in V_k$  and there exists  $y \in U^0_k$  such that  $d(x,y) < \varepsilon d(x,M \setminus V_k)$ . We deduce  $d(x,y) < \varepsilon (d(x,y) + d(y,M \setminus V_k))$  and then

$$(1.1.11) d(x,y) < (\varepsilon/(1-\varepsilon)) d(y, M \setminus V_k).$$

On the other hand we have  $U_k^0 \subset U_k$ , hence  $V_k \subset U_k \cup V_{k-1}$ . Since  $y \in U_k^0$  we deduce

$$(1.1.12) d(y, M \setminus V_k) \le d(y, M \setminus (U_k \cup V_{k-1})) < D d(y, M \setminus U_k).$$

The inequalities (1.1.11), (1.1.12) and the hypothesis on D and  $\varepsilon$  give  $d(x, y) < d(y, M \setminus U_k)$ . Hence  $x \in U_k$ .

(ii) We have  $V_i = V_{i-1} \cup U_i^0$ . Hence Lemma 1.1.9 implies that  $\{V_{i-1}, U_i'\}$  is a covering of  $V_i$  in  $M_{\text{sa}}$ . Let us argue by induction. We immediately obtain that  $V_k = \bigcup_{i=1}^k U_i'$ . Moreover,  $\{V_{k-1}, U_k'\}$  being a covering of  $V_k$ , we get by using COV4 that, for all  $k = 1, \ldots, N$ ,  $\{U_i'\}_{i=1}^k$  is a 1-regularly situated family in  $\text{Op}_{M_{\text{sa}}}$ .

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(iii) Let us prove that  $V_N = \bigcup_{i=1}^N U_i$ . It is clear that  $V_k \subset \bigcup_{i=1}^N U_i$ , for all  $k=1,\ldots,N$ . Let  $x\in\bigcup_{i=1}^N U_i$ . Since  $\{U_i\}_{i=1}^N$  is 1-regularly situated, there exists  $i_0$  such that  $d(x,M\setminus\bigcup_{i=1}^N U_i)\leq C\,d(x,M\setminus U_{i_0})$ . In particular  $x\in U_{i_0}$  and moreover  $d(x,M\setminus (U_{i_0}\cup V_{i_0-1}))\leq C\,d(x,M\setminus U_{i_0})< D\,d(x,M\setminus U_{i_0})$ . Therefore  $x\in U_{i_0}^0$ . By definition  $U_{i_0}^0\subset V_{i_0}\subset V_N$ . Hence  $x\in V_N$  and we obtain  $V_N=\bigcup_{i=1}^N U_i$ .

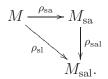
In particular, we have proved:

**Proposition 1.1.13.** Let  $U \in \operatorname{Op}_{M_{\operatorname{sa}}}$ . Then for any linear covering  $\{U_i\}_{i \in I}$  of U there exists a refinement which is a regular covering of U.

The site  $M_{\rm sal}$ 

- **Definition 1.1.14.** (a) The linear subanalytic site  $M_{\rm sal}$  is the presite  $M_{\rm sal}$  endowed with the Grothendieck topology for which the coverings are the linear coverings given by Definition 1.1.3.
- (b) We denote by  $\rho_{\rm sal}$ :  $M_{\rm sa} \to M_{\rm sal}$  and by  $\rho_{\rm sl}$ :  $M \to M_{\rm sal}$  the natural morphisms of sites.

The morphisms of sites constructed above are summarized by the diagram



Remark 1.1.15. Let  $f: M \to N$  be a bi-Lipschitz subanalytic homeomorphism between two real analytic manifolds. Then  $f^{-1}: \operatorname{Op}_{M_{\operatorname{sa}}} \to \operatorname{Op}_{N_{\operatorname{sa}}}$  induces an isomorphism of sites  $N_{\operatorname{sal}} \xrightarrow{\sim} M_{\operatorname{sal}}$ .

## 1.2 Sheaves

Sheaves on M and  $M_{\rm sal}$ 

The functor  $\rho_{\rm sal_*}$  is left exact and its left adjoint  $\rho_{\rm sal}^{-1}$  is exact since the pre sites underlying the sites  $M_{\rm sa}$  and  $M_{\rm sal}$  are the same (see [KS06, Th. 17.5.2]).

Hence, we have the pairs of adjoint functors

$$(1.2.1) \operatorname{Mod}(\mathbf{k}_{M_{\operatorname{sal}}}) \xrightarrow[\rho_{\operatorname{sal}}^{-1}]{\rho_{\operatorname{sal}}^{-1}} \operatorname{Mod}(\mathbf{k}_{M_{\operatorname{sal}}}), \quad D^{+}(\mathbf{k}_{M_{\operatorname{sal}}}) \xrightarrow[\rho_{\operatorname{sal}}^{-1}]{\rho_{\operatorname{sal}}^{-1}} D^{+}(\mathbf{k}_{M_{\operatorname{sal}}}).$$

In the sequel, if K is a compact subset of M, we set for a sheaf F on  $M_{\rm sa}$  or  $M_{\rm sal}$ :

$$\Gamma(K; F) := \varinjlim_{K \subset U} \Gamma(U; F), \quad U \in \operatorname{Op}_{M_{\operatorname{sa}}}.$$

**Lemma 1.2.1.** The functor  $\rho_{\rm sal*}$  in (1.2.1) is fully faithful and  $\rho_{\rm sal}^{-1}\rho_{\rm sal*} \simeq id$ . Moreover,  $\rho_{\rm sal}^{-1}R\rho_{\rm sal*} \simeq id$  and  $R\rho_{\rm sal*}$  in (1.2.1) is fully faithful.

*Proof.* (i) By its definition,  $\rho_{\text{sal}}^{-1}\rho_{\text{sal}*}F$  is the sheaf associated with the presheaf  $U \mapsto (\rho_{\text{sal}*}F)(U) \simeq F(U)$  and this presheaf is already a sheaf.

(ii) Since  $\rho_{\rm sal}^{-1}$  is exact,  $\rho_{\rm sal}^{-1} R \rho_{\rm sal*}$  is the derived functor of  $\rho_{\rm sal}^{-1} \rho_{\rm sal*}$ . Q.E.D.

**Lemma 1.2.2.** Let  $F \in \text{Mod}(\mathbf{k}_{M_{\text{sal}}})$ . For K compact in M, we have the natural isomorphisms

$$\Gamma(K; F) \xrightarrow{\sim} \Gamma(K; \rho_{\rm sal}^{-1}F) \xrightarrow{\sim} \Gamma(K; \rho_{\rm sl}^{-1}F).$$

*Proof.* The first isomorphism follows from Proposition 1.1.6. The second one from [KS01, Prop. 6.6.2]. Q.E.D.

The next result is analogue to [KS01, Prop. 6.6.2].

**Proposition 1.2.3.** Let  $F \in \text{Mod}(\mathbf{k}_{M_{\text{sal}}})$ . For U open in M, we have the natural isomorphism

$$\Gamma(U; \rho_{\rm sl}^{-1} F) \simeq \varprojlim_{V \subset \subset U} \Gamma(V; F), V \in \operatorname{Op}_{M_{\rm sa}}.$$

*Proof.* We have the chain of isomorphisms, the second one following from Lemma 1.2.2:

$$\Gamma(U; \rho_{\mathrm{sl}}^{-1} F) \simeq \varprojlim_{V \subset \subset U} \Gamma(\overline{V}; \rho_{\mathrm{sl}}^{-1} F) \simeq \varprojlim_{V \subset \subset U} \Gamma(\overline{V}; F) \simeq \varprojlim_{V \subset \subset U} \Gamma(V; F).$$

Q.E.D.

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The next result is analogue to [KS01, Prop. 6.6.3, 6.6.4]. Since the proof of loc. cit. extends to our situation with the help of Proposition 1.2.3, we do not repeat it.

**Proposition 1.2.4.** The functor  $\rho_{sl}^{-1}$  admits a left adjoint that we denote by  $\rho_{sl!}$ . Moreover, for  $F \in \text{Mod}(\mathbf{k}_M)$ ,  $\rho_{sl!}F$  is the sheaf on  $M_{sal}$  associated with the presheaf  $U \mapsto F(\overline{U})$ . The functor  $\rho_{sl!}$  is exact and we have an isomorphism  $\rho_{sl!} \simeq \rho_{sal*} \circ \rho_{sa!}$ .

Sheaves on  $M_{\rm sa}$  and  $M_{\rm sal}$ 

Proposition 1.2.5. Let  $U \in \operatorname{Op}_{M_{\operatorname{sa}}}$ . Then we have  $\rho_{\operatorname{sal}_*} \mathbf{k}_{UM_{\operatorname{sa}}} \simeq \mathbf{k}_{UM_{\operatorname{sal}}}$  and  $\rho_{\operatorname{sal}}^{-1} \mathbf{k}_{UM_{\operatorname{sal}}} \simeq \mathbf{k}_{UM_{\operatorname{sal}}}$ .

*Proof.* The proof of [KS01, Prop. 6.3.1] gives the first isomorphism without any changes other than notational. The second isomorphism follows by Lemma 1.2.1.

Q.E.D.

Remark 1.2.6. Denote by  $M_{\rm sa0}$  the site for which the open sets are those of  $M_{\rm sa}$  but a family  $\{U_i\}_{i\in I}$  of open subsets of U is a covering of U if and only if there exists i with  $U_i = U$ . Then the sheaves on  $M_{\rm sa0}$  are nothing but the presheaves on  $M_{\rm sa}$  and one may ask why to consider  $M_{\rm sal}$  and not  $M_{\rm sa0}$  which is easier to manipulate. One reason is that Proposition 1.2.5 is no more true with this new site, and, as a by-product, Theorem 1.4.13 below would no more be true with  $M_{\rm sa0}$  instead of  $M_{\rm sal}$ .

**Proposition 1.2.7.** Let  $U \in \operatorname{Op}_{M_{\operatorname{sa}}}$  and let  $F \in \operatorname{Mod}(\mathbf{k}_{M_{\operatorname{sa}}})$ . Then

$$R\Gamma(U; R\rho_{\mathrm{sal}*}F) \simeq R\Gamma(U; F).$$

Proof. This follows from  $R\Gamma(U;G) \simeq RHom(\mathbf{k}_U,G)$  for  $G \in Mod(\mathbf{k}_{\mathscr{T}})$  ( $\mathscr{T} = M_{sa}$  or  $\mathscr{T} = M_{sal}$ ) and by adjunction since  $\rho_{sal}^{-1} \mathbf{k}_{UM_{sal}} \simeq \mathbf{k}_{UM_{sa}}$ . Q.E.D.

In the sequel we shall simply denote by  $\mathbf{k}_U$  the sheaf  $\mathbf{k}_{U\mathscr{T}}$  for  $\mathscr{T}=M_{\mathrm{sa}}$  or  $\mathscr{T}=M_{\mathrm{sal}}$ .

**Proposition 1.2.8.** Let  $\mathscr{T}$  be either the site  $M_{\rm sa}$  or the site  $M_{\rm sal}$ . Then a presheaf F is a sheaf if and only if it satisfies:

(i) 
$$F(\emptyset) = 0$$
,

(ii) for any  $U_1, U_2 \in \operatorname{Op}_{M_{\operatorname{sa}}}$  such that  $\{U_1, U_2\}$  is a covering of  $U_1 \cup U_2$ , the sequence  $0 \to F(U_1 \cup U_2) \to F(U_1) \oplus F(U_2) \to F(U_1 \cap U_2)$  is exact.

Of course, if  $\mathcal{T} = M_{\text{sa}}$ ,  $\{U_1, U_2\}$  is always a covering of  $U_1 \cup U_2$ .

Proof. In the case of the site  $M_{\text{sa}}$  this is Proposition 6.4.1 of [KS01]. Let F be a presheaf on  $M_{\text{sal}}$  such that (i) and (ii) are satisfied and let us prove that F is a sheaf. Let  $U \in \text{Op}_{M_{\text{sa}}}$  and let  $\{U_i\}_{i \in I}$  be a linear covering of U. By Proposition 1.1.13 we can find a finite refinement  $\{V_j\}_{j \in J}$  of  $\{U_i\}_{i \in I}$  which is a regular covering of U. We choose  $\sigma \colon J \to I$  such that  $V_j \subset U_{\sigma(j)}$  for all  $j \in J$  and we consider the commutative diagram

$$(1.2.2) \qquad 0 \longrightarrow F(U) \xrightarrow{u} \bigoplus_{i \in I} F(U_i) \xrightarrow{v} \bigoplus_{i,j \in I} F(U_{ij})$$

$$\downarrow a \qquad \qquad \downarrow b$$

$$0 \longrightarrow F(U) \longrightarrow \bigoplus_{k \in J} F(V_k) \longrightarrow \bigoplus_{k,l \in J} F(V_{kl}),$$

where a and b are defined as follows. For  $s = \{s_i\}_{i \in I} \in \bigoplus_{i \in I} F(U_i)$ , we set  $a(s) = \{t_k\}_{k \in J} \in \bigoplus_{k \in J} F(V_k)$  where  $t_k = s_{\sigma(k)}|_{V_k}$ . In the same way we set  $b(\{s_{ij}\}_{i,j \in I}) = \{s_{\sigma(k)\sigma(l)}|_{V_{kl}}\}_{k,l \in J}$ . The proof of [KS01, Prop. 6.4.1] applies to a regular covering in  $M_{\rm sal}$  and we deduce that the bottom row of the diagram (1.2.2) is exact. It follows immediately that  $\ker u = 0$ . This proves that F is a separated presheaf.

It remains to prove that  $\operatorname{Ker} v = \operatorname{Im} u$ . Let  $s = \{s_i\}_{i \in I} \in \bigoplus_{i \in I} F(U_i)$  be such that v(s) = 0. By the exactness of the bottom row we can find  $t \in F(U)$  such that a(u(t) - s) = 0. Let us check that  $t|_{U_i} = s_i$  for any given  $i \in I$ . The family  $\{U_i \cap V_k\}_{k \in J}$  is a covering of  $U_i$  in  $M_{\operatorname{sal}}$ . Since F is separated it is enough to see that  $t|_{U_i \cap V_k} = s_i|_{U_i \cap V_k}$  for all  $k \in J$ . Setting  $W = U_i \cap V_k$ , we have

$$t|_{W} = s_{\sigma(k)}|_{W} = (s_{\sigma(k)}|_{U_{i} \cap U_{\sigma(k)}})|_{W} = (s_{i}|_{U_{i} \cap U_{\sigma(k)}})|_{W} = s_{i}|_{W},$$

where the first equality follows from a(u(t) - s) = 0 and the third one from v(s) = 0. Q.E.D.

**Lemma 1.2.9.** Let  $\mathscr{T}$  be either the site  $M_{\mathrm{sa}}$  or the site  $M_{\mathrm{sal}}$ . Let  $U \in \mathrm{Op}_{M_{\mathrm{sa}}}$  and let  $\{F_i\}_{i \in I}$  be an inductive system in  $\mathrm{Mod}(\mathbf{k}_{\mathscr{T}})$  indexed by a small filtrant category I. Then

(1.2.3) 
$$\underset{i}{\underline{\lim}} \Gamma(U; F_i) \xrightarrow{\sim} \Gamma(U; \underset{i}{\underline{\lim}} F_i).$$

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This kind of results is well-known from the specialists (see e.g. [KS01, EP10]) but for the reader's convenience, we give a proof.

*Proof.* For a covering  $\mathscr{S} = \{U_i\}_i$  of U set

$$\Gamma(\mathscr{S}; F) := \operatorname{Ker} \left( \prod_{i} F(U_i) \Longrightarrow \prod_{ij} F(U_i \cap U_j) \right).$$

Denote by " $\varinjlim$ " the inductive limit in the category of presheaves and recall that  $\varinjlim_{i} F_{i}$  is the sheaf associated with " $\varinjlim_{i} F_{i}$ . The presheaf " $\varinjlim_{i} F_{i}$  is separated. Denote by  $\mathrm{Cov}(U)$  the family of coverings of U in  $\mathscr T$  ordered as follows. For  $\mathscr S_{1}$  and  $\mathscr S_{2}$  in  $\mathrm{Cov}(U)$ ,  $\mathscr S_{1} \preceq \mathscr S_{2}$  if  $\mathscr S_{1}$  is a refinement of  $\mathscr S_{2}$ . Then  $\mathrm{Cov}(U)^{\mathrm{op}}$  is filtrant and

$$\Gamma(U; \varinjlim_{i} F_{i}) \simeq \varinjlim_{\mathscr{S} \in Cov(U)} \Gamma(\mathscr{S}; "\varinjlim_{i}" F_{i})$$

$$\simeq \varinjlim_{i} \varinjlim_{\mathscr{S}} \Gamma(\mathscr{S}; F_{i})$$

$$\simeq \varinjlim_{i} \varinjlim_{\mathscr{S}} \Gamma(\mathscr{S}; F_{i}) \simeq \varinjlim_{i} \Gamma(U; F_{i}).$$

Here, the second isomorphism follows from the fact that we may assume that the covering  $\mathscr S$  is finite. Q.E.D.

**Example 1.2.10.** Let  $M = \mathbb{R}^2$  endowed with coordinates  $x = (x_1, x_2)$ . For  $\varepsilon, A > 0$  we define the subanalytic open subset

(1.2.4) 
$$U_{A,\varepsilon} = \{x; \ 0 < x_1 < \varepsilon, \ -Ax_1^2 < x_2 < Ax_1^2\}.$$

We define a presheaf F on  $M_{\text{sal}}$  by setting, for any  $V \in \text{Op}_{M_{\text{sa}}}$ ,

$$F(V) = \begin{cases} \mathbf{k} & \text{if for any } A > 0, \text{ there exists } \varepsilon > 0 \text{ such that } U_{A,\varepsilon} \subset V, \\ 0 & \text{otherwise.} \end{cases}$$

The restriction map  $F(V) \to F(V')$ , for  $V' \subset V$ , is  $\mathrm{id}_{\mathbf{k}}$  if  $F(V') = \mathbf{k}$ . We prove that F is sheaf in (iii) below after the preliminary remarks (i) and (ii).

(i) For a given A > 0 we have  $d((\varepsilon, 0), M \setminus U_{A,\varepsilon}) \ge (A/4)\varepsilon^2$ , for any  $\varepsilon > 0$  small enough. In particular, if  $F(V) = \mathbf{k}$ , then

(1.2.5) 
$$d((\varepsilon,0), M \setminus V)/\varepsilon^2 \to +\infty \text{ when } \varepsilon \to 0.$$

(ii) Let us assume that there exist A > 0 and a sequence  $\{\varepsilon_n\}$ ,  $n \in \mathbb{N}$ , such that  $\varepsilon_n > 0$ ,  $\varepsilon_n \to 0$  when  $n \to \infty$  and V contains the closed balls  $B((\varepsilon_n, 0), A\varepsilon_n^2)$  for all  $n \in \mathbb{N}$ . Then there exists  $\varepsilon > 0$  such that V contains  $\overline{U_{A,\varepsilon}} \setminus \{0\}$ .

Before we prove this claim we translate the conclusion in terms of sheaf theory (in the usual site  $\mathbb{R}^2$ ). Let  $p \colon \mathbb{R}^2 \to \mathbb{R}$  be the projection  $(x_1, x_2) \mapsto x_1$ . Then, for  $x_1 > 0$ , the set  $p^{-1}(x_1) \cap V \cap \overline{U_{A,\varepsilon}}$  is a finite disjoint union of intervals, say  $I_1, \ldots, I_N$ . If  $p^{-1}(x_1) \cap V$  contains  $p^{-1}(x_1) \cap \overline{U_{A,\varepsilon}}$ , then N = 1,  $I_1$  is closed and  $R\Gamma(\mathbb{R}; \mathbf{k}_{I_1}) = \mathbf{k}$ . In the other case none of these  $I_1, \ldots, I_N$  is closed and  $H^0(\mathbb{R}; \mathbf{k}_{I_j}) = 0$ , for all  $j = 1, \ldots, N$ . By the base change formula we deduce that V contains  $\overline{U_{A,\varepsilon}} \setminus \{0\}$  if and only if  $Rp_*(\mathbf{k}_{V \cap \overline{U_{A,\varepsilon}}})|_{[0,\varepsilon]} \simeq \mathbf{k}_{[0,\varepsilon]}$ .

We remark that, for  $\varepsilon < 1$ , we have  $Rp_*(\mathbf{k}_{V \cap \overline{U_{A,\varepsilon}}})|_{]0,\varepsilon]} \simeq Rp_*(\mathbf{k}_{V \cap \overline{U_{A,1}}})|_{]0,\varepsilon]}$ . The sheaf  $Rp_*(\mathbf{k}_{V \cap \overline{U_{A,1}}})$  is constructible. Hence it is constant on  $]0,\varepsilon]$  for  $\varepsilon > 0$  small enough. Since  $(Rp_*(\mathbf{k}_{V \cap \overline{U_{A,1}}}))_{\varepsilon_n} \simeq \mathbf{k}$  by hypothesis, the conclusion follows.

- (iii) Now we check that F is a sheaf on  $M_{\rm sal}$  with the criterion of Proposition 1.2.8. Let  $U, U_1, U_2 \in \operatorname{Op}_{M_{\rm sa}}$  such that  $\{U_1, U_2\}$  is a covering of U.
- (iii-a) Let us prove that  $F(U) \to F(U_1) \oplus F(U_2)$  is injective. So we assume that  $F(U) = \mathbf{k}$  (otherwise this is obvious) and we prove that  $F(U_1) = \mathbf{k}$  or  $F(U_2) = \mathbf{k}$ . Let A > 0. By (1.2.5) and (1.1.5) there exists  $\varepsilon_0 > 0$  such that

$$\max\{d((\varepsilon,0), M \setminus U_1), d((\varepsilon,0), M \setminus U_2)\} \ge A\varepsilon^2$$
, for all  $\varepsilon \in ]0, \varepsilon_0[$ .

Hence, for any integer  $n \geq 1$ , the ball  $B((1/n,0),A/n^2)$  is included in  $U_1$  or  $U_2$ . One of  $U_1$  or  $U_2$  must contain infinitely many such balls. By (ii) we deduce that it contains  $U_{A,\varepsilon_A}$ , for some  $\varepsilon_A > 0$ . When A runs over  $\mathbb{N}$  we deduce that one of  $U_1$  or  $U_2$  contains infinitely many sets of the type  $U_{A,\varepsilon_A}$ ,  $A \in \mathbb{N}$ . Hence  $F(U_1) = \mathbf{k}$  or  $F(U_2) = \mathbf{k}$ .

- (iii-b) Now we prove that the kernel of  $F(U_1) \oplus F(U_2) \to F(U_{12})$  is F(U). We see easily that the only case where this kernel could be bigger than F(U) is  $F(U_1) = F(U_2) = \mathbf{k}$  and  $F(U_{12}) = 0$ . In this case, for any A > 0, there exist  $\varepsilon_1, \varepsilon_2 > 0$  such that  $U_{A,\varepsilon_1} \subset U_1$  and  $U_{A,\varepsilon_2} \subset U_2$ . This gives  $U_{A,\min\{\varepsilon_1,\varepsilon_2\}} \subset U_{12}$  which contradicts  $F(U_{12}) = 0$ .
- (iv) By the definition of F we have a natural morphism  $u: F \to \rho_{\operatorname{sal}} \mathbf{k}_{\{0\}}$  which is surjective. We can see that  $\rho_{\operatorname{sal}}^{-1}(u)$  is an isomorphism. We define  $N \in \operatorname{Mod}(\mathbf{k}_{M_{\operatorname{sal}}})$  by the exact sequence

(1.2.6) 
$$0 \to N \to F \to \rho_{\text{sal}_*} \mathbf{k}_{\{0\}} \to 0.$$

Then  $\rho_{\rm sal}^{-1}N \simeq 0$  but  $N \neq 0$ . More precisely, for  $V \in \operatorname{Op}_{M_{\rm sa}}$ , we have N(V) = 0 if  $0 \in V$  and  $N(V) \xrightarrow{\sim} F(V)$  if  $0 \notin V$ .

### 1.3 $\Gamma$ -acyclic sheaves

#### Cech complexes

In this subsection,  $\mathscr{T}$  denotes either the site  $M_{\mathrm{sa}}$  or the site  $M_{\mathrm{sal}}$ . For a finite set I and a family of open subset  $\{U_i\}_{i\in I}$  we set for  $\emptyset \neq J \subset I$ ,

$$U_J := \bigcap_{j \in J} U_j.$$

**Lemma 1.3.1.** Let  $\mathscr{T}$  be either the site  $M_{\mathrm{sa}}$  or the site  $M_{\mathrm{sal}}$ . Let  $\{U_1, U_2\}$  be a covering of  $U_1 \cup U_2$ . Then the sequence

$$(1.3.1) 0 \rightarrow \mathbf{k}_{U_{12}} \rightarrow \mathbf{k}_{U_1} \oplus \mathbf{k}_{U_2} \rightarrow \mathbf{k}_{U_1 \cup U_2} \rightarrow 0$$

is exact.

*Proof.* The result is well-known for the site  $M_{\rm sa}$  and the functor  $\rho_{\rm sal*}$  being left exact, it remains to show that  $\mathbf{k}_{U_1} \oplus \mathbf{k}_{U_2} \to \mathbf{k}_{U_1 \cup U_2}$  is an epimorphism. This follows from the fact that for any  $F \in \operatorname{Mod}(\mathbf{k}_{M_{\rm sal}})$ , the map  $\operatorname{Hom}_{\mathbf{k}_{M_{\rm sal}}}(\mathbf{k}_{U_1 \cup U_2}, F) \to \operatorname{Hom}_{\mathbf{k}_{M_{\rm sal}}}(\mathbf{k}_{U_1} \oplus \mathbf{k}_{U_2}, F)$  is a monomorphism. Q.E.D.

Consider now a finite family  $\{U_i\}_{i\in I}$  of objects of  $\operatorname{Op}_{M_{\operatorname{sa}}}$  and let N:=|I|. Then we have the Cech complex in  $\operatorname{Mod}(\mathbf{k}_{\mathscr{T}})$  in which the term corresponding to |J|=1 is in degree 0.

$$(1.3.2) \quad \mathbf{k}_{\mathscr{U}}^{\bullet} := 0 \to \bigoplus_{J \subset I, |J| = N} \mathbf{k}_{U_J} \otimes e_J \xrightarrow{d} \cdots \xrightarrow{d} \bigoplus_{J \subset I, |J| = 1} \mathbf{k}_{U_J} \otimes e_J \to 0.$$

Recall that  $\{e_J\}_{|J|=k}$  is a basis of  $\bigwedge^k \mathbb{Z}^N$  and the differential is defined as usual by sending  $\mathbf{k}_{U_J} \otimes e_J$  to  $\bigoplus_{i \in I} \mathbf{k}_{U_{J \setminus \{i\}}} \otimes e_i \lfloor e_J$  using the natural morphism  $\mathbf{k}_{U_J} \to \mathbf{k}_{U_{J \setminus \{i\}}}$ .

**Proposition 1.3.2.** Let  $\mathscr{T}$  be either the site  $M_{\mathrm{sa}}$  or the site  $M_{\mathrm{sal}}$ . Let  $U \in \mathrm{Op}_{M_{\mathrm{sa}}}$  and let  $\mathscr{U} := \{U_i\}_i \in I$  be a finite covering of U in  $\mathscr{T}$  (a regular covering in case  $\mathscr{T} = M_{\mathrm{sal}}$ ). Then the natural morphism  $\mathbf{k}_{\mathscr{U}}^{\bullet} \to \mathbf{k}_U$  is a quasi-isomorphism.

Proof. Let N = |I|. We may assume I = [1, N]. For N = 2 this is nothing but Lemma 1.3.1. We argue by induction and assume the result is proved for N - 1. Denote by  $\mathscr{U}'$  the covering of  $U' := \bigcup_{1 \leq i \leq N-1} U_i$  by the family  $\{U_i\}_{i \in [1, \dots, N-1]}$ . Consider the subcomplex  $F_1$  of  $\mathbf{k}_{\mathscr{U}}$  given by

$$(1.3.3) F_1 := 0 \to \bigoplus_{N \in J \subset I, |J| = N} \mathbf{k}_{U_J} \otimes e_J \xrightarrow{d} \cdots \xrightarrow{d} \bigoplus_{N \in J \subset I, |J| = 1} \mathbf{k}_{U_J} \otimes e_J \to 0.$$

Note that  $F_1$  is isomorphic to the complex  $\mathbf{k}_{\mathscr{U}'\cap U_N}^{\bullet} \to \mathbf{k}_{U_N}$  where  $\mathbf{k}_{U_N}$  is in degree 0 and we shall represent  $F_1$  by this last complex. By [KS06, Th. 12.4.3], there is a natural morphism of complexes

$$(1.3.4) u: \mathbf{k}_{\mathscr{U}'}^{\bullet}[-1] \to (\mathbf{k}_{\mathscr{U}'\cap U_N}^{\bullet} \to \mathbf{k}_{U_N})$$

such that  $\mathbf{k}^{\bullet}_{\mathscr{U}}$  is isomorphic to the mapping cone of u. Hence, writing the long exact sequence associated with the mapping cone of u, we are reduced, by the induction hypothesis, to prove that the morphism

$$\mathbf{k}_{U'\cap U_n} \to \mathbf{k}_{U'} \oplus \mathbf{k}_{U_n}$$

is a monomorphism and its cokernel is isomorphic to  $\mathbf{k}_U$ . Since  $\{U', U_N\}$  is a covering of U, this follows from Lemma 1.3.1. Q.E.D.

#### Acyclic sheaves

In this subsection,  $\mathscr{T}$  denotes either the site  $M_{\rm sa}$  or the site  $M_{\rm sal}$ . In the literature, one often encounters sheaves which are  $\Gamma(U; \bullet)$ -acyclic for a given  $U \in \mathscr{T}$  but the next definition does not seem to be frequently used.

**Definition 1.3.3.** Let  $F \in \text{Mod}(\mathbf{k}_{\mathscr{T}})$ . We say that F is Γ-acyclic if we have  $H^k(U;F) \simeq 0$  for all k > 0 and all  $U \in \mathscr{T}$ .

We shall give criteria in order that a sheaf F on the site  $\mathscr{T}$  be  $\Gamma$ -acyclic. Let  $U \in \operatorname{Op}_{M_{\operatorname{sa}}}$  and let  $\mathscr{U} := \{U_i\}_i \in I$  be a finite covering of U in  $\mathscr{T}$  (a regular covering in case  $\mathscr{T} = M_{\operatorname{sal}}$ ). We denote by  $C^{\bullet}(\mathscr{U}; F)$  the associated Cech complex:

(1.3.5) 
$$C^{\bullet}(\mathscr{U}; F) := \operatorname{Hom}_{\mathbf{k}_{M_{\text{cal}}}}(\mathbf{k}_{\mathscr{U}}, F).$$

One can write more explicitly this complex as the complex:

$$(1.3.6) 0 \to \bigoplus_{J \subset I, |J|=1} F(U_J) \otimes e_J \xrightarrow{d} \cdots \xrightarrow{d} \bigoplus_{J \subset I, |J|=N} F(U_J) \otimes e_J \to 0$$

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where the differential d is obtained by sending  $F(U_J) \otimes e_J$  to  $\bigoplus_{i \in I} F(U_J \cap U_i) \otimes e_i \wedge e_J$ .

**Proposition 1.3.4.** Let  $\mathscr{T}$  be either the site  $M_{\mathrm{sa}}$  or the site  $M_{\mathrm{sal}}$  and let  $F \in \mathrm{Mod}(\mathbf{k}_{\mathscr{T}})$ . The conditions below are equivalent.

- (i) For any  $\{U_1, U_2\}$  which is a covering of  $U_1 \cup U_2$ , the sequence  $0 \to F(U_1 \cup U_2) \to F(U_1) \oplus F(U_2) \to F(U_1 \cap U_2) \to 0$  is exact.
- (ii) The sheaf F is  $\Gamma$ -acyclic.
- (iii) For any exact sequence in  $Mod(\mathbf{k}_{\mathscr{T}})$

(1.3.7) 
$$G^{\bullet} := 0 \to \bigoplus_{i_0 \in A_0} \mathbf{k}_{U_{i_0}} \to \cdots \to \bigoplus_{i_N \in A_N} \mathbf{k}_{U_{i_N}} \to 0$$

the sequence  $\operatorname{Hom}_{\mathbf{k}_{\mathscr{T}}}(G^{\bullet}, F)$  is exact.

(iv) For any finite covering  $\mathscr{U}$  of U (regular covering in case  $\mathscr{T} = M_{\rm sal}$ ), the morphism  $F(U) \to C^{\bullet}(\mathscr{U}; F)$  is a quasi-isomorphism.

Proof. (i) $\Rightarrow$ (ii) (a) Let  $U \in \operatorname{Op}_{M_{\operatorname{sa}}}$ . Let us first show that for any exact sequence of sheaves  $0 \to F \xrightarrow{\varphi} F' \xrightarrow{\psi} F'' \to 0$  and any  $U \in \operatorname{Op}_{M_{\operatorname{sa}}}$ , the sequence  $0 \to F(U) \to F'(U) \to F''(U) \to 0$  is exact. Let  $s'' \in F''(U)$ . By the exactness of the sequence of sheaves, there exists a finite covering  $U = \bigcup_{i=1}^N U_i$  and  $s_i' \in F'(U_i)$  such that  $\psi(s_i') = s''|_{U_i}$ . In case  $\mathscr{T} = M_{\operatorname{sal}}$ , we may assume that the covering is regular by Proposition 1.1.13. For  $k = 1, \ldots, N$ , we set  $V_k = \bigcup_{i=1}^k U_i$ . Let us prove by induction on k that there exists  $t_k' \in F'(V_k)$  such that  $\psi(t_k') = s''|_{V_k}$ . Starting with  $t_1' = s_1'$  we assume that we have found  $t_k'$ . Since our covering is regular,  $\{V_k, U_{k+1}\}$  is a covering of  $V_{k+1}$ . We set for short  $W = V_k \cap U_{k+1}$ . We have  $\psi(t_k'|_W) = \psi(s_{k+1}'|_W)$ . Hence there exists  $s \in F(W)$  such that  $\varphi(s) = t_k'|_W - s_{k+1}'|_W$ . By hypothesis (i) there exists  $s_V \in F(V_k)$  and  $s_U \in F(U_{k+1})$  such that  $s = s_V|_W - s_U|_W$ . Setting  $t_V' = t_k' - \psi(s_V)$  and  $s_U' = s_{k+1}' - \psi(s_U)$  we obtain  $t_U'|_W = s_V'|_W$  and we can glue  $t_U'|_W$  and  $s_V'|_W$  into  $t_{k+1}' \in F(V_{k+1})$ . We check easily that  $\psi(t_{k+1}') = s''|_{V_{k+1}}$  and the induction proceeds.

(i) $\Rightarrow$ (ii) (b) Denote by  $\mathscr{J}$  the full additive subcategory of  $\operatorname{Mod}(\mathbf{k}_{\mathscr{T}})$  consisting of sheaves satisfying the condition (i). We shall show that the category  $\mathscr{J}$  is  $\Gamma(U; \bullet)$ -injective for all  $U \in \operatorname{Op}_{M_{\operatorname{sa}}}$ . Let  $F^{\bullet} := 0 \to F' \to F \to F'' \to 0$  be a short exact sequence of sheaves.

The category  $\mathscr{J}$  contains the injective sheaves. By the preceding result, it thus remains to show that if both F' and F belong to  $\mathscr{J}$ , then F'' belongs to  $\mathscr{J}$ .

Let  $U_1, U_2$  as in (i) and denote by  $\mathbf{k}_{\mathscr{U}}^{\bullet}$  the exact sequence  $0 \to \mathbf{k}_{U_1 \cap U_2} \to \mathbf{k}_{U_1} \oplus \mathbf{k}_{U_2} \to \mathbf{k}_{U_1 \cup U_2} \to 0$ . Consider the double complex  $\operatorname{Hom}_{\mathbf{k}_{\mathscr{T}}}(\mathbf{k}_{\mathscr{U}}^{\bullet}, F^{\bullet})$ . By the preceding result all rows and columns except at most one (either one row or one column depending how one writes the double complex) are exact. It follows that the double complex is exact.

- (ii) $\Rightarrow$ (iii) Consider an injective resolution  $I^{\bullet}$  of F, that is, a complex  $I^{\bullet}$  of injective sheaves such that the sequence  $I^{\bullet,+}:=0 \to F \to I^{\bullet}$  is exact. The hypothesis implies that  $\Gamma(W;I^{\bullet,+})$  remains exact for all  $W \in \operatorname{Op}_{M_{\operatorname{sa}}}$ . Then the argument goes as in the proof of (i) $\Rightarrow$ (ii) (b). Recall that  $G^{\bullet}$  denotes the complex of (1.3.7) and consider the double complex  $\operatorname{Hom}_{\mathbf{k}_{\mathscr{T}}}(G^{\bullet},I^{\bullet,+})$ . Then all its rows and columns except one (either one row or one column depending how one writes the double complex) will be exact. It follows that all rows and columns are exact.
- $(iii) \Rightarrow (iv)$  follows from Proposition 1.3.2.

$$(iv)\Rightarrow(i)$$
 is obvious. Q.E.D.

Corollary 1.3.5. Let  $\mathscr{T}$  be either the site  $M_{\rm sa}$  or the site  $M_{\rm sal}$ . A small filtrant inductive limit of  $\Gamma$ -acyclic sheaves is  $\Gamma$ -acyclic.

Proof. Since small filtrant inductive limits are exact in  $Mod(\mathbf{k})$ , the family of sheaves satisfying condition (i) of Proposition 1.3.4 is stable by such limits by Lemma 1.2.9. Q.E.D.

**Definition 1.3.6.** Let  $\mathscr{T}$  be either the site  $M_{\mathrm{sa}}$  or the site  $M_{\mathrm{sal}}$ . One says that  $F \in \mathrm{Mod}(\mathbf{k}_{\mathscr{T}})$  is flabby if for any U and V in  $\mathrm{Op}_{M_{\mathrm{sa}}}$  with  $V \subset U$ , the natural morphism  $F(U) \to F(V)$  is surjective.

**Lemma 1.3.7.** Let  $\mathscr{T}$  be either the site  $M_{\rm sa}$  or the site  $M_{\rm sal}$ .

- (i) Injective sheaves are flabby.
- (ii) Flabby sheaves are  $\Gamma$ -acyclic.
- (iii) The category of flabby sheaves is stable by small filtrant inductive limits.

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*Proof.* (i) Let F be an injective sheaf and let U and V in  $\operatorname{Op}_{M_{\operatorname{sa}}}$  with  $V \subset U$ . Recall that the sequence  $0 \to \mathbf{k}_V \to \mathbf{k}_U$  is exact. Applying the functor  $\operatorname{Hom}_{\mathbf{k}_{\mathscr{T}}}(\bullet, F)$  we get the result.

- (ii) If  $F \in \text{Mod}(\mathbf{k}_{\mathscr{T}})$  is flabby then it satisfies condition (i) of Proposition 1.3.4.
- (iii) Let  $\{F_i\}_{i\in I}$  be a small filtrant inductive system of flabby objects in  $\operatorname{Mod}(\mathbf{k}_{\mathscr{T}})$  and let U and V in  $\operatorname{Op}_{M_{\operatorname{sa}}}$  with  $V\subset U$ . The family of epimorphisms  $F_i(U){\twoheadrightarrow}F_i(V)$  gives the epimorphism  $\varinjlim_i F_i(U){\twoheadrightarrow}\varinjlim_i F_i(V)$ . Applying Lemma 1.2.9 we get the epimorphism  $\Gamma(U;\varinjlim_i F_i){\twoheadrightarrow}\Gamma(V;\varinjlim_i F_i)$ . Q.E.D.

## 1.4 The functor $\rho_{\rm sal}^!$

#### Direct sums in derived categories

In this subsection, we state and prove some elementary results that we shall need, some of them being well-known from the specialists.

**Lemma 1.4.1.** Let  $\mathscr{C}$  be a Grothendieck category and let  $d \in \mathbb{Z}$ . Then the cohomology functor  $H^d$  and the truncation functors  $\tau^{\leq d}$  and  $\tau^{\geq d}$  commute with small direct sums in  $D(\mathscr{C})$ . In other words, if  $\{F_i\}_{i\in I}$  is a small family of objects of  $D(\mathscr{C})$ , then

$$(1.4.1) \qquad \bigoplus_{i} \tau^{\leq d} F_{i} \xrightarrow{\sim} \tau^{\leq d} (\bigoplus_{i} F_{i})$$

and similarly with  $\tau^{\geq d}$  and  $H^d$ .

*Proof.* (i) The case of  $H^d$  follows from [KS06, Prop. 10.2.8, Prop. 14.1.1].

(ii) The morphism in (1.4.1) is well-defined and it is enough to check that it induces an isomorphism on the cohomology. This follows from (i) since for any object  $Y \in D(\mathscr{C})$ ,  $H^j(\tau^{\leq d}Y)$  is either 0 or  $H^j(Y)$ . Q.E.D.

**Lemma 1.4.2.** Let  $\mathscr{C}$  and  $\mathscr{C}'$  be two Grothendieck categories and let  $\rho \colon \mathscr{C} \to \mathscr{C}'$  be a left exact functor. Let I be a small category. Assume

- (i) I is either filtrant or discrete,
- (ii)  $\rho$  commutes with inductive limits indexed by I,

(iii) inductive limits indexed by I of injective objects in  $\mathscr{C}$  are acyclic for the functor  $\rho$ .

Then for all  $j \in \mathbb{Z}$ , the functor  $R^j \rho \colon \mathscr{C} \to \mathscr{C}'$  commutes with inductive limits indexed by I.

Proof. Let  $\alpha \colon I \to \mathscr{C}$  be a functor. Denote by  $\mathscr{I}$  the full additive subcategory of  $\mathscr{C}$  consisting of injective objects. It follows for example from [KS06, Cor. 9.6.6] that there exists a functor  $\psi \colon I \to \mathscr{I}$  and a morphism of functors  $\alpha \to \psi$  such that for each  $i \in I$ ,  $\alpha(i) \to \psi(i)$  is a monomorphism. Therefore one can construct a functor  $\Psi \colon I \to C^+(\mathscr{I})$  and a morphism of functor  $\alpha \to \Psi$  such that for each  $i \in I$ ,  $\alpha(i) \to \Psi(i)$  is a quasi-isomorphism. Set  $X_i = \alpha(i)$  and  $G_i^{\bullet} = \Psi(i)$ . We get a qis  $X_i \to G_i^{\bullet}$ , hence a qis

$$\varinjlim_{i} X_{i} \to \varinjlim_{i} G_{i}^{\bullet}$$
.

On the other hand, we have

$$\frac{\lim_{i} R^{j} \rho(X_{i})}{i} \simeq \lim_{i} H^{j}(\rho(G_{i}^{\bullet}))$$

$$\simeq H^{j} \rho(\lim_{i} G_{i}^{\bullet})$$

where the second isomorphism follows from the fact that  $H^j$  commutes with direct sums and with filtrant inductive limits. Then the result follows from hypothesis (iii). Q.E.D.

**Lemma 1.4.3.** We make the same hypothesis as in Lemma 1.4.2. Let  $-\infty < a \le b < \infty$ , let I be a small set and let  $X_i \in D^{[a,b]}(\mathscr{C})$ . Then

$$(1.4.2) \qquad \bigoplus_{i} R\rho(X_i) \xrightarrow{\sim} R\rho(\bigoplus_{i} X_i).$$

*Proof.* The morphism in (1.4.2) is well-defined and we have to prove it is an isomorphism. If b=a, the result follows from Lemma 1.4.2. The general case is deduced by induction on b-a by considering the distinguished triangles

$$H^a(X_i)[-a] \to X_i \to \tau^{\geq a+1} X_i \xrightarrow{+1} .$$

Q.E.D.

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**Proposition 1.4.4.** Let  $\mathscr{C}$  and  $\mathscr{C}'$  be two Grothendieck categories and let  $\rho \colon \mathscr{C} \to \mathscr{C}'$  be a left exact functor. Assume that

- (a)  $\rho$  has finite cohomological dimension,
- (b)  $\rho$  commutes with small direct sums,
- (c) small direct sums of injective objects in  $\mathscr C$  are acyclic for the functor  $\rho$ .

  Then
  - (i) the functor  $R\rho: D(\mathscr{C}) \to D(\mathscr{C}')$  commutes with small direct sums,
- (ii) the functor  $R\rho \colon D(\mathscr{C}) \to D(\mathscr{C}')$  admits a right adjoint  $\rho^! \colon D(\mathscr{C}') \to D(\mathscr{C})$ ,
- (iii) the functor  $\rho^!$  induces a functor  $\rho^!$ :  $D^+(\mathscr{C}') \to D^+(\mathscr{C})$ .

*Proof.* (i) Let  $\{X_i\}_{i\in I}$  be a family of objects of  $D(\mathscr{C})$ . It is enough to check that the natural morphism in  $D(\mathscr{C}')$ 

$$(1.4.3) \qquad \bigoplus_{i \in I} R\rho(X_i) \to R\rho(\bigoplus_{i \in I} X_i)$$

induces an isomorphism on the cohomology groups. Assume that  $\rho$  has cohomological dimension  $\leq d$ . For  $X \in D(\mathscr{C})$  and for  $j \in \mathbb{Z}$ , we have

$$\tau^{\geq j} R \rho(X) \simeq \tau^{\geq j} R \rho(\tau^{\geq j-d-1} X).$$

The functor  $\rho$  being left exact we get for  $k \geq j$ :

(1.4.4) 
$$H^k R \rho(X) \simeq H^k R \rho(\tau^{\leq k} \tau^{\geq j-d-1} X).$$

We have the sequence of isomorphisms:

$$H^{k}R\rho(\bigoplus_{i} X_{i}) \simeq H^{k}R\rho(\tau^{\leq k}\tau^{\geq j-d-1}\bigoplus_{i} X_{i})$$

$$\simeq H^{k}R\rho(\bigoplus_{i} \tau^{\leq k}\tau^{\geq j-d-1}X_{i})$$

$$\simeq \bigoplus_{i} H^{k}R\rho(\tau^{\leq k}\tau^{\geq j-d-1}X_{i})$$

$$\simeq \bigoplus_{i} H^{k}R\rho(X_{i}).$$

The first and last isomorphisms follow from (1.4.4).

The second isomorphism follows from Lemma 1.4.1.

The third isomorphism follows from Lemma 4.1.2.

- (ii) follows from (i) and the Brown representability theorem (see for example [KS06, Cor. 14.2.3]).
- (iii) This follows from hypothesis (a) and (the well-known) Lemma 1.4.5 below.

  Q.E.D.

**Lemma 1.4.5.** Let  $\rho: \mathscr{C} \to \mathscr{C}'$  be a left exact functor between two Grothendieck categories. Assume that  $\rho: D(\mathscr{C}) \to D(\mathscr{C}')$  admits a right adjoint  $\rho^!: D(\mathscr{C}') \to D(\mathscr{C})$  and assume moreover that  $\rho$  has finite cohomological dimension. Then the functor  $\rho^!$  sends  $D^+(\mathscr{C}')$  to  $D^+(\mathscr{C})$ .

*Proof.* By the hypothesis, we have for  $X \in D(\mathscr{C})$  and  $Y \in D(\mathscr{C}')$ 

$$\operatorname{Hom}_{\operatorname{D}(\mathscr{C}')}(\rho(X),Y) \simeq \operatorname{Hom}_{\operatorname{D}(\mathscr{C})}(X,\rho^!(Y)).$$

Assume that the cohomological dimension of the functor  $\rho$  is  $\leq r$ . Let  $Y \in D^{\geq 0}(\mathscr{C}')$ . Then  $\operatorname{Hom}_{D(\mathscr{C})}(X, \rho^!(Y)) \simeq 0$  for all  $X \in D^{<-r}(\mathscr{C})$ . This means that Y belongs to the right orthogonal to  $D^{<-r}(\mathscr{C})$  and this implies that  $Y \in D^{\geq -r}(\mathscr{C}')$ . Q.E.D.

The functor  $\Gamma(U; \bullet)$ 

**Lemma 1.4.6.** Let  $\mathscr{T}$  be either the site  $M_{\operatorname{sa}}$  or the site  $M_{\operatorname{sal}}$  and let  $U \in \operatorname{Op}_{M_{\operatorname{sa}}}$ . Let I be a small filtrant category and  $\alpha \colon I \to \operatorname{Mod}(\mathbf{k}_{\mathscr{T}})$  a functor. Set for short  $F_i = \alpha(i)$ . Then for any  $j \in \mathbb{Z}$ 

$$(1.4.5) \qquad \qquad \underset{i}{\varinjlim} H^{j} R\Gamma(U; F_{i}) \xrightarrow{\sim} H^{j} R\Gamma(U; \underset{i}{\varinjlim} F_{i}).$$

*Proof.* By Lemma 1.2.9, the functor  $\Gamma(U; \bullet)$  commutes with small filtrant inductive limits and such limits of injective objects are  $\Gamma(U; \bullet)$ -acyclic by Lemma 1.3.7. Hence, we may apply Lemma 1.4.2. Q.E.D.

**Proposition 1.4.7.** Let  $U \in \operatorname{Op}_{M_{\operatorname{sa}}}$ . The functor  $\Gamma(U; \bullet) \colon \operatorname{Mod}(\mathbf{k}_{M_{\operatorname{sa}}}) \to \operatorname{Mod}(\mathbf{k})$  has cohomological dimension  $\dim M$ .

*Proof.* We know that if  $F \in \operatorname{Mod}_{\mathbb{R}\text{-c}}(\mathbf{k}_M)$ , then  $H^j \operatorname{R}\Gamma(U; F) \simeq 0$  for  $j > \dim M$ . Since any  $F \in \operatorname{Mod}(\mathbf{k}_{M_{\operatorname{sa}}})$  is a small filtrant inductive limit of constructible sheaves, the result follows from Lemma 1.4.6. Q.E.D.

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Corollary 1.4.8. Let  $\mathscr{J}$  be the subcategory of  $\operatorname{Mod}(\mathbf{k}_{M_{\operatorname{sa}}})$  consisting of sheaves which are  $\Gamma$ -acyclic. For any  $F \in \operatorname{Mod}(\mathbf{k}_{M_{\operatorname{sa}}})$ , there exists an exact sequence  $0 \to F \to F^0 \to \cdots \to F^n \to 0$  where  $n = \dim M$  and the  $F^j$ 's belong to  $\mathscr{J}$ .

*Proof.* Consider a resolution  $0 \to F \to I^0 \xrightarrow{d^0} I^1 \to \cdots$  with the  $I^j$ 's injective and define  $F^j = I^j$  for  $j \le n-1$ ,  $F^j = 0$  for j > n and  $F^n = \operatorname{Ker} d^n$ . It follows from Proposition 1.4.7 that  $F^n$  is  $\Gamma$ -acyclic. Q.E.D.

**Proposition 1.4.9.** Let I be a small set and let  $F_i \in D(\mathbf{k}_{M_{\mathrm{sa}}})$   $(i \in I)$ . For  $U \in \mathrm{Op}_{M_{\mathrm{sa}}}$ , we have the natural isomorphism

(1.4.6) 
$$\bigoplus_{i \in I} \mathrm{R}\Gamma(U; F_i) \xrightarrow{\sim} \mathrm{R}\Gamma(U; \bigoplus_{i \in I} F_i) \text{ in } \mathrm{D}(\mathbf{k}).$$

*Proof.* The functor  $\Gamma(U; \bullet)$  has finite cohomological dimension by Proposition 1.4.7, it commutes with small direct sums by Lemma 1.2.9 and inductive limits of injective objects are  $\Gamma(U; \bullet)$ -acyclic by Lemma 1.3.7. Hence, we may apply Proposition 1.4.4. Q.E.D.

The functor  $R\rho_{\rm sal_*}$ 

**Lemma 1.4.10.** Let  $\mathscr{J}$  be the subcategory of Mod( $\mathbf{k}_{M_{\mathrm{sa}}}$ ) consisting of sheaves which are Γ-acyclic. The category  $\mathscr{J}$  is  $\rho_{\mathrm{sal}_*}$ -injective (see [KS06, Cor. 13.3.8]).

*Proof.* Let  $0 \to F' \to F \to F'' \to 0$  be an exact sequence in  $\text{Mod}(\mathbf{k}_{M_{\text{sa}}})$ .

- (i) We see easily that if both F' and F belong to  $\mathcal{J}$ , then F'' belongs to  $\mathcal{J}$ .
- (ii) It remains to prove that if  $F' \in \mathscr{J}$ , then the sequence  $0 \to \rho_{\mathrm{sal}*}F' \to F\rho_{\mathrm{sal}*} \to \rho_{\mathrm{sal}*}F'' \to 0$  is exact. Let  $U \in \mathrm{Op}_{M_{\mathrm{sa}}}$ . By Proposition 1.2.7 and the hypothesis, the sequence  $0 \to \rho_{\mathrm{sal}*}F'(U) \to \rho_{\mathrm{sal}*}F(U) \to \rho_{\mathrm{sal}*}F''(U) \to 0$  is exact. Q.E.D.

Applying Corollary 1.4.8, we get:

**Proposition 1.4.11.** The functor  $\rho_{\text{sal}*}$  has cohomological dimension  $\leq \dim M$ .

**Proposition 1.4.12.** Let I be a small set and let  $F_i \in D(\mathbf{k}_{M_{\mathrm{sa}}})$   $(i \in I)$ . We have the natural isomorphism

$$(1.4.7) \qquad \bigoplus_{i \in I} \mathrm{R}\rho_{\mathrm{sal}_*} F_i \xrightarrow{\sim} \mathrm{R}\rho_{\mathrm{sal}_*} (\bigoplus_{i \in I} F_i) \ in \ \mathrm{D}(\mathbf{k}_{M_{\mathrm{sal}}}).$$

*Proof.* By Proposition 1.4.11, the functor  $\rho_{\rm sal_*}$  has finite cohomological dimension and by Lemma 1.2.9 it commutes with small direct sums. Moreover, inductive limits of injective objects are  $\rho_{\rm sal_*}$ -acyclic by Lemmas 1.4.10 and 1.3.7. Hence, we may apply Proposition 1.4.4. Q.E.D.

**Theorem 1.4.13.** (i) The functor  $R\rho_{\rm sal_*} : D(\mathbf{k}_{M_{\rm sa}}) \to D(\mathbf{k}_{M_{\rm sal}})$  admits a right adjoint  $\rho_{\rm sal}^! : D(\mathbf{k}_{M_{\rm sal}}) \to D(\mathbf{k}_{M_{\rm sa}})$ .

(ii) The functor  $\rho_{\rm sal}^!$  induces a functor  $\rho_{\rm sal}^!$ :  $D^+(\mathbf{k}_{M_{\rm sal}}) \to D^+(\mathbf{k}_{M_{\rm sa}})$ .

*Proof.* These results follow from Propositions 1.4.12 and 1.4.11, as in Proposition 1.4.4. Q.E.D.

Corollary 1.4.14. One has an isomorphism of functors on  $D^+(\mathbf{k}_{M_{\mathrm{sa}}})$ :

(1.4.8) 
$$id \xrightarrow{\sim} \rho'_{\text{sal}} R \rho_{\text{sal}*}.$$

*Proof.* This follows from the fact that  $(R\rho_{sal_*}, \rho_{sal}^!)$  is a pair of adjoint functors and that  $R\rho_{sal_*}$  is fully faithful by Lemma 1.2.1. Q.E.D.

**Proposition 1.4.15.** Let  $F \in D^+(\mathbf{k}_{M_{\mathrm{sa}}})$  and  $G \in D^+(\mathbf{k}_{M_{\mathrm{sal}}})$ . There are natural isomorphisms

$$R\rho_{\mathrm{sal}*}R\mathcal{H}om(F, \rho_{\mathrm{sal}}^!G) \xrightarrow{\sim} R\mathcal{H}om(R\rho_{\mathrm{sal}*}F, G),$$
  
 $R\mathcal{H}om(F, \rho_{\mathrm{sal}}^!G) \xrightarrow{\sim} \rho_{\mathrm{sal}}^!R\mathcal{H}om(R\rho_{\mathrm{sal}*}F, G).$ 

*Proof.* (i) The first morphism is constructed as the composition

$$\begin{array}{ccc} \mathbf{R} \rho_{\mathrm{sal}*} \mathbf{R} \mathscr{H} om \left( F, \rho_{\mathrm{sal}}^! F \right) & \rightarrow & \mathbf{R} \mathscr{H} om \left( \mathbf{R} \rho_{\mathrm{sal}*} F, \mathbf{R} \rho_{\mathrm{sal}*} \rho_{\mathrm{sal}}^! G \right) \\ & \rightarrow & \mathbf{R} \mathscr{H} om \left( \mathbf{R} \rho_{\mathrm{sal}*} F, G \right) \end{array}$$

where we use the adjunction morphism  $R\rho_{\rm sal*}\rho_{\rm sal}^! \to id$ . To check that we have an isomorphism we apply  $R\Gamma(U; \bullet)$  to both sides and use Theorem 1.4.13. (ii) Apply  $\rho_{\rm sal}^!$  to the first isomorphism and use Corollary 1.4.14. Q.E.D.

### 1.5 Open sets with Lipschitz boundaries

#### Normal cones and Lipschitz boundaries

In this paragraph  $\mathbb{R}^n$  is equipped with coordinates  $(x', x_n), x' \in \mathbb{R}^{n-1}, x_n \in \mathbb{R}$ .

**Definition 1.5.1.** We say that  $U \in \operatorname{Op}_{M_{\operatorname{sa}}}$  has Lipschitz boundary or simply that U is Lipschitz if, for any  $x \in \partial U$ , there exist an open neighborhood V of x and a bi-Lipschitz subanalytic homeomorphism  $\psi \colon V \xrightarrow{\sim} W$  with W an open subset of  $\mathbb{R}^n$  such that  $\psi(V \cap U) = W \cap \{x_n > 0\}$ .

**Remark 1.5.2.** (i) The property of being Lipschitz is local and thus the preceding definition extends to subanalytic but not necessarily relatively compact open subsets of M.

(ii) If  $U_i$  is Lipschitz in  $M_i$  (i = 1, 2) then  $U_1 \times U_2$  is Lipschitz in  $M_1 \times M_2$ .

**Lemma 1.5.3.** Let  $U \in \operatorname{Op}_{M_{\operatorname{sa}}}$ . We assume that, for any  $x \in \partial U$ , there exist an open neighborhood V of x and a bi-analytic isomorphism  $\psi \colon V \xrightarrow{\sim} W$  with W an open subset of  $\mathbb{R}^n$  such that  $\psi(V \cap U) = W \cap \{(x', x_n); x_n > \varphi(x')\}$  for a Lipschitz subanalytic function  $\varphi$ . Then U is Lipschitz.

*Proof.* We define  $\psi_1 \colon \mathbb{R}^n \to \mathbb{R}^n$ ,  $(x', x_n) \mapsto (x', x_n - \varphi(x'))$ . Then  $\psi_1$  is a bi-Lipschitz subanalytic homeomorphism and we have  $(\psi_1 \circ \psi)(V \cap U) = \psi_1(W) \cap \{x_n > 0\}$ . Hence U is Lipschitz. Q.E.D.

**Lemma 1.5.4.** Let  $\mathbb{V}$  be a vector space and let  $\gamma$  be a proper closed convex cone with non empty interior. Let  $U \in \operatorname{Op}_{\mathbb{V}_{\operatorname{sa}}}$ . Then the open set  $U + \gamma$  has Lipschitz boundary.

*Proof.* Let  $p \in \partial(U + \gamma)$ . We identify  $\mathbb{V}$  with  $\mathbb{R}^n$  so that p is the origin and  $\gamma$  contains the cone  $\gamma_0 = \{(x', x_n); x_n > ||x'||\}$ . We have in particular

(1.5.1) 
$$\gamma_0 \subset (U + \gamma) \subset (\mathbb{R}^n \setminus (-\gamma_0)).$$

For  $x' \in \mathbb{R}^{n-1}$  we set  $l_{x'} = (U + \gamma) \cap (\{x'\} \times \mathbb{R})$ . Then  $l_{x'} = l_{x'} + [0, +\infty[$ . By (1.5.1) we also have  $l_{x'} \neq \emptyset$  and  $l_{x'} \neq \mathbb{R}$ . Hence we can write  $l_{x'} = [\varphi(x'), +\infty[$ , for a well-defined function  $\varphi \colon \mathbb{R}^{n-1} \to \mathbb{R}$ .

Let us prove that  $\varphi$  is Lipschitz. Let  $x' \in \mathbb{R}^{n-1}$  and let us set  $q = (x', \varphi(x')) \in \partial(U + \gamma)$ . We have the similar inclusion as  $(1.5.1), (q + \gamma_0) \subset (U + \gamma) \subset (\mathbb{R}^n \setminus (q - \gamma_0))$ . Hence  $\partial(U + \gamma) \subset (\mathbb{R}^n \setminus ((q + \gamma_0) \cup (q - \gamma_0)))$ . For any  $y' \in \mathbb{R}^{n-1}$  we have  $(y', \varphi(y')) \in \partial(U + \gamma)$  and the last inclusion translates into  $|\varphi(y') - \varphi(x')| \leq ||y' - x'||$ . Hence  $\varphi$  is Lipschitz and  $U + \gamma$  is Lipschitz by Lemma 1.5.3. Q.E.D.

We refer to [KS90, Def 4.1.1] for the definition of the normal cone C(A, B) associated with two subsets A and B of M.

**Definition 1.5.5.** (See [KS90, § 5.3].) Let S be a subset of M. The strict normal cone  $N_x(S)$  and the conormal cone  $N_x^*(S)$  of S at  $x \in M$  as well as the strict normal cone N(S) and the conormal cone  $N^*(S)$  of S are given by

$$N_x(S) = T_x M \setminus C(M \setminus S, S)$$
, an open cone in  $T_x M$ ,  $N_x^*(S) = N_x(S)^\circ$  (where  $\circ$  denotes the polar cone),  $N(S) = \bigcup_{x \in M} N_x(S)$ , an open convex cone in  $TM$ ,  $N^*(S) = \bigcup_{x \in M} N_x^*(S)$ .

By loc. cit. Prop. 5.3.7, we have:

**Lemma 1.5.6.** Let U be an open subset of M and let  $x \in \partial U$ . Then the conditions below are equivalent:

- (i)  $N_x(U)$  is non empty,
- (ii)  $N_y(U)$  is non empty for all y in a neighborhood of x,
- (iii)  $N_x^*(U)$  is contained in a closed convex proper cone with non empty interior in  $T_x^*M$ ,
- (iv) there exists a local chart in a neighborhood of x such that identifying M with an open subset of  $\mathbb{V}$ , there exists a closed convex proper cone with non empty interior  $\gamma$  in  $\mathbb{V}$  such that U is  $\gamma$ -open in an open neighborhood W of x, that is,

$$W \cap ((U \cap W) + \gamma) \subset U$$
.

**Definition 1.5.7.** We shall say that an open subset U of M satisfies a cone condition if for any  $x \in \partial U$ ,  $N_x(U)$  is non empty.

By Lemmas 1.5.4 and 1.5.6 we have:

**Proposition 1.5.8.** Let  $U \in \operatorname{Op}_{M_{\operatorname{sa}}}$ . If U satisfies a cone condition, then U is Lipschitz.

#### A vanishing theorem

The next theorem is a key result for this paper and its proof is due to A. Parusinski [Pa12].

**Theorem 1.5.9.** (A. Parusinski) Let  $V \in \operatorname{Op}_{M_{\operatorname{sa}}}$ . Then there exists a finite covering  $V = \bigcup_{j \in J} V_j$  with  $V_j \in \operatorname{Op}_{M_{\operatorname{sa}}}$  such that the family  $\{V_j\}_{j \in J}$  is a covering of V in  $M_{\operatorname{sal}}$  and moreover  $H^k(V_j; \mathbf{k}_M) \simeq 0$  for all k > 0 and all  $j \in J$ .

Recall that one denotes by  $\rho_{\rm sal} \colon M_{\rm sa} \to M_{\rm sal}$  the natural morphism of sites.

Lemma 1.5.10. We have  $R\rho_{sal_*}\mathbf{k}_{M_{sa}} \simeq \mathbf{k}_{M_{sal}}$ .

*Proof.* The sheaf  $H^k(\mathbb{R}\rho_{\operatorname{sal}_*}\mathbf{k}_{M_{\operatorname{sa}}})$  is the sheaf associated with the presheaf  $U \mapsto H^k(U; \mathbf{k}_{M_{\operatorname{sa}}})$ . This sheaf if zero for k > 0 by Theorem 1.5.9. Q.E.D.

**Lemma 1.5.11.** Let  $M = \mathbb{R}^n$  and set  $U = ]0, +\infty[\times \mathbb{R}^{n-1}]$ . Then we have  $R\rho_{\operatorname{sal}_*} \mathbf{k}_U \simeq \mathbf{k}_U$ .

Proof. (i) The sheaf  $H^k(\mathbb{R}\rho_{\operatorname{sal}_*}\mathbf{k}_U)$  is the sheaf associated with the presheaf  $V\mapsto H^k(V;\mathbf{k}_U)$ . Hence it is enough to show that any  $V\in\operatorname{Op}_{M_{\operatorname{sa}}}$  admits a finite covering  $V=\bigcup_{j\in J}V_j$  in  $M_{\operatorname{sal}}$  such that  $H^k(V_j;\mathbf{k}_U)\simeq 0$  for all k>0. We assume that the distance d is a subanalytic function. Let us set  $V_{<0}=V\cap(]-\infty,0[\times\mathbb{R}^{n-1})$  and  $V'=V_{<0}^{1,V}$ , where we use the notation (1.1.7) with  $\varepsilon=1$ . In our case we can write (1.1.7) as follows

$$V' = \{ x \in V; \ d(x, V \setminus U) < d(x, M \setminus V) \}.$$

This is a subanalytic open subset of V. By Lemma 1.1.9 we have

(1.5.2) 
$$\{V', V \cap U\}$$
 is a covering of  $V$  in  $M_{\text{sal}}$ .

(ii) Let us prove that  $R\Gamma(V'; \mathbf{k}_U) \simeq 0$ . We denote by  $(x_1, x')$  the coordinates on  $M = \mathbb{R}^n$ . For  $x = (x_1, x')$  with  $x_1 \geq 0$ , we have  $d(x, V \setminus U) \geq d(x, M \setminus U) = x_1$ . If  $(x_1, x') \in V'$  we obtain  $d(x, M \setminus V) > x_1$ , hence  $\overline{B(x, x_1)} \subset V$ . This gives the inclusion  $\subset$  in

$$(1.5.3) V' \cap \overline{U} = \{x = (x_1, x') \in V; x_1 \ge 0 \text{ and } \overline{B(x, x_1)} \subset V\}$$

and the reverse inclusion is easily checked. It follows that, if  $(x_1, x') \in V' \cap \overline{U}$ , then  $(y_1, x') \in V' \cap \overline{U}$ , for all  $y_1 \in [0, x_1]$ . Let  $q : \mathbb{R}^n \to \{0\} \times \mathbb{R}^{n-1}$  be the projection. We deduce:

- (a) q maps  $V' \cap \overline{U}$  onto  $V \cap \partial U$ ,
- (b)  $q^{-1}(x) \cap V' \cap U$  is an open interval, for any  $x = (0, x') \in V \cap \partial U$ .

For any c < 0 < d we have  $R\Gamma(]c, d[; \mathbf{k}_{]0,d[}) \simeq 0$ . Hence (a) and (b) give  $Rq_*R\Gamma_{V'}\mathbf{k}_U \simeq 0$ , by the base change formula, and we obtain  $R\Gamma(V'; \mathbf{k}_U) \simeq R\Gamma(\mathbb{R}^{n-1}; Rq_*R\Gamma_{V'}\mathbf{k}_U) \simeq 0$ .

(iii) By Theorem 1.5.9 we can choose a finite covering of  $V \cap U$  in  $M_{\text{sal}}$ , say  $\{W_j\}_{j\in J}$ , such that  $H^k(W_j; \mathbf{k}_U) \simeq 0$  for all k > 0. By (1.5.2) the family  $\{V', \{W_j\}_{j\in J}\}$  is a covering of V in  $M_{\text{sal}}$ . By (ii) this covering satisfies the required condition in (i), which proves the result. Q.E.D.

We need to extend Definition 1.5.1.

**Definition 1.5.12.** We say that  $U \in \operatorname{Op}_{M_{\operatorname{sa}}}$  is weakly Lipschitz if for each  $x \in M$  there exists a neighborhood  $V \in \operatorname{Op}_{M_{\operatorname{sa}}}$  of x, a finite set I and  $U_i \in \operatorname{Op}_{M_{\operatorname{sa}}}$  such that  $U \cap V = \bigcup_i U_i$  and

(1.5.4)  $\begin{cases} \text{for all } \emptyset \neq J \subset I, \text{ the set } U_J = \bigcap_{j \in J} U_j \text{ is a disjoint union of } \\ \text{Lipschitz open sets.} \end{cases}$ 

By its definition, the property of being weakly Lipschitz is local on M.

**Example 1.5.13.** Let  $U \in \operatorname{Op}_{M_{\operatorname{sa}}}$  and consider smooth submanifolds  $\{Z_i\}_{i=1}^r$  closed in a neighborhood of  $\overline{U}$ . Set  $Z = \bigcup_i Z_i$ . Assume that U is Lipschitz,  $Z_i \cap Z_j \cap \partial U = \emptyset$  for  $i \neq j$ ,  $\partial U$  is smooth in a neighborhood of  $Z \cap \partial U$  and the intersection is transversal. Then  $U \setminus Z$  is weakly Lipschitz.

**Theorem 1.5.14.** Let  $U \in \operatorname{Op}_{M_{\operatorname{sa}}}$  and assume that U is weakly Lipschitz. Then

- (i)  $R\rho_{\rm sal_*}\mathbf{k}_{UM_{\rm sa}} \simeq \rho_{\rm sal_*}\mathbf{k}_{UM_{\rm sa}} \simeq \mathbf{k}_{UM_{\rm sal}}$  is concentrated in degree zero.
- (ii) For  $F \in \mathsf{D}^{\mathrm{b}}(\mathbf{k}_{M_{\mathrm{sal}}})$ , one has  $\mathsf{R}\Gamma(U; \rho^!_{\mathrm{sal}}F) \simeq \mathsf{R}\Gamma(U; F)$ .
- (iii) Let  $F \in \text{Mod}(\mathbf{k}_{M_{\text{sal}}})$  and assume that F is  $\Gamma$ -acyclic. Then  $R\Gamma(U; \rho_{\text{sal}}^! F)$  is concentrated in degree 0 and is isomorphic to F(U).

Note that the result in (i) is local and it is not necessary to assume here that U is relatively compact.

*Proof.* (i)–(a) First we assume that U is Lipschitz. The first isomorphism is a local problem. Hence, by Remark 1.1.15 and by the definition of "Lipschitz boundary" the first isomorphism follows from Lemma 1.5.11. The second isomorphism is given in Proposition 1.2.5.

(i)–(b) The first isomorphism is a local problem and we may assume that U has a covering by open sets  $U_i$  as in Definition 1.5.12. By using the Cech resolution associated with this covering, we find an exact sequence of sheaves in  $\text{Mod}(\mathbf{k}_{M_{\text{sa}}})$ :

$$0 \to L_r \to \cdots \to L_0 \to \mathbf{k}_U \to 0$$

where each  $L_i$  is a finite sum of sheaves isomorphic to  $\mathbf{k}_V$  for some  $V \in \operatorname{Op}_{M_{\operatorname{sa}}}$  with V Lipschitz. Therefore,  $\operatorname{R}\rho_{\operatorname{sal}_*}L_i$  is concentrated in degree 0 by (i)–(a) and the result follows.

(ii) follows from (i) and the adjunction between  $R\rho_{sal*}$  and  $\rho_{sal*}^!$ 

**Example 1.5.15.** <sup>1</sup> Let  $M = \mathbb{R}^2$  endowed with coordinates  $x = (x_1, x_2)$ . Let R > 0 and denote by  $B_R$  the open Euclidean ball with center 0 and radius R. Consider the subanalytic sets:

$$U_1 = \{x \in B_R; x_1 > 0, x_2 < x_1^2\}, \quad U_2 = \{x \in B_R; x_1 > 0, x_2 > -x_1^2\},\$$
  
 $U_{12} = U_1 \cap U_2, \quad U = U_1 \cup U_2 = \{x \in B_R; x_1 > 0\}.$ 

Note that  $\{U_1, U_2\}$  is a covering of U in  $M_{\rm sa}$  but not in  $M_{\rm sal}$ . Denote for short by  $\rho \colon M_{\rm sa} \to M_{\rm sal}$  the morphism  $\rho_{\rm sal}$ . We have the distinguished triangle in  $\mathsf{D}^{\rm b}(\mathbf{k}_{M_{\rm sal}})$ :

$$(1.5.5) R\rho_* \mathbf{k}_{U_{12}} \to R\rho_* \mathbf{k}_{U_1} \oplus R\rho_* \mathbf{k}_{U_2} \to R\rho_* \mathbf{k}_U \xrightarrow{+1} .$$

Since  $U_1, U_2$  and U are Lipschitz,  $R\rho_*\mathbf{k}_V$  is concentrated in degree 0 for  $V = U_1, U_2, U$ . It follows that  $R\rho_*\mathbf{k}_{U_{12}}$  is concentrated in degrees 0 and 1. Hence, we have the distinguished triangle

<sup>&</sup>lt;sup>1</sup>Example 1.5.15 emerged from discussions with G. Lebeau

Let us prove that  $R^1\rho_*\mathbf{k}_{U_{12}}$  is isomorphic to the sheaf N introduced in (1.2.6). We easily see that there exists a natural morphism  $\mathbf{k}_U \to N$  which is surjective. Hence we have to prove that the sequence

$$\mathbf{k}_{U_1} \oplus \mathbf{k}_{U_2} \to \mathbf{k}_U \to N$$

is exact. This reduces to the following assertion: if  $V \in \operatorname{Op}_{M_{\operatorname{sa}}}$  satisfies  $V \subset U$  and N(V) = 0, then  $\{V \cap U_1, V \cap U_2\}$  is a linear covering of V. We prove this claim now.

Let  $V \subset U$  be such that N(V) = 0. By the definition of N, there exists A > 0 such that  $U_{A,\varepsilon} \not\subset V$  for all  $\varepsilon > 0$ , where  $U_{A,\varepsilon}$  is defined in (1.2.4). Hence there exists a sequence  $\{(x_{1,n},x_{2,n})\}_{n\in\mathbb{N}}$  such that  $x_{1,n} > 0$ ,  $x_{1,n} \to 0$  when  $n \to \infty$ ,  $|x_{2,n}| < Ax_{1,n}^2$  and  $(x_{1,n},x_{2,n}) \not\in V$ , for all  $n \in \mathbb{N}$ . We define  $f(x) = d((x,0), M \setminus V)$ , for  $x \in \mathbb{R}$ . Then f is a continuous subanalytic function and  $f(x_{1,n}) < Ax_{1,n}^2$ , for all  $n \in \mathbb{N}$ . It follows, by the same argument as in Example 1.2.10 (ii), that there exists  $x_0 > 0$  such that  $f(x) \leq Ax^2$  for all  $x \in ]0, x_0[$ . We deduce, for any  $(x_1, x_2) \in \mathbb{R}^2$  with  $x_1 \in ]0, x_0[$ ,

$$(1.5.7) d((x_1, x_2), M \setminus V) \le |x_2| + d((x_1, 0), M \setminus V) \le |x_2| + Ax_1^2.$$

On the other hand we can find B > 0 such that, for any  $(x_1, x_2) \in U$ ,

$$(1.5.8) \qquad \max\{d((x_1, x_2), M \setminus U_1), d((x_1, x_2), M \setminus U_2)\} \ge |x_2| + Bx_1^2.$$

We deduce easily from (1.5.7) and (1.5.8) that  $\{V \cap U_1, V \cap U_2\}$  is a linear covering of V.

#### Boundedness of the cohomology

Let us recall another result of [Pa12]:

**Lemma 1.5.16.** (A. Parusinski) Let  $U \in \operatorname{Op}_{M_{\operatorname{sa}}}$ . Then there exist a finite set I, for each  $i \in I$  a finite set  $I^i$ , for each  $j \in I^i$  an open set  $U^i_j \in \operatorname{Op}_{M_{\operatorname{sa}}}$  such that the open sets  $U^i_j$  are Lipschitz and  $U = \bigcap_{i \in I} \bigcup_{j \in I^i} U^i_j$ .

Corollary 1.5.17. Let  $F \in \mathsf{D^b}(\mathbf{k}_{M_{\mathrm{sal}}})$  and assume that  $\mathsf{R}\Gamma(U;F) \in \mathsf{D^b}(\mathbf{k})$  for all  $U \in \mathsf{Op}_{M_{\mathrm{sa}}}$  (in other words, there exists a finite interval  $[a,b] \subset \mathbb{Z}$  such that  $H^j \mathsf{R}\Gamma(U;F) = 0$  for  $j \notin [a,b]$ ). Then  $\mathsf{R}\Gamma(U;\rho^!_{\mathrm{sal}}F) \in \mathsf{D^b}(\mathbf{k})$  for all  $U \in \mathsf{Op}_{M_{\mathrm{sa}}}$ .

*Proof.* Let  $F \in \mathsf{D^b}(\mathbf{k}_{M_{\mathrm{sal}}})$  as in the statement. It follows from the Mayer-Vietoris sequence that the family of  $U \in \mathsf{Op}_{M_{\mathrm{sa}}}$  such that the conclusion of the statement holds is stable by union and intersection. Denote by  $\mathscr L$  this Boolean sub-algebra of  $\mathsf{Op}_{M_{\mathrm{sa}}}$ . Then  $\mathscr L$  contains the U's which are Lipschitz by Theorem 1.5.14.

On the other hand, Lemma 1.5.16 may be translated by saying that any Boolean sub-algebra of  $\operatorname{Op}_{M_{\operatorname{sa}}}$  which contains the Lipschitz open sets is the whole  $\operatorname{Op}_{M_{\operatorname{sa}}}$ . Q.E.D.

**Remark 1.5.18.** (i) We don't know if for any  $F \in \mathsf{D}^{\mathsf{b}}(\mathbf{k}_{M_{\mathrm{sal}}})$  and any  $U \in \mathsf{Op}_{M_{\mathrm{sa}}}$ , we have  $\mathsf{R}\Gamma(U;F) \in \mathsf{D}^{\mathsf{b}}(\mathbf{k})$ . In other words, we don't know if the category  $M_{\mathrm{sal}}$  has finite flabby dimension.

(ii) We don't know if the functor  $\rho_{\rm sal}^!$ :  $D^+(\mathbf{k}_{M_{\rm sal}}) \to D^+(\mathbf{k}_{M_{\rm sa}})$  constructed in Theorem 1.4.13 induces a functor  $\rho_{\rm sal}^!$ :  $D^b(\mathbf{k}_{M_{\rm sal}}) \to D^b(\mathbf{k}_{M_{\rm sa}})$ .

# Chapter 2

# Operations on sheaves

All along this chapter, if M is a real analytic manifold, we choose a distance  $d_M$  on M such that, for any  $x \in M$  and any local chart  $(U, \varphi : U \hookrightarrow \mathbb{R}^n)$  around x, there exists a neighborhood of x over which  $d_M$  is Lipschitz equivalent to the pull-back of the Euclidean distance by  $\varphi$ . If there is no risk of confusion, we write d instead of  $d_M$ .

In this chapter we study the natural operations on sheaves for the linear subanalytic topology. In particular, given a morphism of real analytic manifolds, our aim is to define inverse and direct images for sheaves on the linear subanalytic topology. We are not able to do it in general (see Remark 2.3.6) and we shall distinguish the case of a closed embedding and the case of a submersion.

# 2.1 Tensor product and internal hom

Since  $M_{\rm sal}$  is a site, the category  ${\rm Mod}({\bf k}_{M_{\rm sal}})$  admits a tensor product, denoted  $\bullet \otimes \bullet$  and an internal hom, denoted  ${\mathscr H\! om}$ , and these functors admit right and left derived functors, respectively. For more details, we refer to [KS06, § 18.2].

# 2.2 Operations for closed embeddings

## f-regular open sets

In this section,  $f: M \hookrightarrow N$  will be a closed embedding. We identify M with a subset of N. We assume for simplicity that  $d_M$  is the restriction of  $d_N$  to M and we write d for  $d_M$  or  $d_N$ . We also keep the convention (1.1.4) for  $d(x,\emptyset)$ .

**Definition 2.2.1.** Let  $V \in \operatorname{Op}_{N_{\operatorname{sa}}}$ . We say that V is f-regular if there exists C > 0 such that

$$(2.2.1) d(x, M \setminus M \cap V) \le C d(x, N \setminus V) for all x \in M.$$

- The property of being f-regular is local on M. More precisely, if  $M = \bigcup_{i \in I} U_i$  is an open covering and  $V \in \operatorname{Op}_{N_{\operatorname{sa}}}$  is  $f|_{U_i}$ -regular for each  $i \in I$ , then V is f-regular.
- If V and W belong to  $\operatorname{Op}_{N_{\operatorname{sa}}}$  with  $f^{-1}(V) = f^{-1}(W), V \subset W$  and V is f-regular, then W is f regular.

**Lemma 2.2.2.** Let  $f: M \to N$  be a closed embedding. The family  $\{V \in \operatorname{Op}_{N_{\operatorname{sa}}}; V \text{ is } f\text{-regular}\}$  is stable by finite intersections.

*Proof.* We shall use the obvious fact which asserts that for two closed sets  $F_1$  and  $F_2$  in a metric space,

$$d(x, F_1 \cup F_2) = \inf(d(x, F_1), d(x, F_2)).$$

Let  $V_1$  and  $V_2$  be two f-regular objects of  $\operatorname{Op}_{N_{\operatorname{sa}}}$  and let  $C_1$  and  $C_2$  be the corresponding constants as in (2.2.1). Let  $x \in M$ . We have

$$d(x, M \setminus (M \cap V_1 \cap V_2)) = \inf_i d(x, M \setminus (M \cap V_i))$$

$$\leq \inf_i (C_i \cdot d(x, N \setminus V_i))$$

$$\leq (\max_i C_i) \cdot (\inf_i d(x, N \setminus V_i))$$

$$= (\max_i C_i) \cdot d(x, N \setminus (V_1 \cap V_2)).$$

Q.E.D.

**Lemma 2.2.3.** Let  $f: M \to N$  be a closed embedding. Let  $U \in \operatorname{Op}_{M_{\operatorname{sa}}}$ . Then there exists  $V \in \operatorname{Op}_{N_{\operatorname{sa}}}$  such that V is f-regular and  $M \cap V = U$ .

*Proof.* We choose  $V_0 \in \operatorname{Op}_{M_{\operatorname{sa}}}$  such that  $\overline{U} \subset V_0$ . We set

$$\delta = \inf\{d(x, N \setminus V_0); \ x \in U\}$$

and  $V = (V_0 \setminus (V_0 \cap M)) \cup U$ . We have  $\delta > 0$ . Let  $x \in M$  and  $y \in N$  be such that  $d(x, N \setminus V) = d(x, y)$ . If  $y \in M$ , then  $d(x, N \setminus V) = d(x, M \setminus U)$ . If  $y \notin M$ , then  $d(x, N \setminus V) = d(x, N \setminus V_0) \ge \delta$ . In any case we have  $d(x, N \setminus V) \ge \min\{d(x, M \setminus U), \delta\}$ . Hence (2.2.1) is satisfied with  $C = \max\{1, D/\delta\}$ , where  $D = \max\{d(x, M \setminus U); x \in M\} < \infty$ . Q.E.D.

**Lemma 2.2.4.** Let  $f: M \to N$  be a closed embedding. Let  $V \in \operatorname{Op}_{N_{\operatorname{sal}}}$  be an f-regular open set and let  $\{V_i\}_{i \in I}$  be a linear covering of V, that is, a covering in  $\operatorname{Op}_{N_{\operatorname{sal}}}$ . Then there exists a refinement  $\{W_j\}_{j \in J}$  of  $\{V_i\}_{i \in I}$  such that  $W_j$  is f-regular for all  $j \in J$ . We can even choose J = I and  $W_i \subset V_i$ , for all  $i \in I$ .

*Proof.* Let C be a constant as in (2.2.1). Let  $I_0 \subset I$  be a finite subset and let C' > 0 be such that

$$(2.2.2) d(x, N \setminus V) \le C' \cdot \max_{i \in I_0} d(x, N \setminus V_i), \text{for all } x \in N.$$

Then, for any  $x \in M$  we have

(2.2.3) 
$$d(x, M \setminus (M \cap V)) \leq C \cdot d(x, N \setminus V) \\ \leq CC' \cdot \max_{i \in I_0} d(x, N \setminus V_i).$$

We set D = 2CC'. For  $i \in I_0$  we define  $W_i \in \operatorname{Op}_{N_{\text{sal}}}$  by

$$W_i = (V_i \setminus M) \cup \{x \in M \cap V_i; \ d(x, M \setminus (M \cap V)) < D d(x, N \setminus V_i)\}$$

and for  $i \in I \setminus I_0$  we set  $W_i = \emptyset$ .

(i) Since  $D \geq CC'$ , the inequality (2.2.4) gives  $V = \bigcup_{i \in I_0} W_i$ . Let us prove that  $\{W_i\}_{i \in I_0}$  is a linear covering of V. We first prove the following claim, for given  $\varepsilon > 0$ ,  $i \in I_0$  and  $x \in N$ ,

(2.2.4) if 
$$d(x, N \setminus W_i) \le \varepsilon d(x, N \setminus V)$$
,  
then  $d(x, N \setminus V_i) \le (\varepsilon (1 + \frac{C}{D}) + \frac{C}{D}) d(x, N \setminus V)$ .

If  $d(x, N \setminus W_i) = d(x, N \setminus V_i)$ , the claim is obvious. In the other case we choose  $y \in N$  such that  $d(x, N \setminus W_i) = d(x, y)$ . Then we have  $y \in V_i \setminus W_i$ . Hence  $y \in M$  and the definition of  $W_i$  gives  $d(y, N \setminus V_i) \leq D^{-1}d(y, M \setminus (M \cap V))$ . We deduce

$$d(x, N \setminus V_i) \leq d(x, y) + d(y, N \setminus V_i)$$

$$\leq d(x, y) + D^{-1}d(y, M \setminus (M \cap V))$$

$$\leq d(x, y) + CD^{-1}d(y, N \setminus V)$$

$$\leq (1 + CD^{-1})d(x, y) + CD^{-1}d(x, N \setminus V)$$

$$\leq (\varepsilon(1 + CD^{-1}) + CD^{-1})d(x, N \setminus V),$$

which proves (2.2.4).

Now we prove that  $\{W_i\}_{i\in I_0}$  is a linear covering of V. We choose  $\varepsilon$  small enough so that  $(\varepsilon(1+\frac{C}{D})+\frac{C}{D})<\frac{1}{C'}$  (recall that D=2CC') and we prove, for all  $x\in N$ ,

(2.2.5) 
$$d(x, N \setminus V) \le \varepsilon^{-1} \cdot \max_{i \in I_0} d(x, N \setminus W_i).$$

Indeed, if (2.2.5) is false, then (2.2.4) implies  $d(x, N \setminus V_i) < \frac{1}{C'}d(x, N \setminus V)$  for some  $x \in V$  and all  $i \in I_0$ . But this contradicts (2.2.2).

(ii) Let us prove that  $W_i$  is f-regular, for any  $i \in I_0$ . We remark that  $W_i \setminus M = V_i \setminus M$ . Hence  $d(x, N \setminus W_i) = d(x, N \setminus V_i)$  or  $d(x, N \setminus W_i) = d(x, M \setminus (M \cap W_i))$ , for all  $x \in M$ . In the first case we have, assuming  $x \in M \cap W_i$ ,

$$d(x, M \setminus (M \cap W_i)) \le d(x, M \setminus (M \cap V))$$
  
$$\le D d(x, N \setminus V_i) = D d(x, N \setminus W_i).$$

In the second case we have

$$d(x, M \setminus (M \cap W_i)) \le d(x, M \setminus (M \cap W_i)) = d(x, N \setminus W_i).$$

Hence (2.2.1) holds for  $W_i$  with the constant  $\max\{D, 1\}$ . Q.E.D.

Thanks to Lemma 2.2.2, to f we can associate a new site.

**Definition 2.2.5.** Let  $f: M \to N$  be a closed embedding.

(i) The presite  $N^f$  is given by  $\operatorname{Op}_{N^f} = \{V \in N_{\operatorname{sa}}; V \text{ is } f\text{-regular}\}.$ 

(ii) The site  $N_{\text{sal}}^f$  is the presite  $N^f$  endowed with the topology such that a family  $\{V_i\}_{i\in I}$  of objects  $\text{Op}_{N^f}$  is a covering of V in  $N^f$  if it is a covering in  $N_{\text{sal}}$ .

One denotes by  $\rho_f \colon N_{\rm sal} \to N_{\rm sal}^f$  the natural morphism of sites.

**Proposition 2.2.6.** The functor  $f^t: \operatorname{Op}_{N_{\operatorname{sal}}^f} \to \operatorname{Op}_{M_{\operatorname{sa}}}, V \mapsto f^{-1}(V)$ , induces a morphism of sites  $\widetilde{f}: M_{\operatorname{sal}} \to N_{\operatorname{sal}}^f$ . Moreover, this functor of sites is left exact in the sense of [KS06, Def. 17.2.4].

*Proof.* (i) Let C be a constant as in (2.2.1). Let  $\{V_i\}_{i\in I}$  be a covering of V in  $N_{\text{sal}}$  and let  $I_0 \subset I$  be a finite subset and C' > 0 be such that  $d(y, N \setminus V) \leq C' \cdot \max_{i \in I_0} d(y, N \setminus V_i)$  for all  $y \in N$ . We deduce, for  $x \in M$ ,

$$d(x, M \setminus M \cap V) \leq C \cdot d(x, N \setminus V)$$

$$\leq CC' \cdot \max_{i \in I_0} d(x, N \setminus V_i)$$

$$\leq CC' \cdot \max_{i \in I_0} d(x, M \setminus M \cap V_i).$$

(ii) We have to prove that the functor  $f^t\colon \operatorname{Op}_{N_{\operatorname{sal}}^f} \to \operatorname{Op}_{M_{\operatorname{sa}}}$  is left exact in the sense of [KS06, Def. 3.3.1], that is, for each  $U\in \operatorname{Op}_{M_{\operatorname{sa}}}$ , the category whose objects are the inclusions  $U\to f^{-1}(V)$   $(V\in \operatorname{Op}_{N_{\operatorname{sal}}^f})$  is cofiltrant.

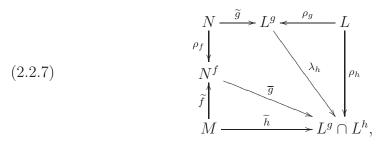
This category is non empty by Lemma 2.2.3 and then it is cofiltrant by Lemma 2.2.2. Q.E.D.

Hence, we have the morphisms of sites

(2.2.6) 
$$N_{\text{sal}} \downarrow^{\rho_f}$$

$$M_{\text{sal}} \xrightarrow{\widetilde{f}} N_{\text{sal}}^f.$$

Now we consider two closed embeddings  $f: M \to N$  and  $g: N \to L$  of real analytic manifolds and we set  $h:=g\circ f$ . We get the diagram of presites:



where  $\overline{g}$  is induced by  $\widetilde{g}$  and  $\lambda_h$  is the obvious inclusion. We will use the following lemma to prove that the direct images defined in the next section are compatible with the composition.

**Lemma 2.2.7.** (i) Let  $W \in \operatorname{Op}_{L^h}$ . Then  $W \cap N \in \operatorname{Op}_{N^f}$ .

- (ii) Let  $W \in \operatorname{Op}_{L^g}$  be such that  $N \cap W \in \operatorname{Op}_{N^f}$ . Then  $W \in \operatorname{Op}_{L^h}$ .
- (iii) Let  $W \in \operatorname{Op}_{L^g}$  and  $V \in \operatorname{Op}_{N^f}$  be such that  $V \subset N \cap W$ . Then there exists  $U \in \operatorname{Op}_{L^g} \cap \operatorname{Op}_{L^h}$  such that  $U \subset W$  and  $V \subset N \cap U$ .

*Proof.* (i) By hypothesis there exists C > 0 such that  $d(x, M \setminus M \cap W) \le C d(x, L \setminus W)$ , for any  $x \in M$ . Since  $d(x, L \setminus W) \le d(x, N \setminus N \cap W)$  we deduce (i).

(ii) By hypothesis we have  $C_1, C_2 > 0$  such that, for any  $x \in M$ ,

$$d(x, M \setminus M \cap W) \le C_1 d(x, N \setminus N \cap W) \le C_1 C_2 d(x, L \setminus W),$$

which proves the result.

(iii) By Lemma 2.2.3 there exists  $U_0 \in \operatorname{Op}_{L^g}$  such that  $N \cap U_0 = V$ . Then  $U = U_0 \cap W$  is g-regular by Lemma 2.2.2 and  $N \cap U = V$ . Hence U is also h-regular by (ii). Q.E.D.

#### Inverse and direct images by closed embeddings

Let us first recall the inverse and direct images of presheaves.

**Notation 2.2.8.** (i) For a morphism  $f: \mathcal{T}_1 \to \mathcal{T}_2$  of presites, we denote by  $f_*$  and  $f^{\dagger}$  the direct and inverse image functors for presheaves.

(ii) We recall that the direct image functor  $f_*$  has a left adjoint  $\rho_f^{\ddagger} \colon \mathrm{PSh}(\mathbf{k}_{\mathscr{T}_2}) \to \mathrm{PSh}(\mathbf{k}_{\mathscr{T}_1})$  defined as follows (see [KS06, (17.1.4)]). For  $P \in \mathrm{PSh}(\mathbf{k}_{\mathscr{T}_2})$  and  $U \in \mathrm{Op}_{\mathscr{T}_1}$  we have  $(f^{\ddagger}P)(U) = \varprojlim_{f^t(V) \to U} P(V)$ .

**Lemma 2.2.9.** Let  $f: M \to N$  be a closed embedding and let  $G \in \operatorname{Mod}(\mathbf{k}_{N_{\operatorname{sal}}})$ . Then, using the notations of (2.2.6), we have  $\rho_f^{\ddagger}G \in \operatorname{Mod}(\mathbf{k}_{N_{\operatorname{sal}}})$ .

*Proof.* We have to prove that, for any  $V \in \operatorname{Op}_{N_{\operatorname{sa}}}$  and any covering of V in  $N_{\operatorname{sal}}$ , say  $\{V_i\}_{i \in I}$ , the following sequence is exact

$$(2.2.8) 0 \to \varprojlim_{W \subset V} G(W) \to \prod_{i \in I} \varprojlim_{W_i \subset V_i} G(W_i) \to \prod_{i,j \in I} \varprojlim_{W_{ij} \subset V_i \cap V_j} G(W_{ij}),$$

where  $W, W_i, W_{ij}$  run respectively over the f-regular open subsets of  $V, V_i$  $V_i \cap V_j$ . The limit in the second term of (2.2.8) can be replaced by the limit over the pairs  $(W, W_i)$  of f-regular open subsets with  $W \subset V$ ,  $W_i \subset W \cap V_i$ . Then the family  $\{W \cap V_i\}_{i \in I}$  is a covering of W in  $N_{\text{sal}}$ . By Lemma 2.2.4 it admits a refinement  $\{W_i'\}_{i\in I}$  where the  $W_i'$ 's are f-regular and  $W_i'\subset V_i$ . We may as well assume that  $W_i$  contains  $W'_i$ , for any  $i \in I$ . Then  $\{W_i\}_{i \in I}$  is a covering of W in  $N^f_{\text{sal}}$ . Hence the second term of (2.2.8) can be replaced by

$$\lim_{W \subset V} \lim_{\{W_i\}_{i \in I}} \prod_{i \in I} G(W_i),$$

where W runs over the f-regular open subsets of V and the family  $\{W_i\}_{i\in I}$ runs over the coverings of W in  $N_{\rm sal}^f$  such that  $W_i \subset W \cap V_i$ .

Now in the third term of (2.2.8) we may assume that  $W_{ij}$  contains  $W_i \cap W_j$ and the exactness of the sequence follows from the hypothesis that  $G \in$ Q.E.D.  $\operatorname{Mod}(\mathbf{k}_{N^f}).$ 

**Definition 2.2.10.** Let  $f: M \to N$  be a closed embedding. We use the notations of (2.2.6).

- (i) We denote by  $f_{\text{sal}*} : \text{Mod}(M_{\text{sal}}) \to \text{Mod}(N_{\text{sal}})$  the functor  $\rho_f^{\dagger} \circ \widetilde{f}_*$  and we call  $f_{\text{sal*}}$  the direct image functor.
- (ii) We denote by  $f_{\rm sal}^{-1} \colon \operatorname{Mod}(N_{\rm sal}) \to \operatorname{Mod}(M_{\rm sal})$  the functor  $\widetilde{f}^{-1} \circ \rho_{f_*}$  and we call  $f_{\rm sal}^{-1}$  the inverse image functor.

For  $F \in \operatorname{Mod}(M_{\operatorname{sal}})$ ,  $G \in \operatorname{Mod}(N_{\operatorname{sal}})$ ,  $U \in \operatorname{Op}_{M_{\operatorname{sal}}}$  and  $V \in \operatorname{Op}_{N_{\operatorname{sal}}}$ , we obtain

(2.2.9) 
$$\Gamma(V; f_{\text{sal}*}F) \simeq \lim_{W \in \text{Op}_{Mf}, W \subset V} F(M \cap W),$$

(2.2.9) 
$$\Gamma(V; f_{\operatorname{sal}*}F) \simeq \varprojlim_{W \in \operatorname{Op}_{Nf}, W \subset V} F(M \cap W),$$
(2.2.10) 
$$\Gamma(U; f_{\operatorname{sal}}^{-1}G) \simeq \varinjlim_{W \in \operatorname{Op}_{Nf}, W \cap M = U} G(W).$$

**Lemma 2.2.11.** Let  $f: M \to N$  and  $g: N \to L$  be closed embeddings and  $h = q \circ f$ . We use the notations of the diagram (2.2.7). There is a natural isomorphism of functors

$$(2.2.11) \widetilde{g}_* \circ \rho_f^{\ddagger} \xrightarrow{\sim} \lambda_h^{\ddagger} \circ \overline{g}_*.$$

*Proof.* The morphisms of functors  $\lambda_{h_*} \circ \widetilde{g}_* \circ \rho_f^{\dagger} \simeq \overline{g}_* \circ \rho_{f_*} \circ \rho_f^{\dagger} \to \overline{g}_*$  gives by adjunction the morphism in (2.2.11). To prove that this morphism is an isomorphism, let us choose  $G \in \mathrm{PSh}(\mathbf{k}_{N^f})$  and  $W \in \mathrm{Op}_{L^g}$ . We get the morphism

(2.2.12) 
$$\Gamma(W; (\widetilde{g}_* \circ \rho_f^{\ddagger})G) \to \Gamma(W; (\lambda_h^{\ddagger} \circ \overline{g}_*)G),$$

where  $\Gamma(W; (\widetilde{g}_* \circ \rho_f^{\ddagger})G) \simeq \varprojlim_{V \in \operatorname{Op}_{Nf}, V \subset N \cap W} G(V)$  and  $\Gamma(W; (\lambda_h^{\ddagger} \circ \overline{g}_*)G) \simeq \varprojlim_{V \in \operatorname{Op}_{Nf}, V \subset N \cap W} G(V)$  and  $\Gamma(W; (\lambda_h^{\ddagger} \circ \overline{g}_*)G) \simeq \varinjlim_{V \in \operatorname{Op}_{Nf}, V \subset N \cap W} G(V)$  and  $\Gamma(W; (\lambda_h^{\ddagger} \circ \overline{g}_*)G) \simeq \varinjlim_{V \in \operatorname{Op}_{Nf}, V \subset N \cap W} G(V)$  and  $\Gamma(W; (\lambda_h^{\ddagger} \circ \overline{g}_*)G) \simeq \varinjlim_{V \in \operatorname{Op}_{Nf}, V \subset N \cap W} G(V)$  and  $\Gamma(W; (\lambda_h^{\ddagger} \circ \overline{g}_*)G) \simeq \varinjlim_{V \in \operatorname{Op}_{Nf}, V \subset N \cap W} G(V)$  and  $\Gamma(W; (\lambda_h^{\ddagger} \circ \overline{g}_*)G) \simeq \varinjlim_{V \in \operatorname{Op}_{Nf}, V \subset N \cap W} G(V)$  and  $\Gamma(W; (\lambda_h^{\ddagger} \circ \overline{g}_*)G) \simeq \varinjlim_{V \in \operatorname{Op}_{Nf}, V \subset N \cap W} G(V)$ 

 $\varprojlim_{U\in \operatorname{Op}_{L^h}, U\subset W} G(N\cap U). \text{ Then the result follows from Lemma 2.2.7.} \quad \text{Q.E.D.}$ 

**Proposition 2.2.12.** Let  $f: M \to N$  and  $g: N \to L$  be closed embeddings and  $h = g \circ f$ . There is a natural isomorphism of functors  $g_{\text{sal*}} \circ f_{\text{sal*}} \xrightarrow{\sim} h_{\text{sal*}}$ .

*Proof.* Applying Lemma 2.2.11, we define the isomorphism as the composition  $\rho_g^{\ddagger} \circ \widetilde{g}_* \circ \rho_f^{\ddagger} \circ \widetilde{f}_* \xrightarrow{\sim} \rho_g^{\ddagger} \circ \lambda_h^{\ddagger} \circ \overline{g}_* \circ \widetilde{f}_* \simeq \rho_h^{\ddagger} \circ \widetilde{h}_*$ . Q.E.D.

**Theorem 2.2.13.** Let  $f: M \to N$  be a closed embedding.

- (i) The functor  $f_{\text{sal}*}$  is right adjoint to the functor  $f_{\text{sal}}^{-1}$ .
- (ii) The functor  $f_{\text{sal}*}$  is left exact and the functor  $f_{\text{sal}}^{-1}$  is exact.
- (iii) If  $g: N \to L$  is another closed embedding, we have  $(g \circ f)_{\text{sal*}} \simeq g_{\text{sal*}} \circ f_{\text{sal*}}$  and  $(g \circ f)_{\text{sal}}^{-1} \simeq f_{\text{sal}}^{-1} \circ g_{\text{sal}}^{-1}$ .
- *Proof.* (i) We have  $f_{\text{sal*}} = \rho_f^{\ddagger} \circ \widetilde{f_*}$  and  $f_{\text{sal}}^{-1} = \widetilde{f}^{\ddagger} \circ \rho_{f_*}$ . Since  $(\rho_f^{\ddagger}, \rho_{f_*})$  and  $(\rho_{f_*}, \rho_f^{\ddagger})$  are pairs of adjoint functors between categories of presheaves and since the category of sheaves is a fully faithful subcategory of the category of presheaves, the result follows.
- (ii) By the adjunction property, it remains to show that functor  $f_{\rm sal}^{-1}$  is left exact, hence that the functor  $\tilde{f}^{-1}$  is exact. By Proposition 2.2.6 the morphism of sites  $\tilde{f}: M_{\rm sal} \to N_{\rm sal}^f$  is left exact in the sense of [KS06, Def. 17.2.4]. Then the result follows from [KS06, Th. 17.5.2].
- (iii) The functoriality of direct images follows from Proposition 2.2.12 and that of inverse images results by adjunction. Q.E.D.

# 2.3 Operations for submersions

Let  $f: M \to N$  denote a morphism of real analytic manifolds. In this section we assume that f is a submersion. If f is proper, it induces a morphism of sites  $M_{\rm sal} \to N_{\rm sal}$ , but otherwise, it does not even give a morphism of presites. Following [KS01] we shall introduce other sites  $M_{\rm sb}$  (denoted  $M_{\rm sa}$  in loc. cit.), similar to  $M_{\rm sa}$  but containing all open subanalytic subsets of M, and  $M_{\rm sbl}$ , similar to  $M_{\rm sal}$ . Then  $M_{\rm sbl}$  has the same category of sheaves as  $M_{\rm sal}$  and any submersion  $f: M \to N$  induces a morphism of sites  $f_{\rm sbl}: M_{\rm sbl} \to N_{\rm sbl}$ .

## Another subanalytic topology

One denotes by  $\operatorname{Op}_{M_{\operatorname{sb}}}$  the category of open subanalytic subsets of M and says that a family  $\{U_i\}_{i\in I}$  of objects of  $\operatorname{Op}_{M_{\operatorname{sb}}}$  is a covering of  $U\in\operatorname{Op}_{M_{\operatorname{sb}}}$  if  $U_i\subset U$  for all  $i\in I$  and, for each compact subset K of M, there exists a finite subset  $J\subset I$  such that  $\bigcup_{j\in J}U_j\cap K=U\cap K$ . We denote by  $M_{\operatorname{sb}}$  the site so-defined. The next result is obvious (and already mentioned in [KS01]).

**Proposition 2.3.1.** The morphism of sites  $M_{\rm sb} \to M_{\rm sa}$  induces an equivalence of categories  $\operatorname{Mod}(\mathbf{k}_{M_{\rm sb}}) \simeq \operatorname{Mod}(\mathbf{k}_{M_{\rm sa}})$ .

Similarly, we introduce another linear subanalytic topology  $M_{\rm sbl}$  as follows. The objects of the presite  $M_{\rm sbl}$  are those of  $M_{\rm sb}$ , namely the open subanalytic subsets of M. In order to define the topology, we have to generalize Definitions 1.1.1 and 1.1.3.

**Definition 2.3.2.** Let  $\{U_i\}_{i\in I}$  be a finite family in  $\operatorname{Op}_{M_{\operatorname{sb}}}$ . We say that this family is 1-regularly situated if for any compact subset  $K\subset M$ , there is a constant C such that for any  $x\in K$ 

(2.3.1) 
$$d(x, M \setminus \bigcup_{i \in I} U_i) \le C \cdot \max_{i \in I} d(x, M \setminus U_i).$$

**Definition 2.3.3.** A linear covering of  $U \in \operatorname{Op}_{M_{\operatorname{sb}}}$  is a small family  $\{U_i\}_{i \in I}$  of objects of  $\operatorname{Op}_{M_{\operatorname{sb}}}$  such that  $U_i \subset U$  for all  $i \in I$  and

$$(2.3.2) \begin{cases} \text{for each relatively compact subanalytic open subset } W \subset M \text{ there} \\ \text{exists a finite subset } I_0 \subset I \text{ such that the family } \{W \cap U_i\}_{i \in I_0} \text{ is} \\ \text{1-regularly situated in } W \text{ and } \bigcup_{i \in I_0} (U_i \cap W) = U \cap W. \end{cases}$$

- **Proposition 2.3.4.** (i) The family of linear coverings satisfies the axioms of Grothendieck topologies.
  - (ii) The functor  $\rho_*$  associated with the morphism of sites  $\rho \colon M_{\rm sal} \to M_{\rm sal}$  defines an equivalence of categories  $\operatorname{Mod}(\mathbf{k}_{M_{\rm sal}}) \simeq \operatorname{Mod}(\mathbf{k}_{M_{\rm sal}})$ .

The verification is left to the reader.

#### Inverse and direct images

**Proposition 2.3.5.** Let  $f: M \to N$  be a morphism of real analytic manifolds. We assume that f is a submersion. Then f induces a morphism of sites  $f_{\rm sbl}: M_{\rm sbl} \to N_{\rm sbl}$ .

Proof. Let  $V \in \operatorname{Op}_{N_{\operatorname{sb}}}$  and let  $\{V_i\}_{i\in I}$  be a linear covering of V. We have to prove that  $\{f^{-1}V_i\}_{i\in I}$  is a linear covering of  $f^{-1}V$ . As in the case of  $M_{\operatorname{sa}}$ , the definition of the linear coverings is local (see Corollary 1.1.7). Hence we can assume that  $M = N \times L$ . We can also assume that  $d_M((x,y),(x',y')) = \max\{d_N(x,x'),d_L(y,y')\}$ , for  $x,x' \in N$  and  $y,y' \in L$ . Then for any  $(x,y) \in M$  we have  $d_M((x,y),N\setminus f^{-1}V)=d_N(x,N\setminus V)$  and the result follows easily. Q.E.D.

By Propositions 2.3.4 and 2.3.5 any submersion  $f: M \to N$  between real analytic manifolds induces a pair of adjoint functors  $(f_{\text{sal}}^{-1}, f_{\text{sal*}})$  between  $\text{Mod}(M_{\text{sal}})$  and  $\text{Mod}(N_{\text{sal}})$ .

**Remark 2.3.6.** Our two definitions of  $f_{\text{sal*}}$  for closed embeddings and submersions do not give a definition for a general f by composition. For example let us consider the following commutative diagram

$$M = \mathbb{R}^2 \xrightarrow{i} \mathbb{R}^3$$

$$\downarrow q$$

$$\mathbb{R} \xrightarrow{j} N = \mathbb{R}^2.$$

where i(x,y)=(x,y,0), p(x,y)=x, q(x,y,z)=(x,z) and j(x)=(x,0). For  $V\in \operatorname{Op}_{N_{\operatorname{sb}}}$  we define two families of open subsets of  $f^{-1}(V)$ :

$$I_1 = \{ M \cap W; W \in \operatorname{Op}_{\mathbb{R}^3_{\operatorname{sb}}}, W \subset q^{-1}V, W \text{ is } i\text{-regular} \},$$
  
 $I_2 = \{ p^{-1}(\mathbb{R} \cap V'); V' \in \operatorname{Op}_{N_{\operatorname{sb}}}, V' \subset V, V' \text{ is } j\text{-regular} \}.$ 

Then, for any  $F \in \text{Mod}(M_{\text{sbl}})$  we have

(2.3.3) 
$$\Gamma(V; q_{\text{sal}*}i_{\text{sal}*}F) \simeq \Gamma(q^{-1}V; i_{\text{sal}*}F) \simeq \varprojlim_{U \in I_1} F(U),$$

$$(2.3.4) \quad \Gamma(V; j_{\text{sal}*}p_{\text{sal}*}F) \simeq \varprojlim_{V' \subset V, V' \text{ } j\text{-regular}} \Gamma(\mathbb{R} \cap V'; p_{\text{sal}*}F) \simeq \varprojlim_{U \in I_2} F(U).$$

Let us take for V the open set  $V = \{(x,z); x^3 < z^2\}$ . Then the two families  $I_1$  and  $I_2$  of open subsets of  $f^{-1}(V) = \{(x,y); x>0\}$  are not cofinal. Indeed the set  $W_0 \subset \mathbb{R}^3$  given by  $W_0 = \{(x,y,z); x^3 < y^2 + z^2\}$  is *i*-regular. Hence  $M \cap W_0 = \{(x,y); x^3 < y^2\}$  belongs to  $I_1$ . On the other hand we see easily that, if V' is *j*-regular and  $V' \subset V$ , then  $\mathbb{R} \cap V' \subset ]\varepsilon, +\infty[$ , for some  $\varepsilon > 0$ . Hence  $M \cap W_0$  is not contained in any set of the family  $I_2$ .

Let us define  $F = \varinjlim_{\varepsilon > 0} \mathbf{k}_{[0,\varepsilon] \times \{0\}} \in \operatorname{Mod}(M_{\operatorname{sbl}})$ . Taking  $U = M \cap W_0$  in (2.3.3) we can see that  $\Gamma(V; q_{\operatorname{sal}*}i_{\operatorname{sal}*}F) \simeq \mathbf{k}$ . On the other hand (2.3.4) implies  $\Gamma(V; j_{\operatorname{sal}*}p_{\operatorname{sal}*}F) \simeq 0$ . Hence  $q_{\operatorname{sal}*}i_{\operatorname{sal}*} \not\simeq j_{\operatorname{sal}*}p_{\operatorname{sal}*}$ .

# Chapter 3

# Construction of sheaves

On the site  $M_{\rm sa}$ , the sheaves  $\mathscr{C}_{M_{\rm sa}}^{\infty, \rm tp}$  and  $\mathcal{D}b_{M_{\rm sa}}^{\rm tp}$  below have been constructed in [KS96, KS01]. By using the linear topology we shall construct sheaves on  $M_{\rm sal}$  associated with more precise growth conditions.

Let us choose a distance d on M such that, for any  $x \in M$  and any local chart  $(U, \varphi \colon U \hookrightarrow \mathbb{R}^n)$  around x, there exists a neighborhood of x over which d is Lipschitz equivalent to the pull-back of the Euclidean distance by  $\varphi$ .

# 3.1 Sheaves on the subanalytic site

#### Temperate growth

For the reader's convenience, let us recall first some definitions of [KS96, KS01]. As usual, we denote by  $\mathscr{C}_{M}^{\infty}$  (resp.  $\mathscr{A}_{M}$ ) the sheaf of complex valued functions of class  $\mathscr{C}^{\infty}$  (resp. real analytic), by  $\mathcal{D}b_{M}$  (resp.  $\mathscr{B}_{M}$ ) the sheaf of Schwartz's distributions (resp. Sato's hyperfunctions) and by  $\mathscr{D}_{M}$  the sheaf of finite-order differential operators with coefficients in  $\mathscr{A}_{M}$ .

**Definition 3.1.1.** Let  $U \in \operatorname{Op}_{M_{\operatorname{sa}}}$  and let  $f \in \mathscr{C}_M^{\infty}(U)$ . One says that f has polynomial growth at  $p \in M$  if it satisfies the following condition. For a local coordinate system  $(x_1, \ldots, x_n)$  around p, there exist a sufficiently small compact neighborhood K of p and a positive integer N such that

(3.1.1) 
$$\sup_{x \in K \cap U} \left( d(x, K \setminus U) \right)^N |f(x)| < \infty.$$

It is obvious that f has polynomial growth at any point of U. We say that f

is temperate at p if all its derivatives have polynomial growth at p. We say that f is temperate if it is temperate at any point.

For  $U \in \operatorname{Op}_{M_{\operatorname{sa}}}$ , we shall denote by  $\mathscr{C}_M^{\infty,\operatorname{tp}}(U)$  the subspace of  $\mathscr{C}_M^{\infty}(U)$  consisting of temperate functions.

For  $U \in \operatorname{Op}_{M_{\operatorname{sa}}}$ , we shall denote by  $\mathcal{D}b_M^{\operatorname{tp}}(U)$  the space of temperate distributions on U, defined by the exact sequence

$$0 \to \Gamma_{M \setminus U}(M; \mathcal{D}b_M) \to \Gamma(M; \mathcal{D}b_M) \to \mathcal{D}b_M^{\mathrm{tp}}(U) \to 0.$$

It follows from (1.1.2) that  $U \mapsto \mathscr{C}_M^{\infty,\operatorname{tp}}(U)$  is a sheaf and it follows from the work of Lojasiewicz [Lo59] that  $U \mapsto \mathcal{D}b_M^{\operatorname{tp}}(U)$  is also a sheaf. We denote by  $\mathscr{C}_{M_{\operatorname{sa}}}^{\infty,\operatorname{tp}}$  and  $\mathcal{D}b_{M_{\operatorname{sa}}}^{\operatorname{tp}}$  these sheaves on  $M_{\operatorname{sa}}$ . The first one is called the sheaf of  $\mathscr{C}^{\infty}$ -functions with temperate growth and the second the sheaf of temperate distributions. Note that both sheaves are  $\Gamma$ -acyclic (see [KS01, Lem 7.2.4] or Proposition 3.1.4 below) and the sheaf  $\mathcal{D}b_{M_{\operatorname{sa}}}^{\operatorname{tp}}$  is flabby (see Definition 1.3.6).

We also introduce the sheaf  $\mathscr{C}_{M_{\mathrm{sa}}}^{\infty}$  of  $\mathscr{C}^{\infty}$ -functions on  $M_{\mathrm{sa}}$  as

$$\mathscr{C}_{M_{\operatorname{sa}}}^{\infty} := \rho_{\operatorname{sa}_*} \mathscr{C}_M^{\infty}.$$

We denote as usual by  $\mathscr{D}_M$  the sheaf of rings of finite order differential operators on the real analytic manifold M. If  $\iota_M : M \hookrightarrow X$  is a complexification of M, then  $\mathscr{D}_M \simeq \iota_M^{-1} \mathscr{D}_X$ . We set, following [KS01]:

$$\mathscr{D}_{M_{\mathrm{sa}}} := \rho_{\mathrm{sa}} \mathscr{D}_{M}.$$

The sheaves  $\mathscr{C}_{M_{\operatorname{sa}}}^{\infty,\operatorname{tp}},\,\mathscr{C}_{M_{\operatorname{sa}}}^{\infty}$  and  $\mathcal{D}b_{M_{\operatorname{sa}}}^{\operatorname{tp}}$  are  $\mathscr{D}_{M_{\operatorname{sa}}}$ -modules.

**Remark 3.1.2.** The sheaves  $\mathscr{C}_{M_{\operatorname{sa}}}^{\infty,\operatorname{tp}}$  and  $\mathcal{D}b_{M_{\operatorname{sa}}}^{\operatorname{tp}}$  are respectively denoted by  $\mathscr{C}_{M}^{\infty,t}$  and  $\mathcal{D}b_{M}^{t}$  in [KS01].

## A cutoff lemma on $M_{\rm sa}$

Lemma 3.1.3 below is an immediate corollary of a result of Hörmander [Ho83, Cor.1.4.11] and was already used in [KS96, Prop. 10.2].

**Lemma 3.1.3.** Let  $Z_1$  and  $Z_2$  be two closed subanalytic subsets of M. Then there exists  $\psi \in \mathscr{C}_M^{\infty, \operatorname{tp}}(M \setminus (Z_1 \cap Z_2))$  such that  $\psi = 0$  on a neighborhood of  $Z_1 \setminus Z_2$  and  $\psi = 1$  on a neighborhood of  $Z_2 \setminus Z_1$ .

**Proposition 3.1.4.** Let  $\mathscr{F}$  be a sheaf of  $\mathscr{C}_{M_{\operatorname{sa}}}^{\infty,\operatorname{tp}}$ -modules on  $M_{\operatorname{sa}}$ . Then  $\mathscr{F}$  is  $\Gamma$ -acyclic.

Proof. By Proposition 1.3.4, it is enough to prove that for  $U_1, U_2$  in  $\operatorname{Op}_{M_{\operatorname{sa}}}$ , the sequence  $0 \to \mathscr{F}(U_1 \cup U_2) \to \mathscr{F}(U_1) \oplus \mathscr{F}(U_2) \to \mathscr{F}(U_1 \cap U_2) \to 0$  is exact. This follows from Lemma 3.1.3 (see [KS96, Prop. 10.2] or Proposition 3.3.4 below).

## Gevrey growth

The definition below of the sheaf  $\mathscr{C}_{M_{\operatorname{sa}}}^{\infty,\operatorname{gev}}$  is inspired by the definition of the sheaves of  $C^{\infty}$ -functions of Gevrey classes, but is completely different from the classical one. Here we are interested in the growth of functions at the boundary contrarily to the classical setting where one is interested in the Taylor expansion of the function. As usual, there are two kinds of regularity which can be interesting: regularity at the interior or at the boundary. Since we shall soon consider the Dolbeault complexes of our new sheaves, the interior regularity is irrelevant and we are only interested in the growth at the boundary.

We refer to [Ko73, Ko77] for an exposition on classical Gevrey functions or distributions and their link with Sato's theory of boundary values of holomorphic functions. Note that there is also a recent study by [HM11] of these sheaves using the tools of subanalytic geometry.

In  $\S$  3.2 we shall define more refined sheaves by using the linear subanalytic topology.

**Definition 3.1.5.** Let  $U \in \operatorname{Op}_{M_{\operatorname{sa}}}$  and let  $f \in \mathscr{C}_M^{\infty}(U)$ . We say that f has 0-Gevrey growth at  $p \in M$  if it satisfies the following condition. For a local coordinate system  $(x_1, \ldots, x_n)$  around p, there exist a sufficiently small compact neighborhood K of p, h > 0 and s > 1 such that

(3.1.2) 
$$\sup_{x \in K \cap U} \left( \exp(-h \cdot d(x, K \setminus U)^{1-s}) \right) |f(x)| < \infty.$$

It is obvious that f has 0-Gevrey growth at any point of U. We say that f has Gevrey growth at p if all its derivatives have 0-Gevrey growth at p. We say that f has Gevrey growth if it has such a growth at any point.

We denote by  $G_M(U)$  the subspace of  $\mathscr{C}_M^{\infty}(U)$  consisting of functions with Gevrey growth and by  $\mathscr{C}_{M_{\operatorname{sa}}}^{\infty,\operatorname{gev}}$  the presheaf  $U\mapsto G_M(U)$  on  $M_{\operatorname{sa}}$ .

The next result is clear in view of (1.1.2) and Proposition 3.1.4.

**Proposition 3.1.6.** (a) The presheaf  $\mathscr{C}_{M_{\operatorname{sa}}}^{\infty,\operatorname{gev}}$  is a sheaf on  $M_{\operatorname{sa}}$ ,

- (b) the sheaf  $\mathscr{C}_{M_{\operatorname{sa}}}^{\infty,\operatorname{gev}}$  is a  $\mathscr{D}_{M_{\operatorname{sa}}}$ -module,
- (c) the sheaf  $\mathscr{C}_{M_{sa}}^{\infty,gev}$  is a  $\mathscr{C}_{M_{sa}}^{\infty,tp}$ -module,
- (d) the sheaf  $\mathscr{C}_{M_{\operatorname{sa}}}^{\infty,\operatorname{gev}}$  is  $\Gamma$ -acyclic.

# 3.2 Sheaves on the linear subanalytic site

By Lemma 1.4.10, if a sheaf  $\mathscr{F}$  on  $M_{\mathrm{sa}}$  is  $\Gamma$ -acyclic, then  $\mathrm{R}\rho_{\mathrm{sal}*}\mathscr{F}$  is concentrated in degree 0. This applies in particular to the sheaves  $\mathscr{C}_{M_{\mathrm{sa}}}^{\infty,\mathrm{tp}}$ ,  $\mathcal{D}b_{M_{\mathrm{sa}}}^{\mathrm{tp}}$  and  $\mathscr{C}_{M_{\mathrm{sa}}}^{\infty,\mathrm{gev}}$ .

In the sequel, we shall use the following notations. We set

$$\mathscr{C}_{M_{\operatorname{sal}}}^{\infty,\operatorname{tp}} := \rho_{\operatorname{sal}_*} \mathscr{C}_{M_{\operatorname{sa}}}^{\infty,\operatorname{tp}}, \quad \mathcal{D}b_{M_{\operatorname{sal}}}^{\operatorname{tp}} := \rho_{\operatorname{sal}_*} \mathcal{D}b_{M_{\operatorname{sa}}}^{\operatorname{tp}}, \quad \mathscr{C}_{M_{\operatorname{sal}}}^{\infty,\operatorname{gev}} := \rho_{\operatorname{sal}_*} \mathscr{C}_{M_{\operatorname{sa}}}^{\infty,\operatorname{gev}}.$$

## Temperate growth of a given order

**Definition 3.2.1.** Let  $U \in \operatorname{Op}_{M_{\operatorname{sa}}}$ , let  $f \in \mathscr{C}_M^{\infty}(U)$  and let  $t \in \mathbb{R}_{\geq 0}$ . We say that f has polynomial growth of order  $\leq t$  at  $p \in M$  if it satisfies the following condition. For a local coordinate system  $(x_1, \ldots, x_n)$  around p, there exists a sufficiently small compact neighborhood K of p such that

(3.2.1) 
$$\sup_{x \in K \cap U} \left( d(x, K \setminus U) \right)^t |f(x)| < \infty.$$

It is obvious that f has polynomial growth of order  $\leq t$  at any point of U. We say that f is temperate of order t at p if, for each  $m \in \mathbb{N}$ , all its derivatives of order  $\leq m$  have polynomial growth of order  $\leq t + m$  at p. We say that f is temperate of order t if it is temperate of order t at any point.

For  $U \in \operatorname{Op}_{M_{\operatorname{sa}}}$ , we denote by  $\mathscr{C}_M^{\infty,t}(U)$  the subspace of  $\mathscr{C}_M^{\infty}(U)$  consisting of functions temperate of order t and we denote by  $\mathscr{C}_{M_{\operatorname{sal}}}^{\infty,t}$  the presheaf on  $M_{\operatorname{sal}}$  so obtained.

The next result is clear by Proposition 1.2.8.

**Proposition 3.2.2.** (i) The presheaves  $\mathscr{C}_{M_{\text{sal}}}^{\infty,t}$   $(t \geq 0)$  are sheaves on  $M_{\text{sal}}$ ,

(ii) the sheaf  $\mathscr{C}_{M_{\mathrm{sal}}}^{\infty,0}$  is a sheaf of rings,

(iii) for  $t \geq 0$ ,  $\mathscr{C}_{M_{\mathrm{sal}}}^{\infty,t}$  is a  $\mathscr{C}_{M_{\mathrm{sal}}}^{\infty,0}$ -module and there are natural morphisms  $\mathscr{C}_{M_{\mathrm{sal}}}^{\infty,t} \otimes_{\mathscr{C}_{M_{\mathrm{sal}}}^{\infty,0}} \mathscr{C}_{M_{\mathrm{sal}}}^{\infty,t'} \to \mathscr{C}_{M_{\mathrm{sal}}}^{\infty,t+t'}$ .

We also introduce the sheaf

$$\mathscr{C}_{M_{\operatorname{sal}}}^{\infty,\operatorname{tp} st} := \varinjlim_{t} \mathscr{C}_{M_{\operatorname{sal}}}^{\infty,t}.$$

(Of course, the limit is taken in the category of sheaves on  $M_{\rm sal}$ .) Then, for  $0 \le t \le t'$ , there are natural monomorphisms of sheaves on  $M_{\rm sal}$ :

$$(3.2.2) \hspace{1cm} \mathscr{C}^{\infty,0}_{M_{\mathrm{sal}}} \hookrightarrow \mathscr{C}^{\infty,t}_{M_{\mathrm{sal}}} \hookrightarrow \mathscr{C}^{\infty,t\prime}_{M_{\mathrm{sal}}} \hookrightarrow \mathscr{C}^{\infty,\mathrm{tp}\,st}_{M_{\mathrm{sal}}} \hookrightarrow \mathscr{C}^{\infty,\mathrm{tp}\,st}_{M_{\mathrm{sal}}}.$$

## Gevrey growth of a given order

**Definition 3.2.3.** Let  $U \in \operatorname{Op}_{M_{\operatorname{sa}}}$ , let  $(s,h) \in ]1, +\infty[\times]0, +\infty[$  and let  $f \in \mathscr{C}_M^{\infty}(U)$ . We say that f has 0-Gevrey growth of type (s,h) at  $p \in M$  if it satisfies the following condition. For a local coordinate system  $(x_1, \ldots, x_n)$  around p, there exists a sufficiently small compact neighborhood K of p such that

$$(3.2.3) \qquad \sup_{x \in K \cap U} \left( \exp(-h \cdot d(x, K \setminus U)^{1-s}) \right) |f(x)| < \infty.$$

It is obvious that f has 0-Gevrey growth of type (s, h) at any point of U. We say that f has Gevrey growth of type (s, h) at p if all its derivatives have 0-Gevrey growth of type (s, h) at p. We say that f has Gevrey growth of type (s, h) if it has such a growth at any point.

We denote by  $G_M^{s,h}(U)$  the subspace of  $\mathscr{C}_M^{\infty}(U)$  consisting of functions with Gevrey growth of type (s,h).

**Definition 3.2.4.** For  $U \in \text{Op}_{M_{\text{Sa}}}$  and  $s \in ]1, +\infty[$ , we set:

$$G_M^{(s)}(U) := \varprojlim_h G_M^{s,h}(U), \quad G_M^{\{s\}}(U) := \varinjlim_h G_M^{s,h}(U).$$

and we denote by  $\mathscr{C}_{M_{\mathrm{sal}}}^{\infty,\mathrm{gev}(s)}$  and  $\mathscr{C}_{M_{\mathrm{sal}}}^{\infty,\mathrm{gev}\{s\}}$  the presheaves on  $M_{\mathrm{sal}}$  so obtained.

Clearly, the presheaves  $\mathscr{C}_{M_{\mathrm{sal}}}^{\infty,\mathrm{gev}(s)}$  and  $\mathscr{C}_{M_{\mathrm{sal}}}^{\infty,\mathrm{gev}\{s\}}$  do not depend on the choice of the distance.

**Proposition 3.2.5.** (i) The presheaves  $\mathscr{C}_{M_{\mathrm{sal}}}^{\infty, \mathrm{gev}(s)}$  and  $\mathscr{C}_{M_{\mathrm{sal}}}^{\infty, \mathrm{gev}\{s\}}$  are sheaves on  $M_{\mathrm{sal}}$ ,

- (ii) the sheaves  $\mathscr{C}_{M_{\mathrm{sal}}}^{\infty,\mathrm{gev}(s)}$  and  $\mathscr{C}_{M_{\mathrm{sal}}}^{\infty,\mathrm{gev}\{s\}}$  are  $\mathscr{C}_{M_{\mathrm{sal}}}^{\infty,\mathrm{tp}}$ -modules,
- (iii) the presheaves  $\mathscr{C}_{M_{\mathrm{sal}}}^{\infty,\mathrm{gev}(s)}$  and  $\mathscr{C}_{M_{\mathrm{sal}}}^{\infty,\mathrm{gev}\{s\}}$  are  $\Gamma$ -acyclic,
- (iv) we have natural monomorphisms of sheaves on  $M_{\rm sal}$  for 1 < s < s'

$$\mathscr{C}_{M_{\operatorname{sal}}}^{\infty,\operatorname{gev}(s)}\hookrightarrow\mathscr{C}_{M_{\operatorname{sal}}}^{\infty,\operatorname{gev}\{s\}}\hookrightarrow\mathscr{C}_{M_{\operatorname{sal}}}^{\infty,\operatorname{gev}(s')}\hookrightarrow\mathscr{C}_{M_{\operatorname{sal}}}^{\infty,\operatorname{gev}\{s'\}}.$$

*Proof.* (i), (ii) and (iv) are obvious and (iii) will follow from (ii) and Proposition 3.3.4 below (see Corollary 3.3.5).

Q.E.D.

We set

$$\mathscr{C}_{M_{\operatorname{sal}}}^{\infty,\operatorname{gev}\operatorname{st}}:=\varinjlim_{s>0}\mathscr{C}_{M_{\operatorname{sal}}}^{\infty,\operatorname{gev}\{s\}}.$$

Hence, we have monomorphisms of sheaves on  $M_{\rm sal}$  for  $0 \le t$  and 1 < s

$$\begin{split} \mathscr{C}_{M_{\operatorname{sal}}}^{\infty,0} &\hookrightarrow \mathscr{C}_{M_{\operatorname{sal}}}^{\infty,t} \hookrightarrow \mathscr{C}_{M_{\operatorname{sal}}}^{\infty,\operatorname{tp}\,st} \hookrightarrow \mathscr{C}_{M_{\operatorname{sal}}}^{\infty,\operatorname{tp}} \\ &\hookrightarrow \mathscr{C}_{M_{\operatorname{sal}}}^{\infty,\operatorname{gev}(s)} \hookrightarrow \mathscr{C}_{M_{\operatorname{sal}}}^{\infty,\operatorname{gev}\{s\}} \hookrightarrow \mathscr{C}_{M_{\operatorname{sal}}}^{\infty,\operatorname{gev}\,\operatorname{st}} \hookrightarrow \mathscr{C}_{M_{\operatorname{sal}}}^{\infty,\operatorname{gev}} \hookrightarrow \mathscr{C}_{M_{\operatorname{sal}}}^{\infty}. \end{split}$$

 $\begin{array}{l} \textbf{Definition 3.2.6.} \text{ If } \mathscr{F}_{M_{\rm sal}} \text{ is one of the sheaves } \mathscr{C}_{M_{\rm sal}}^{\infty,t}, \ \mathscr{C}_{M_{\rm sal}}^{\infty,{\rm tp}\,st}, \ \mathscr{C}_{M_{\rm sal}}^{\infty,{\rm gev}(s)}, \\ \mathscr{C}_{M_{\rm sal}}^{\infty,{\rm gev}\{s\}} \text{ or } \mathscr{C}_{M_{\rm sal}}^{\infty,{\rm gev}\,st}, \text{ we set } \mathscr{F}_{M_{\rm sa}} := \rho_{\rm sal}^! \mathscr{F}. \end{array}$ 

Let us apply Theorem 1.5.14 and Corollary 3.3.5. We get that if  $U \in \operatorname{Op}_{M_{\operatorname{sa}}}$  is weakly Lipschitz and if  $\mathscr{F}_{M_{\operatorname{sal}}}$  denotes one of the sheaves above, then

$$R\Gamma(U;\mathscr{F}_{M_{\mathrm{sa}}}) \simeq \Gamma(U;\mathscr{F}_{M_{\mathrm{sal}}}).$$

We call  $\mathscr{C}_{M_{\operatorname{sa}}}^{\infty,t}$ ,  $\mathscr{C}_{M_{\operatorname{sa}}}^{\infty,\operatorname{tp} st}$ ,  $\mathscr{C}_{M_{\operatorname{sa}}}^{\infty,\operatorname{gev}(s)}$ ,  $\mathscr{C}_{M_{\operatorname{sa}}}^{\infty,\operatorname{gev}\{s\}}$  and  $\mathscr{C}_{M_{\operatorname{sa}}}^{\infty,\operatorname{gev} \operatorname{st}}$  the sheaves on  $M_{\operatorname{sa}}$  of  $\mathscr{C}^{\infty}$ -functions of growth t, strictly temperate growth, Gevrey growth of type (s) and  $\{s\}$  and strictly Gevrey growth, respectively. Recall that on  $M_{\operatorname{sa}}$ , we also have the sheaf  $\mathscr{C}_{M_{\operatorname{sa}}}^{\infty,\operatorname{tp}}$  of  $\mathscr{C}^{\infty}$ -functions of temperate growth, the sheaf  $\mathscr{D}b_{M_{\operatorname{sa}}}^{\operatorname{tp}}$  of temperate distributions and the sheaf  $\mathscr{C}_{M_{\operatorname{sa}}}^{\infty,\operatorname{gev}}$  of  $\mathscr{C}^{\infty}$ -functions of Gevrey growth.

## Rings of differential operators

Let M be a real analytic manifold. Recall that  $\mathscr{D}_M$  denotes the sheaf of finite order analytic differential operators on M and that we have set  $\mathscr{D}_{M_{\mathrm{sa}}} := \rho_{\mathrm{sal}} \mathscr{D}_M$ . Now we set

$$\mathscr{D}_{M_{\mathrm{sal}}} := \rho_{\mathrm{sl}!} \mathscr{D}_{M} \simeq \rho_{\mathrm{sal}*} \circ \rho_{\mathrm{sa}!} \mathscr{D}_{M}.$$

Hence,  $\mathscr{D}_{M_{\mathrm{sa}}}$  is the sheaf on  $M_{\mathrm{sa}}$  associated with the presheaf  $U \mapsto \mathscr{D}_{M}(\overline{U})$  and similarly with  $M_{\mathrm{sal}}$ . We define similarly the sheaves  $\mathscr{D}_{\mathscr{T}}(m)$  of differential operators of order  $\leq m$  on the site  $\mathscr{T} = M, M_{\mathrm{sa}}, M_{\mathrm{sal}}$ .

By using the functor  $\rho_{\text{sal}}^!$ , we will construct new sheaves (in the derived sense) on  $M_{\text{sa}}$  associated with the sheaves previously constructed on  $M_{\text{sal}}$ .

**Theorem 3.2.7.** (i) The functor  $\rho_{\text{sal}*} \colon \text{Mod}(\mathcal{D}_{M_{\text{sa}}}) \to \text{Mod}(\mathcal{D}_{M_{\text{sal}}})$  has finite cohomological dimension.

- (ii) The functor  $R\rho_{sal_*}$ :  $D(\mathcal{D}_{M_{sa}}) \to D(\mathcal{D}_{M_{sal}})$  commutes with small direct sums.
- (iii) The functor  $R\rho_{sal*}$  in (ii) admits a right adjoint  $\rho_{sal}^!$ :  $D(\mathcal{D}_{M_{sal}}) \to D(\mathcal{D}_{M_{sa}})$ .
- (iv) The functor  $\rho_{\text{sal}}^!$  induces a functor  $\rho_{\text{sal}}^!$ :  $D^+(\mathscr{D}_{M_{\text{sal}}}) \to D^+(\mathscr{D}_{M_{\text{sa}}})$ .

*Proof.* Consider the quasi-commutative diagram of categories

$$\begin{array}{ccc} \operatorname{Mod}(\mathscr{D}_{M_{\operatorname{sa}}}) & \xrightarrow{\rho_{\operatorname{sal}*}} & \operatorname{Mod}(\mathscr{D}_{M_{\operatorname{sal}}}) \\ for & & for \\ \operatorname{Mod}(\mathbb{C}_{M_{\operatorname{sa}}}) & \xrightarrow{\rho_{\operatorname{sal}*}} & \operatorname{Mod}(\mathbb{C}_{M_{\operatorname{sal}}}). \end{array}$$

The functor  $for: \operatorname{Mod}(\mathscr{D}_{M_{\operatorname{sa}}}) \to \operatorname{Mod}(\mathbb{C}_{M_{\operatorname{sa}}})$  is exact and sends injective objects to injective objects, and similarly with  $M_{\operatorname{sal}}$  instead of  $M_{\operatorname{sa}}$ . It follows that the diagram below commutes:

$$D(\mathscr{D}_{M_{\operatorname{sal}}}) \xrightarrow{\operatorname{R}\rho_{\operatorname{sal}*}} D(\mathscr{D}_{M_{\operatorname{sal}}})$$

$$for \downarrow \qquad \qquad for \downarrow$$

$$D(\mathbb{C}_{M_{\operatorname{sal}}}) \xrightarrow{\operatorname{R}\rho_{\operatorname{sal}*}} D(\mathbb{C}_{M_{\operatorname{sal}}}).$$

Moreover, the two functors for in the last diagram above are conservative. Then

- (i) and (ii) follow from the corresponding result for  $\mathbb{C}_{M_{\operatorname{Sa}}}$  modules.
- (iii)-(iv) follow from the Brown representability theorem, (see Proposition 1.4.4). Q.E.D.

For  $F_{M_{\text{sal}}}$  denoting one of the sheaves  $\mathscr{C}_{M_{\text{sa}}}^{\infty,\text{tp}\,st}$ ,  $\mathscr{C}_{M_{\text{sa}}}^{\infty,\text{gev}(s)}$ ,  $\mathscr{C}_{M_{\text{sa}}}^{\infty,\text{gev}\{s\}}$  and  $\mathscr{C}_{M_{\text{sal}}}^{\infty,\text{gev}\,\text{st}}$ , we set  $F_{M_{\text{sa}}} := \rho_{\text{sal}}^! F_{M_{\text{sal}}}$ . Then  $F \in D^+(\mathscr{D}_{M_{\text{sa}}})$  and if U is weakly Lipschitz, then  $R\Gamma(U;F)$  is concentrated in degree 0 and coincides with the natural space of  $\mathscr{C}^{\infty}$ -functions on U with the corresponding growth at the boundary.

# 3.3 A refined cutoff lemma

Lemma 3.3.1 below will play an important role in this paper and is an immediate corollary of a result of Hörmander [Ho83, Cor.1.4.11]. Note that Hörmander's result was already used in [KS96, Prop. 10.2] (see Lemma 3.1.3 above).

Hörmander's result is stated for  $M = \mathbb{R}^n$  but we check in Lemma 3.3.2 that it can be extended to an arbitrary manifold.

**Lemma 3.3.1.** Let  $Z_1$  and  $Z_2$  be two closed subsets of  $M := \mathbb{R}^n$ . Assume that there exists C > 0 such that

$$(3.3.1) d(x, Z_1 \cap Z_2) \le C(d(x, Z_1) + d(x, Z_2)) for any x \in M.$$

Then there exists  $\psi \in \mathscr{C}_M^{\infty,0}(M \setminus (Z_1 \cap Z_2))$  such that  $\psi = 0$  on a neighborhood of  $Z_1 \setminus Z_2$  and  $\psi = 1$  on a neighborhood of  $Z_2 \setminus Z_1$ .

**Lemma 3.3.2.** Let M be a manifold. Let  $Z_1$  and  $Z_2$  be two closed subsets of M such that  $M \setminus (Z_1 \cap Z_2)$  is relatively compact and such that (3.3.1) holds for some C > 0. Then the conclusion of Lemma 3.3.1 holds true.

*Proof.* We consider an embedding of M in some  $\mathbb{R}^N$  and we denote by  $d_M$ ,  $d_{\mathbb{R}^N}$  the distance on M or  $\mathbb{R}^N$ . We have a constant  $D \geq 1$  such that  $D^{-1}d_{\mathbb{R}^N}(x,y) \leq d_M(x,y) \leq D d_{\mathbb{R}^N}(x,y)$ , for all  $x,y \in M \setminus (Z_1 \cap Z_2)$ .

Let  $x \in \mathbb{R}^N$  and let  $x' \in M$  such that  $d_{\mathbb{R}^N}(x, x') = d_{\mathbb{R}^N}(x, M)$ . In

particular  $d_{\mathbb{R}^N}(x,x') \leq d_{\mathbb{R}^N}(x,Z_1)$ . Then we have, assuming  $x' \notin Z_1 \cap Z_2$ ,

$$d_{\mathbb{R}^{N}}(x, Z_{1} \cap Z_{2}) \leq d_{\mathbb{R}^{N}}(x, x') + D d_{M}(x', Z_{1} \cap Z_{2})$$

$$\leq d_{\mathbb{R}^{N}}(x, x') + DC(d_{M}(x', Z_{1}) + d_{M}(x', Z_{2}))$$

$$\leq d_{\mathbb{R}^{N}}(x, x') + D^{2}C(d_{\mathbb{R}^{N}}(x', Z_{1}) + d_{\mathbb{R}^{N}}(x', Z_{2}))$$

$$\leq (1 + 2D^{2}C)d_{\mathbb{R}^{N}}(x, x') + D^{2}C(d_{\mathbb{R}^{N}}(x, Z_{1}) + d_{\mathbb{R}^{N}}(x, Z_{2}))$$

$$\leq (1 + 3D^{2}C)(d_{\mathbb{R}^{N}}(x, Z_{1}) + d_{\mathbb{R}^{N}}(x, Z_{2})).$$

If  $x' \in Z_1 \cap Z_2$ , then  $d_{\mathbb{R}^N}(x, Z_1 \cap Z_2) = d_{\mathbb{R}^N}(x, M) \leq d_{\mathbb{R}^N}(x, Z_1)$  and the same inequality holds trivially. Hence we can apply Lemma 3.3.1 to  $Z_1, Z_2 \subset \mathbb{R}^N$  and obtain a function  $\psi \in \mathscr{C}^{\infty,0}_{\mathbb{R}^N}(\mathbb{R}^N \setminus (Z_1 \cap Z_2))$ . Then  $\psi|_{M \setminus (Z_1 \cap Z_2)}$  belongs to  $\mathscr{C}^{\infty,0}_M(M \setminus (Z_1 \cap Z_2))$  and satisfies the required properties. Q.E.D.

**Lemma 3.3.3.** Let  $U_1, U_2 \in \operatorname{Op}_{M_{\operatorname{sa}}}$  and set  $U = U_1 \cup U_2$ . We assume that  $\{U_1, U_2\}$  is a linear covering of U. Then there exist  $U_i' \subset U_i$ , i = 1, 2, and  $\psi \in \mathscr{C}_M^{\infty,0}(U)$  such that

- (i)  $\{U'_i, U_1 \cap U_2\}$  is a linear covering of  $U_i$ ,
- (ii)  $\psi|_{U_1'} = 0$  and  $\psi|_{U_2'} = 1$ .

*Proof.* We choose  $U_i' \subset U_i$ , i = 1, 2, as in Lemma 1.1.11 and we set  $Z_i = (M \setminus U) \cup \overline{U_i'}$ . Then the result follows from Lemmas 1.1.11 and 3.3.2. Q.E.D.

**Proposition 3.3.4.** Let  $\mathscr{F}$  be a sheaf of  $\mathscr{C}_{M_{\mathrm{sal}}}^{\infty,0}$ -modules on  $M_{\mathrm{sal}}$ . Then  $\mathscr{F}$  is  $\Gamma$ -acyclic.

*Proof.* By Proposition 1.3.4, it is enough to prove that for any  $\{U_1, U_2\}$  which is a covering of  $U_1 \cup U_2$ , the sequence  $0 \to \mathscr{F}(U_1 \cup U_2) \to \mathscr{F}(U_1) \oplus \mathscr{F}(U_2) \to \mathscr{F}(U_1 \cap U_2) \to 0$  is exact. This follows from Lemma 3.3.3, similarly as in the proof of [KS96, Prop. 10.2]. The only non trivial fact is the surjectivity at the last term, which we check now.

We choose  $U_i' \subset U_i$ , i = 1, 2, and  $\psi \in \mathscr{C}_M^{\infty,0}(U)$  as in Lemma 3.3.3. Let  $s \in \Gamma(U_1 \cap U_2; \mathscr{F})$ . Since  $\{U_i', U_1 \cap U_2\}$  is a linear covering of  $U_i$ , i = 1, 2, we can define  $s_1 \in \Gamma(U_1; \mathscr{F})$  and  $s_2 \in \Gamma(U_2; \mathscr{F})$  by

$$s_1|_{U_1 \cap U_2} = \psi \cdot s$$
,  $s_1|_{U_1'} = 0$  and  $s_2|_{U_1 \cap U_2} = (1 - \psi) \cdot s$ ,  $s_2|_{U_2'} = 0$ .

Then  $s_1|_{U_1 \cap U_2} + s_2|_{U_1 \cap U_2} = s$ , as required. Q.E.D.

 $\begin{array}{lll} \textbf{Corollary 3.3.5.} & \textit{The sheaves $\mathscr{C}_{M_{\rm sal}}^{\infty,\operatorname{tp} st}$, $\mathscr{C}_{M_{\rm sal}}^{\infty,\operatorname{tp}}$, $\mathcal{D}b_{M_{\rm sal}}^{\operatorname{tp}}$, $\mathscr{C}_{M_{\rm sal}}^{\infty,t}$, $(t \in \mathbb{R}_{\geq 0})$, \\ \mathscr{C}_{M_{\rm sal}}^{\infty,\operatorname{gev}(s)} & \textit{and $\mathscr{C}_{M_{\rm sal}}^{\infty,\operatorname{gev} st}$ } & \textit{and $\mathscr{C}_{M_{\rm sal}}^{\infty,\operatorname{gev}}$ } & \textit{are $\Gamma$-acyclic.} \end{array}$ 

# 3.4 A comparison result

In the next lemma, we set  $M := \mathbb{R}^n$  and we denote by dx the Lebesgue measure. As usual, for  $\alpha \in \mathbb{N}^n$  we denote by  $D_x^{\alpha}$  the differential operator  $(\partial/\partial_{x_1})^{\alpha_1} \dots (\partial/\partial_{x_n})^{\alpha_n}$  and we denote by  $\Delta = \sum_{i=1}^n \partial^2/\partial x_i^2$  the Laplace operator on M.

In all this section, we consider an open set  $U \in \operatorname{Op}_{M_{\operatorname{sa}}}$ . We set for short

$$d(x) = d(x, M \setminus U).$$

For a locally integrable function  $\varphi$  on U and  $s \in \mathbb{R}_{>0}$ , we set

(3.4.1) 
$$\|\varphi\|_{\infty} = \sup_{x \in U} |\varphi(x)|, \quad \|\varphi\|_{\infty}^{s} = \|d(x)^{s} \varphi(x)\|_{\infty}.$$

**Proposition 3.4.1.** There exists a constant  $C_{\alpha}$  such that for any locally integrable function  $\varphi$  on U, one has the estimate for  $s \geq 0$ :

*Proof.* We shall adapt the proof of [KS96, Prop. 10.1].

(i) Let us take a distribution K(x) and a  $\mathscr{C}^{\infty}$  function R(x) such that

$$\delta(x) = \Delta K(x) + R(x)$$

and the support of K(x) and the support of R(x) are contained in  $\{x \in M; |x| \leq 1\}$ . Then K(x) is integrable. For c > 0 and for a function  $\psi$  set:

$$\psi_c(x) = \psi(c^{-1}x), \ \widetilde{K}_c = c^{2-n}K_c \text{ and } \widetilde{R}_c = c^{-n}R_c.$$

Then we have again

$$\delta(x) = \Delta \widetilde{K}_c(x) + \widetilde{R}_c(x) .$$

Hence we have for any distribution  $\psi$ 

(3.4.3) 
$$\psi(x) = \int \widetilde{K}_c(x-y)(\Delta\psi)(y)dy + \int \widetilde{R}_c(x-y)\psi(y)dy.$$

Now for  $x \in U$ , set c(x) = d(x)/2. We set

$$A_{\alpha}(x) = |\int \widetilde{K}_{c(x)}(x - y)(\Delta D_{y}^{\alpha}\varphi)(y)dy|,$$
  

$$B_{\alpha}(x) = |\int \widetilde{R}_{c(x)}(x - y)D_{y}^{\alpha}\varphi(y)dy|.$$

Since 
$$\int |\widetilde{K}_{c(x)}(x-y)|dy = c(x)^2 \int |K(\frac{x}{c(x)}-y)|dy$$
, we get 
$$\int |\widetilde{K}_{c(x)}(x-y)|dy \le C_1 d(x)^2$$

for some constant  $C_1$ .

(ii) We have

$$A_{\alpha}(x) \leq \left(\sup_{|x-y| \leq c(x)} |(D_{y}^{\alpha} \Delta \varphi)(y)|\right) \int |\widetilde{K}_{c(x)}(x-y)| dy$$
  
$$\leq C_{1} \left(\sup_{|x-y| \leq c(x)} |(D_{y}^{\alpha} \Delta \varphi)(y)|\right) \cdot d(x)^{2}.$$

Hence,

$$d(x)^{s+|\alpha|}A_{\alpha}(x) \leq C_{1} \left( \sup_{|x-y| \leq c(x)} |(D_{y}^{\alpha}\Delta\varphi)(y)| \right) \cdot d(x)^{s+|\alpha|+2}$$

$$(3.4.4) \leq 2^{s+|\alpha|+2} C_{1} \left( \sup_{|x-y| \leq c(x)} |d(y)^{s+|\alpha|+2} (D_{y}^{\alpha}\Delta\varphi)(y)| \right)$$

$$\leq 2^{s+|\alpha|+2} C_{1} ||\Delta D_{x}^{\alpha}\varphi||_{\infty}^{s+|\alpha|+2}.$$

Here we have used the fact that on the ball centered at x and radius c(x), we have  $d(x) \leq 2d(y)$ .

(iii) Since  $\widetilde{R}_c(x-y)$  is supported by the ball of center x and radius c(x), we have

$$B_{\alpha}(x) = \left| \int_{B(x,c(x))} \partial_{y}^{\alpha} \widetilde{R}_{c(x)}(x-y)\varphi(y)dy \right|$$

$$= c(x)^{-|\alpha|} \left| \int_{B(x,c(x))} c(x)^{-n} (\partial_{y}^{\alpha} R)_{c(x)}(x-y)\varphi(y)dy \right|$$

$$\leq c(x)^{-|\alpha|} \sup_{|x-y| \leq c(x)} |\varphi(y)| \cdot \int |\partial_{y}^{\alpha} R(y)|dy.$$

Here we have used the fact that  $\partial_y^{\alpha} R_{c(x)}(y) = c(x)^{-|\alpha|} (\partial_y^{\alpha} R)_{c(x)}(y)$ . As in (ii), we deduce that

$$d(x)^{s+|\alpha|}B_{\alpha}(x) \leq C_2 \sup_{|x-y| \leq c(x)} |d(y)^s \varphi(y)|$$

$$\leq C_2 \|\varphi\|_{\infty}^s.$$

for some constant  $C_2$ .

(iv) By choosing  $\psi = D_x^{\alpha} \varphi$  in (3.4.3) the estimate (3.4.2) follows from (3.4.4) and (3.4.5). Q.E.D.

# 3.5 Sheaves on complex manifolds

Let X be a complex manifold of complex dimension  $d_X$  and denote by  $X_{\mathbb{R}}$  the real analytic underlying manifold. Denote by  $\overline{X}$  the complex manifold conjugate to X. (The holomorphic functions on  $\overline{X}$  are the anti-holomorphic functions on X.) Then  $X \times \overline{X}$  is a complexification of  $X_{\mathbb{R}}$  and  $\mathscr{O}_{\overline{X}}$  is a  $\mathscr{D}_{X \times \overline{X}}$ -module which plays the role of the Dolbeault complex. In the sequel, when there is no risk of confusion, we write for short X instead of  $X_{\mathbb{R}}$ .

## Sheaves on complex manifolds

By applying the Dolbeault functor  $\mathcal{R}\mathscr{H}om_{\mathscr{D}_{\overline{X}_{\mathrm{sal}}}}(\rho_{\mathrm{sl}!}\mathscr{O}_{\overline{X}},\,ullet\,)$  to one of the sheaves

$$\mathscr{C}_{X_{\operatorname{sal}}}^{\infty,\operatorname{tp} st}, \quad \mathscr{C}_{X_{\operatorname{sal}}}^{\infty,\operatorname{tp}}, \quad \mathscr{C}_{X_{\operatorname{sal}}}^{\infty,\operatorname{gev}(s)}, \quad \mathscr{C}_{X_{\operatorname{sal}}}^{\infty,\operatorname{gev}\{s\}}, \quad \mathscr{C}_{X_{\operatorname{sal}}}^{\infty,\operatorname{gev} \operatorname{st}}, \quad \mathscr{C}_{X_{\operatorname{sal}}}^{\infty,\operatorname{gev}}, \quad \mathscr{C}_{X_{\operatorname{sal}}}^{\infty},$$

we obtain respectively the sheaves

$$\mathscr{O}_{X_{\mathrm{sal}}}^{\mathrm{tp}\,st}, \quad \mathscr{O}_{X_{\mathrm{sal}}}^{\mathrm{tp}}, \quad \mathscr{O}_{X_{\mathrm{sal}}}^{\mathrm{gev}(s)}, \quad \mathscr{O}_{X_{\mathrm{sal}}}^{\mathrm{gev}\{s\}}, \quad \mathscr{O}_{X_{\mathrm{sal}}}^{\mathrm{gev}\,st}, \quad \mathscr{O}_{X_{\mathrm{sal}}}^{\mathrm{gev}}, \quad \mathscr{O}_{X_{\mathrm{sal}}}^{\mathrm{gev}},$$

All these objects belong to  $D^+(\mathcal{D}_{X_{\text{sal}}})$ . Then we can apply the functor  $\rho_{\text{sal}}^!$  and we obtain the sheaves

$$\mathscr{O}^{\operatorname{tp} \operatorname{st}}_{X_{\operatorname{sa}}}, \quad \mathscr{O}^{\operatorname{tp}}_{X_{\operatorname{sa}}}, \quad \mathscr{O}^{\operatorname{gev} (s)}_{X_{\operatorname{sa}}}, \quad \mathscr{O}^{\operatorname{gev} \{s\}}_{X_{\operatorname{sa}}}, \quad \mathscr{O}^{\operatorname{gev} \operatorname{st}}_{X_{\operatorname{sa}}}, \quad \mathscr{O}^{\operatorname{gev}}_{X_{\operatorname{sa}}}, \quad \mathscr{O}^{\operatorname{gev}}_{X_{\operatorname{sa}}}, \quad \mathscr{O}^{\operatorname{gev} \operatorname{st}}_{X_{\operatorname{sa}}}, \quad \mathscr{O$$

Note that the functor  $\rho_{\text{sal}}^!$  commutes with the Dolbeault functor. More precisely:

**Lemma 3.5.1.** Let  $\mathscr{C}$  be an object of  $D^+(\mathscr{D}_{X_{\operatorname{sal}}^{\mathbb{R}}})$ . There is a natural isomorphism

$$(3.5.1) \quad \rho_{\mathrm{sal}}^{!} \mathrm{R} \mathscr{H}om_{\mathscr{D}_{\overline{X}_{\mathrm{sal}}}}(\rho_{\mathrm{sal}!} \mathscr{O}_{\overline{X}}, \mathscr{C}_{X_{\mathrm{sal}}}) \simeq \mathrm{R} \mathscr{H}om_{\mathscr{D}_{\overline{X}_{\mathrm{sa}}}}(\rho_{\mathrm{sa}!} \mathscr{O}_{\overline{X}}, \rho_{\mathrm{sal}}^{!} \mathscr{C}_{X_{\mathrm{sal}}}).$$

*Proof.* This follows from the fact that the  $\mathscr{D}_{\overline{X}_{\mathrm{sal}}}$ -module  $\rho_{\mathrm{sal}!}\mathscr{O}_{\overline{X}}$  admits a global finite free resolution. Q.E.D.

Recall the natural isomorphism [KS96, Th. 10.5]

$$\mathscr{O}_{X_{\operatorname{sa}}}^{\operatorname{tp}} \xrightarrow{\sim} \operatorname{R}\mathscr{H}om_{\mathscr{D}_{\overline{X}_{\operatorname{sa}}}}(\rho_{\operatorname{sa}!}\mathscr{O}_{\overline{X}}, \mathcal{D}b_{X_{\operatorname{sa}}}^{\operatorname{tp}}).$$

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Proposition 3.5.2. The natural morphism

$$\mathscr{O}_{X_{\mathrm{sal}}}^{\mathrm{tp}\,st} o \mathscr{O}_{X_{\mathrm{sal}}}^{\mathrm{tp}}$$

is an isomorphism in  $D^+(\mathcal{D}_{X_{\text{sal}}})$ .

*Proof.* Let  $U \in \text{Op}_{M_{\text{sa}}}$ . Consider the diagram (in which  $M = \mathbb{R}^{2n}$ )

$$0 \longrightarrow \Gamma(U; \mathscr{C}_{M_{\mathrm{sal}}}^{\infty, \mathrm{tp} \, st}) \stackrel{\Delta}{\longrightarrow} \Gamma(U; \mathscr{C}_{M_{\mathrm{sal}}}^{\infty, \mathrm{tp} \, st}) \longrightarrow 0$$

$$\downarrow \qquad \qquad \downarrow$$

$$0 \longrightarrow \Gamma(U; \mathscr{C}_{M_{\mathrm{sal}}}^{\infty, \mathrm{tp}}) \stackrel{\Delta}{\longrightarrow} \Gamma(U; \mathscr{C}_{M_{\mathrm{sal}}}^{\infty, \mathrm{tp}}) \longrightarrow 0.$$

As in the proof of [KS96, Th. 10.5], we are reduced to prove that the vertical arrows induce a qis from the top line to the bottom line. We shall apply Proposition 3.4.1.

- (i) Let  $\varphi \in \Gamma(U; \mathscr{C}_{M_{\mathrm{sal}}}^{\infty, \mathrm{tp}})$  with  $\Delta \varphi = 0$ . There exists some  $s \geq 0$  such that  $\|d(x)^s \varphi\|_{\infty} < \infty$ . Then  $\|d(x)^{s+|\alpha|} D_x^{\alpha} \varphi\|_{\infty} < \infty$  by (3.4.2).
- (ii) It follows from [KS96, Prop.10.1] that the arrow in the bottom is surjective. Now let  $\psi \in \Gamma(U; \mathscr{C}^{\infty,\operatorname{tp} st}_{M_{\operatorname{sal}}})$ . There exists  $\varphi \in \Gamma(U; \mathscr{C}^{\infty,\operatorname{tp}}_{M_{\operatorname{sal}}})$  with  $\Delta \varphi = \psi$ . Then it follows from (3.4.2) that  $\varphi \in \Gamma(U; \mathscr{C}^{\infty,\operatorname{tp} st}_{M_{\operatorname{sal}}})$ . Q.E.D.

**Proposition 3.5.3.** The natural morphism

$$\mathscr{O}_{X_{\operatorname{sal}}}^{\operatorname{gev}\operatorname{st}} o \mathscr{O}_{X_{\operatorname{sal}}}^{\operatorname{gev}}$$

is an isomorphism in  $D^+(\mathcal{D}_{X_{\text{sal}}})$ .

*Proof.* The proof is similar to that of Proposition 3.5.3 and we shall not repeat it. Q.E.D.

#### Solutions of holonomic $\mathcal{D}$ -modules

The next result is a reformulation of a theorem of Kashiwara [Ka84]

**Theorem 3.5.4.** Let  $\mathcal{M}$  be a regular holonomic  $\mathcal{D}_X$ -module. Then the natural morphism

$$\mathrm{R}\mathscr{H}om_{\mathscr{D}_{X_{\mathrm{sa}}}}(\rho_{\mathrm{sa}!}\mathscr{M},\mathscr{O}_{X_{\mathrm{sa}}}^{\mathrm{tp}})\to\mathrm{R}\mathscr{H}om_{\mathscr{D}_{X_{\mathrm{sa}}}}(\rho_{\mathrm{sa}!}\mathscr{M},\mathscr{O}_{X_{\mathrm{sa}}})$$

is an isomorphism.

The next result was a conjecture of [KS03] and has recently been proved by Morando [Mr13] by using the deep results of Mochizuki [Mo09] (completed by those of Kedlaya [Ke10, Ke11] for the analytic case).

**Theorem 3.5.5.** Let  $\mathcal{M}$  be a holonomic  $\mathcal{D}_X$ -module. Then for any  $G \in$  $\mathsf{D}^{\mathrm{b}}_{\mathbb{R}\text{-}c}(\mathbb{C}_X),$ 

$$\rho_{\operatorname{sa}}^{-1} \mathrm{R} \mathscr{H} om \left(G, \mathrm{R} \mathscr{H} om_{\mathscr{D}_{X_{\operatorname{sa}}}}(\rho_{\operatorname{sa}!} \mathscr{M}, \mathscr{O}_{X_{\operatorname{sa}}}^{\operatorname{tp}})\right) \in \mathsf{D}^{\mathrm{b}}_{\mathbb{R}\text{-}c}(\mathbb{C}_{X}).$$

It is natural to conjecture that this theorem still holds when replacing

the sheaf  $\mathcal{O}_{X_{\operatorname{sa}}}^{\operatorname{tp}}$  with one of the sheaves  $\mathcal{O}_{X_{\operatorname{sa}}}^{\operatorname{gev}(s)}$  or  $\mathcal{O}_{X_{\operatorname{sa}}}^{\operatorname{gev}\{s\}}$ . In [KS03], the object  $\mathscr{H}om_{\mathscr{D}_{X_{\operatorname{sa}}}}(\rho_{\operatorname{sa}!}\mathscr{M}, \mathscr{O}_{X_{\operatorname{sa}}}^{\operatorname{tp}})$  is explicitly calculated when  $X=\mathbb{C}$  and, denoting by t a holomorphic coordinate on X,  $\mathscr{M}$  is associated with the operator  $t^2 \partial_t + 1$ , that is,  $\mathcal{M} = \mathcal{D}_X \exp(1/t)$ .

It is well-known, after [Ra78] (see also [Ko73a]), that the holomorphic solutions of an ordinary linear differential equation singular at the origin have Gevrey growth, the growth being related to the slopes of the Newton polygon.

Conjecture 3.5.6. Let  $\mathcal{M}$  be a holonomic  $\mathcal{D}_X$ -module. Then the natural morphism

$$R\mathscr{H}om_{\mathscr{D}_{X_{\operatorname{sa}}}}(\rho_{\operatorname{sa}!}\mathscr{M},\mathscr{O}_{X_{\operatorname{sa}}}^{\operatorname{gev}}) \to R\mathscr{H}om_{\mathscr{D}_{X_{\operatorname{sa}}}}(\rho_{\operatorname{sa}!}\mathscr{M},\mathscr{O}_{X_{\operatorname{sa}}})$$

is an isomorphism, or, equivalently,

$$\mathrm{R}\mathscr{H}\!\mathit{om}_{\mathscr{D}_{X_{\mathrm{sa}}}}(\rho_{\mathrm{sa}!}\mathscr{M},\mathscr{O}_{X_{\mathrm{sa}}}^{\mathrm{gev}}) \xrightarrow{\sim} \mathrm{R}\rho_{\mathrm{sa}*}\mathrm{R}\mathscr{H}\!\mathit{om}_{\mathscr{D}_{X}}(\mathscr{M},\mathscr{O}_{X}).$$

Moreover, there exists a discrete set  $Z \subset \mathbb{R}_{>1}$  such that the morphisms  $\mathrm{R}\mathscr{H}om_{\mathscr{D}_{X_{\mathrm{sa}}}}(\mathscr{M},\mathscr{O}_{X_{\mathrm{sa}}}^{\mathrm{gev}(s)}) \to \mathrm{R}\mathscr{H}om_{\mathscr{D}_{X_{\mathrm{sa}}}}(\mathscr{M},\mathscr{O}_{X_{\mathrm{sa}}}^{\mathrm{gev}(t)})$  are isomorphisms for  $s \leq 1$ t in the same components of  $\mathbb{R}_{>1} \setminus Z$ .

# Chapter 4

# **Filtrations**

# 4.1 Derived categories of filtered objects

In this section, we shall recall results of [Sn99] and [SSn13].

## Complements on abelian categories

In this subsection we state and prove some elementary results (some of them being well-known) on abelian and derived categories that we shall need.

Let  $\mathscr C$  be an abelian category and let  $\Lambda$  be a small category. As usual, one denotes by  $\operatorname{Fct}(\Lambda,\mathscr C)$  the abelian category of functors from  $\Lambda$  to  $\mathscr C$ . Recall that the kernel of a morphism  $u\colon X\to Y$  is the functor  $\lambda\mapsto \operatorname{Ker} u(\lambda)$  and similarly with the cokernel or more generally with limits and colimits.

# **Lemma 4.1.1.** Assume that $\mathscr{C}$ is a Grothendieck category. Then

- (a) the category  $Fct(\Lambda, \mathcal{C})$  is a Grothendieck category,
- (b) if  $F \in \text{Fct}(\Lambda, \mathscr{C})$  is injective, then for  $\lambda \in \Lambda$ ,  $F(\lambda)$  is injective in  $\mathscr{C}$ .

*Proof.* The category  $\operatorname{Fct}(\Lambda, \mathscr{C})$  is equivalent to the category  $\operatorname{PSh}(\Lambda^{\operatorname{op}}, \mathscr{C})$  of preshaves on  $\Lambda^{\operatorname{op}}$  with values in  $\mathscr{C}$ . Similarly as in [KS06, (17.1.7)], denote by  $j_{\lambda \to \Lambda}$  the morphism of presites  $\Lambda \to \Lambda_{\lambda}$ . For  $G \in \mathscr{C}$ , identify G with a constant presheaf on  $\Lambda^{\operatorname{op}}$  and for  $\lambda \in \Lambda$ , define the presheaf  $G_{\lambda}$  as

$$(4.1.1) G_{\lambda} = j_{\lambda \to \Lambda}^{-1} j_{\lambda \to \Lambda_*} G.$$

Note that the functor  $j_{\lambda \to \Lambda_*}$  is exact by [KS06, Prop. 17.6.6] and the functor  $j_{\lambda \to \Lambda}^{-1}$  is exact since small coproducts are exact in  $\mathscr{C}$  (see the proof of Prop. 17.6.3 of loc. cit.). Therefore,

(4.1.2) the functor 
$$\mathscr{C} \ni G \mapsto G_{\lambda} \in \operatorname{Fct}(\Lambda, \mathscr{C})$$
 is exact.

Moreover, for  $F \in \text{Fct}(\Lambda, \mathcal{C})$ , we have

(4.1.3) 
$$\operatorname{Hom}_{\operatorname{Fct}(\Lambda,\mathscr{C})}(G_{\lambda},F) \simeq \operatorname{Hom}_{\operatorname{PSh}(\Lambda^{\operatorname{op}},\mathscr{C})}(G_{\lambda},F)$$
$$\simeq \operatorname{Hom}_{\mathscr{C}}(G,F(\lambda)).$$

(a) Applying e.g. Th. 17.4.9 of loc. cit., it remains to show that  $\operatorname{Fct}(\Lambda, \mathscr{C})$  admits a small system of generators. Let G be a generator of  $\mathscr{C}$ . It follows from (4.1.3) that the family  $\{G_{\lambda}\}_{{\lambda}\in\Lambda}$  is a small system of generators in  $\operatorname{Fct}(\Lambda,\mathscr{C})$ .

(b) Follows from 
$$(4.1.3)$$
 and  $(4.1.2)$ . Q.E.D.

We consider two abelian categories  $\mathscr C$  and  $\mathscr C'$  and a left exact functor  $\rho\colon \mathscr C\to \mathscr C'$ . The functor  $\rho$  induces a functor

$$(4.1.4) \widetilde{\rho} \colon \operatorname{Fct}(\Lambda, \mathscr{C}) \to \operatorname{Fct}(\Lambda, \mathscr{C}').$$

**Lemma 4.1.2.** Assume that  $\mathscr{C}$  is a Grothendieck category.

- (a) The functor  $\tilde{\rho}$  is left exact.
- (b) Let I be a small category and assume that  $\rho$  commutes with colimits indexed by I. Then the functor  $\tilde{\rho}$  in (4.1.4) commutes with colimits indexed by I.
- (c) Assume that  $\rho$  has cohomological dimension  $\leq d$ , that is,  $R^{j}\rho = 0$  for j > d. Then  $\widetilde{\rho}$  has cohomological dimension  $\leq d$ .
- (d) Assume that ρ commutes with small direct sums and that small direct sums of injective objects in C are acyclic for the functor ρ. Then small direct sums of injective objects in Fct(Λ, C) are acyclic for the functor ρ̄.

*Proof.* (a) is obvious.

(b) follows from the equivalence  $\operatorname{Fct}(I,\operatorname{Fct}(\Lambda,\mathscr{C})) \simeq \operatorname{Fct}(\Lambda,\operatorname{Fct}(I,\mathscr{C}))$  and similarly with  $\mathscr{C}'$ .

- (c) By Lemma 4.1.1 (a), the category  $\operatorname{Fct}(\Lambda, \mathscr{C})$  admits enough injectives. Let  $F \in \operatorname{Fct}(\Lambda, \mathscr{C})$  and let  $F \to F^{\bullet}$  be an injective resolution of F, that is,  $F^{\bullet}$  is a complex in degrees  $\geq 0$  of injective objects and  $F \to F^{\bullet}$  is a qis. By Lemma 4.1.1 (b), for  $\lambda \in \Lambda$ ,  $F^{\bullet}(\lambda)$  is an injective resolution of  $F(\lambda)$  and by the hypothesis,  $H^{j}(\rho(F^{\bullet}(\lambda))) \simeq 0$  for j > d and  $\lambda \in \Lambda$ . This implies that  $R^{j}\rho(F) \simeq H^{j}(\rho(F^{\bullet}))$  is 0 for j > d.
- (d) For a given  $\lambda \in \Lambda$  we denote by  $i_{\lambda}^{\mathscr{C}}$  the functor  $\operatorname{Fct}(\Lambda, \mathscr{C}) \to \mathscr{C}$ ,  $F \mapsto F(\lambda)$ . Then  $i_{\lambda}^{\mathscr{C}}$  is exact and, by Lemma 4.1.1 (b), we have  $i_{\lambda}^{\mathscr{C}'} \circ R\widetilde{\rho} \simeq R\rho \circ i_{\lambda}^{\mathscr{C}}$ . Let  $F \in \operatorname{Fct}(\Lambda, \mathscr{C})$  be a small direct sum of injective objects. Since  $i_{\lambda}^{\mathscr{C}}$  commutes with direct sums, it follows from Lemma 4.1.1 (b) again that  $i_{\lambda}^{\mathscr{C}}(F)$  is a small direct sum of injective objects in  $\mathscr{C}$ . By the hypothesis we obtain  $R^{j}\rho \circ i_{\lambda}^{\mathscr{C}}(F) \simeq 0$ , for all j > 0. Since this holds for all  $\lambda \in \Lambda$  we deduce  $R^{j}\widetilde{\rho}(F) \simeq 0$ , for all j > 0, as required. Q.E.D.

# Abelian tensor categories

Recall (see e.g. [KS06, Ch. 5]) that a tensor Grothendieck category  $\mathscr{C}$  is an Grothendieck category endowed with a biadditive functor  $\otimes : \mathscr{C} \times \mathscr{C} \to \mathscr{C}$  satisfying functorial associativity isomorphisms. We do not recall here what is a tensor category with unit, a ring object A in  $\mathscr{C}$ , a ring object with unit and an A-module M. In the sequel, all tensor categories will be with unit and a ring object means a ring object with unit.

We shall consider

(4.1.5)  $\begin{cases} a \text{ Grothendieck tensor category } \mathscr{C} \text{ (with unit) in which small inductive limits commute with } \otimes. \end{cases}$ 

**Lemma 4.1.3.** Let  $\mathscr{C}$  be as in (4.1.5) and let A be a ring object (with unit) in  $\mathscr{C}$ . Then

- (a) The category Mod(A) is a Grothendieck category,
- (b) the forgetful functor for:  $Mod(A) \to \mathscr{C}$  is exact and conservative,
- (c) the natural functor  $\widetilde{for} : D(A) \to D(\mathscr{C})$  is conservative.

Proof. (a) and (b) are proved in [SSn13, Prop. 4.4].

(c) Since D(A) and  $D(\mathcal{C})$  are triangulated, it is enough to check that if  $X \in D(A)$  verifies  $\widetilde{for}(X) \simeq 0$ , then  $X \simeq 0$ . Let X be such an object and

let  $j \in \mathbb{Z}$ . Since for is exact,  $for H^j(X) \simeq H^j(\widetilde{for}(X)) \simeq 0$ . Since for is conservative, we get  $H^j(X) \simeq 0$ . Q.E.D.

## Derived categories of filtered objects

We shall consider

$$(4.1.6) \quad \begin{cases} \text{a filtrant preordered additive monoid } \Lambda \text{ (viewed as a tensor category with unit),} \\ \text{a category } \mathscr{C} \text{ as in } (4.1.5). \end{cases}$$

Denote by  $\operatorname{Fct}(\Lambda, \mathscr{C})$  the abelian category of functors from  $\Lambda$  to  $\mathscr{C}$ . It is naturally endowed with a structure of a tensor category with unit by setting for  $M_1, M_2 \in \operatorname{Fct}(\Lambda, \mathscr{C})$ ,

$$(M_1 \otimes M_2)(\lambda) = \varinjlim_{\lambda_1 + \lambda_2 \le \lambda} M_1(\lambda_1) \otimes M_2(\lambda_2).$$

A  $\Lambda$ -ring A of  $\mathscr{C}$  is a ring with unit of the tensor category  $\operatorname{Fct}(\Lambda, \mathscr{C})$  and we denote by  $\operatorname{Mod}(A)$  the abelian category of A-modules.

We denote by  $F_{\Lambda}\mathscr{C}$  the full subcategory of  $\operatorname{Fct}(\Lambda,\mathscr{C})$  consisting of functors M such that for each morphism  $\lambda \to \lambda'$  in  $\Lambda$ , the morphism  $M(\lambda) \to M(\lambda')$  is a monomorphism. This is a quasi-abelian category. Let

$$\iota \colon F_{\Lambda} \mathscr{C} \to \operatorname{Fct}(\Lambda, \mathscr{C})$$

denote the inclusion functor. This functor admits a left adjoint  $\kappa$  and the category  $F_{\Lambda} \mathscr{C}$  is again a tensor category by setting

$$M_1 \otimes_F M_2 = \kappa(\iota(M_1) \otimes \iota(M_2)).$$

A ring object in the tensor category  $F_{\Lambda} \mathcal{C}$  will be called a  $\Lambda$ -filtered ring in  $\mathcal{C}$  and usually denoted FA. An FA-module FM is then simply a module over FA in  $F_{\Lambda} \mathcal{C}$  and we denote by Mod(FA) the quasi-abelian category of FA-modules.

**Notation 4.1.4.** In the sequel, for a ring object B in a tensor category, we shall write  $D^*(B)$  instead of  $D^*(Mod(B))$ , \* = ub, +, -, b.

The next theorem is due to [SSn13] and generalizes previous results of [Sn99].

**Theorem 4.1.5.** Assume (4.1.6). Let FA be a  $\Lambda$ -filtered ring in  $\mathscr{C}$ . Then the category  $\operatorname{Mod}(FA)$  is quasi-abelian, the functor  $\iota \colon \operatorname{Mod}(FA) \to \operatorname{Mod}(\iota FA)$  is strictly exact and induces an equivalence of categories for  $*=\operatorname{ub},+,-,\operatorname{b}$ :

(4.1.7) 
$$\iota \colon \mathrm{D}^*(FA) \to \mathrm{D}^*(\iota FA).$$

# Complements on filtered objects

**Lemma 4.1.6.** Let  $\Lambda$  and  $\mathscr{C}$  be as in (4.1.6) and let  $\mathscr{C}'$  be another Grothendieck tensor category satisfying the same hypotheses as  $\mathscr{C}$ . Let FA be a filtered  $\Lambda$ -ring in  $\mathscr{C}$ . Let  $\rho \colon \mathscr{C} \to \mathscr{C}'$  be a left exact functor. We assume that there exists a morphism  $\xi(X,Y) \colon \rho(X) \otimes \rho(Y) \to \rho(X \otimes Y)$  functorial in  $X,Y \in \mathscr{C}$ , which is compatible with the associativity relations of  $\mathscr{C}$  and  $\mathscr{C}'$  (see diagram (4.2.2) in [KS06]). Denote by  $\widetilde{\rho} \colon \operatorname{Fct}(\Lambda,\mathscr{C}) \to \operatorname{Fct}(\Lambda,\mathscr{C}')$  the natural functor associated with  $\rho$ . Then  $FB := \widetilde{\rho}(FA)$  has a natural structure of filtered  $\Lambda$ -ring with values in  $\mathscr{C}'$ . Moreover the functor  $\widetilde{\rho}$  induces a functor  $\widetilde{\rho}_{\Lambda} \colon \operatorname{Mod}(\iota FA) \to \operatorname{Mod}(\iota FB)$  as well as a functor  $\rho_{\Lambda} \colon \operatorname{Mod}(FA) \to \operatorname{Mod}(FB)$  and we have the commutative diagram

$$\operatorname{Mod}(FA) \longrightarrow \operatorname{Mod}(\iota FA) \longrightarrow \operatorname{Fct}(\Lambda, \mathscr{C})$$

$$\downarrow^{\rho_{\Lambda}} \qquad \qquad \downarrow^{\widetilde{\rho}_{\Lambda}} \qquad \qquad \downarrow^{\widetilde{\rho}}$$

$$\operatorname{Mod}(FB) \longrightarrow \operatorname{Mod}(\iota FB) \longrightarrow \operatorname{Fct}(\Lambda, \mathscr{C}'),$$

where the horizontal arrows are the forgetful functors.

Proof. We remark that a  $\Lambda$ -ring A of  $\mathscr{C}$  is the data of  $A(\lambda) \in \mathscr{C}$ , for each  $\lambda \in \mathscr{C}$ , and morphisms  $\mu_A^{\lambda,\lambda'} : A(\lambda) \otimes A(\lambda') \to A(\lambda + \lambda')$ , for all  $\lambda, \lambda' \in \Lambda$ , and  $\varepsilon_A : \mathbf{1}_{\mathscr{C}} \to A(0)$ , where  $\mathbf{1}_{\mathscr{C}}$  is the unit of  $\mathscr{C}$  and 0 the unit of  $\Lambda$ . These morphisms satisfy three commutative diagrams (which we do not recall here) expressing the associativity of  $\mu_A$  and the fact that  $\varepsilon_A$  is a unit. Similarly a module M over A is the data of  $M(\lambda) \in \mathscr{C}$ , for each  $\lambda \in \mathscr{C}$ , and morphisms  $\mu_M^{\lambda,\lambda'} : A(\lambda) \otimes M(\lambda') \to M(\lambda + \lambda')$ , for all  $\lambda, \lambda' \in \Lambda$ , satisfying two commutative diagrams left to the reader.

Now the morphisms  $\xi(\cdot,\cdot)$  and  $\mu_M^{\lambda,\lambda'}$  induce

$$\mu_{\widetilde{\rho}(M)}^{\lambda,\lambda'} \colon \rho(A(\lambda)) \otimes \rho(M(\lambda')) \to \rho(A(\lambda)) \otimes M(\lambda')) \to \rho(M(\lambda + \lambda')).$$

For M = A we obtain  $\mu_B^{\lambda,\lambda'}$ . We define  $\varepsilon_B = \rho(\varepsilon_A)$ . We leave to the reader the verification that  $\varepsilon_B$ ,  $\mu_B^{\gamma}$  and  $\mu_{\widetilde{\rho}(M)}^{\gamma}$  satisfy the required commutative diagrams. This defines the functor  $\widetilde{\rho}_{\Lambda}$ .

In case A is a  $\Lambda$ -filtered ring, the left exactness of  $\rho$  insures that B also is  $\Lambda$ -filtered. In the same way  $\widetilde{\rho}_{\Lambda}$  induces the functor  $\rho_{\Lambda}$  of the lemma. Q.E.D.

**Theorem 4.1.7.** In the situation of Lemma 4.1.6, assume moreover

- (i)  $\rho$  has cohomological dimension  $\leq d$ ,
- (ii)  $\rho$  commutes with small direct sums,
- (iii) small direct sums of injective objects in  $\mathscr C$  are acyclic for the functor  $\rho,$
- (iv) for any  $M \in \operatorname{Mod}(\iota FA)$ , there exists a monomorphism  $M \to I$  in  $\operatorname{Mod}(\iota FA)$  such that  $I(\lambda)$  is  $\rho$ -acyclic, for all  $\lambda \in \Lambda$ .

Then

- (a) the derived functor  $R\rho_{\Lambda} \colon D(FA) \to D(FB)$  exists and commutes with small direct sums,
- (b) the functor  $R\rho_{\Lambda}$  admits a right adjoint  $\rho_{\Lambda}^!: D(FB) \to D(FA)$ ,
- (c) the functor  $\rho_{\Lambda}^!$  induces a functor  $\rho_{\Lambda}^!$ :  $D^+(FB) \to D^+(FA)$ .

*Proof.* By Theorem 4.1.5, it is enough to prove the statements when replacing FA and FB with  $\iota FA$  and  $\iota FB$ , respectively.

(i) Let us first prove that  $\widetilde{\rho}_{\Lambda} \colon \operatorname{Mod}(\iota FA) \to \operatorname{Mod}(\iota FB)$  admits a derived functor and has cohomological dimension  $\leq d$ .

We let  $\mathscr{I}$  be the subcategory of  $\operatorname{Mod}(\iota FA)$  which consists of the  $I \in \operatorname{Mod}(\iota FA)$  such that  $I(\lambda)$  is  $\rho$ -acyclic, for all  $\lambda \in \Lambda$ . Using the hypothesis (iv) and the relation  $for \circ \widetilde{\rho}_{\Lambda} \simeq \widetilde{\rho} \circ for$  we see that the subcategory  $\mathscr{I}$  is  $\widetilde{\rho}_{\Lambda}$ -injective. Hence  $R\widetilde{\rho}_{\Lambda}$  exists. We also see that  $for(\mathscr{I})$  is a  $\widetilde{\rho}$ -injective family. Hence  $for \circ R\widetilde{\rho}_{\Lambda} \simeq R\widetilde{\rho} \circ for$ . Now the assertion on the cohomological dimension follows from Lemma 4.1.2-(c).

(ii) By Lemma 1.4.5 the assertions (b) and (c) are consequences of (a) and the part (i) of the proof. It remains to prove (a).

We consider the functor  $\widetilde{\rho}$ :  $\operatorname{Fct}(\Lambda, \mathscr{C}) \to \operatorname{Fct}(\Lambda, \mathscr{C}')$ . The hypotheses of Proposition 1.4.4 are satisfied by Lemma 4.1.2. Therefore the functor  $\widetilde{\rho}$  has cohomological dimension  $\leq d$  and the functor  $R\widetilde{\rho}$ :  $\operatorname{D}(\operatorname{Fct}(\Lambda, \mathscr{C})) \to \operatorname{D}(\operatorname{Fct}(\Lambda, \mathscr{C}'))$  commutes with small direct sums.

Now we prove the assertion (a). Let  $\{X_i\}_{i\in I}$  be a family of objects of  $D(\iota FA)$ . There is a natural morphism  $\bigoplus_{i\in I} R\widetilde{\rho}_{\Lambda}(X_i) \to R\widetilde{\rho}_{\Lambda}(\bigoplus_{i\in I} X_i)$  in  $D(\iota FB)$  and it follows from Lemma 4.1.3 that this morphism is an isomorphism. Q.E.D.

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# 4.2 Filtrations on $\mathcal{O}_{X_{\mathrm{sal}}}$

In the sequel, if FM is a filtered object in  $\mathscr C$  over the ordered additive monoid  $\mathbb R$ , we shall write  $F^sM$  instead of (FM)(s) to denote the image of the functor FM at  $s \in \mathbb R$ . This induces a functor  $D(F_{\mathbb R}\mathscr C) \to D(\mathscr C)$  denoted in the same way  $FM \mapsto F^sM$ .

# The filtered ring of differential operators

Recall that the sheaf  $\mathcal{D}_M$  of finite order differential operators on M has a natural N-filtration given by the order.

**Definition 4.2.1.** Let  $\mathscr{T}$  be the site M or  $M_{\operatorname{sa}}$  or  $M_{\operatorname{sal}}$ . We define the filtered sheaf  $F\mathscr{D}_{\mathscr{T}}$  over  $\mathbb{R}$  by setting:

$$F^s \mathscr{D}_{\mathscr{T}} = \mathscr{D}_{\mathscr{T}}([s])$$

where [s] is the integral part of s and  $\mathscr{D}_X([s])$  is the sheaf of differential operators of order  $\leq [s]$ . In particular,  $F\mathscr{D}_{\mathscr{T}}(s) = 0$  for s < 0. We denote by  $\operatorname{Mod}(F\mathscr{D}_{\mathscr{T}})$  the category of filtered modules over  $\mathscr{D}_{\mathscr{T}}$ .

In the sequel, we look at  $\operatorname{Mod}(\mathbb{C}_{X_{\operatorname{sal}}})$  as an abelian Grothendieck tensor category with unit and at  $F\mathscr{D}_{X_{\operatorname{sal}}}$  as a  $\Lambda$ -ring object in  $F_{\Lambda}\mathscr{C}$  with  $\Lambda = \mathbb{R}$  and  $\mathscr{C} = \operatorname{Mod}(\mathbb{C}_{X_{\operatorname{sal}}})$ . We proceed similarly with  $X_{\operatorname{sa}}$ .

One shall be aware that the functor  $\rho_{\text{sal}_*} \colon \text{Mod}(\mathbb{C}_{X_{\text{sa}}}) \to \text{Mod}(\mathbb{C}_{X_{\text{sal}}})$  is not a functor of tensor categories.

**Theorem 4.2.2.** (i) The functor  $R\rho_{sal_*}$ :  $D(F\mathcal{D}_{M_{sa}}) \to D(F\mathcal{D}_{M_{sal}})$  commutes with small direct sums.

- (ii) The functor  $R\rho_{sal*}$  in (i) admits a right adjoint  $\rho_{sal}^!$ :  $D(F\mathscr{D}_{M_{sal}}) \to D(F\mathscr{D}_{M_{sa}})$ .
- (iii) The functor  $\rho_{\rm sal}^!$  induces a functor  $\rho_{\rm sal}^!$ :  $D^+(F\mathscr{D}_{M_{\rm sal}}) \to D^+(F\mathscr{D}_{M_{\rm sal}})$ .

*Proof.* We shall apply Theorem 4.1.7 with  $\mathscr{C} = \operatorname{Mod}(\mathbb{C}_{X_{\operatorname{sal}}}), \mathscr{C}' = \operatorname{Mod}(\mathbb{C}_{X_{\operatorname{sal}}}), \rho = \rho_{\operatorname{sal}_*}, \Lambda = \mathbb{R}, FA = F\mathscr{D}_{X_{\operatorname{sal}}}, FB = F\mathscr{D}_{X_{\operatorname{sal}}}.$ 

Hypothesis (i) of Theorem 4.1.7 follows from Proposition 1.4.11. The hypotheses (ii) and (iii) follow from Lemma 1.2.9. By Lemma 4.1.3 we know that  $\text{Mod}(\iota F \mathscr{D}_{X_{sa}})$  has enough injectives. Hence to check the last hypothesis

of Theorem 4.1.7 it is enough to prove that if  $\mathscr{I} \in \operatorname{Mod}(\iota F \mathscr{D}_{X_{\operatorname{sa}}})$  is injective, then  $\mathscr{I}(\lambda)$  is  $\rho_{\operatorname{sal}_*}$ -acyclic for any  $\lambda \in \Lambda$ .

By Lemmas 1.4.10 and 1.3.7 it is enough to prove that  $\mathscr{I}(\lambda)$  is flabby. For any  $U \in \operatorname{Op}_{X_{\operatorname{sn}}}$  we have

$$\Gamma(U; \mathscr{I}(\lambda)) \simeq \operatorname{Hom}_{\operatorname{Mod}(\rho_{\operatorname{sa}!}\mathscr{O}_X)}((\rho_{\operatorname{sa}!}\mathscr{O}_X)_U, \mathscr{I}(\lambda))$$
$$\simeq \operatorname{Hom}_{\operatorname{Mod}(\iota F \mathscr{D}_{X_{\operatorname{sa}}})}((\mathscr{D}_{X_{\operatorname{sa}}}^{\lambda})_U, \mathscr{I}),$$

where  $\mathscr{D}_{X_{\operatorname{sa}}}^{\lambda}$  denotes the object  $\iota F \mathscr{D}_{X_{\operatorname{sa}}}$  with the filtration shifted by  $\lambda$ . Hence the flabbiness of  $\mathscr{I}(\lambda)$  follows from the injectivity of  $\mathscr{I}$  and the exact sequence  $0 \to (\mathscr{D}_{X_{\operatorname{sa}}}^{\lambda})_U \to (\mathscr{D}_{X_{\operatorname{sa}}}^{\lambda})_V$ , for any inclusion  $U \subset V$ . This completes the proof.

Q.E.D.

On a complex manifold X, we endow the  $\mathscr{D}_X$ -module  $\mathscr{O}_X$  with the filtration

(4.2.1) 
$$F^{s}\mathscr{O}_{X} = \begin{cases} 0 & \text{if } s < 0, \\ \mathscr{O}_{X} & \text{if } s \geq 0. \end{cases}$$

By applying the functors  $\rho_{\text{sa!}}$  and  $\rho_{\text{sl!}}$ , we get the objects  $F\rho_{\text{sa!}}\mathcal{O}_X$  and  $F\rho_{\text{sl!}}\mathcal{O}_X$  of  $\text{Mod}(F\mathcal{D}_{X_{\text{sa}}})$  and  $\text{Mod}(F\mathcal{D}_{X_{\text{sal}}})$ , respectively. One shall be aware that theses objects are in degree 0 contrarily to the sheaf  $\mathcal{O}_{X_{\text{sa}}}$  (when  $d_X > 1$ ).

## The $L^{\infty}$ -filtration on $\mathscr{C}^{\infty}$

Recall that on the site  $M_{\rm sal}$ , the sheaf  $\mathscr{C}_{M_{\rm sal}}^{\infty,{\rm tp}\,st}$  is endowed with a filtration, given by the sheaves  $\mathscr{C}_{M_{\rm sal}}^{\infty,t}$   $(t\in\mathbb{R}_{\geq 0})$ . We also set

$$\mathscr{C}_{M_{\mathrm{sal}}}^{\infty,t} = 0 \text{ for } t < 0.$$

- **Definition 4.2.3.** (a) We denote by  $F_{\infty}\mathscr{C}_{M_{\operatorname{sal}}}^{\infty}$  the object of  $\operatorname{Mod}(F\mathscr{D}_{M_{\operatorname{sal}}})$  given by the sheaves  $\mathscr{C}_{M_{\operatorname{sal}}}^{\infty,t}$   $(t \in \mathbb{R})$ . Hence,  $F_{\infty}^{s}\mathscr{C}_{M_{\operatorname{sal}}}^{\infty} = \mathscr{C}_{M_{\operatorname{sal}}}^{\infty,s}$  for  $s \in \mathbb{R}$  and we have morphisms  $F^{r}\mathscr{D}_{M_{\operatorname{sal}}} \otimes F_{\infty}^{s}\mathscr{C}_{M_{\operatorname{sal}}}^{\infty} \to F_{\infty}^{s+r}\mathscr{C}_{M_{\operatorname{sal}}}^{\infty}$ .
- (b) We set  $F_{\infty}\mathscr{C}^{\infty}_{M_{\mathrm{sa}}} := \rho^{!}_{\mathrm{sal}} F_{\infty}\mathscr{C}^{\infty}_{M_{\mathrm{sal}}}$ , an object of  $D^{+}(F\mathscr{D}_{M_{\mathrm{sa}}})$ . We call these filtrations the  $L^{\infty}$ -filtration on  $\mathscr{C}^{\infty}_{M_{\mathrm{sal}}}$  and  $\mathscr{C}^{\infty}_{M_{\mathrm{sa}}}$ , respectively.

Of course, Definition 4.2.3 (b) makes use of Theorem 4.2.2.

Note that the filtration  $F_{\infty}\mathscr{C}^{\infty}_{M_{\mathrm{sal}}}$  is not exhaustive. To obtain an exhaustive filtration, replace  $\mathscr{C}^{\infty}_{M_{\mathrm{sal}}}$  with  $\mathscr{C}^{\infty,\mathrm{tp}\,st}_{M_{\mathrm{sal}}}$ .

The  $L^{\infty}$ -filtration on  $\mathscr{O}_{X_{\operatorname{sal}}}^{\operatorname{tp}}$ 

On a complex manifold X, we set:

$$(4.2.2) \quad \mathcal{F}_{\infty}\mathscr{O}_{X_{\operatorname{sal}}} \ := \ \mathcal{R}\mathscr{H}om_{\mathcal{F}_{\mathscr{D}_{\overline{X}_{\operatorname{sal}}}}}(\rho_{\operatorname{sl}!}\mathscr{O}_{\overline{X}},\mathcal{F}_{\infty}\mathscr{C}_{X_{\operatorname{sal}}}^{\infty}) \in \mathcal{D}^{+}(\mathcal{F}\mathscr{D}_{X_{\operatorname{sal}}}),$$

$$(4.2.3) \quad F_{\infty} \mathcal{O}_{X_{\text{sa}}} := R \mathcal{H}om_{F \mathcal{D}_{\overline{X}_{\text{sa}}}} (\rho_{\text{sa}!} \mathcal{O}_{\overline{X}}, F_{\infty} \mathcal{C}_{X_{\text{sa}}}^{\infty})$$
$$\simeq \rho_{\text{sal}}^{!} F_{\infty} \mathcal{O}_{X_{\text{sal}}} \in D^{+}(F \mathcal{D}_{X_{\text{sa}}}).$$

**Proposition 4.2.4.** The object  $F_{\infty}^s \mathcal{O}_{X_{\text{sal}}}$  is represented by the complex of sheaves on  $X_{\text{sal}}^{\mathbb{R}}$ :

$$(4.2.4) \quad \mathbf{F}_{\infty}^{s} \mathscr{O}_{X_{\text{sal}}} := \\ 0 \to \mathbf{F}_{\infty}^{s} \mathscr{C}_{X_{\text{sal}}}^{\infty,(0,0)} \xrightarrow{\overline{\partial}} \mathbf{F}_{\infty}^{s+1} \mathscr{C}_{X_{\text{sal}}}^{\infty,(0,1)} \to \cdots \to \mathbf{F}_{\infty}^{s+d_{X}} \mathscr{C}_{X_{\text{sal}}}^{\infty,(0,d_{X})} \to 0.$$

*Proof.* Recall that the Spencer complex  $SP_X(\mathcal{D}_X)$  is the complex of left  $\mathcal{D}_X$ -modules

$$(4.2.5) \ \mathrm{SP}_{\mathrm{X}}(\mathscr{D}_{\mathrm{X}}) := \quad 0 \to \mathscr{D}_{\mathrm{X}} \otimes_{\mathscr{O}} \bigwedge^{\mathrm{d}_{\mathrm{x}}} \Theta_{\mathrm{X}} \xrightarrow{\mathrm{d}} \cdots \to \mathscr{D}_{\mathrm{X}} \otimes_{\mathscr{O}} \Theta_{\mathrm{X}} \to \mathscr{D}_{\mathrm{X}} \to 0.$$

Moreover, there is an isomorphism of complexes

(4.2.6) 
$$SP_X(\mathscr{D}_X) \simeq K_{\bullet}(\mathscr{D}_X; \cdot \partial_1, \dots, \cdot \partial_{d_X})$$

where the right hand side is the co-Koszul complex of the sequence  $\partial_1, \ldots, \partial_{d_X}$  acting on the right on  $\mathscr{D}_X$ . This implies that the left  $\mathscr{D}$ -linear morphism  $\mathscr{D}_X \to \mathscr{O}_X$  induces an isomorphism  $\mathrm{SP}_X(\mathscr{D}_X) \xrightarrow{\sim} \mathscr{O}_X$  in  $\mathrm{D}^\mathrm{b}(\mathscr{D}_X)$ .

Since these isomorphisms still hold when replacing  $\mathscr{D}_X$  with  $F\mathscr{D}_X$ , the result follows. Q.E.D.

# 4.3 A functorial filtration on regular holonomic modules

Good filtrations on holonomic modules already exist in the literature, in the regular case (see [KK81, BK86]) and also in the irregular case (see [Ma96]). But these filtrations are constructed on each holonomic module and are by no means functorial. Here we directly construct objects of  $D^+(F\mathcal{D}_X)$ , the derived category of filtered  $\mathcal{D}$ -modules.

Denote by  $\mathsf{D}^{\mathsf{b}}_{\mathsf{holreg}}(\mathscr{D}_X)$  the full triangulated subcategory of  $\mathsf{D}^{\mathsf{b}}(\mathscr{D}_X)$  consisting of objects with regular holonomic cohomology. To  $\mathscr{M} \in \mathsf{D}^{\mathsf{b}}_{\mathsf{holreg}}(\mathscr{D}_X)$ , one associates

$$\operatorname{Sol}(\mathscr{M}) := \operatorname{R}\mathscr{H}om_{\mathscr{D}}(\mathscr{M}, \mathscr{O}_X).$$

We know by [Ka75] that  $Sol(\mathcal{M})$  belongs to  $D^b_{\mathbb{C}\text{-c}}(\mathbb{C}_X)$ , that is,  $Sol(\mathcal{M})$  has  $\mathbb{C}$ -constructible cohomology. Moreover, one can recover  $\mathcal{M}$  from  $Sol(\mathcal{M})$  by the formula:

$$\mathscr{M} \simeq \rho_{\mathrm{sa}}^{-1} R \mathscr{H}om\left(\mathrm{Sol}(\mathscr{M}), \mathscr{O}_{X_{\mathrm{sa}}}^{\mathrm{tp}}\right).$$

This is the Riemann-Hilbert correspondence obtained by Kashiwara in [Ka80, Ka84]. Using the filtration  $F_{\infty} \mathscr{O}_{X_{\operatorname{sa}}}^{\operatorname{tp}}$  on  $\mathscr{O}_{X_{\operatorname{sa}}}$  we obtain:

**Definition 4.3.1.** For  $\mathcal{M}$  a regular holonomic module. We define  $F_{\infty}\mathcal{M}$  by the formula

$$F_{\infty} \mathscr{M} = \rho_{\mathrm{sa}}^{-1} R \mathscr{H}om\left(\mathrm{Sol}(\mathscr{M}), F_{\infty} \mathscr{O}_{X_{\mathrm{sa}}}^{\mathrm{tp}}\right)$$
, an object of  $D^{+}(F \mathscr{D}_{X})$ .

We say that  $F_{\infty}\mathcal{M}$  is the  $L^{\infty}$ -filtration on  $\mathcal{M}$ .

**Remark 4.3.2.** One could have also endowed  $\mathscr{O}_{X_{\operatorname{sa}}}^{\operatorname{tp}}$  with the  $L^2$ -filtration constructed similarly as the  $L^{\infty}$ -filtration, when replacing the norm in (3.4.1) with the  $L^2$ -norm:

(4.3.1) 
$$\|\varphi\|_2 = (\int_U |\varphi(x)|^2 dx)^{1/2}, \quad \|\varphi\|_2^s = \|d(x)^s \varphi(x)\|_2.$$

Note that  $F_{\infty}$  is a functor

$$F_{\infty} \colon \mathsf{D}^{\mathrm{b}}_{\mathrm{holreg}}(\mathscr{D}_X) \to \mathsf{D}^{\mathrm{b}}(\mathrm{F}\mathscr{D}_X)$$

with the property that its composition with the forgetful functor  $for : \mathsf{D}^{\mathsf{b}}(\mathsf{F}\mathscr{D}_X) \to \mathsf{D}^{\mathsf{b}}(\mathscr{D}_X)$  is isomorphic to the identity functor.

**Definition 4.3.3.** Let  $F\mathscr{L} \in \mathsf{D}^{\mathsf{b}}(F\mathscr{D}_X)$ . We say that  $F\mathscr{L}$  is almost concentrated in degree 0 if there is an integer r such that  $H^j(F^s\mathscr{L}) \to H^j(F^{s+d}\mathscr{L})$  is the zero morphism for  $s \gg 0$  and  $j \neq 0$ .

Natural questions arise.

(i) Is the filtration  $F_{\infty}\mathcal{M}$  almost concentrated in degree 0?

- (ii) Is the filtration so obtained on  $\mathcal{M}$  a good filtration?
- (iii) Does there exist a discrete set  $Z \subset \mathbb{R}_{\geq 0}$  such that the morphisms  $F^s_{\infty} \mathscr{M} \to F^t_{\infty} \mathscr{M} \ (s \leq t)$  are isomorphisms for [s,t] contained in a connected component of  $\mathbb{R}_{\geq 0} \setminus Z$ ?

The answers to these questions are presumably negative in general, but it is reasonable to conjecture that the results are true when the perverse sheaf  $\operatorname{Sol}(\mathcal{M})$  is a local system in the complementary of a normal crossing divisor. Also note that it may be convenient to use better the  $L^2$ -filtration (see Remark 4.3.2) on  $\mathscr{O}_{X_{\operatorname{sa}}}^{\operatorname{tp}}$  in order to apply the results of [Ho65].

One can also ask the question of comparing the  $F_{\infty}$ -filtration with other filtrations already existing in the literature (sse [KK81, BK86, Ma96]).

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